

OPERATING BUDGET



UK

University of Kentucky

2002-2003

June 11, 2002

Members of the Board of Trustees:

I am pleased to submit for your consideration and approval the Fiscal 2002-03 University of Kentucky Operating Budget. Putting the University budget together has been difficult since the General Assembly as of this date has not approved the state budget for the next biennium. Our task was further complicated because the \$6 million state cut from our budget midway through this fiscal year is carried forward on a recurring basis into next fiscal year. Assuming the most recent version of the state budget is passed by the General Assembly, we will have no new operating or capital funding from state dollars in the 2002-03 fiscal year. Further, only \$4 million of the \$6 million we lost will be returned to us in the second year of the biennium. Particularly at risk is the \$125 million authorization of "Bonds for Brains." If the legislature fails to enact a budget by July 1st, our financial future is uncertain.

Despite the gloomy state budget picture, we began the challenging process of preparing this budget to meet some of the goals for the University that I articulated in my inaugural address. We cannot wait each year in hope that the General Assembly will give us adequate funding to achieve all that we aspire to achieve. I am afraid that day is past. We must always have the state as our partner if we are to reach top twenty status, but in the years when state funding is insufficient, we must be more creative and more entrepreneurial if we are to sustain our progress on the road to excellence.

So, in making next year's budget, we have asked a number of difficult questions and taken a number of creative approaches in capturing new revenue sources. With an objective of tearing down the "silos" and moving toward one university, we have by and large encountered a spirit of cooperation. A new way of identifying dollars and declaring them available for university-wide use has come about.

We have comprehensively reviewed everything in the current University budget. We have looked at every major account. We have reorganized the University so that it will operate more efficiently and

effectively and saved dollars in the process. We have pulled in state funds from certain units and asked them to replace their state funds with other sources of funding available to them. We have changed the way we allocate overhead costs (institutional support services) to various self-supporting units and asked them to pay their fair share. We have built these additional assessments into this budget. We have taken a hard look at our "working capital reserves" and concluded that we needed to put more of that money to work. We thus have allocated a portion of these funds for one-time expenditures.

This innovative hard work has paid off, and we have been able to identify funds that will move the University ahead in a year when state revenues are cut back. As a result I am able to make a start on my vision for the University that I described in my inaugural address.

I support and believe the University of Kentucky should aspire to becoming one of the nation's top 20 public universities as measured by national standards. I also believe the University must fulfill its land-grant mission for the Commonwealth in order to achieve this status. You have seen the preliminary report of the task force I created to define the measurements we can use to track our progress in moving toward top 20 status. I hope you will agree it is time we launched a concerted effort in pursuing this objective. Even in a bad budget year, I believe this budget starts the process.

In keeping with the Task Force report and my vision of what constitutes a top 20 university, my top priority is **People**. That includes faculty, staff, and students, as well as the people of the Commonwealth. This budget is primarily focused on this priority. There are a number of initiatives I am proposing specifically directed to faculty, initiatives to assist staff, and initiatives that will make the University a more welcoming, personal experience for students. In turn faculty, staff, and students can more ably serve the citizens of our state.

In serving the University, and in turn the people of the state, my second priority is **Outreach**. UK must be internationally respected

and admired statewide. UK has a duty to improve the lives of Kentuckians. UK cannot hunker down in Lexington, only identify itself as part of the Golden Triangle, and ignore the rest of the state. We are the land-grant university. We have the unique ability to use our Extension Offices located in all counties as information centers for the people of each county. UK research should be directed at the people of Kentucky whenever practical. It benefits both UK and the people. While we improve our relationships statewide, we must also improve our town-gown relationship with our neighbors in Lexington. We need to begin work on a retail and professional business "corridor" that connects the UK campus with the downtown area.

In order for UK to move forward, we must think entrepreneurially in an effort to find additional revenue sources. We need to pursue new programs and new partnerships aggressively, and we need to make better use of our intellectual properties. Our budget team approached the 2002-03 Budget with these priorities clearly in mind. How to achieve some of them, given the reality of state funding, was the challenge. We began with the following assumptions:

- A \$6 million base budget reduction in the current fiscal year will be carried over into the new fiscal year.
- There will be **no** new operating funds from the state that are not earmarked and there will be **no** new funds from the state for capital improvements.
- Operating budgets of the academic units that have been cut so often over the past decade will not be reduced.
- The Employee Health Insurance Program will be adequately funded and an effort will be made to make health insurance to the families of our employees affordable, even in the face of ever rising health insurance rates.
- Faculty and staff salaries will be addressed; UK is losing too many faculty and staff to other employers.

- Some new programs and program enhancements will be funded across the campus.

Given these budget assumptions, we were challenged to: (1) find a way to take the \$6 million cut without cutting the budgets of the academic units; (2) find a way to provide a salary increase for faculty and staff; (3) find a way to significantly improve health benefits for faculty and staff employees and their families; (4) find a way to cover unfunded fixed costs associated with maintenance and operation of new facilities; and (5) find a way to fund some program improvements in next year's operating budget.

With these imperatives, we approached the 2002-03 budget with enlivened creativity. There were no "sacred cows;" this is one University, not a group of sectors. The budget team tackled these issues and developed the following strategies.

- Use the savings from the University reorganization and revenues from the increase in student tuition and fees to support program priorities.
- Use state dollars pulled back from programs that can find other sources of support rather than impose the traditional practice of across-the-board cuts to fund University initiatives.
- Use nonrecurring funds, including year-end fund balances, to a greater extent to meet programmatic needs.
- Use a part of the institutional working capital on a one-time basis to plug budget holes.
- Develop a sound cost accounting basis for allocating the cost of central services (purchasing, payroll, police, accounting, etc.) to the University's self-supporting units.
- Construct a model for billing **all** institutional fringe benefits thus allowing these costs to be charged to federal grants and contracts as well as the self-supporting units.

The innovations noted above resulted in \$29.1 million available for the goals we established for this budget and its program initiatives.

- Our first goal of absorbing the \$6 million budget cut **without reducing academic budgets** is achieved by using a portion of the institution's working capital in the current fiscal year. Cost: \$6,059,700.

- Although our second goal of giving faculty and staff a salary increase could not be achieved on a recurring basis, we were able to fund a one-time salary supplement of 3 percent based on merit. This one-time salary supplement will be paid to employees in a lump sum in their July paychecks. Cost: \$7.6 million. In addition, we are able to provide recurring increases for faculty promotions and for an upward adjustment to the staff salary scale. Cost: \$369,600.

- Our third goal of implementing the recommendations of the Health Care Task Force which included no increase for single coverage for employees, maintaining current benefits, and paying a higher percentage of family coverage is achieved. Cost to the general fund: \$5 million. Overall cost: \$9.7 million.

- In recognition of the contributions of staff, we will give employees the day after Thanksgiving as an additional holiday. (This additional holiday will not be applicable to Hospital employees who will receive a recurring 3 percent salary increase beginning October 1, 2002. This exception is dictated by the need for our Hospital to be more competitive among health-care workers in the Lexington market.) Further, we are increasing the term life insurance for all full-time employees from \$7,500 to \$10,000 on recommendation of the Employee Benefits Committee. Cost: to the general fund: \$30,400.

- Our fourth goal of funding the fixed costs associated with maintenance and operation of new facilities is accomplished through internal reallocation noted above and state funds

previously allotted and reserved for this purpose. Cost: \$1.8 million.

- Our fifth goal of funding program improvements that meet our strategic objectives supporting my vision for this University is described below.

PRESIDENT

- Commission on the Status of Women - \$93,800 from nonrecurring funds to provide for a Director, a clerical position, equipment, operating expenses, and programmatic needs.

- Commission on Diversity - \$85,000 from nonrecurring funds to provide for a Director, a clerical position, equipment, operating expenses, and programmatic initiatives.

PROVOST

New Recurring Funding for Program Improvements

- Collaborative Center for Literacy Development - \$600,000 from state recurring funding to replace the funding provided by CPE.

- Scholarship Increases - \$1,174,700 for tuition increases for programs mandated by law (the Kentucky War Orphan Program, the scholarship programs for the children of deceased firefighters, police, etc.) and other University core scholarship programs.

- New University-Funded Scholarships - \$2,544,600 - The Legacy Program is funded for \$1,680,600 to pay the out-of-state portion of tuition for children of UK alumni living out of state. The Law School is funded \$114,000 to provide in-state tuition to 12 highly qualified out-of-state students. The Graduate School will receive increased funding in the amount of \$750,000 for the second cohort under this successful out-of-state scholarship program.

- College of Law Enhancement Funding - \$50,000 to honor a commitment to the American Bar Association for enhancement pertaining to accreditation concerns.

- College of Architecture - \$50,000 for additional instruction to alleviate the problem of enrollment growth and prior budget cuts.

- College of Business & Economics - \$260,000 to provide three new faculty needed in response to exceptional enrollment growth.

- College of Communications and Information Studies - \$110,000 for two new faculty positions in response to exceptional enrollment growth.

- College of Education - \$78,500 to honor a commitment to the new dean, including partial funding for new faculty and staff lines.

- College of Agriculture - \$87,000 to be used to offset the Robinson Trust shortfall; \$40,000 to be used for a Forestry Department faculty line; \$47,000 to cover staff salaries at the Wood Utilization Center at Quicksand; \$44,000 to fund the Arboretum Director position on a full-time basis.

- Martin School - \$30,000 for faculty salary retention.

- Operating Expenses - \$240,000 allocation to the colleges based on \$200 in current operating expenses for each full-time faculty member.

Nonrecurring Funding for Program Improvements

- Senator Wendell Ford Distinguished Fellowship - \$35,000 for the Graduate School.

- Women's Writer/External Fellowship Director - \$40,000 for a new position to resurrect the Women's Writers Conference

and combine it with the addition of a director of the Office of External Fellowships.

- Lyman T. Johnson Scholarship and Commonwealth Incentive Program - \$200,000 to be used to recruit and support minority graduate students.

- Instructional Classroom Support - \$685,000 will be used for equipment and renovation of classrooms.

- College of Architecture - \$50,000 will be used along with the recurring allocation for additional instruction.

Internal Reallocations

- Alumni Professorships - \$60,000 to establish two new Alumni Professorships with stipends of \$15,000 each for five years, and an increase in the three existing Alumni Professorships from \$5,000 to \$15,000 each for five years.

- Undergraduate Education - \$60,000 to alleviate the budget deficits in the Teaching and Learning Center, the Office of Registrar and Admissions, and support of the Writing Center.

- Disability Research Coordinator - \$35,000 for a new staff position in the Disability Resource Center.

- Library - \$15,000 to increase night and weekend hours.

- Futures Committee - \$400,000 reserved to fund, on a shared basis, enhancements of educational and research areas endorsed by the Futures Committee.

- Governor's Scholars/Governor's School for the Arts - \$500,000 to fund one-half the scholarships (the remainder to come from private gifts).

- Undergraduate Education - \$25,000 to enhance the "First Year Experience Initiative."

- International Affairs and Minority Affairs - \$7,500 each for operating expenses.

LEXINGTON COMMUNITY COLLEGE

- State Funded Scholarship Increase - \$8,700 – funded to cover tuition increases for scholarships mandated by the state.
- Program Improvement and Other Adjustments - \$755,600 - transfer of LCC reserve held by previous administration and \$978,200 from tuition increases will be used to fund part-time instruction for increased enrollments and to help fund the one-time merit payment.

VICE PRESIDENT FOR RESEARCH

New Recurring Funding for Program Improvements

- Research Equipment Maintenance Program - \$550,000 to enhance the budget for maintenance fees for shared-use equipment.

Funding From UKRRF

- Graduate School Program Support - \$375,000 for increases and the Enhancement of Graduate Opportunities/Minorities & Women, Student support and the Graduate Student Incentive Program.
- College Grant Officers - \$598,000 for support of 11 College Research Grant Officer positions.
- Faculty Research Program Budget - \$5,814,000 increase for the faculty research enhancement budget.

SENIOR VICE PRESIDENT FOR ADMINISTRATION

New Recurring Funding for Program Improvements

- Data Warehouse - \$41,700 data warehouse funding to be used to purchase software to extend the functionality of the institutional data warehouse.
- Email Upgrade - \$154,300 for recurring and non-recurring software and hardware expenses.
- CAS/Darwin - \$14,300 recurring funds for maintenance and license fees for the degree audit portion of the Course Applicability System that supports the state-wide effort to provide students with information about the transferability of their course work.

- Art Museum - \$26,000 for the annual operating expenses.

- Blackboard Project - \$74,900 for instructional software support.

SENIOR VICE PRESIDENT AND CHANCELLOR OF THE MEDICAL CENTER

New Recurring Funding for Program Improvements

- College of Nursing Clinical Doctorate - \$200,000 for two faculty lines with operating expenses.
- College of Medicine School of Public Health - \$125,000 to create an epidemiology faculty line, a staff support line, and operating expenses.
- College of Medicine Integrated Biomedical Science Program (IBS) - \$125,000 to support seven first year graduate student stipends associated with the IBS Program.

- College of Pharmacy - \$175,000 to support increased enrollment in the College.
- Graduate Center for Nutritional Sciences - \$83,000 to be used for a faculty line in the Center.
- Breast Cancer Task Force - \$115,000 from the state for the Markey Cancer Center to carry out recommendations of the Kentucky Breast Cancer Task Force created in 1998.
- Morehead/Corbin Residency Program - \$300,000 from the state for the College of Medicine Department of Family Practice for medical resident training for the rural programs centered at St. Claire Medical Center in Morehead and Baptist Regional Medical Center in Corbin.

Nonrecurring Funding for Program Improvements

- College of Nursing Clinical Doctorate - \$50,000 to provide for the teaching and support needs of the program.
- College of Medicine School of Public Health - \$200,000 to address teaching and support needs within the divisions of epidemiology, health behavior, and occupational medicine.
- E-Mnet and Medical Center Classrooms - \$315,000 to be used to fit up the teaching laboratories of the College of Nursing and to continue support of the Medical Center classrooms.

Internal Reallocations

- Spinal Cord and Brain Injury Research Center (SCoBIRC) - \$345,300 reallocated in the College of Medicine to create faculty lines.

In addition, I am hereby requesting that the Board approve the appropriation and allocation of all fund balances for future operations, to include amounts necessary to cover accounts receivable and inventories that will represent assets on the audited balance sheet of the University of Kentucky for 2001-2002. These balances are in addition to those incorporated in the proposed budget. Since the books of accounts of the University of Kentucky are maintained on a full accrual basis, this action will provide the funds necessary to maintain a sound financial situation during the 2002-2003 fiscal year.

Conclusion

The budget I am submitting to you has been one of the biggest challenges I have faced in my first year as President. With the help of an experienced and dedicated budget team, I am confident we have been able to prepare an operating budget for the new fiscal year that will make a substantial start on my goals for the University. Given the state budget picture, we will not make the progress I had hoped for, but neither will we stand still. We will make notable gains in 2002-2003. For this I thank my budget staff, my administrators, and all of the faculty and staff who will make progress a reality in the new fiscal year. Finally, I thank the Board of Trustees for their encouragement and support over the past eleven months, and I look forward to working with you in the year ahead to achieve even greater good for our University and the people of our Commonwealth.

Lee T. Todd, Jr.
President

TABLE OF CONTENTS

PAGE

SUMMARIES

Income Summaries 1
Expenditure Summaries 4

MEDICAL CENTER

Program Information 19
Budget Detail
General Fund 51
Restricted Funds 58
Auxiliary Services 61

PROVOST

Program Information 62
Budget Detail
General Fund 109
Restricted Funds 121
Auxiliary Services 131

RESEARCH

Program Information 133
Budget Detail
General Fund 140
Restricted Funds 141
Auxiliary Services 141

PRESIDENT

Program Information 142
Budget Detail
General Fund 145
Restricted Funds 146

TABLE OF CONTENTS (continued)

	<u>PAGE</u>
UNIVERSITY-WIDE	
Program Information	147
Budget Detail	
General Fund	149
SENIOR VICE PRESIDENT - ADMINISTRATION	
Program Information	151
FISCAL AFFAIRS AND INFORMATION TECHNOLOGY	
Program Information	154
AUXILIARY AND CAMPUS SERVICES	
Program Information	160
Budget Detail	
General Fund	166
Restricted Funds	168
Auxiliary Services	169
AFFILIATED CORPORATIONS	170

ESTIMATED INCOME AND FUNDS AVAILABLE

2001-02

2002-03

GENERAL FUND

STATE APPROPRIATION

Regular Appropriation

Total State Appropriation

\$ 322,210,600

\$ 313,431,000

\$ 322,210,600

\$ 313,431,000

STUDENT FEES

General

Regular - University System

- Lexington Community College

Medicine

Dentistry

Summer Session - University System

- Lexington Community College

Subtotal

Self-Supporting

Noncredit Fees

Mandatory Registration Fees

Other Fees

Subtotal

Total Student Fees

\$ 90,992,800

\$ 100,455,300

9,526,500

11,047,700

4,212,000

4,324,700

2,265,200

2,273,600

5,828,200

5,938,200

771,300

864,100

\$ 113,596,000

\$ 124,903,600

\$ 8,408,100

\$ 6,397,700

5,258,900

5,997,300

2,193,800

2,552,900

\$ 15,860,800

\$ 14,947,900

\$ 129,456,800

\$ 139,851,500

COUNTY APPROPRIATIONS

\$ 8,925,100

\$ 9,070,300

ENDOWMENT INCOME

\$ 835,400

\$ 875,500

INVESTMENT INCOME

\$ 11,245,100

\$ 10,707,300

ESTIMATED INCOME AND FUNDS AVAILABLE

2001-02

2002-03

GENERAL FUND (continued)

GIFTS, GRANTS AND CONTRACTS

The Fund for Advancement of Education and Research in the		
Medical Center	\$ 6,243,200	\$ 6,616,600
University of Kentucky Research Foundation		
General Fund Grants	6,483,000	9,542,000
Research Administration	2,973,000	3,558,000
Nongovernmental Grants and Contracts		
Housestaff Reimbursed Costs	7,473,400	8,089,300
Other	73,298,400	76,511,000
Gifts, Donations, Pledges	7,637,600	6,930,200
Total Gifts, Grants, and Contracts	<u>\$ 104,108,600</u>	<u>\$ 111,247,100</u>

SALES AND SERVICES

Agricultural Public and Regulatory Services	\$ 3,482,100	\$ 3,072,100
Departmental Sales and Services	23,024,200	30,066,000
Farm Sales	1,025,100	1,192,500
Housing and Dining - University-Provided Services	688,000	768,000
Total Sales and Services	<u>\$ 28,219,400</u>	<u>\$ 35,098,600</u>

FUND BALANCES

	\$ 20,115,600	\$ 21,836,500
--	---------------	---------------

TOTAL GENERAL FUND INCOME

	\$ 625,116,600	\$ 642,117,800
--	----------------	----------------

ESTIMATED INCOME AND FUNDS AVAILABLE

	<u>2001-02</u>	<u>2002-03</u>
<u>NONGENERAL FUNDS</u>		
RESTRICTED FUNDS		
Federal Appropriations	\$ 5,291,100	\$ 5,275,900
Agricultural Experiment Station	10,253,000	10,269,300
Agricultural Cooperative Extension Service	571,100	532,900
Federal - Agriculture	<u>16,115,200</u>	<u>16,078,100</u>
Subtotal	82,419,400	86,561,900
Other - Nonfederal	<u>98,534,600</u>	<u>102,640,000</u>
Total Restricted Funds	\$ 41,133,600	\$ 43,242,400
AUXILIARY SERVICES		
	\$ 207,709,900	\$ 232,024,200
AFFILIATED CORPORATIONS		
	\$ 330,964,900	\$ 316,691,400
Revenue	<u>2,396,100</u>	<u>4,397,600</u>
University-Provided Services	333,361,000	321,089,000
Total Hospital	<u>680,739,100</u>	<u>698,995,600</u>
TOTAL NONGENERAL FUND INCOME		
	\$ 1,305,855,700	\$ 1,341,113,400
TOTAL INCOME		

**EXPENDITURE SUMMARY BY FUND
ALL FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
MEDICAL CENTER		
Unrestricted	\$ 201,648,800	\$ 207,467,400
Restricted	17,032,600	17,230,800
Auxiliary Services	4,456,000	4,645,900
Affiliated Corporations	80,905,400	93,605,400
Hospital		
Unrestricted	332,017,900	317,744,400
Restricted	<u>2,378,800</u>	<u>1,716,300</u>
Total Medical Center	\$ 638,439,500	\$ 642,410,200
PROVOST		
Unrestricted	\$ 285,866,000	\$ 296,868,000
Restricted	70,819,500	74,571,100
Auxiliary Services	4,316,500	4,244,600
Affiliated Corporations	<u>48,377,700</u>	<u>55,505,200</u>
Total Provost	\$ 409,379,700	\$ 431,188,900
RESEARCH		
Unrestricted	\$ 17,191,200	\$ 21,329,900
Restricted	5,716,500	6,097,800
Auxiliary Services	176,000	194,000
Affiliated Corporations	<u>37,464,000</u>	<u>41,425,800</u>
Total Research	\$ 60,547,700	\$ 69,047,500
OTHER		
Unrestricted	\$ 95,045,200	\$ 86,094,500
Restricted	2,587,200	3,024,000
Auxiliary Services	31,885,100	33,857,900
Affiliated Corporations	<u>40,962,800</u>	<u>41,487,800</u>
Total Other	\$ 170,480,300	\$ 164,464,200

**EXPENDITURE SUMMARY BY FUND
ALL FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
NONRECURRING		
Unrestricted	\$ 26,708,500	\$ 33,702,600
Auxiliary Services	300,000	300,000
Total Nonrecurring	\$ 27,008,500	\$ 34,002,600
TOTAL ALL FUNDS	\$ 1,305,855,700	\$ 1,341,113,400

**EXPENDITURE SUMMARY BY PROGRAM
ALL FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
INSTRUCTION		
Medical Center	\$ 110,212,600	\$ 111,583,200
Provost	128,397,600	132,052,800
Research	11,470,800	11,854,700
Other	6,481,000	1,481,200
Nonrecurring	<u>10,232,200</u>	<u>12,447,800</u>
Total Instruction	\$ <u>266,794,200</u>	\$ <u>269,419,700</u>
RESEARCH		
Medical Center	\$ 72,014,200	\$ 81,836,000
Provost	84,426,700	91,004,800
Research	26,593,000	31,218,400
Other	1,297,600	232,900
Nonrecurring	<u>2,821,000</u>	<u>4,147,000</u>
Total Research	\$ <u>187,152,500</u>	\$ <u>208,439,100</u>
PUBLIC SERVICE		
Medical Center	\$ 69,261,100	\$ 74,399,100
Provost	72,105,800	73,481,000
Research	11,983,300	13,760,400
Other	4,299,600	2,743,900
Nonrecurring	<u>4,394,000</u>	<u>5,893,500</u>
Total Public Service	\$ <u>162,043,800</u>	\$ <u>170,277,900</u>
ACADEMIC SUPPORT		
Medical Center	\$ 18,300,800	\$ 18,500,400
Provost	37,892,800	42,070,300
Research	6,256,000	7,521,400
Other	12,336,900	11,436,600
Nonrecurring	<u>3,055,600</u>	<u>4,047,800</u>
Total Academic Support	\$ <u>77,842,100</u>	\$ <u>83,576,500</u>

**EXPENDITURE SUMMARY BY PROGRAM
ALL FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
STUDENT SERVICES		
Medical Center	\$ 1,950,500	\$ 1,955,100
Provost	16,531,400	16,864,600
Research	92,300	45,500
Other	1,165,100	1,123,300
Nonrecurring	<u>214,300</u>	<u>0</u>
Total Student Services	\$ 19,953,600	\$ 19,988,500
INSTITUTIONAL SUPPORT		
Medical Center	\$ 6,989,000	\$ 7,921,600
Provost	3,994,100	4,564,300
Research	984,900	1,287,200
Other	36,337,000	36,328,700
Nonrecurring	<u>2,744,300</u>	<u>2,860,400</u>
Total Institutional Support	\$ 51,049,300	\$ 52,962,200
STUDENT FINANCIAL AID		
Medical Center	\$ 4,199,900	\$ 4,342,300
Provost	50,369,900	57,615,800
Research	947,200	1,073,000
Other	233,700	323,000
Nonrecurring	<u>262,000</u>	<u>200,000</u>
Total Student Financial Aid	\$ 56,012,700	\$ 63,554,100
OPERATIONS AND MAINTENANCE		
Medical Center	\$ 10,173,400	\$ 11,098,700
Provost	1,585,600	1,523,600
Research	462,500	475,000
Other	32,565,600	32,546,700
Nonrecurring	<u>2,985,100</u>	<u>4,106,100</u>
Total Operations and Maintenance	\$ 47,772,200	\$ 49,750,100

**EXPENDITURE SUMMARY BY PROGRAM
ALL FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
MANDATORY TRANSFERS - DEBT SERVICE		
Medical Center	\$ 6,485,300	\$ 6,667,200
Provost	9,759,300	7,767,100
Research	1,581,700	1,617,900
Other	3,363,700	3,399,000
Total Mandatory Transfers - Debt Service	\$ 21,190,000	\$ 19,451,200
HOSPITAL	\$ 334,396,700	\$ 319,460,700
AUXILIARY SERVICES	\$ 81,648,600	\$ 84,233,400
TOTAL ALL FUNDS	\$ 1,305,855,700	\$ 1,341,113,400

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE

	<u>2001-02</u>	<u>2002-03</u>
PERSONAL SERVICES	\$ 728,576,400	\$ 781,258,600
OPERATING EXPENSES	503,038,600	493,536,600
MANDATORY TRANSFERS - DEBT SERVICE	32,857,400	30,723,100
CAPITAL OUTLAY	<u>41,383,300</u>	<u>35,595,100</u>
TOTAL EXPENDITURES	\$ 1,305,855,700	\$ 1,341,113,400

**EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
INSTRUCTION		
Medical Center	\$ 100,644,200	\$ 101,423,200
Provost	117,011,100	121,752,800
Research	332,700	628,200
Other	6,475,100	1,475,300
Nonrecurring	<u>10,232,200</u>	<u>12,447,800</u>
Total Instruction	\$ 234,695,300	\$ 237,727,300
RESEARCH		
Medical Center	\$ 8,082,600	\$ 8,450,500
Provost	32,171,500	31,927,100
Research	7,402,400	8,532,400
Other	1,216,600	126,600
Nonrecurring	<u>2,821,000</u>	<u>4,147,000</u>
Total Research	\$ 51,694,100	\$ 53,183,600
PUBLIC SERVICE		
Medical Center	\$ 56,384,900	\$ 59,900,900
Provost	53,441,100	54,368,300
Research	4,172,700	4,660,900
Other	2,797,400	1,164,100
Nonrecurring	<u>4,394,000</u>	<u>5,893,500</u>
Total Public Service	\$ 121,190,100	\$ 125,987,700

**EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
ACADEMIC SUPPORT		
Medical Center	\$ 13,394,200	\$ 12,912,900
Provost	31,621,900	34,412,500
Research	3,970,900	6,037,900
Other	12,128,000	11,264,500
Nonrecurring	3,055,600	4,047,800
Total Academic Support	<u>\$ 64,170,600</u>	<u>\$ 68,675,600</u>
STUDENT SERVICES		
Medical Center	\$ 1,790,000	\$ 1,826,800
Provost	15,684,800	15,994,800
Other	797,400	644,400
Nonrecurring	214,300	0
Total Student Services	<u>\$ 18,486,500</u>	<u>\$ 18,466,000</u>
INSTITUTIONAL SUPPORT		
Medical Center	\$ 3,465,000	\$ 3,937,900
Provost	3,760,900	4,313,700
Research	730,000	875,500
Other	35,827,800	35,599,600
Nonrecurring	2,744,300	2,860,400
Total Institutional Support	<u>\$ 46,528,000</u>	<u>\$ 47,587,100</u>

**EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
STUDENT FINANCIAL AID		
Medical Center	\$ 1,854,400	\$ 1,855,800
Provost	21,349,000	24,960,700
Research	120,000	120,000
Nonrecurring	<u>262,000</u>	<u>200,000</u>
Total Student Financial Aid	\$ 23,585,400	\$ 27,136,500
OPERATIONS AND MAINTENANCE		
Medical Center	\$ 9,548,200	\$ 10,492,200
Provost	1,066,400	1,371,000
Research	462,500	475,000
Other	32,439,200	32,421,000
Nonrecurring	<u>2,985,100</u>	<u>4,106,100</u>
Total Operations and Maintenance	\$ 46,501,400	\$ 48,865,300
MANDATORY TRANSFERS - DEBT SERVICE		
Medical Center	\$ 6,485,300	\$ 6,667,200
Provost	9,759,300	7,767,100
Other	3,363,700	3,399,000
Total Mandatory Transfers - Debt Service	\$ 19,608,300	\$ 17,833,300
HOSPITAL		
General Operations	\$ 329,875,700	\$ 315,605,900
Mandatory Transfers - Debt Service	<u>2,142,200</u>	<u>2,138,500</u>
Total Hospital	\$ 332,017,900	\$ 317,744,400
TOTAL UNRESTRICTED CURRENT FUNDS	\$ 958,477,600	\$ 963,206,800

**EXPENDITURE SUMMARY BY PROGRAM
RESTRICTED CURRENT FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
INSTRUCTION		
Medical Center	\$ 4,427,900	\$ 4,986,100
Provost	6,638,100	5,516,400
Research	62,500	78,800
Other	5,900	5,900
Total Instruction	<u>\$ 11,134,400</u>	<u>\$ 10,587,200</u>
RESEARCH		
Medical Center	\$ 7,960,600	\$ 8,113,700
Provost	17,421,800	18,363,700
Research	3,818,500	4,113,200
Other	81,000	106,300
Total Research	<u>\$ 29,281,900</u>	<u>\$ 30,696,900</u>
PUBLIC SERVICE		
Medical Center	\$ 807,400	\$ 464,900
Provost	12,465,200	11,885,300
Research	200,500	226,800
Other	1,109,200	1,121,500
Total Public Service	<u>\$ 14,582,300</u>	<u>\$ 13,698,500</u>
ACADEMIC SUPPORT		
Medical Center	\$ 1,416,200	\$ 1,260,400
Provost	5,659,400	7,089,200
Research	18,600	15,900
Other	160,200	140,600
Total Academic Support	<u>\$ 7,254,400</u>	<u>\$ 8,506,100</u>

**EXPENDITURE SUMMARY BY PROGRAM
RESTRICTED CURRENT FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
STUDENT SERVICES		
Medical Center	\$ 77,200	\$ 45,200
Provost	815,900	845,100
Research	500	0
Other	367,700	478,900
Total Student Services	<u>\$ 1,261,300</u>	<u>\$ 1,369,200</u>
INSTITUTIONAL SUPPORT		
Medical Center	\$ 194,200	\$ 186,600
Provost	224,800	240,100
Research	2,100	2,200
Other	509,200	729,100
Total Institutional Support	<u>\$ 930,300</u>	<u>\$ 1,158,000</u>
STUDENT FINANCIAL AID		
Medical Center	\$ 1,526,900	\$ 1,570,400
Provost	27,075,100	30,478,700
Research	32,100	43,000
Other	227,600	316,000
Total Student Financial Aid	<u>\$ 28,861,700</u>	<u>\$ 32,408,100</u>
OPERATIONS AND MAINTENANCE		
Medical Center	\$ 622,200	\$ 603,500
Provost	519,200	152,600
Other	126,400	125,700
Total Operations and Maintenance	<u>\$ 1,267,800</u>	<u>\$ 881,800</u>

**EXPENDITURE SUMMARY BY PROGRAM
RESTRICTED CURRENT FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
MANDATORY TRANSFERS - DEBT SERVICE		
Research	\$ 1,581,700	\$ 1,617,900
Total Mandatory Transfers - Debt Service	\$ 1,581,700	\$ 1,617,900
HOSPITAL	\$ 2,378,800	\$ 1,716,300
TOTAL RESTRICTED CURRENT FUNDS	\$ 98,534,600	\$ 102,640,000

**EXPENDITURE SUMMARY BY PROGRAM
AUXILIARY SERVICES CURRENT FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
AUXILIARY SERVICES		
Medical Center	\$ 4,456,000	\$ 4,645,900
Provost	4,316,500	4,244,600
Research	176,000	194,000
Other	31,885,100	33,857,900
Nonrecurring	<u>300,000</u>	<u>300,000</u>
Total Auxiliary Services	\$ 41,133,600	\$ 43,242,400

**EXPENDITURE SUMMARY BY PROGRAM
AFFILIATED CORPORATIONS CURRENT FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
INSTRUCTION		
Medical Center	\$ 5,140,500	\$ 5,173,900
Provost	4,748,400	4,783,600
Research	<u>11,075,600</u>	<u>11,147,700</u>
Total Instruction	\$ <u>20,964,500</u>	\$ <u>21,105,200</u>
RESEARCH		
Medical Center	\$ 55,971,000	\$ 65,271,800
Provost	34,833,400	40,714,000
Research	<u>15,372,100</u>	<u>18,572,800</u>
Total Research	\$ <u>106,176,500</u>	\$ <u>124,558,600</u>
PUBLIC SERVICE		
Medical Center	\$ 12,068,800	\$ 14,033,300
Provost	6,199,500	7,227,400
Research	7,610,100	8,872,700
Other	<u>393,000</u>	<u>458,300</u>
Total Public Service	\$ <u>26,271,400</u>	\$ <u>30,591,700</u>
ACADEMIC SUPPORT		
Medical Center	\$ 3,490,400	\$ 4,327,100
Provost	611,500	568,600
Research	2,266,500	1,467,600
Other	<u>48,700</u>	<u>31,500</u>
Total Academic Support	\$ <u>6,417,100</u>	\$ <u>6,394,800</u>

**EXPENDITURE SUMMARY BY PROGRAM
AFFILIATED CORPORATIONS CURRENT FUNDS**

	<u>2001-02</u>	<u>2002-03</u>
STUDENT SERVICES		
Medical Center	\$ 83,300	\$ 83,100
Provost	30,700	24,700
Research	91,800	45,500
Total Student Services	<u>205,800</u>	<u>153,300</u>
INSTITUTIONAL SUPPORT		
Medical Center	\$ 3,329,800	\$ 3,797,100
Provost	8,400	10,500
Research	252,800	409,500
Total Institutional Support	<u>3,591,000</u>	<u>4,217,100</u>
STUDENT FINANCIAL AID		
Medical Center	\$ 818,600	\$ 916,100
Provost	1,945,800	2,176,400
Research	795,100	910,000
Other	6,100	7,000
Total Student Financial Aid	<u>3,565,600</u>	<u>4,009,500</u>
OPERATIONS AND MAINTENANCE		
Medical Center	\$ 3,000	\$ 3,000
Total Operations and Maintenance	<u>3,000</u>	<u>3,000</u>
AUXILIARY SERVICES		
General Operations - Other	\$ 35,035,000	\$ 35,716,000
Mandatory Transfers - Debt Service	5,480,000	5,275,000
Total Auxiliary Services	<u>40,515,000</u>	<u>40,991,000</u>
TOTAL AFFILIATED CORPORATIONS	\$ 207,709,900	\$ 232,024,200

MEDICAL CENTER

The goal of the University of Kentucky Medical Center is to provide and support progressive, quality programs of instruction, training, research, and service which are both responsive to the health science needs and interests of the Commonwealth and compatible with the needs and interests of students and the surrounding community.

Objectives:

Instruction - To meet health manpower requirements for highly trained, highly educated personnel in the Commonwealth and surrounding regions by offering Baccalaureate, Master's, Doctoral, Postdoctoral, Professional, Postprofessional, and community education programs, all of which are designed to facilitate and enhance the quality of health services provided to citizens of the Commonwealth and elsewhere.

Research - To contribute to new knowledge in the health sciences through basic and applied research.

Public Service - To conduct and develop health sciences programs which are oriented toward the needs and interests of the community and Commonwealth and which facilitate improvement in the practice of health sciences within the Commonwealth.

	Actual	Estimated
1. <u>Fall Headcount Enrollment</u>	<u>2001-02</u>	<u>2002-03</u>
Undergraduate	771	774
First Professional	992	1,020
Housestaff	503	510
Total Enrollment	2,266	2,304
2. <u>FTE Enrollment</u>	2,761	2,761
3. <u>Continuing Education - Contact Hours</u>	270,700	283,500
4. <u>Faculty FTE Positions</u>	797	793
<u>Librarian FTE Positions</u>	13	13

ACADEMIC AFFAIRS

The University of Kentucky Medical Center supports the University functions of instruction, research, and public service through activities in the Colleges of Health Sciences, Dentistry, Medicine, Nursing, Pharmacy, Graduate Centers, and the Centers of Excellence.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

1. College of Health Sciences

a. **Instruction** - Baccalaureate degree programs offered by the College include the preparation of health care professionals in Clinical Laboratory Sciences, Communication Disorders, and Health Services Management. A dual baccalaureate/masters degree program is offered in Physical Therapy. The Physician Assistant Studies Program moved to a Master's degree program in Spring 2001. Other Master's degree programs are offered in Clinical Nutrition, Communication Disorders (Speech Pathology), Radiological Medical Physics, Athletic Training (a collaborative degree offered in conjunction with the College of Education), and Health Administration, a (collaborative degree offered in conjunction with the Martin School). Doctoral studies include the Ph.D. Program in Rehabilitation Sciences and a Ph.D. in Nutritional Sciences offered through the UK Center for Nutritional Sciences.

The College serves a variety of practicing professionals through continuing education programs (covered under the Chandler Medical Center Office of Continuing Education) on topics related to the latest scientific research and practice. Unique instructional and research experiences are designed for national and international postdoctoral fellows and visiting faculty members from several foreign countries. Several of the College's programs (Physical Therapy, Clinical Laboratory Sciences, Communication Disorders and Rehabilitation Sciences Doctoral Program) are made available outside of Lexington through the employment of distance learning technologies to such places as Hazard, Bowling Green, Murray, Morehead and many other regional university campuses.

b. **Financial Data**

Faculty FTE Positions

<u>2001-02</u>		<u>2002-03</u>	
	49		49

General Fund

Faculty	\$ 4,028,000	\$ 4,181,900
Other Personal Services	<u>1,503,800</u>	<u>1,564,300</u>
Subtotal	5,531,800	5,746,200
Operating Expenses	<u>316,800</u>	<u>320,100</u>
Total Expenditures	5,848,600	6,066,300
Recharges	<u>(281,700)</u>	<u>(305,700)</u>
Net Expenditures	\$ 5,566,900	\$ 5,760,600
The Medical Center Fund Salary Supplement	\$ 31,000	\$ 31,000

Restricted Funds

	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 702,000	\$ 698,000
Total Expenditures	\$ 702,000	\$ 698,000

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

2. College of Dentistry

- a. **Instruction** - The College of Dentistry offers a professional degree program leading to a D.M.D. that prepares students to practice general dentistry. Disease prevention, diagnosis, patient care, use of auxiliaries, coordination of treatment with dental specialties and community involvement are emphasized.

The College also offers post-graduate programs in General Practice, Oral and Maxillofacial Surgery, Orofacial Pain, Orthodontics, Pediatric Dentistry and Periodontics. Students in Orofacial Pain, Orthodontics and Periodontics receive a Master of Science degree from the University of Kentucky Graduate School in addition to a specialty certificate. The College also has one-year post-graduate fellowships in Orofacial Pain and Oral and Maxillofacial Surgery. Advanced knowledge is disseminated to dental practitioners and auxiliary personnel through continuing education programs offered through the Chandler Medical Center Office of Continuing Education.

- b. **Research** – In response to the University’s goal to become a Top Twenty public research institution in the next two decades, the College of Dentistry has coordinated establishment of a Medical Center wide Center for Oral Health Research (COHR). The COHR is the focus of College and Medical Center initiatives in clinical, basic and epidemiologic oral health research. It is the vehicle through which the institution will fulfill its academic responsibility to generate new oral health knowledge and apply it to societal problems. Interdisciplinary research complements on-going projects and further involves College faculty with colleagues elsewhere in the University and outside the institution. The College recently completed a Kentucky children’s oral health survey as part of a collaborative project with the Kentucky Cabinet for Human Resources and the University of Louisville to systematically determine the oral health needs of children and adult Kentuckians.

- c. **Public Service** – In recognition of its role in a land-grant institution, the College views its public service mission in the broadest context. Services to the citizens of the Commonwealth include both on- and off-campus activities. Service rotations and other programs are provided in response to the needs of civic agencies, local governments and the dental profession. The College service mission is statewide; some examples are: Kosair Children’s Hospital in Louisville, the Veteran’s Administration Hospitals, Area Health Education Centers, Lexington-Fayette Urban County Family Care Center, Nathaniel Mission, Lexington Manor and Breckinridge Health Care, Kentucky Clinics North and South, children’s school dental clinics in Fulton and Menifee Counties, and school-based dental outreach programs that include three mobile dental vans serving Eastern and Western Kentucky counties, and “Seal Kentucky,” an innovative preventative program targeting the Appalachian counties.

d. **Financial Data**

Faculty FTE Positions

2001-02

General Fund

2002-03

65

63

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

2. College of Dentistry (continued)

d. Financial Data (continued)

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty	\$ 6,779,100	\$ 6,916,600
Other Personal Services	<u>7,117,800</u>	<u>7,965,700</u>
Subtotal	13,896,900	14,882,300
Operating Expenses	1,432,700	1,554,700
Capital Outlay	<u>12,200</u>	<u>12,200</u>
Total Expenditures	15,341,800	16,449,200
Recharges	<u>(100,200)</u>	<u>(108,700)</u>
Net Expenditures	\$15,241,600	\$16,340,500
The Medical Center Fund Salary Supplement	\$ 1,365,000	\$ 1,433,300

Restricted Funds

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 26,700	\$ 17,600
Operating Expenses	442,100	313,800
Capital Outlay	<u>264,000</u>	<u>-0-</u>
Total Expenditures	\$ 732,800	\$ 331,400

Auxiliary Funds

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 35,700	\$ 38,600
Operating Expenses	<u>523,800</u>	<u>557,100</u>
Total Expenditures	\$ 559,500	\$ 595,700

3. College of Medicine

a. Instruction - The College of Medicine's primary mission is to educate medical students, graduate students, and residents in state-of-

the-art knowledge, skills and techniques. In addition to this primary role, the College has a mission to teach undergraduate students, postdoctoral fellows and practicing health care professionals.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

3. College of Medicine (continued)

a. Instruction (continued)

The College of Medicine offers a program for medical students in which instructional emphasis is placed on acquiring a comprehensive education necessary to function as practicing physicians. Study in the areas of primary care, both locally and at sites remote from the Medical Center, is a significant part of this integrated and complex educational program. The College's expertise in medical education has been recognized nationally.

Degree-granting graduate programs of the College of Medicine are offered under the supervision of the following departments: Anatomy and Neurobiology, Molecular and Cellular Biochemistry, Microbiology, Immunology and Molecular Genetics, Molecular and Biomedical Pharmacology and Physiology. A combined master's program, offered through these five programs, is designed to prepare research and teaching personnel for careers in basic science fields. The Ph.D. programs, offered individually by each of these departments, prepare graduates for careers as faculty in medical schools or research scientists in government and industrial laboratories. An integrated first year curriculum for all graduate students has been developed. In addition, the Department of Behavioral Science provides direct faculty mentoring and support for doctoral graduate students in a number of other departments across the University. Postdoctoral training activities in the College provide individual research specialization in basic and clinical scientific research areas.

A new Kentucky School of Public Health, operating under the aegis of the College of Medicine, offers a master's degree and doctoral degree in public health. Efforts are underway to involve medical students in research through individual research opportunities with faculty, joint degree programs including the MD/PHD, and involvement in clinical research through the General Clinical Research Center (GCRC).

In addition to graduate program responsibilities, the College of Medicine provides numerous courses for graduate and undergraduate students from elsewhere in the Medical Center, the Provost and the Graduate School.

The Graduate Medical Education (GME) program of the College of Medicine provides training for residents in various medical disciplines. These fully accredited GME programs are designed to provide young physicians with the training to receive credentials in their respective areas of specialization. During 2002-03, the GME housestaff program includes the following disciplines:

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

3. College of Medicine (continued)

a. Instruction (continued)

Anesthesiology, Anesthesiology/Pain Management, Diagnostic Radiology, Emergency Medicine, Family Practice, Family Practice/Sports Medicine, Internal Medicine and subspecialties (Cardiology, Gastroenterology, Hematology and Oncology, Nephrology, and Pulmonary and Critical Care), Neurology, Occupational Medicine, Obstetrics and Gynecology, Ophthalmology, Pathology and Laboratory Medicine, Cytology, Pediatrics, Perinatal-Neonatal Medicine, Psychiatry, Child Psychiatry, Radiation Medicine, Physical Medicine and Rehabilitation, General Surgery, Cardiothoracic Surgery, Otolaryngology, Neurosurgery, Orthopedic Surgery, Orthopedic/Sports Medicine, Plastic Surgery, Urology, and Vascular Surgery. There are also two combined programs, the Medicine/Pediatrics Program leading to board eligibility in both Internal Medicine and Pediatrics and the Triple Board Program, leading to board eligibility in Adult and Child Adolescent Psychiatry and in Pediatrics. Training also is offered to residents in Dentistry (General and Pediatric), Oral Surgery, Pharmacy and Pastoral Care. The clinical departments also have multiple programs for residents interested in primary care. These programs are based both at the University Hospital and at a number of clinical practice settings principally in eastern Kentucky in conjunction with the University's four Area Health Education Center (AHEC) affiliations in Berea, Hazard, Williamstown and Morehead.

Under the auspices of the Center for Rural Health, the Department of Family Practice offers a rural-based Family Practice residency program in Hazard. Rural track programs in Corbin and in Morehead are in place. Another major instructional responsibility of the College is Continuing Medical Education (CME). Programs are designed to provide current information on patient care and technical advances to physicians and ancillary professionals. The CME programs are presented through the Chandler Medical Center Office of Continuing Education as well as via Kentucky Telecare and through the regional AHECs.

b. Research - The College of Medicine serves as a major focus for research in the biomedical sciences at the University and in the Commonwealth. Faculty in the basic science and clinical departments are involved in extending the frontiers of biomedical research and are working to translate these findings into new treatments for patients. Expansion of these activities in the basic science departments under the auspices of the Research Challenge Trust Fund (RCTF) have propelled selected departments into the top ranks among similar departments at public universities. The faculty contributes to scientific programs throughout the University and are particularly active in multidisciplinary programs linking their work to research in agricultural, social and physical sciences, biological sciences, and other University collaborative research programs. New "bond issue" equipment has enhanced the research capability. State-of-the-art facilities, including X-ray structure analysis, nuclear magnetic resonance, macromolecular structure analysis and genetic engineering, fluorescence-activated cell sorting, and monoclonal antibody production, support these research endeavors. The College of Medicine operates these facilities and provides the entire University research community with contemporary approaches to cellular and molecular biology.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

3. College of Medicine (continued)

b. Research (continued)

The clinical research programs focus on all aspects of human disease from understanding their molecular basis to development of new therapeutic techniques for diagnosis and treatment. Research in aging, cancer, neurological disorders, cardiopulmonary disease, spinal cord and brain injury, substance abuse prevention, and new diagnostic imaging technology are major research areas with significant extramural funding from the National Institutes of Health (NIH) as well as industrial and state support. The General Clinical Research Center (GCRC) and the University of Kentucky Clinical Research Organization (UKCRO) support the expansion of the clinical research enterprise. The expertise and interests of the basic health science and clinical science faculty in interdisciplinary programs in these research areas have led to the development of a number of new centers as well as established Centers of Excellence in aging and cancer that continue to grow in national prominence as noted in a later section.

The biomedical research programs of the College of Medicine are essential to provide the best medical care to the public and to give physicians, physician scientists, doctoral and postdoctoral scholars, and other health professionals the best possible training.

The RCTF programs have provided resources to expand the biomedical research enterprise through investments in new faculty lines, graduate fellowships, and particularly in endowed chairs and professorships.

c. Public Service - The Chandler Medical Center encourages patients to seek ongoing care on an outpatient basis and in many situations serves to minimize long and repeated hospital stays. The Kentucky Clinic system, composed of the ambulatory medical departments, University Health Service, and Adult and Pediatric Dentistry, provides organizational continuity for the vast array of outpatient services and educational programs provided by the various colleges of the Medical Center. It also serves as the first point of patient contact for a large portion of the patients who are referred to the Medical Center. The Kentucky Clinic programs continue to grow and currently manage more than 475,000 patient visits annually.

Currently, fifteen clinical departments of the College of Medicine operate over 350 different clinics each week within the Kentucky Clinic system. University physicians provide direct patient care in over 75 community based clinics throughout central and eastern Kentucky. Individuals from every Kentucky county, other states, and several foreign countries are also served. The broad cross-section of patients provides an excellent environment for the training of health professionals that in turn demands the provision of exemplary patient care services.

d. Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Faculty FTE Positions	492		493

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

3. College of Medicine (continued)

d. Financial Data (continued)

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty	\$ 72,905,600	\$ 79,933,900
Other Personal Services	<u>61,416,900</u>	<u>59,816,900</u>
Subtotal	134,322,500	139,750,800
Operating Expenses	<u>11,814,900</u>	<u>10,552,100</u>
Capital Outlay	<u>95,000</u>	<u>51,000</u>
Total Expenditures	146,232,400	150,353,900
Recharges	<u>(29,718,600)</u>	<u>(29,930,800)</u>
Net Expenditures	\$116,513,800	\$120,423,100
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 5,679,600	\$ 5,516,300
Operating Expenses	<u>3,657,100</u>	<u>3,949,100</u>
Capital Outlay	<u>272,700</u>	<u>493,500</u>
Total Expenditures	\$ 9,609,400	\$ 9,958,900
	<u>Auxiliary Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 167,500	\$ 190,000
Operating Expenses	<u>52,800</u>	<u>46,100</u>
Total Expenditures	220,300	236,100
Recharges	<u>(181,600)</u>	<u>(208,500)</u>
Net Expenditures	\$ 38,700	\$ 27,600

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

4. College of Nursing

- a. **Instruction** - The baccalaureate program in Nursing stresses nursing theory, the relationship between nursing and the sciences, and the development of skills in the use of methodologies and techniques appropriate to implementing nursing knowledge for the delivery of health care. The four-year generic baccalaureate curriculum is structured to provide for entry at different points. It is anticipated that the majority of four-year students will be attending on a full-time basis, while the majority of registered nurse students, who are returning to complete their baccalaureate, will continue to pursue their education on a part-time basis.

The master's program in Nursing is designed to provide skills in the advanced practice of clinical nursing, supervision and management of patient care. Instructional emphasis is placed on the advancement of clinical expertise in specific areas of nursing practice or in nursing administration.

The College currently conducts educational programs in one off-site location encompassing the entire curriculum. In providing Nursing faculty to teach in any off-site programs, the College provides off-campus students the same quality education offered to those on the campus in Lexington but at the convenience of the students in the particular area. In cooperation with Morehead State University and St. Claire Medical Center, the latest off-campus offering was initiated in January 1996. The focus of the offering is on the primary care nurse practitioner option in the MSN program, and both the RN-MSN and MSN options are available in Morehead.

The Ph.D. in Nursing program is designed to prepare nurses for leadership roles in nursing research, nursing education, and in the delivery of nursing services. Thirty-eight students currently are enrolled in this program.

The College admitted thirteen students to the new Doctorate in Nursing Practice program in August 2001. This program builds on the master's in nursing. Students will be prepared to meet needs for leadership at the systems level by emphasizing research utilization, change leadership, and expert decision systems. This program will prepare nurse leaders to develop and shape the health care system for the future. This program is separate from but complementary to the Nursing Ph.D. program and is comparable to other professional doctoral programs.

A major priority for the College is to foster the use of innovative teaching strategies which actively engage students (case studies, problem-based learning, etc.), telecommunication modalities, computer-based technology such as courses delivered via the WEB and computer simulations and other methods to enhance student access to higher education, student learning, and program effectiveness, as well as to provide faculty development in new and improved modes of graduate and undergraduate education. The College promotes life-long education through continuing education programs through the Chandler Medical Center Office of Continuing Education.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

4. College of Nursing (continued)

b. **Research** -- The College has two areas of research emphasis: prevention and management of chronic health problems and health services research. Faculty conduct research on health problems of state and national significance (cancer, depression, schizophrenia, substance abuse, heart and lung conditions) in a variety of populations; utilize diverse methodologies; and link with investigators in other fields. Within the broad field of health services research, the emphasis of faculty research is on the development of the science underlying clinical decision-making and the design of health programs. A unique focus which nurse investigators contribute to health services research is the emphasis on the quality and outcomes of the care giving process and organizational arrangements that support or impede care giving.

c. **Public Service** - The College's service activities can be grouped into two categories: 1) clinical practice activities, and 2) services faculty provide by serving in leadership positions and as consultants to a wide variety of service and professional organizations. The faculty's commitment to practice activities serves as a way to expand their knowledge base and provide service to others. It also impacts the well-being and health care of all Kentucky citizens. Additionally, students benefit from faculty involvement in practice areas and services by increasing student learning opportunities in primary, acute and community care settings. Faculty practice areas cover a wide variety of specialties and interests and include practices based in school, in-patient, and ambulatory care settings.

Examples of practice activities which represent major commitments to public service are:

- (1) The One-Parent Family Project (Virginia Place), co-sponsored by the University of Kentucky, the Lexington-Fayette Urban County Government, and the Kentucky Housing Authority, is an interdisciplinary living and learning pilot program involving about sixty single-parent families with young children. The goal is to promote the attainment of self-sufficiency by families dependent on government programs through the provision and coordination of support services including subsidized housing, child care, educational and vocational training, counseling, and health education. On-site health education and primary care with an emphasis on family-oriented health promotion and disease prevention is provided by faculty, practitioners, primary care fellows, community health nursing interns, and students of the College of Nursing.
- (2) The College of Nursing manages a clinic for the homeless population of Lexington. The clinic is physically located at the HOPE Center, a multipurpose organization serving the homeless, and is operated as a collaborative effort between the College and the Lexington-Fayette County Health Department.
- (3) The activities at the One-parent Family Project and the HOPE Center Clinic are part of the College's Good Samaritan Nursing Center's Program. Through the Center the faculty, primary care fellows, community health interns, and students provide care to a number of vulnerable and high-need populations in partnership with a number of community-based organizations including several schools where the College provides nursing services in school-based clinics.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

4. **College of Nursing (continued)**

c. **Public Service (continued)**

(4) The Family Care Center at Cisco Road is a collaborative effort among the Lexington-Fayette County Health Department, the College of Nursing and other units of the Medical Center. Working in a collaborative team relationship with other practitioners, nurse practitioners affiliated with the College provide primary care to the population of children served by the Center. The College also provides nursing services and leadership in school-based clinics affiliated with several schools in Fayette County.

(5) Faculty members, the College Dean, the Associate Dean, and the Assistant Deans provide services to the Commonwealth and nationally through their active participation in professional organizations. Such roles include board memberships, serving as officers of organizations, and providing expertise as consultants.

d. **Financial Data**

Faculty FTE Positions

53

55

2001-02

General Fund

2002-03

Faculty	\$4,273,100	\$4,459,300
Other Personal Services	<u>1,635,700</u>	<u>1,686,900</u>
Subtotal	5,908,800	6,146,200
Operating Expenses	<u>218,400</u>	<u>232,700</u>
Total Expenditures	6,127,200	6,378,900
Recharges	<u>(302,400)</u>	<u>(243,300)</u>
Net Expenditures	\$5,824,800	\$6,135,600

Restricted Funds

2001-02

2002-03

Personal Services	\$ 517,400	\$ 417,700
Operating Expenses	95,000	159,500
Capital Outlay	<u>18,300</u>	<u>23,000</u>
Total Expenditures	\$ 630,700	\$ 600,200

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

5. College of Pharmacy

- a. **Instruction** - The major goal of the College of Pharmacy is the education of outstanding, competent and contemporary pharmacy practitioners who make a difference in the health and lives of their patients, manage drug therapy to achieve optimal response and to contain costs, and who, in cooperation with other health care professionals, can favorably influence both overall health care and the quality of life of individual patients. The pharmacist is expected to be educated and trained to best serve patients. Pharmacy education is oriented toward bringing together a drug product, authoritativeness, compassion, and service-before-self attitude. The professional program also seeks to produce an educated individual who is able to participate effectively as a responsible citizen in community affairs. The educational requirement at the University of Kentucky College of Pharmacy in preparation for licensure as a practitioner pharmacist is a minimum of two years of required prepharmacy college courses plus a four-year sequence of professional courses. The four-year professional course sequence provides a background for practice and leads to the doctoral degree in pharmacy (Pharm.D.). This program is fully accredited by the American Council on Pharmaceutical Education and satisfies all educational requirements for licensure. A flexible option enabling Bachelor of Science pharmacists to pursue a program leading to the Pharm.D. degree off campus through contemporary learning technologies and local clerkships has been in operation for approximately 15 years. The College is attempting to respond to a statewide shortage of pharmacists by developing educationally sound models for program expansion, potentially including establishment of educational hubs around the Commonwealth for a portion of the curricular experience.

Joint professional degree options with the MBA and MPA programs are available for individuals wishing to specialize in administering/managing pharmacy services and/or influencing the development of policy relative to improving patient outcomes. Similar programs are in development with the MPH and MS in Economics. A concurrent Pharm.D./Ph.D. program also is available to students interested in a potential research career.

The College of Pharmacy works within a Chandler Medical Center Office of Continuing Education to provide continuing professional education to pharmacists on new drug products, new drug delivery systems, monitoring of drugs in patients, computer application in pharmacy, reviews of modern approaches to optimal drug product use, pharmacy management procedures, and strategies for improving and documenting outcomes.

The Master of Science and Doctor of Philosophy offerings are designed primarily to educate students for careers in teaching and research in the various academic facets of pharmacy such as, but not limited to: pharmaceuticals, pharmaceutical analysis, medicinal chemistry, pharmacognosy, pharmacology and pharmacotherapeutics and toxicology. Fifty-five to seventy students are usually enrolled in the College's graduate program.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

5. College of Pharmacy (continued)

b. **Research** - Research is carried out by faculty, postdoctoral scholars, visiting professors, graduate and professional students, and technicians. College research programs in the pharmaceutical sciences, along with the clinical practice areas, are directed toward the following areas: drug discovery and design; factors affecting the absorption, distribution, metabolism, and excretion of drugs; methods for detecting and measuring drugs in dosage forms and body fluids; drug manufacturing technologies; development of new dosage forms and drug delivery systems; stability of drugs; drug interactions; use of biotechnology to prepare drugs and monoclonal antibodies; synthesis of drugs; isolation and identification of drugs from natural sources; interpreting drug actions on body tissues and organs; investigating drug toxicities; studying drug distribution systems; drug use review; pharmacoconomics; drug cost effectiveness and pharmaceutical services studies; management and marketing methods in pharmacy; drug abuse substances and their control; history of drugs and pharmacy; history of the pharmaceutical industry in America; drug product evaluations in human subjects; interdisciplinary research in such areas as drug abuse, health care delivery, cancer, biotechnology of drugs, aging and care for the aged, cardiorespiratory drugs, clinical pharmacokinetics and drug monitoring, and controlled drug delivery from dosage forms; and self health care. The expansion of research activities under the auspices of the Research Challenge Trust Fund (RCTF) has strengthened the translational research and graduate training in Pharmaceutical Sciences.

c. **Public Service** - College faculty members provide significant clinical pharmacy services at the University Hospital by managing the pharmacy services on various floors and ambulatory care clinics. The faculty members provide leadership to students taking clerkship courses and internships, and to pharmacy graduates in the pharmacy residency program. Faculty are also extremely active in local, state, and national professional and scientific organizations, as well as state and federal government and regulatory agencies. Students provide an exceptional public drug education source locally and are also active in state and national professional organizations.

d. **Financial Data**

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Faculty FTE Positions	55		54
Faculty	\$5,192,300		\$5,417,000
Other Personal Services	<u>2,309,900</u>		<u>2,484,900</u>
Subtotal	7,502,200		7,901,900
Operating Expenses	826,700		853,000
Capital Outlay	<u>4,100</u>		<u>4,100</u>
Total Expenditures	8,333,000		8,759,000
Recharges	<u>(186,500)</u>		<u>(197,300)</u>
Net Expenditures	\$8,146,500		\$8,561,700
The Medical Center Fund Salary Supplement	\$ 230,000		\$ 230,000

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

5. **College of Pharmacy (continued)**

d. **Financial Data** (continued)

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 589,200	\$ 783,100
Total Expenditures	\$ 589,200	\$ 783,100

6. **Centers of Excellence**

The Medical Center is playing a major role in fostering interdisciplinary research through its Centers of Excellence. Operational centers include the Sanders-Brown Center on Aging, the Lucille Parker Markey Cancer Center, the Center for Rural Health, the Center for Pharmaceutical Science and Technology, and the Magnetic Resonance Imaging and Spectroscopy Center (MRISC). The Aging and Cancer Centers, established primarily through College of Medicine initiatives, have achieved national recognition as nationally funded centers for Alzheimer's and cancer research, respectively.

a. The **Sanders-Brown Center on Aging** is a University-wide program that focuses on aging and the scientific, clinical and societal problems associated with aging. The major goals of the Center are: (1) to enable research that will increase our knowledge in the field of gerontology; (2) to recruit and train personnel at all levels to address the needs of older populations; (3) to provide consultative services to local, state and regional agencies working with older populations; (4) to disseminate information on geriatrics and gerontology; and (5) to provide educational opportunities for older persons. The Center has a NIH-funded Alzheimer's Disease Research Center, a program project grant on Alzheimer's disease, and is designated as a Geriatric Education Center. The Center Director has been awarded an Endowed Chair in Aging. The Center has recently established a multidisciplinary doctoral program in gerontology. The Center has been identified by the Commonwealth of Kentucky as a Center of Excellence. The funds associated with this designation support a research, service and teaching program in stroke. Other areas of research include aging of the nervous system, immunology, circulatory physiology, epidemiology and long-term care.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

6. Centers of Excellence (continued)

b. The mission of the Lucille Parker Markey Cancer Center is to reduce the incidence, morbidity and mortality of cancer through a comprehensive program of cancer prevention, early detection, clinical and basic research, patient care and education. Special emphases include: biochemical and molecular genetics of neoplasia; tumor immunology and immunotherapy; breast cancer, prostate cancer, colorectal cancer, gynecologic cancers, blood and marrow transplantation, leukemia/lymphomas and head/neck/brain cancers. The Center maintains active leadership in the Southwest Oncology Group and the National Surgical Adjuvant Breast and Bowel Project, and the Radiation Therapy Oncology Group, and serves as a central clinical investigation coordination site for eight affiliate institutions. Through the associated Cancer Prevention and Control Program, the Center conducts a variety of cancer control, community outreach activities and research projects and houses both the statewide Kentucky Cancer Registry and the NCI-linked Cancer Information Service. Over 150 faculty from 29 departments of nine different sectors of the University of Kentucky participate in and contribute to the Center's programs.

c. The Center for Pharmaceutical Science and Technology (CPST) was established to initiate and manage multi-level research encompassing drug and biological product discovery, development and evaluation. The CPST provides scientific leadership and facilities to promote partnerships fundamental to successful pharmaceutical development and technology transfer. The Center is a unique University unit capable of integrating multiple scientific disciplines in its mission of pharmaceutical development. Research teams comprised of faculty from the colleges of Pharmacy, Dentistry, Medicine, Agriculture and Engineering, and other University centers, notably those in aging, cancer, membrane sciences and robotics, combine to respond to intriguing challenges of pharmaceutical development. The CPST's strengths in drug development are bolstered by the availability of fully operational production and control laboratories coupled with human clinical testing facilities for preparation and testing of drug-biological products and drug delivery systems.

d. Financial Data (Center on Aging, Markey Cancer Center, Center for Pharmaceutical Science and Technology)

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Faculty FTE Positions	21		23
Faculty	\$1,991,800		\$2,223,500
Other Personal Services	<u>3,583,900</u>		<u>3,858,800</u>
Subtotal	5,575,700		6,082,300
Operating Expenses	314,500		258,600
Capital Outlay	<u>3,900</u>		<u>-0-</u>
Total Expenditures	5,894,100		6,340,900
Recharges	<u>(905,100)</u>		<u>(1,091,400)</u>
Net Expenditures	\$4,989,000		\$5,249,500

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

6. **Centers of Excellence (continued)**

d. **Financial Data** (continued)

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 559,200	\$ 865,500
Operating Expenses	1,703,300	1,268,800
Capital Outlay	<u>210,500</u>	<u>115,000</u>
Total Expenditures	\$2,473,000	\$2,249,300

e. **The Center for Rural Health** represents a comprehensive and interrelated approach to addressing health care needs in rural Kentucky. There is particular emphasis on rural health policy development, increasing health manpower, improving access to clinical services, and expanding regionally-based educational programs. The Center also functions as the federal and state Office of Rural Health and is mandated to provide technical assistance to rural hospitals. The Center provides clinical rotations, library services for health care providers, and continuing education through AHC programs headquartered in Morehead, Berea, Williamstown and Hazard. The Homeplace project provides access to health care resources to many of Kentucky's most vulnerable citizens and the federally funded Southeastern Kentucky Community Access Program (SKYCAP) has been recognized by Health Resource Services Administration's (HRSA) Bureau of Primary Health Care as a national model for improving community access to health care.

Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	24	18
Faculty	\$2,046,100	\$1,644,600
Other Personal Services	<u>1,893,700</u>	<u>1,765,900</u>
Subtotal	3,939,800	3,410,500
Operating Expenses	1,743,800	1,941,500
Capital Outlay	<u>30,000</u>	<u>30,000</u>
Total Expenditures	\$5,713,600	\$5,382,000

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

6. **Centers of Excellence (continued)**

e. **Center for Rural Health (continued)**

Financial Data (continued)

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 1,000	\$ 83,100
Operating Expenses	25,100	25,700
Capital Outlay	<u>250,000</u>	<u>450,000</u>
Total Expenditures	\$ 276,100	\$ 558,800

7. **Area Health Education Centers and Primary Care Residencies**

These programs provide off-campus educational experiences for health professions students and residents outside Fayette County.

a. The **Area Health Education Center (AHEC)** program is a cooperative effort between the University of Kentucky and the University of Louisville Medical Centers funded by federal, state, and local appropriations. The University of Kentucky program is administered by the Associate Vice President for Clinical Services and involves participation by all Medical Center colleges. Its primary goal is to improve the distribution of health practitioners in the rural and underserved areas of the Commonwealth. Two primary initiatives are employed to achieve this goal. First, through a variety of mechanisms, efforts are made to improve the practice environment in underserved areas, thereby improving both recruitment and retention of health professionals to these regions. Second, health professions students are encouraged to obtain part of their educational experience in regional settings. The University of Kentucky is administratively responsible for four Area Health Education Centers. One of these is the Northeast AHEC located at St. Claire Medical Center in Morehead with an office in Ashland. The second AHEC is located at the Appalachian Regional Hospital in Hazard with an office in Pikeville. The third AHEC is located at the Berea Hospital in Berea with an office in London. The fourth, the North Central, is headquartered at Northern Kentucky Family Health in Covington with offices in Williamstown and Lexington. These centers are administered through a cooperative relationship between the University and the regional host sites and obtain guidance from regional advisory boards consisting of both health practitioners and prominent citizens. The AHECs also serve as focal points for developing educational opportunities for practicing professionals in the form of continuing education and library services.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

7. Area Health Education Centers and Primary Care Residencies (continued)

Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	1	1
Faculty	\$ 76,100	\$ 78,700
Other Personal Services	<u>139,900</u>	<u>146,300</u>
Subtotal	216,000	225,000
Operating Expenses	1,166,400	1,166,400
Capital Outlay	<u>5,000</u>	<u>5,000</u>
Total Expenditures	\$1,387,400	\$1,396,400

Restricted Funds

	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ <u>11,100</u>	\$ <u>18,300</u>
Total Expenditures	\$ 11,100	\$ 18,300

b. The Primary Care Residency Program (Senate Bill 28) was passed in 1976 and significantly amended in 1980. The intent of this legislation is to encourage the training of primary care resident physicians for practice in underserved, primarily rural, areas of Kentucky. To that end, funds are appropriated to support some resident stipends and to underwrite faculty salaries for the supervision of those residents.

Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	11	11
Faculty	\$ 702,700	\$ 726,200
Other Personal Services	<u>1,897,500</u>	<u>1,915,100</u>
Total Expenditures	\$2,600,200	\$2,641,300

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

8. Graduate Centers

The Medical Center plays a major role in providing education, research, and service through four multidisciplinary graduate programs. These are the Graduate Centers for Biomedical Engineering, Nutritional Sciences, Toxicology, and Gerontology.

a. The **Graduate Center for Biomedical Engineering** provides multidisciplinary programs of education, research and service in the application of engineering principles to the areas of medicine and biology. The Center brings together engineers, life scientists, and physicians to conduct original research in a variety of medical-related problems. Areas of research include cardiopulmonary mechanics and controls, neuromuscular function, musculoskeletal mechanics, rehabilitation engineering, computational neuroscience, motor control, orthopedic biomaterials, orthopedic biomechanics, cryobiology, artificial kidney mass transport electromagnetic stimulation of tissue, and instrumentation for minimally invasive surgery. Master of Science and Doctor of Philosophy degrees in Biomedical Engineering are offered. Approval of a new Professional Master's Degree in Biomedical Engineering, to better serve students seeking leadership positions in industry is expected by the fall of 2002. The Center is located in the Wenner-Gren Research Laboratory that provides the framework for multidisciplinary research. Faculty and staff of the Center collaborate with investigators from other units of the University including the Departments of Physiology, Anatomy and Neurobiology, Cardiology, Medicine, Surgery, Physical Medicine and Rehabilitation, Orthopedics, Sports Medicine, and Kinesiology and Health Promotion, as well as the Departments of Biosystems and Agricultural, Chemical, Electrical, and Mechanical Engineering, the College of Pharmacy, Department of Chemistry and the Center for Robotics and Manufacturing Systems. Center faculty and staff provide opportunities and support for graduate students, medical residents, and selected undergraduates. Graduates of the program enter careers in research institutes, academia, hospitals and industry.

b. The **Graduate Center for Nutritional Sciences** is a research-oriented multidisciplinary Ph.D. and M.S. Program involving more than 60 faculty from seven colleges and 21 departments. The aim of the Center is to develop nutritional scientists who are able to function in a variety of state-of-the-art academic, research, health care, government, industrial and professional settings. The strength of this Center lies in its unusual diversity and multidisciplinary nature, while providing intensive training in various areas of nutritional sciences. Areas of research focus on the prevention and intervention of chronic diseases such as cancer, cardiovascular disease and diabetes; public health problems such as obesity; molecular and biochemical basis of diseases and nutrition; as well as community nutrition, behavioral aspects of nutrition and food science.

c. The **Graduate Center for Toxicology** is a multidisciplinary program consisting of faculty with primary appointments in Toxicology and additional faculty from seven colleges and over 20 different departments and other academic units. The graduate program in Toxicology is designed to train individuals to utilize the basic and applied sciences in the study of the causes, mechanisms, effects, treatment, and prevention of toxicant stress of chemicals or other noxious agents on humans, other organisms, and ecosystems. The program offers both the Master of Science and Ph.D. degrees.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

8. **Graduate Centers (continued)**

c. **Graduate Center for Toxicology (continued)**

The Graduate Center for Toxicology's Molecular Mechanisms of Toxicity Program was identified as a Tier 1 program and was one of eleven programs included in the initial Research Challenge Trust Fund investment. The goals of this program are to: (1) enhance its expertise in two major research areas – DNA repair and chemical carcinogenesis and neurotoxicology; (2) compete successfully for a National Institute of Environmental Health Sciences Center Grant in Environmental Toxicology; and (3) become recognized as one of the top 20 toxicology programs in the nation as rated in the next National Research Council evaluation of graduate programs.

d. The **Graduate Program in Gerontology** is a research-oriented multidisciplinary Ph.D. program with a focus on aging and health. The program is located in the Sanders-Brown Center on Aging and involves 37 faculty from seven colleges and 22 different departments. Areas of particular emphasis include rural aging, long-term care, cognitive and sensory change, public policy, ethical issues, and the etiology and treatment of Alzheimer's disease, stroke, and other diseases prevalent among the elderly. Graduates of the program will be prepared for careers in academia, health and health services research, and health-related administrative and social service agencies. The first class of students was admitted to this program in the fall of 1997. A Graduate Certificate in Gerontology is also awarded by the Sanders-Brown Center on Aging to students in other graduate programs who are interested in completing a 15-hour concentration in gerontology.

The Multidisciplinary Excellence in Gerontology and Aging Program was identified as a Tier 1 program and was one of eleven programs included in the initial Research Challenge Trust Fund Investment. The overall goal of this program for enhancement is to become the nation's leading center for the integration of gerontological studies and multidisciplinary research on aging. The program will feature research and graduate education in biomedical and social science approaches to aging and will strengthen associated programs in clinical geriatrics.

e. **Financial Data**

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Faculty FTE Positions	24		25
Faculty	\$2,041,900		\$2,207,200
Other Personal Services	<u>456,300</u>		<u>465,300</u>
Subtotal	2,498,200		2,672,500
Operating Expenses	366,200		366,200
Capital Outlay	<u>2,000</u>		<u>2,000</u>
Total Expenditures	\$2,866,400		\$3,040,700

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

8. Graduate Centers (continued)

e. Financial Data (continued)

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 5,000	\$ 7,600
Operating Expenses	<u>136,900</u>	<u>112,900</u>
Total Expenditures	\$ 141,900	\$ 120,500
	<u>Auxiliary Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Other Personal Services	\$ 47,700	\$ 51,800
Operating Expenses	<u>3,400</u>	<u>3,400</u>
Total Expenditures	51,100	55,200
Recharges	<u>(51,100)</u>	<u>(55,200)</u>
Net Expenditures	\$ -0-	\$ -0-

**MEDICAL CENTER
ADMINISTRATION AND SUPPORT**

1. **Chancellor/Other Support Services**

- a. The Office of the Chancellor provides executive management, general administrative coordination and support services for the five Medical Center colleges, the Centers of Excellence, the Graduate Centers, and the University Hospital.
- b. The Center for Health Services Management and Research, established in May 1998, serves to strengthen the health services activities of faculty in all Medical Center colleges and centers. This Center's efforts will enhance the research infrastructure, support faculty research programs, develop faculty and student competencies, and encourage interdisciplinary research and consultation.
- c. The Other Support Service units maintain organizational effectiveness and continuity by providing executive management, general administrative services, logistical services, human resource services, fiscal operations, and development, and an optimal physical environment.
- d. The Safety, Security and Parking Division is responsible for providing safety, security and parking services for the University Chandler Medical Center. The Division also supervises the Medical Center Key Shop and the Medical Center shuttle service. This Division is responsible for security patrols, assignments, and responding to calls for service to 30 various locations/facilities at the Medical Center, 24 hours per day, seven days a week. In addition, the Director of the Division serves as the Medical Center Safety Officer and is responsible for implementing, directing, and monitoring Medical Center safety programs.

Financial Data

		<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Faculty FTE Positions	1			1
Faculty		\$ 74,400		\$ 76,800
Other Personal Services		<u>3,513,800</u>		<u>3,875,000</u>
Subtotal		3,588,200		3,951,800
Operating Expenses		<u>1,016,300</u>		<u>1,234,400</u>
Total Expenditures		4,604,500		5,186,200
Recharges		<u>(442,200)</u>		<u>(544,900)</u>
Net Expenditures		\$4,162,300		\$4,641,300

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

1. Chancellor/Other Support Services (continued)

Financial Data (continued)

Personal Services
 Operating Expenses
 Total Expenditures

	<u>2001-02</u>		<u>2002-03</u>
Personal Services	\$ 61,500	\$	77,400
Operating Expenses	<u>168,200</u>		<u>188,800</u>
Total Expenditures	\$ 229,700	\$	266,200

Restricted Funds

e. **Facilities Operations** - The Physical Plant Division supports the instruction, research, and public service missions of the Medical Center by providing cost-effective, responsive service to all people involved in Medical Center functions. While fulfilling this mission, the Physical Plant Division's duties primarily lie in the efficient and safe operation, maintenance, and renovation of facilities by utilizing quality craftsmanship and engineering. The primary objective of the Physical Plant Division is to keep the facilities of the Medical Center operational, safe, and clean in order to provide those building environments and services necessary to carry out the functions of the Medical Center. The secondary objective of the Physical Plant Division is to provide energy management, engineering, and skilled trades services in order to modify buildings to meet changing needs in an efficient, flexible, and timely manner.

Financial Data

Personal Services
 Operating Expenses
 Total Expenditures

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Personal Services	\$ 2,958,300		\$ 3,104,600
Operating Expenses	<u>5,767,400</u>		<u>6,489,700</u>
Total Expenditures	\$ 8,725,700		\$ 9,594,300

Auxiliary Funds

Personal Services
 Operating Expenses
 Total Expenditures
 Recharges
 Net Expenditures

	<u>2001-02</u>		<u>2002-03</u>
Personal Services	\$ 5,287,100	\$	5,818,900
Operating Expenses	<u>386,100</u>		<u>386,100</u>
Total Expenditures	5,673,200		6,205,000
Recharges	<u>(5,673,200)</u>		<u>(6,205,000)</u>
Net Expenditures	\$ -0-	\$	-0-

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

1. Chancellor/Other Support Services (continued)

f. **Office of Information Technology** - The Office of Information Technology (OIT) provides leadership in the application and integration of information technologies in support of the academic, clinical, and research missions of the Chandler Medical Center and the management of Medical Center Information Services, which includes Healthcare Enterprise Information Services, Medical Center Infrastructure Services and Medical Center Computing Services. The OIT also has overall responsibility for the Integrated Clinical Information Systems (ICIS) project.

The Healthcare Enterprise Information Services division provides development and support of administrative and clinical information systems for the healthcare enterprise. Applications support includes systems analysis, programming, implementation services, database administration, data integration, and project management.

The Medical Center Infrastructure Services division consists of Customer Services, Technical Support, and Technical Services. Customer Services includes the management of the Support Center and Data Center, which provides 24 x 7 client support. Technical Support includes Desktop and Project Management services. Technical Services has responsibility for mainframe, midrange operating systems, client/server systems, and production control. MC Infrastructure Services also serves as a liaison to UK Communications Network Systems (UK/CNS), which involves the planning and coordinating of the communications network infrastructure for the entire Chandler Medical Center.

The Medical Center Computing Services division provides administrative and academic information systems including programming, system development and support, and database access. They also provide web coordination and development for the Chandler Medical Center and coordination of administrative computing efforts, including service level agreements with various academic units throughout the Medical Center. Additionally, this unit provides business services support to the entire MCIS organization, as well as business continuity and security.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
		General Fund
		<u>2001-02</u>
Personal Services	\$ 1,407,000	\$ 1,473,100
Operating Expenses	<u>198,800</u>	<u>184,400</u>
Total Expenditures	1,605,800	1,657,500
Recharges	<u>(198,800)</u>	<u>(207,300)</u>
Net Expenditures	\$ 1,407,000	\$ 1,450,200

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

2. Academic Support

- a. The **Office of Academic and Student Affairs** is responsible for assisting the Chancellor in coordinating academic and student affairs across the Medical Center colleges. The office staff provides advice and consultation to the Chancellor on matters related to academic and student affairs. The Office analyzes resource requirements and requests and advises the Chancellor regarding the allocation of resources - physical, human, and financial - as they relate to academic programs. The Office of Academic and Student Affairs has the responsibility for coordinating faculty personnel actions related to recruitment, appointment, promotion, tenure, leaves for faculty, orientation and development, and all other related faculty matters. The Office is responsible for coordinating the evaluation of academic programs, colleges, departments, and administrators, assessing institutional effectiveness and assuring that information related to the academic programs is available for accountability reports. The Office is responsible for the Medical Center's minority affairs efforts. Other major activities of the Office include serving as the academic liaison with other University units, overseeing continuing education efforts, coordinating scholarships, instructional technology, and outreach activities, developing and coordinating educational and instructional resources in the Medical Center, serving as the liaison with University Health Service, and coordinating student services.

Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 1,028,700	\$ 1,088,900
Operating Expenses	<u>714,200</u>	<u>714,200</u>
Total Expenditures	\$ 1,742,900	\$ 1,803,100
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 10,000	\$ 20,500
Total Expenditures	\$ 10,000	\$ 20,500

- b. The **Division of Laboratory Animal Resources** is responsible for providing researchers with high quality animals and for ensuring that those animals are appropriately housed and humanely treated. The Division of Laboratory Animal Resources is responsible for providing veterinary care and daily husbandry. In addition, the Director and attending veterinarians consult with researchers in the areas of animal model development, animal usage, humane animal treatment, and compliance with university policies and procedures and government regulations.

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

2. Academic Support (continued)

b. Division of Laboratory Animal Resources (continued)

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 1,642,600	\$ 1,726,700
Operating Expenses	<u>1,422,300</u>	<u>1,600,200</u>
Total Expenditures	3,064,900	3,326,900
Recharge	<u>(3,049,900)</u>	<u>(3,310,300)</u>
Net Expenditures	\$ 15,000	\$ 16,600

Auxiliary Funds

c. The Office of Communications is responsible for assisting faculty, staff and students in communicating with their constituents through media production support, audio-visual and telecommunications equipment, and technical support. The centralized group of support services includes Medical Arts and Photography and Audio-Visual Services. The units support the teaching, research, patient care and service mission of the Medical Center and the University.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 311,300	\$ 311,300
Operating Expenses	<u>67,300</u>	<u>67,300</u>
Total Expenditures	\$ 378,600	\$ 378,600

General Fund

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 923,500	\$ 961,400
Operating Expenses	<u>280,400</u>	<u>249,300</u>
Total Expenditures	1,203,900	1,210,700
Recharges	<u>(1,155,500)</u>	<u>(1,157,700)</u>
Net Expenditures	\$ 48,400	\$ 53,000

Auxiliary Funds

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

2. Academic Support (continued)

d. The Medical Center Library's mission is to provide access to information essential for education, research, and patient care and to promote health information literacy. In doing this the following actions reflecting the library's vision of becoming a top 20 academic health science library recognized for excellence in the provision of health-related information services will be achieved: provision of high quality customer service, provision of instruction in the use of information resources, and provision of access to a comprehensive range of information resources that can be delivered to the user's desktop, irrespective of location. The library is comprised of 14 faculty and 19 support and administrative staff who provide service 160 hours per week to an average of 360,000 walk-in customers per year.

Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Librarian FTE Positions	13	13
Librarians	\$ 746,100	\$ 786,600
Other Personal Services	<u>763,600</u>	<u>776,800</u>
Subtotal	1,509,700	1,563,400
Operating Expenses	166,100	130,000
Library Books	<u>1,445,300</u>	<u>1,445,300</u>
Total Expenditures	3,121,100	3,138,700
Recharges	<u>(40,000)</u>	<u>(16,000)</u>
Net Expenditures	\$ 3,081,100	\$ 3,122,700

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 2,000	\$ 2,000
Capital Outlay	\$ 2,000	\$ 2,000
Total Expenditures	\$ 2,000	\$ 2,000

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

2. Academic Support (continued)

e. **The Chandler Medical Center Office of Continuing Education's (CMCOCE)** mission is to enhance health care by providing quality, comprehensive, life-long learning opportunities for health professionals with a special emphasis on the Commonwealth of Kentucky. The health care professions encompass five areas: Health Sciences, Dentistry, Medicine, Nursing and Pharmacy. Through its continuing education programs, this consolidated office successfully implements the many visions of the office: improving the health of citizens in our region; shaping new systems of health professional education and new systems of health care; seeking collaborative opportunities and maximizing the cooperation of the Medical Center Colleges, the Hospital, AHEC's, Telecare, and other University units; achieving the optimal learning environment, using appropriate educational strategies and technologies; contributing to the further development of the academic medical center; guiding the evolution of clinical practice; linking research to practice; enhancing evidenced-based clinical decision-making; promoting meaningful outcome assessment for continuing competence in defined disciplines; and using continuing education to continue the development of a national reputation for the Chandler Medical Center.

The 40 CMCOCE staff members will deliver approximately 283,500 instructional continuing education contact hours, in the forms of live programs, monographs, journal articles, web-base, teleconferences, and workbooks in 2002-03.

Continuing Education - Contact Hours

	Actual	Estimated
	<u>2001-02</u>	<u>2002-03</u>
College of Health Science	7,500	7,700
College of Dentistry	9,300	9,600
College of Medicine	94,700	99,400
College of Nursing	21,700	22,400
College of Pharmacy	<u>137,500</u>	<u>144,400</u>
Total	270,700	283,500

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

2. Academic Support (continued)

e. Chandler Medical Center Office of Continuing Education (continued)

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
	<u>General Fund</u>	
Faculty FTE Positions	1	.2
Faculty	\$ 83,800	\$ 28,500
Other Personal Services	<u>1,551,900</u>	<u>1,630,900</u>
Subtotal	1,635,700	1,659,400
Operating Expenses	<u>2,610,100</u>	<u>952,800</u>
Total Expenditures	\$4,245,800	\$2,612,200

Restricted Funds

	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 60,100	\$ 49,800
Total Expenditures	\$ 60,100	\$ 49,800

3. Student Services - The University Health Service provides a broad spectrum of primary care and mental health services to all students attending the University of Kentucky and Lexington Community College. Programs for students include a primary care clinic, a gynecology clinic, a mental health service, health education, and public health activities. The Health Service is also responsible for the University Hospital and Medical Center Colleges, Employee Health programs and the Medical Center Blood-Borne Pathogen Exposure Control program. The University Health Service is a primary care gatekeeper for the University's managed care workers' compensation program.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
	<u>Restricted Funds</u>	
Operating Expenses	\$ 37,600	\$ 2,600
Total Expenditures	\$ 37,600	\$ 2,600

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

3. Student Services (continued)

Financial Data (continued)

	<u>2001-02</u>	<u>Auxiliary Funds</u>	<u>2002-03</u>
Personal Services	\$3,442,700		\$3,565,700
Operating Expenses	531,700		337,300
Capital Outlay	65,000		50,000
Total Expenditures	4,039,400		3,953,000
Recharges	<u>(245,000)</u>		<u>-0-</u>
Net Expenditures	\$3,794,400		\$3,953,000
The Medical Center Fund Salary Supplement	\$ 300,000		\$ 300,000

4. Student Aid - Institutional and restricted funds are provided for student scholarships.

Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Operating Expenses	\$ 1,574,200		\$ 1,575,600
Total Expenditures	\$ 1,574,200		\$ 1,575,600
		<u>Restricted Funds</u>	
		<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 1,527,000		\$ 1,571,200
Total Expenditures	\$ 1,527,000		\$ 1,571,200

MEDICAL CENTER
UNIVERSITY HOSPITAL

The University Hospital's 2002-03 operating budget reflects the Hospital's priorities in its Strategic Plan and is also consistent with the University's Strategic Plan emphases through:

- support for faculty and staff recruitment in the Medical Center Colleges,
- emphasis on major areas of excellence, i.e., neurosciences, cancer, cardiology,
- an emphasis on cost efficient operations,
- maintenance and enhancement of state-of-the-art facilities and equipment,
- expansion of information systems, and
- an emphasis on the continued development of integrated delivery systems and managed care products.

The fiscal year 2002-03 operating budget continues to provide support for faculty and staff recruitment in order to support *scholarship and academic* excellence in the colleges of the Medical Center. Approximately \$12.8 million will be contributed this year for faculty support and start-up funds in Emergency Medicine, Family Practice, Bone Marrow Transplant, Cardiology, General Medicine, Hematology and Oncology, Hepatology, Infectious Disease, Anesthesiology, Pulmonary, Neurology, OB/GYN, Ophthalmology, Pediatrics, Radiation Medicine, Neurosurgery, CT Surgery, Plastic Surgery, Trauma Surgery, and General Surgery. In addition, the Hospital continues to provide support for the residency training program in 24 subspecialty fields. The business plans implemented for Cardiology, Vascular Surgery, CT Surgery, Orthopedics Surgery, Gastroenterology, Pediatrics, Neurosciences, and Oncology continued into FY 2003. The Neuroscience, Oncology, and Cardiac program emphasis noted above are all consistent with the Hospital's Strategic Plan emphasis on these clinical areas.

The operating budget reflects recognition of the necessity to provide cost effective care and efficient use of resources. Incorporated into this operating budget is the commitment for sophisticated information systems. Patient management protocols and strategies for improved clinical outcomes and more cost effective care are critical components of this system. While the comprehensiveness and quality of our services are recognized throughout the region, we realize that we must address our cost structure to deal with the marketplace. Therefore, this budget includes continued operation improvement initiatives to improve quality and cost efficiency. Included in the FY 2003 budget are resources that continue to support a state-of-art technological environment. All of these items are consistent with the *University's emphasis on the stewardship of resources*.

In addition to the programs stated above, the Hospital continues to be engaged in the development of integrated delivery systems and related managed care products. These ventures serve to maintain an income stream and patient pool for the Hospital and Ambulatory Care Services at the Medical Center, Kentucky Clinic South, and Kentucky Clinic North, which are needed to support our teaching, public service, and research missions. These programs combined with other investments in outpatient service opportunities continue to provide the framework of a vertically integrated health care system that will provide seamless care. This reflects the Hospital's Strategic Plan focus on *community and ambulatory care*.

MEDICAL CENTER - UNIVERSITY HOSPITAL (continued)

In summary, the Hospital's operating budget for FY 2002-03 supports both the University's and Hospital's Strategic Plan priorities and reflects the current pressures in the health care environment that are driven by demands for increased productivity, efficiency, and cost effectiveness.

Financial Data

	<u>General Fund and Hospital</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$131,735,800	\$134,569,600
Operating Expenses	223,342,200	202,023,300
Mandatory Transfers - Debt Service	<u>2,142,200</u>	<u>2,138,500</u>
Total Expenditures	357,220,200	338,731,400
Recharges	<u>(25,202,300)</u>	<u>(20,987,000)</u>
Net Expenditures	\$332,017,900	\$317,744,400
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ -0-	\$ 175,000
Operating Expenses	1,975,600	988,100
Capital Outlay	<u>403,200</u>	<u>553,200</u>
Total Expenditures	\$ 2,378,800	\$ 1,716,300

MEDICAL CENTER

BUDGETED EXPENDITURES DETAIL - GENERAL FUND

2001-02

2002-03

COLLEGE OF HEALTH SCIENCES

Dean's Office	\$ 705,800	\$ 737,400
Department of Clinical Sciences	2,182,200	2,043,700
Department of Health Services	639,600	542,200
Student Affairs	177,800	187,000
Patient Care Education Support	49,400	49,400
Department of Rehabilitation	<u>1,812,100</u>	<u>2,200,900</u>
Total Health Sciences	\$ 5,566,900	\$ 5,760,600

COLLEGE OF DENTISTRY

Office of the Dean	\$ 696,700	\$ 893,400
Department of Oral Health Practice	3,251,300	3,414,900
Department of Oral Health Science	3,807,400	3,888,100
Graduate and Residency Programs	2,464,300	2,546,100
Office of Administrative Affairs	1,295,300	1,398,900
Office of Student Affairs	283,900	248,900
Office of Academic Affairs	112,100	117,000
Patient Care	2,063,100	2,274,800
Education	442,700	463,200
Research and Graduate Studies	411,200	453,100
Public and Professional Services	400,400	628,900
Patient Care Education Support	13,200	13,200
Total Dentistry	\$ <u>15,241,600</u>	\$ <u>16,340,500</u>

COLLEGE OF MEDICINE

Dean's Office	\$ 3,926,800	\$ 3,339,500
Pilot Research	50,000	50,000
Anatomy and Neurobiology	2,218,500	2,018,800
Behavioral Science	1,544,300	1,723,000

MEDICAL CENTER - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

2001-02

2002-03

COLLEGE OF MEDICINE (continued)

Microbiology, Immunology and Molecular Genetics	\$ 2,003,400	\$ 2,075,800
Molecular and Biomedical Pharmacology	1,408,000	1,544,000
Molecular and Cellular Biochemistry	1,885,000	1,856,300
Physiology	2,213,400	2,324,400
Anesthesiology	6,128,000	7,544,100
Diagnostic Radiology	2,639,000	4,199,200
Emergency Medicine	1,753,400	2,071,900
Family Practice	2,110,300	2,760,900
Internal Medicine	12,889,400	13,584,900
Neurology	2,756,800	3,131,000
Obstetrics and Gynecology	6,731,500	6,694,900
Ophthalmology	3,216,400	3,590,400
Pathology and Laboratory Medicine	3,844,800	4,615,500
Pediatrics	7,235,700	8,098,000
Physical Medicine and Rehabilitation	1,335,500	1,481,500
Psychiatry	4,830,900	4,679,000
Radiation Medicine	1,878,900	2,389,200
Surgery and Divisions	16,976,700	18,966,100
Graduate Medical Education	9,122,100	9,455,700
Housestaff Education Support	2,122,300	1,122,300
Kentucky School of Public Health	1,802,100	1,908,000
KY Clinic Admin/Ambulatory Care	2,082,500	2,170,300
KY Telecare	134,300	68,900
Office of Academic Affairs	934,000	996,700
Off-site Clinics	6,872,700	1,607,900
Patient Care Education Support	1,646,100	1,646,100
Spinal Cord	0	345,300
Research Challenge Trust Program	1,469,300	1,513,000
UK Health Plans	751,700	850,500
Total Medicine	\$ 116,513,800	\$ 120,423,100

MEDICAL CENTER - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

	<u>2001-02</u>	<u>2002-03</u>
COLLEGE OF NURSING		
Dean's Office	\$ 635,600	\$ 637,200
Instruction	5,189,200	5,498,400
Total Nursing	<u>\$ 5,824,800</u>	<u>\$ 6,135,600</u>
COLLEGE OF PHARMACY		
Dean's Office	\$ 701,300	\$ 828,600
Instruction	420,500	356,700
Student Affairs	360,400	445,400
Pharmaceutical Science	3,236,500	3,315,100
Pharmacy Practice and Science	2,674,200	2,849,600
Patient Care Education Support	169,100	169,100
Research Challenge Trust Fund Research and Graduate Programs	584,500	597,200
Total Pharmacy	<u>\$ 8,146,500</u>	<u>\$ 8,561,700</u>
CENTER ON AGING	\$ 1,933,100	\$ 2,010,300
CENTER FOR CANCER PREVENTION, EDUCATION, RESEARCH AND PATIENT CARE	\$ 2,765,600	\$ 2,938,100
CENTER FOR PHARMACEUTICAL SCIENCE AND TECHNOLOGY	\$ 290,300	\$ 301,100
CENTER FOR RURAL HEALTH	\$ 5,713,600	\$ 5,382,000
AREA HEALTH EDUCATION CENTER PROGRAM	\$ 1,387,400	\$ 1,396,400
PRIMARY CARE RESIDENCY PROGRAM	\$ 2,600,200	\$ 2,641,300

MEDICAL CENTER - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

	<u>2001-02</u>	<u>2002-03</u>
GRADUATE CENTERS		
Dean's Office	\$ 4,000	\$ 4,000
Biomedical Engineering	998,500	1,035,700
Nutritional Sciences	378,900	474,300
Toxicology	404,200	417,700
Research Challenge Trust Fund Research and Graduate Programs		
Toxicity	385,600	396,500
Gerontology	695,200	712,500
Total Graduate Centers	<u>\$ 2,866,400</u>	<u>\$ 3,040,700</u>

MEDICAL CENTER ADMINISTRATION AND SUPPORT

	\$	\$
<u>Chancellor/Other Support Services</u>		
Office of the Chancellor	591,700	622,000
Program Reserve	349,700	458,000
Center for Health Services Management and Research	326,400	338,600
Elder Care Program	94,700	98,300
Budget and Administrative Services	524,100	452,100
Risk Management	147,000	201,400
Corporate Compliance	147,300	278,400
Facilities Coordination	439,900	460,100
Facilities Operations	8,725,700	9,594,300
Special Assistant for Communication and Development	234,300	244,000
Performance Improvement	335,700	371,300
Parking and Security	971,500	1,117,100
Information Technology	<u>1,407,000</u>	<u>1,450,200</u>
Total Other Support Services	<u>\$ 14,295,000</u>	<u>\$ 15,685,800</u>

MEDICAL CENTER - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

MEDICAL CENTER ADMINISTRATION AND SUPPORT (continued)

	<u>2001-02</u>	<u>2002-03</u>
Academic Support		
Academic and Student Affairs	\$ 306,500	\$ 318,800
MC-Information Technology Support Center	367,400	389,300
Women's Center	45,600	47,300
Community Based Faculty Program	40,700	50,900
Minority Affairs	140,700	145,700
Institutional Research/Decision Support	149,700	155,100
Classroom Scheduling	92,300	96,000
Academic Support Grants	600,000	600,000
Office of Communications	378,600	378,600
Medical Center Library	3,081,100	3,122,700
Medical Center Consolidated Continuing Education Office	4,245,800	2,612,200
Total Academic Support	\$ 9,448,400	\$ 7,916,600
Total Administration and Support	\$ 23,743,400	\$ 23,602,400
STUDENT AID	\$ 1,574,200	\$ 1,575,600
TOTAL COLLEGES, CENTERS, ADMINISTRATION AND SUPPORT	\$ 194,167,800	\$ 200,109,400
OTHER STAFF BENEFITS	\$ 852,600	\$ 541,900
DEBT SERVICE	\$ 6,485,300	\$ 6,667,200
TOTAL GENERAL FUNDS	\$ 201,505,700	\$ 207,318,500

MEDICAL CENTER - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

MEDICAL CENTER ADMINISTRATION AND SUPPORT (continued)

	<u>2001-02</u>	<u>2002-03</u>
NONRECURRING FUNDS		
Capital	\$ 1,822,400	\$ 1,154,600
Program	5,548,500	4,941,600
One Time Performance Supplement	0	1,816,200
The Medical Center Fund Salary Supplement	<u>1,626,000</u>	<u>1,694,300</u>
Total Nonrecurring Funds	\$ <u>8,996,900</u>	\$ <u>9,606,700</u>

MEDICAL CENTER

BUDGETED EXPENDITURES DETAIL - UNIVERSITY HOSPITAL

	<u>2001-02</u>	<u>2002-03</u>
UNIVERSITY HOSPITAL		
Ambulatory Services	\$ 2,434,900	\$ 2,404,100
Dietary and Environmental Services	4,950,800	5,582,300
Capital Outlay and Operating Reserves	61,371,000	58,527,000
General Administrative Services	39,473,400	33,126,200
Nursing Services	68,908,200	67,115,700
Other Hospital Services	22,790,800	22,639,900
Other Professional Services	74,549,300	73,987,500
Pharmacy Central Supply	51,520,600	50,694,700
University Supporting Services	6,272,800	5,926,100
Mandatory Transfers - Debt Service	2,142,200	2,138,500
University-Provided Services	(2,396,100)	(4,397,600)
Total Hospital	\$ 332,017,900	\$ 317,744,400
HOSPITAL RESTRICTED	\$ 2,378,800	\$ 1,716,300

MEDICAL CENTER

BUDGETED EXPENDITURES DETAIL - RESTRICTED FUNDS

	<u>2001-02</u>	<u>2002-03</u>
COLLEGE OF HEALTH SCIENCES		
College-Wide	\$ 666,600	\$ 660,700
Department of Clinical Sciences	9,200	5,600
Department of Health Services	2,200	3,000
Department of Rehabilitation	<u>24,000</u>	<u>28,700</u>
Total Health Sciences	\$ 702,000	\$ 698,000
COLLEGE OF DENTISTRY		
College-Wide	\$ 397,300	\$ 109,200
Department of Oral Health Practice	24,100	21,400
Department of Oral Health Science	<u>311,400</u>	<u>200,800</u>
Total Dentistry	\$ 732,800	\$ 331,400
COLLEGE OF MEDICINE		
Administration/Dean's Office	\$ 153,700	\$ 146,800
Anatomy and Neurobiology	216,400	333,000
Behavioral Science	6,800	29,900
Microbiology, Immunology and Molecular Genetics	76,000	73,400
Molecular and Biomedical Pharmacology	31,100	27,700
Molecular and Cellular Biochemistry	66,300	83,900
Physiology	40,400	51,900
Anesthesiology	33,800	22,700
Diagnostic Radiology	179,500	284,900
Emergency Medicine	13,300	9,700
Family Practice	143,600	151,500
Internal Medicine	<u>2,632,400</u>	<u>2,978,500</u>
Neurology	136,700	149,300
Obstetrics and Gynecology	892,700	745,900
Ophthalmology	112,400	116,900
Pathology and Laboratory Medicine	330,200	35,000

MEDICAL CENTER - BUDGETED EXPENDITURES DETAIL - RESTRICTED FUNDS (continued)

COLLEGE OF MEDICINE (continued)

	<u>2001-02</u>	<u>2002-03</u>
Pediatrics	\$ 396,000	\$ 350,200
Physical Medicine and Rehabilitation	255,200	296,100
Psychiatry	64,800	63,000
Radiation Medicine	90,400	71,600
Surgery and Divisions	2,545,600	2,394,000
Kentucky School of Public Health	101,200	78,000
KY Telecare	1,500	52,600
Office of Academic Affairs	299,900	412,800
Spinal Cord and Brain Injury Research	789,500	999,600
Total Medicine	<u>\$ 9,609,400</u>	<u>\$ 9,958,900</u>

COLLEGE OF NURSING

College-Wide	\$ 630,700	\$ 600,200
Total Nursing	<u>\$ 630,700</u>	<u>\$ 600,200</u>

COLLEGE OF PHARMACY

College-Wide	\$ 589,200	\$ 783,100
Total Pharmacy	<u>\$ 589,200</u>	<u>\$ 783,100</u>

CENTERS OF EXCELLENCE

Center on Aging	\$ 1,324,000	\$ 1,129,300
Center for Cancer Prevention, Education, Research and Patient Care	1,141,700	1,111,500
Center for Pharmaceutical Science and Technology	7,300	8,500
Center for Rural Health	276,100	558,800
Total Centers of Excellence	<u>\$ 2,749,100</u>	<u>\$ 2,808,100</u>

MEDICAL CENTER - BUDGETED EXPENDITURES DETAIL - RESTRICTED FUNDS (continued)

	<u>2001-02</u>	<u>2002-03</u>
AREA HEALTH EDUCATION CENTER PROGRAM	\$ 11,100	\$ 18,300
GRADUATE CENTERS		
Dean's Office	\$ 5,000	\$ 7,600
Biomedical Engineering	34,300	0
Nutritional Sciences	26,900	0
Toxicology	18,500	25,300
Toxicity	57,100	57,100
Gerontology	100	30,500
Total Graduate Centers	\$ 141,900	\$ 120,500
ADMINISTRATION AND SUPPORT		
Office of Chancellor	\$ 238,200	\$ 285,200
Center for Health Services Management and Research	1,500	1,500
Library	2,000	2,000
University Health Service	37,600	2,600
Medical Center Consolidated Continuing Education Office	60,100	49,800
Total Administration and Support	\$ 339,400	\$ 341,100
STUDENT AID	\$ 1,527,000	\$ 1,571,200
TOTAL RESTRICTED FUNDS	\$ 17,032,600	\$ 17,230,800

MEDICAL CENTER

BUDGETED EXPENDITURES DETAIL - AUXILIARY SERVICES

	<u>2001-02</u>	<u>2002-03</u>
COLLEGE OF DENTISTRY		
Dental Clinics	\$ 559,500	\$ 595,700
Total Dentistry	\$ 559,500	\$ 595,700
COLLEGE OF MEDICINE		
Biostatistics Consulting	\$ 28,000	\$ 22,600
Bequeathal Program	10,700	5,000
Total Medicine	\$ 38,700	\$ 27,600
MEDICAL CENTER SUPPORT		
Division of Laboratory Animal Resources	\$ 15,000	\$ 16,600
Office of Communications	48,400	53,000
University Health Service	3,794,400	3,953,000
Total Medical Center Support	\$ 3,857,800	\$ 4,022,600
TOTAL	\$ 4,456,000	\$ 4,645,900
NONRECURRING FUNDS		
The Medical Center Fund Salary Supplement		
University Health Service	\$ 300,000	\$ 300,000
Total Nonrecurring Funds	\$ 300,000	\$ 300,000

PROVOST

PROVOST

The goals of the Provost are (1) to facilitate and to direct all teaching, research, and service activities of the colleges, academic support units, business affairs, minority affairs, student affairs, and (2) to develop additional financial support and the human resources required to achieve even higher levels of academic excellence for the benefit of the University and the citizens of the Commonwealth.

	Actual	Estimated
	<u>2001-02</u>	<u>2002-03</u>
1. <u>Fall Headcount Enrollment</u>		
Undergraduate	24,306	25,600
Graduate Students (All Programs)	5,399	5,520
Postdoctoral	240	240
First Professional	<u>373</u>	<u>380</u>
Total Enrollment	30,318	31,740
2. <u>FTE Enrollment</u>	23,427	24,600
3. <u>Continuing Education - Contact Hours</u>	680,200	680,200
4. <u>Faculty FTE Positions</u>	1,616	1,654

COLLEGES

Through eleven colleges and the Lexington Community College programs are offered which support the functions of instruction, research, and public service.

1. **College of Agriculture**
 - a. **Instruction** - The College of Agriculture provides instruction leading to the baccalaureate and graduate degrees in the fields of Agricultural Biotechnology, Agricultural Education, Communications and Leadership, Agricultural Engineering, Agricultural Economics, Animal Sciences, Food Science, Forestry, Landscape Architecture, Natural Resource Conservation and Management, and Plant and Soil Science. The College also offers graduate degrees in Entomology, Plant Pathology, Rural Sociology, and Veterinary Science. The current curriculum provides instruction of a scientific and practical nature which enables each student to develop an awareness of the role of agriculture in meeting human needs while protecting the quality of the environment. Each student is expected to develop competency in areas such as crop and livestock production, agricultural education, forest land management, agricultural or forest science, agricultural business, agricultural journalism, horticulture enterprise management, international trade, natural resource management, agricultural biotechnology, or a combination of these areas.

PROVOST - COLLEGES (continued)

1. College of Agriculture (continued)

a. Instruction (continued)

Financial Data

Faculty FTE Positions

41

46

Faculty

\$3,921,300

\$4,298,100

Other Personal Service

1,073,800

1,264,300

Subtotal

4,995,100

5,562,400

Operating Expenses

392,500

370,100

Capital Outlay

11,000

11,000

Total Expenditures

\$5,398,600

\$5,943,500

Non-Federal Restricted Funds

2001-02

2002-03

Personal Services

\$ 15,500

\$ 41,800

Operating Expenses

1,178,200

794,100

Capital Outlay

21,500

6,000

Total Expenditures

\$1,215,200

\$ 841,900

Auxiliary Funds

2001-02

2002-03

Personal Services

\$ 24,900

\$ 24,900

Operating Expenses

13,700

18,100

Capital Outlay

3,000

5,000

Total Expenditures

\$ 41,600

\$ 48,000

PROVOST - COLLEGES (continued)

1. College of Agriculture (continued)

- b. **Research** - Most research programs in Agriculture are conducted through the Agricultural Experiment Station. The University's agriculture program has been enhanced by the development of research facilities at Woodford County Farm and the initiation of construction for a Plant Science research building. Support for maintenance of off-campus agricultural facilities has been essential for continued productivity. Each department in the Agricultural Experiment Station has its own research emphasis:
 - (1) The Department of Agricultural Economics serves farmers, agribusiness and consumers by supporting a more efficient utilization of economic resources in the management and operation of enterprises and in the production, marketing and distribution of food and fiber.
 - (2) The Department of Biosystems and Agricultural Engineering strives to solve existing and emerging engineering problems through research on soil and water quality, power and machinery, structures and environments, electric power and processing, and food engineering. The Department is housed in the Agricultural Engineering Building and is a significant contributor to interdisciplinary programs in food science and technology and integrated agricultural systems research.
 - (3) The Agronomy Department's research programs are directed toward generating new technology and solving problems related to more efficient and profitable production of field crops under conditions which conserve and protect soil and water quality. The Department directs research efforts related to soil science, crop ecology and management, plant genetics and breeding, and plant physiology/molecular biology.
 - (4) The Department of Animal Sciences has as its objective the enhancement of quality and efficiency of animal production through research efforts in animal nutrition, reproductive biology, intermediary metabolism, microbiology, genetics, and physiology. The Department directs research and development activities in food science, including food quality and safety.
 - (5) The Entomology Department seeks to provide a better understanding of insect identification, distribution, biology, ecology, physiology, economic importance and control through research efforts in insect taxonomy, insecticide toxicology, insect ecology and behavior, physiology of insects and mites, and the integrated control of insect pests.
 - (6) The Forestry Department's research programs are directed toward improving the economic climate and quality of life in Kentucky, while optimizing the benefits of the forest resource to landowners and industry. The Department manages and conducts research in the Robinson Forest, one of the largest tracts of prime forested land in Kentucky, and in other forested tracts in eastern Kentucky.

PROVOST - COLLEGES (continued)

1. **College of Agriculture (continued)**

b. **Research (continued)**

- (7) The research programs of the Horticulture Department are directed to the solution of the biological and technical problems which confront fruit and vegetable growers, ornamental nurseries, florists, landscapers, home gardeners, and the general public.
- (8) The Department of Plant Pathology's research efforts are directed toward obtaining a fundamental understanding of disease processes in plants and toward developing methods and strategies by which important diseases of Kentucky's crops may be controlled. The Department is a major contributor to the molecular biology and multidisciplinary plant genetic engineering research programs.
- (9) Efforts in Rural Sociology are directed to the creation of a better understanding and more efficient utilization of human resources and social institutions through research in domestic and international agriculture, family studies, demography, community development and natural resources.
- (10) Research efforts in Veterinary Science are directed to the discovery of causative agents of animal disease and control of disease through efforts in virology, bacteriology, parasitology, pathology, immunology, and pharmacology. The research program also emphasizes equine genetics, biomechanics and reproductive physiology. The Department is housed in the Maxwell A. Gluck Equine Research Center and receives additional research funding through the Kentucky Equine Research Foundation.

(11) **Financial Data**

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Faculty FTE Positions	111		113
Faculty	\$ 9,497,400		\$ 9,608,900
Other Personal Services	<u>11,455,100</u>		<u>12,405,100</u>
Subtotal	20,952,500		22,014,000
Operating Expenses	4,554,000		4,803,100
Capital Outlay	<u>97,700</u>		<u>130,700</u>
Total Expenditures	\$25,604,200		\$26,947,800

PROVOST - COLLEGES (continued)

1. College of Agriculture (continued)

c. **Public Service** - The service programs in Agriculture include those conducted through the Cooperative Extension Service, the Division of Regulatory Services, and the Livestock Disease Diagnostic Lab.

(1) **The Cooperative Extension Service:** This continuing education arm of the College of Agriculture provides aid in interpreting and disseminating among the people of Kentucky useful and practical information on subjects relating to agriculture, family and consumer sciences, 4-H/Youth Development, and community and economic development and encourages the application of the same in all counties of the Commonwealth. The University of Kentucky Cooperative Extension Service programs are carried out in cooperation with Kentucky State University and the United States Department of Agriculture. These off-campus continuing education programs are offered in every county of the Commonwealth through the efforts of approximately 500 professional extension agents and subject matter specialists. Extension agents are located in each county, with assistance available from specialists in the various subject matter disciplines. Through this statewide educational technology transfer network, research findings are carried directly to farmers and families of the Commonwealth. Major educational focus areas of the Cooperative Extension Service are:

- (a) Community and Economic Development
- (b) Sustainability of Agriculture
- (c) Leadership Development
- (d) Nutrition and Health
- (e) Life Skills
- (f) Environment and Natural Resources
- (g) Youth Development

	Actual <u>2001-02</u>	Estimated <u>2002-03</u>
Total Clientele Contacts	6,608,000	6,608,000
Continuing Education Contact Hours	478,000	478,000
4-H Enrollment	231,300	233,000
Homemaker Enrollment	22,000	23,000

PROVOST - COLLEGES (continued)

1. **College of Agriculture (continued)**

c. **Public Service (continued)**

(2) The **Division of Regulatory Services** administers state laws and promulgates regulations pertaining to the manufacturing, processing, labeling, and marketing of commercial feed, pet food, fertilizer, seed, and raw milk. Its purpose is to protect farmers and other consumers from poor quality, mislabeled, or misrepresented products; to conduct educational programs that will lead to higher quality products; and to protect agricultural businesses from unfair competition from those who might take short cuts in the quality of their products. Feed, pet foods, fertilizer, and seed are monitored in the manufacturing or retail channels for compliance with state laws through label review, product inspections and sampling, and analyzing of products. Raw milk is monitored during marketing to assure an accurate and equitable exchange between producers and processors and to ensure the integrity of milk from farm to processor. This purpose is accomplished by inspectors who travel throughout the state, collecting samples, inspecting facilities, reviewing labels, and auditing records at about 900 feed firms, 475 fertilizer manufacturing plants, 450 seed firms, 30 milk processing facilities including milk laboratories, and 400 urban outlets selling specialty and small-package products. Product samples are analyzed in division laboratories, and regulatory action is taken as needed to accomplish the Division's purpose.

The Division also offers to growers, homeowners, researchers, and extension workers seed testing, soil testing, poultry litter and animal manure testing, and water and nutrient solution analyses for greenhouse production and seedling production.

(3) The **Livestock Disease Diagnostic Center** provides services to the farmers, agri-businesses and other animal owners of Kentucky to identify, control, prevent, and eradicate diseases. These services include laboratory animal disease diagnostic examinations and tests on specimens submitted by veterinarians and farmers to diagnose disease conditions in animals, provide objective therapy, and implement preventive measures. The laboratory also cooperates with the Kentucky Department of Agriculture and federal animal disease control and eradication agencies in carrying out their regulatory programs.

PROVOST - COLLEGES (continued)

1. **College of Agriculture (continued)**

c. **Public Service (continued)**

(4) **Financial Data (continued)**

	<u>2001-02</u>	<u>Auxiliary Funds</u>	<u>2002-03</u>
Personal Services	\$ 712,700		\$ 737,200
Operating Expenses	766,500		739,100
Capital Outlay	201,600		78,700
Total Expenditures	<u>\$ 1,680,800</u>		<u>\$ 1,555,000</u>

2. **College of Architecture**

a. **Instruction** - The College of Architecture offers a five-year curriculum which leads to the professional degree, Bachelor of Architecture. This is the only program of study in the Commonwealth of Kentucky accredited by the National Architectural Accreditation Board. The College of Architecture has recently established a graduate program in which twelve students are enrolled in Historic Preservation, offering a Master of Historic Preservation degree.

b. **Research** - Basic and applied research endeavors which relate to an extensive range of issues in architecture and planning, building design, architectural history and theory, technical aspects of construction, professional practice, and historic preservation are an integral part of scholarly activity in the College.

c. **Public Service** - The College makes a substantial commitment to community affairs throughout the Commonwealth on its own and through participation in professional activities like the Kentucky Design Assistance Teams (KY DAT). A new emphasis on issues of historic preservation should further increase the presence of the College in communities across the Commonwealth. The College has established Downtown Design Centers in Lexington and Louisville to focus through studies and research upon urban design and planning issues in these cities and surrounding communities, involving faculty, staff, students, design professionals, local citizens and community leaders.

PROVOST - COLLEGES (continued)

2. College of Architecture (continued)

d. Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	22	22
Faculty	\$ 1,521,200	\$ 1,513,900
Other Personal Services	562,700	695,300
Subtotal	2,083,900	2,209,200
Operating Expenses	153,500	156,400
Total Expenditures	\$ 2,237,400	\$ 2,365,600

Restricted Funds

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 68,200	\$ 112,000
Operating Expenses	204,100	198,900
Total Expenditures	\$ 272,300	\$ 310,900

3. College of Arts and Sciences

a. Instruction - The College of Arts and Sciences provides broad instruction in the liberal arts and sciences for all undergraduates and advanced training in the core disciplines of the University. The College awards the Bachelor of Arts and Bachelor of Science degrees. Undergraduate students enrolled in the College may pursue a degree program in any one of 18 academic departments or obtain a degree with a topical focus. The College offers minors in 31 disciplines and interdisciplinary programs. The College also offers University Studies courses to meet the needs of students in other colleges.

PROVOST - COLLEGES (continued)

3. College of Arts and Sciences (continued)

a. Instruction (continued)

The College provides graduate instruction leading to a Master of Arts and a Master of Science in a wide range of basic disciplines. Graduate degrees can be earned in Anthropology, Biological Sciences, Chemistry, Classics, English, French, Geography, Geological Sciences, German, History, Mathematics, Microbiology, Philosophy, Physics and Astronomy, Political Science, Psychology, Sociology, Spanish, and Statistics, as well as several interdisciplinary areas.

b. Research - Research is a primary mission of every academic department in the College. Basic research, much of which is coordinated with advanced graduate programs, is conducted in the physical and biological sciences, the social sciences, and the humanities. Some applied research is conducted in the social sciences and the physical and biological sciences. Arts and Sciences researchers also engage in cooperative research projects with researchers in other university units, including the College of Medicine, the College of Engineering, the College of Agriculture, the Sanders-Brown Center on Aging, the Center for Applied Energy Research, and the Appalachian Center. Several research journals and monograph series are housed in the humanities and the social sciences departments of the College. Much of the individual and cooperative research conducted in the College of Arts and Sciences is supported by grants from federal funding agencies, including the National Science Foundation, the National Institutes of Health, and the National Endowment for the Humanities. In addition, Arts and Sciences faculty research has been supported by a wide range of private foundations, including the Guggenheim, Sloan, Kellogg, Rockefeller, and Ford Foundations.

c. Public Service - The College of Arts and Sciences offers many services to the citizens of Kentucky. College faculty engage in a variety of activities in support of the Kentucky Education Reform Act (KERA). Individual faculty members serve as consultants to state and local government agencies and to businesses and industries in the Commonwealth. Others serve as members of governmental task forces and commissions. Several departments, including Anthropology, English, Psychology, and Statistics, provide extensive consultation services. The Department of Anthropology administers the Program for Cultural Resource Assessment, an archaeological research and public service unit, and also maintains a museum of considerable interest to the public. The College cooperates with Fayette County elementary, middle and high schools on a variety of programs.

d. Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	462	464

PROVOST - COLLEGES (continued)

3. College of Arts and Sciences (continued)

d. Financial Data (continued)

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty	\$32,581,200	\$32,631,500
Other Personal Services	6,284,300	7,319,800
Subtotal	38,865,500	39,951,300
Operating Expenses	2,033,100	2,117,700
Capital Outlay	104,400	104,400
Total Expenditures	41,003,000	42,173,400
Recharges	(15,000)	(15,000)
Net Expenditures	\$40,988,000	\$42,158,400
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 249,600	\$ 260,000
Operating Expenses	1,227,300	1,322,600
Capital Outlay	52,100	64,900
Total Expenditures	\$ 1,529,000	\$ 1,647,500
	<u>Auxiliary Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 35,300	\$ 36,400
Total Expenditures	\$ 35,300	\$ 36,400

PROVOST - COLLEGES (continued)

4. Gatton College of Business and Economics

a. **Instruction** - The Carol Martin Gatton College of Business and Economics offers instruction leading to the following degrees: Bachelor of Business Administration, Bachelor of Science in Accounting, Bachelor of Science in Business and Economics, Bachelor of Arts in Economics (through the College of Arts and Sciences), Master of Science in Economics, Master of Business Administration, Master of Science in Accounting, Doctor of Philosophy in Business Administration, and Doctor of Philosophy in Economics. The MBA and Ph.D. in Business Administration programs of the School of Management and graduate programs in the Department of Economics were selected for additional funding from the Research Challenge Trust Fund. In addition, continuing education programs are available through the International Business and Management Center, the Center for Labor Education and Research, and the Douglas J. Van Allmen Center for Entrepreneurship and E-commerce.

b. **Research** - The high quality of the faculty research programs in the School of Management and the Department of Economics was recognized for funding from the Research Challenge Trust Fund programs. Many faculty members engage in research projects for federal, state, and local governments, business organizations, and professional associations. The Kentucky Initiative for Knowledge Management (KIKM) supports cutting-edge research to explore varied issues concerned with the management of knowledge resources. Von Allmen E-Commerce Research Lab, a state-of-the-art electronic lab, conducts important research on the impact of the Internet on businesses in the Commonwealth and the nation.

The Center for Business and Economic Research (CBER) conducts a number of important research studies for various state and local government agencies, various not-for-profit organizations, and for private industry. The Douglas J. Van Allmen Center for Entrepreneurship and E-commerce supports research studies focusing on new start-up companies, entrepreneurship and new venture financing. The Center for Labor Education and Research provides customized research services to individual labor organizations and conducts public policy-oriented research on employment issues. The Center for Sports Marketing supports faculty research in the emerging field of sports marketing.

c. **Public Service** - The International Business and Management Center serves both public and private sector organizations and companies by providing a wide variety of educational programs. In addition to serving the Commonwealth of Kentucky, the Center offers programs domestically and abroad. All disciplines related to business and government professions are addressed through conferences, workshops, seminars and custom programs for specific companies. As new challenges arise, the Center is poised to meet these challenges, e.g., the Leadership Institute which serves both the public and private sectors; a seminar for the Kentucky Institute for Economic Development; the School Financial Officers Certificate Program in cooperation with the Kentucky Department of Education; The Certificate Program in Human Resources in cooperation with the Society for Human Resource Management; the Certificate Program in medical care management; and the Family Business Institute.

PROVOST - COLLEGES (continued)

4. Gatton College of Business and Economics (continued)

c. Public Service (continued)

The Center for Labor Education and Research provides continuing education to union leaders and members on such topics as steward training, union administration, contract negotiation, and employment law. Regular course offerings are held at venues throughout the Commonwealth and are supplemented by special conferences highlighting new developments and issues.

The Douglas J. Van Allmen Center for Entrepreneurship and E-commerce provides management consultations, training courses, information and research services to the Kentucky small business community. It serves as the headquarters/central office for the Kentucky Small Business Development Center Network which manages fourteen outreach service centers throughout the state. The Center also operates a statewide, nonregulatory, air quality environmental assistance program and serves as an advocate for development of environmental regulations which promote economic competitiveness. The Center also provides assistance to university faculty members wishing to commercialize this research.

The Center for Real Estate Studies is charged with the responsibility of providing education to undergraduate, graduate, and professional level students as well as to real estate practitioners and provides college level courses in real estate that meet the educational requirements for real estate licensing. The Center also supports ongoing real estate-related research projects. Center research projects include housing market studies designed to summarize Kentucky's market conditions, impact studies used to analyze economic outcomes of proposed building projects, and academic research to expand upon the professional literature of real estate-related fields.

The Center for Business and Economic Research coordinates and implements economic and public policy research activities to benefit both public and private sectors of the Commonwealth. Center initiatives are to stimulate and coordinate a broad program of externally funded research and to support the collection, analysis, and dissemination of economic and social statistical information concerning the Kentucky and regional economies. The focus of the Center's information service is the Kentucky Economic Information System (KEIS), a computerized statistical database with on-line accessibility statewide. Regular publications include Growth and Change: A Journal of Public, Urban, and Regional Policy (a refereed, scholarly publication with paid subscriptions of approximately 1,000), and Kentucky Business and Economic Outlook, a periodic newsletter covering current economic issues and providing quarterly economic forecasts. The Center also prepares an annual economic report, which it distributes to state agencies and other interested parties and makes available to the public upon request.

PROVOST - COLLEGES (continued)

4. Gatton College of Business and Economics (continued)

d. Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	85	88
Faculty	\$ 8,897,600	\$ 9,190,300
Other Personal Services	<u>2,679,600</u>	<u>2,952,500</u>
Subtotal	11,577,200	12,142,800
Operating Expenses	<u>938,300</u>	<u>951,200</u>
Total Expenditures	\$12,515,500	\$13,094,000

Restricted Funds

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 1,689,700	\$ 1,230,000
Operating Expenses	1,034,400	2,036,000
Capital Outlay	<u>163,400</u>	<u>-0-</u>
Total Expenditures	\$ 2,887,500	\$ 3,266,000

5. **College of Communications and Information Studies**

- a. **Instruction** - The College of Communications and Information Studies offers instruction leading to undergraduate degrees in Integrated Strategic Communications, General Editorial Journalism, Communication, and Telecommunications. It also offers a Master's in Library Science and Master's and Ph.D. degrees in Communication.

The College's baccalaureate programs prepare individuals for positions in the media and for careers as information specialists in business, government, and other areas. The graduate programs in communication provide instruction in intrapersonal, interpersonal, organizational, and mass communication to help students prepare for careers as teachers, researchers, communication consultants, and other high-level positions. Many nonmajors also enroll in courses in the College to gain an understanding of how communication changes their lives and society and to learn basic oral communication skills.

PROVOST - COLLEGES (continued)

5. College of Communications and Information Studies (continued)

a. Instruction (continued)

The major instructional goal of the School of Library and Information Science is graduate-level preparation of professional librarians and other information professionals who work in government, health care centers and hospitals, education, the publishing industry, and a variety of other businesses and industries.

b. Research - Various faculty members are active in individual and group research projects. One of the goals of the College is to continue attracting research grants from governmental and private agencies. Since the mid-1980's, communications professors have participated in several ongoing research projects funded by the National Institutes of Health.

Through scholarly research and writing, the faculty of the School of Library and Information Science contribute to the knowledge base and theoretical foundations of library and information science, as well as to the more immediate needs of practicing information professionals for innovative solutions to everyday problems.

c. Public Service - Service functions of the College include seminars and mid-career refresher sessions for journalists and others working as communication specialists, consulting with business and community agencies, and supplying speakers for community groups. The School of Journalism and Telecommunications offers a summer workshop for minority students.

A focus of the service role of the School of Library and Information Science is a continuing education program for librarians in Kentucky. Off-campus courses are offered in various locations throughout the Commonwealth. In addition, continuing education workshops and institutes are offered for the benefit of practicing professionals. The School also provides direct consulting services through contracts to various state government agencies and private businesses, and is active in KERA.

PROVOST - COLLEGES (continued)

5. College of Communications and Information Studies (continued)

d. Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	47	49
Faculty	\$ 3,252,700	\$ 3,357,700
Other Personal Services	<u>834,100</u>	<u>977,200</u>
Subtotal	4,086,800	4,334,900
Operating Expenses	<u>232,200</u>	<u>232,000</u>
Total Expenditures	\$ 4,319,000	\$ 4,566,900

Restricted Funds

	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 346,600	\$ 359,700
Total Expenditures	\$ 346,600	\$ 359,700

6. College of Education

a. **Instruction** - Programs offered by the College of Education at the undergraduate level are directed principally toward the preparation of teachers in the categories of early elementary, middle school, special education, health promotion, and kinesiology. An interdisciplinary early childhood education program is also available through the College of Human Environmental Sciences and the College of Education. These programs, leading to a bachelor's degree, provide students with a theoretical background in education, develop skills in techniques of teaching each specific field, and supply supervised field experience in each subject area. High school teachers are prepared through the College's Master's with Initial Certification Program in the areas of business, English, foreign language, mathematics, science and social studies. The graduate programs leading to a Master of Arts in Education, Master of Science in Education, Education Specialist, Doctor of Education, or Doctor of Philosophy degree are directed toward the preparation of teaching specialists, counselors, administrators, and supervisors for elementary, middle, secondary, higher education, and related institutions.

PROVOST - COLLEGES (continued)

6. **College of Education (continued)**

a. **Instruction** (continued)

A master's degree program in Rehabilitation Counseling is designed to prepare rehabilitation counselors to work with individuals who have severe disabilities. The College has the only Ph.D. programs in the state in higher education, counseling psychology, and kinesiology. In addition, the College has the only American Psychological Association (APA) accredited Ph.D. programs in counseling psychology and school psychology in Kentucky. A doctoral program in administration and supervision is offered through the Distance Learning Program in northern, eastern, and southeastern Kentucky. Additionally, cooperative doctoral programs are offered with five other institutions - Eastern Kentucky University, Morehead State University, Murray State University, Western Kentucky University, and the University of Louisville. The College of Education is accredited by the National Council for the Accreditation of Teacher Education.

b. **Research** - Faculty in the College of Education are actively involved in a variety of research projects and scholarly activities related to the improvement of education at all levels. The research program is supported primarily by extramural funds from federal and state agencies as well as private foundations and businesses. Faculty disseminate research findings through articles in refereed journals and presentations at international, national, regional, state, and local levels. Faculty also utilize research results to guide instruction and service activities. A large percentage of faculty research and scholarship is devoted to studying components of education reform, such as school-based decision making, instructional technology, the primary program, the preschool program, extended school services, family and youth service centers, and high school restructuring. Other examples of inquiry include the relationship of health practices and physical activity to disease; biomechanical analysis; learning disorders of exceptional children; literacy; language development and use of technology to assist individuals with handicapping conditions; gender equity; multicultural education; and educational law and policy.

c. **Public Service** - The College's faculty members are involved significantly in professional and University service, particularly with initiatives specific to the Kentucky Education Reform Act. The forms of service to agencies outside the University include: workshops, conferences, and professional development activities for public school, college, and university staff members; consultative and professional development services to members of the Central Kentucky Education Cooperative; consultative, planning, and evaluative service to public schools, the Regional Education Laboratory, the State Department of Education, and institutions of higher education; consultative service to city and county governments to assist with the planning of comprehensive recreational programs; consultative and resource services for special education teachers; coordination of regional accreditation (Southern Association of Colleges and Schools) of public and private schools; coordination of off-campus instruction and service through the Center for Professional Development; and provision of appropriate consultation and support services to other academic units of the University on such matters as curriculum design, technology, and evaluation.

PROVOST - COLLEGES (continued)

6. **College of Education (continued)**

d. **Financial Data**

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	101	101
Faculty	\$ 6,965,500	\$ 7,040,500
Other Personal Services	<u>2,511,300</u>	<u>2,788,600</u>
Subtotal	9,476,800	9,829,100
Operating Expenses	1,534,900	2,126,500
Capital Outlay	<u>11,500</u>	<u>11,500</u>
Total Expenditures	11,023,200	11,967,100
Recharges	<u>(3,000)</u>	<u>(3,000)</u>
Net Expenditures	\$11,020,200	\$11,964,100

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 31,100	\$ 26,000
Operating Expenses	<u>459,800</u>	<u>473,000</u>
Total Expenditures	\$ 490,900	\$ 499,000

7. **College of Engineering**

- a. **Instruction** - The College of Engineering offers programs leading to baccalaureate degrees in Biosystems and Agricultural Engineering, Chemical Engineering, Civil Engineering, Computer Science, Electrical Engineering, Mechanical Engineering, Materials Engineering, and Mining Engineering. Graduate programs are offered in all fields offering baccalaureate degrees, as well as a Master of Engineering and a Master of Science in Manufacturing Systems Engineering. In addition, a dual B.S. Engineering/MPA degree and a B.S. Engineering/MBA degree are available. The degree programs in the College are enhanced by the activities of the Center for Robotics and Manufacturing Systems, the Consortium for Fossil Fuel Science, the Kentucky Transportation Center, the Center for Aluminum Technology, the Center for Micro-Magnetic and Electronic Devices, and the Electron Microscopy Facility units within the College. Continuing education and extension programs serve the needs of engineers and others by presenting comprehensive programs, short courses, and conferences.

PROVOST - COLLEGES (continued)

7. College of Engineering (continued)

b. **Research** - A comprehensive research and development program, supported largely by extramural funds, is operated by the College of Engineering. This research program is sponsored by a number of federal and state agencies as well as industrial concerns both within and outside the Commonwealth. These projects deal with many technological problems facing society today. The Kentucky Transportation Center is a unit within the College, which carries out, under contract, the research program of the Kentucky Transportation Cabinet. The Center for Robotics and Manufacturing Systems is housed in a facility adjacent to the main engineering building. Primary objectives of the Center for Robotics and Manufacturing Systems are to conduct graduate level academic research of the highest quality and to transmit the knowledge gained through research to industries across the Commonwealth. This Center is having a significant impact on economic development by enhancing industries currently located in Kentucky and by attracting new industries. The Consortium for Fossil Fuel Science (CFFS) conducts research relating to coal conversion and solid waste management. The Office for Institutional Services and Technical Liaison (OISTL) provides information on industrial, technological, social, and health aspects of coal production and usage; and distributes research results and other information through published reports, monographs, newsletters, news releases, seminars and conferences. The mission of the Center for Aluminum Technology is to provide industry with trained personnel, new knowledge and emerging technology know-how needed to be globally competitive in the 21st century.

c. **Public Service** - The College of Engineering provides public service in a number of forms. The College organizes a wide variety of conferences, workshops, and classes. The Kentucky Transportation Center provides technology transfer to counties throughout the Commonwealth. The College library is an important regional resource for engineering professionals. An important function of the Center for Robotics and Manufacturing Systems is to provide extension-type assistance to Kentucky manufacturing companies, particularly small and medium-sized businesses.

d. **Financial Data**

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Faculty FTE Positions	134		134
Faculty	\$12,156,600		\$12,322,200
Other Personal Services	<u>6,851,500</u>		<u>5,642,600</u>
Subtotal	19,008,100		17,964,800
Operating Expenses	2,290,300		2,136,100
Capital Outlay	<u>385,900</u>		<u>385,900</u>
Total Expenditures	\$21,684,300		\$20,486,800

PROVOST - COLLEGES (continued)

7. College of Engineering (continued)

d. Financial Data (continued)

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 69,100	\$ 224,000
Operating Expenses	5,705,700	6,866,800
Total Expenditures	\$ 5,774,800	\$ 7,090,800

Auxiliary Funds

	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 38,000	\$ 38,000
Capital Outlay	17,000	17,000
Total Expenditures	55,000	55,000
Recharges	(42,000)	(42,000)
Net Expenditures	\$ 13,000	\$ 13,000

8. College of Fine Arts

a. Instruction - The College of Fine Arts at the University of Kentucky is comprehensive with degrees offered in the performing and visual arts. Professional arts training leading to degrees in theater arts, art education, art history, art studio, music performance, music education, music theory and arts administration are offered. The College is also actively engaged in offering numerous courses that fulfill University Studies for the general student population. Students enrolled in any College course are introduced to the arts in a manner that promotes and facilitates lifelong learning and appreciation.

b. Research - The College of Fine Arts faculty explore the diversity and complexity of the human condition with the arts as a vehicle. Research in the College takes two fundamental forms – creative expression and scholarship. Expression may be performance, studio work, exhibitions, audio, technical innovations or a combination of many forms. Scholarly research is the search for new knowledge, disseminated through books, articles, papers, lectures and other traditional avenues of communication. The spectrum of areas under investigation is broad. It includes traditional artistic pursuits as well as innovative, interdisciplinary work, technical applications which alter the manner in which creative work is made, as well as service learning, developing new methods of preparing teachers for careers in K-12 education.

PROVOST - COLLEGES (continued)

8. College of Fine Arts (continued)

c. **Public Service** - The College of Fine Arts provides public service under many banners. The most conspicuous are public performances, exhibitions and individual participation in many of Kentucky's arts organizations. Less visible but of significant impact is the faculty's work with various public schools, particularly in regard to KERA where faculty and staff provide expertise related to training, consulting, adjudication, and other activities throughout the Commonwealth. Additionally, the College offers noncredit courses to the public through its Fine Arts Institute, exhibitions in its art galleries on campus and in town, and performances in the Guignol, Briggs, and Little Theatres.

The principal performance venue for the academic and urban communities is the Singletary Center for the Arts. The Center provides an invaluable service as a presenter of important musical events featuring members of the University's community of musicians, as well as other nationally and internationally renowned artists. The Center hosts more than 350 events each year with attendance reaching more than 100,000 annually.

d. **Financial Data**

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	74	74
Faculty	\$ 4,652,800	\$ 4,665,100
Other Personal Services	<u>1,265,300</u>	<u>1,679,300</u>
Subtotal	5,918,100	6,344,400
Operating Expenses	<u>836,800</u>	<u>879,800</u>
Total Expenditures	6,754,900	7,224,200
Recharges	<u>-0-</u>	<u>(40,000)</u>
Net Expenditures	\$ 6,754,900	\$ 7,184,200
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 48,300	\$ 60,200
Operating Expenses	<u>426,700</u>	<u>677,200</u>
Total Expenditures	\$ 475,000	\$ 737,400

PROVOST - COLLEGES (continued)

8. College of Fine Arts (continued)

d. Financial Data (continued)

	<u>Auxiliary Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 287,100	\$ -0-
Operating Expenses	8,300	-0-
Total Expenditures	295,400	-0-
Recharges	(117,300)	-0-
Net Expenditures	\$ 178,100	\$ -0-

9. College of Human Environmental Sciences

a. **Instruction** - The baccalaureate program of the College is directed toward the preparation of students through programs in the areas of Family and Consumer Sciences; Interior Design; Early Childhood Education; Merchandising, Apparel and Textiles; Food Science and Human Nutrition; Dietetics; and Hospitality Management and Tourism. At the graduate level, students can earn a master's degree in one of the following concentrations: Hospitality and Dietetics Administration; Interior Design, Merchandising and Textiles; and Family Studies and Early Childhood Education. Within the specialty of Family Studies, students may complete a program of study in Marriage and Family Therapy. At the doctoral level, the College offers a Ph.D. in Family Studies.

b. **Research** - Scholarly activity in the College of Human Environmental Sciences is focused on the study of individuals and families in both multidisciplinary and specialized areas of expertise. Multidisciplinary collaboration includes examinations of the social, psychological, and economic factors that affect the health of elders residing in rural areas; environmental, social, and economic factors that affect the well-being of families and children in at-risk communities; projects focused on the detection of harmful effects of halogenated hydrocarbons like PCBs and similar compounds on human health and environment and development of understanding about the mechanisms of their toxic activity, enablement of data derived risk assessment, and the subsequent development of strategies to protect or regain human and environmental health after exposure to these compounds; and psychosocial factors affecting the life experiences of ALS patients and their caregivers. In addition, research and training interface to address the effectiveness of learning environments in facilitating positive outcomes for young children with and without disabilities. In 1991, the College established the Research Center for Families and Children to directly support the Kentucky Education Reform Act. Since its inception, the Center has facilitated research focusing on community-based family literacy, the interface of families and schools including that occurring through Kentucky Family Resource Centers, family influences on pre-school readiness and educational progress, evaluation of agency services provided to displaced public housing residents, needs assessment of Fayette County social services and resident awareness of service availability, and examinations of well-being and self-sufficiency of former welfare recipients.

PROVOST - COLLEGES (continued)

9. **College of Human Environmental Sciences (continued)**

b. **Research** (continued)

The Department of Family Studies faculty conducts research that addresses ethics-related behavior, successful interpersonal and economic interactions in the workplace and within families, cross-cultural early childhood education, public finance of education reform, cross-cultural themes in adolescent social competence, violence and civility among adolescents, and well-being and health across the life span for individuals and families.

Faculty in the School of Interior Design and Department of Merchandising, Apparel, and Textiles emphasize research and creative scholarship related to the creativity, design of commercial and residential living and working environments, historical significance of design, lighting, textile testing for apparel and furnishings, the design of projective clothing, psycho-social significance of dress, and 'e-tailing' and global/international merchandising.

The Department of Nutrition and Food Science faculty conduct research relating to vitamin metabolism, neuro-chemical regulation of appetite, nutrition in neuro-development for children with disabilities, dietetic outcomes in diabetes management, determination of nutrient requirements, cell culture technology, and maternal and child nutrition. In addition, food science research faculty develop food projects with improved protein content; investigate food patterns and choices, food biotechnology, 'food away from home' issues, distance learning in dietetics education, and hunger and food security; and develop improved food packing methods. Faculty specializing in Hospitality Management and Tourism examine the impact of tourism on Kentucky communities, international tourism, technology and hospitality management through education, and food systems marketing.

c. **Public Service** - As a service to the families, agencies, and other interested parties throughout the Commonwealth, the College disseminates information and knowledge gained from the research efforts of its faculty to expand the knowledge base in the field of human environmental sciences. This includes coordination with the Cooperative Extension Service and agencies and organizations which provide community and work experiences for Human Environmental Sciences majors. A unique interdisciplinary effort is the One-Parent Virginia Place Program which is a cooperative effort among the Lexington-Fayette Urban County Government Mayor's Office, the College of Human Environmental Sciences, and other colleges within the University. The One-Parent Virginia Place Program benefits single parent families who are seeking self-sufficiency.

The Training Resource Center for the Department of Social Services, which is a cooperative program between the College of Human Environmental Sciences and the College of Social Work, focuses on foster parent training. Support of KERA is achieved in part through faculty participation in the Family Resource/Youth Services Centers, referrals to family therapy, provision of at-risk preschool programming through the Early Childhood Lab, and research projects conducted through the College Research Center for Families and Children. Service is provided to industry through the General Electric Appliance Agreement for research, faculty/students exchanges and testing for the National Association of Industrial Linen Manufacturers.

PROVOST - COLLEGES (continued)

9. College of Human Environmental Sciences (continued)

d. Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	44	45
Faculty	\$ 2,716,200	\$ 2,719,300
Other Personal Services	<u>946,000</u>	<u>1,096,400</u>
Subtotal	3,662,200	3,815,700
Operating Expenses	<u>222,100</u>	<u>261,100</u>
Total Expenditures	\$ 3,884,300	\$ 4,076,800

Restricted Funds

	<u>2001-02</u>	<u>2002-03</u>
Other Personal Services	\$ -0-	\$ 700
Operating Expenses	446,700	495,400
Capital Outlay	<u>2,761,600</u>	<u>572,200</u>
Total Expenditures	\$ 3,208,300	\$ 1,068,300

10. College of Law

a. Instruction - The College of Law provides a broad-based professional education designed to prepare students for the private practice of law, for public law practice in state or federal government, and for employment in businesses which utilize legal training.

b. Research - As an integral part of its program, the College, through its faculty, engages in research and writing which supports the teaching function, assists and instructs the practicing lawyer, and provides a resource of knowledge and analysis which may be drawn upon by federal and state judicial and legislative bodies in developing and changing the law in response to societal change and current problems.

PROVOST - COLLEGES (continued)

10. **College of Law (continued)**

c. **Public Service** - The College of Law's Legal Clinic serves indigent persons in need of legal services. Through its Law Library, Mineral Law Center, the Eastern Mineral Law Foundation, and the direct consultation of its faculty, the College provides service and expert assistance to federal and state agencies and legislatures, judges, and practicing lawyers. An extensive program of continuing education for the bar and the judiciary is conducted.

d. **Financial Data**

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	27	27
Faculty	\$ 3,493,400	\$ 3,479,800
Other Personal Services	<u>1,164,900</u>	<u>1,296,200</u>
Subtotal	4,658,300	4,776,000
Operating Expenses	488,100	685,000
Library Books	<u>93,400</u>	<u>83,400</u>
Total Expenditures	\$ 5,239,800	\$ 5,544,400
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 367,200	\$ 448,700
Operating Expenses	1,137,900	1,112,700
Capital Outlay	<u>15,000</u>	<u>15,000</u>
Total Expenditures	\$ 1,520,100	\$ 1,576,400

PROVOST - COLLEGES (continued)

11. College of Social Work

a. **Instruction** - The College of Social Work offers instruction leading to the degrees of Bachelor of Arts in Social Work, Master of Social Work and Ph.D. in Social Work, accredited by the Council on Social Work Education. Enrollment in the MSW program, includes students at Morehead State University, Northern Kentucky University, and the Center for Rural Health in Hazard. The primary objective of the BASW program is the preparation of entry-level social work practitioners. The objective for the MSW program is preparation of advanced practitioners in one of two areas of concentration: mental health or family and community. The University of Kentucky currently enrolls students in its joint Ph.D. program with the University of Louisville that educates students for research and social work education.

b. **Research** - An integral part of scholarly activity in the College is research in areas directly related to the academic curriculum and improvement of social work practice and service delivery systems. The faculty and graduate students conduct research on a broad array of human problems and collaborate with rural sociology, education, psychiatry and other units at the University. Research relates to children's and families' well being, mental health, health and community revitalization.

c. **Public Service** - The College's service activities include continuing education programs to upgrade and improve competence of human service personnel through evening and extension courses, workshops, institutes, and special training programs. The College has strong partnership programs with the Cabinet for families and children through our Training Resource Center for Healthy Communities, foster parent training, adoption support, citizen review boards and agency-based research and education sites.

d. Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Faculty FTE Positions	24		24
Faculty	\$ 1,302,000		\$ 1,310,200
Other Personal Services	623,900		678,600
Subtotal	1,925,900		1,988,800
Operating Expenses	171,000		173,500
Total Expenditures	\$ 2,096,900		\$ 2,162,300
	<u>Restricted Funds</u>		
	<u>2001-02</u>		<u>2002-03</u>
Operating Expenses	\$ 71,100		\$ 98,400
Total Expenditures	\$ 71,100		\$ 98,400

PROVOST - COLLEGES (continued)

12. Lexington Community College

The University of Kentucky Lexington Community College was established in 1965 and was one of 14 community colleges within the University of Kentucky Community College System. In 1997, House Bill 1 was passed by the Kentucky General Assembly, whereby the other 13 community colleges were to be managed by the Kentucky Community and Technical Colleges System (KCTCS), and Lexington Community College continued to be managed and governed by the University of Kentucky. The change was effective January 14, 1998. Lexington Community College is separately accredited and an integral part of the University of Kentucky.

The goal of the Lexington Community College is to provide easily accessible educational opportunities to citizens, communities, and the Commonwealth by offering comprehensive programs in instruction as well as public service.

- a. **Instruction** – The objective of Lexington Community College is to provide high-quality lower division transfer programs applicable to baccalaureate degrees and associate degree programs in technical or semi-professional areas, to citizens, communities, and the Commonwealth.

The technical programs are designed to meet workforce requirements of the communities through associate degree technical programs, which prepare students for immediate employment and, in some programs, to qualify the graduates for advanced entry into related baccalaureate programs. Those offerings include: Architectural Technology, Business Technology, Computer Information Systems, Dental Hygiene, Dental Laboratory Technology, Engineering Technology, Environmental Science Technology, Nuclear Medicine Technology, Nursing, Radiography, Respiratory Care, Information Management and Design, Early Childhood Education, and Civil Engineering.

- b. **Public Service** – Lexington Community College provides noncredit continuing education, customized training, community service activities, professional development, personal enrichment, children's programs, computer classes and offerings and services in support of KERA through the Center for Community Partnership, to citizens, communities, and business and industry.

- c. **Support Services** provide technological services, facilities, safety and security, and student services in support of instruction.

- (1) **Information Technology** supports the instructional programs in activities related to the collection, storage, retrieval, distribution, and use of information.

- (a) Telecommunications infrastructure supports the delivery of courses to remote locations (telecourses).

- (b) Academic computing capabilities support the teaching-learning process and College administration.

- (2) The **Physical Plant Operations** provide support services related to the operation and maintenance of the physical plant to include maintenance, utilities, housekeeping, security, safety, and other services required for facilities management of three buildings consisting of approximately 200,000 gross square feet. In addition to the main campus facilities, LCC has two leased facilities and extended campus sites.

PROVOST - COLLEGES (continued)

12. Lexington Community College (continued)

c. Support Services (continued)

(3) Other support includes activities related to executive management, academic affairs, business affairs, and student affairs administrative operations of Lexington Community College.

d. Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	189	211
Librarians/Counselors FTE Positions	8	8
Faculty	\$ 9,943,900	\$11,506,900
Librarians/Counselors	655,700	660,300
Other Personal Services	<u>4,526,000</u>	<u>5,168,500</u>
Subtotal	15,125,600	17,335,700
Operating Expenses	3,014,000	2,888,400
Library Books	120,700	120,700
Capital Outlay	<u>238,500</u>	<u>356,500</u>
Total Expenditures	\$18,498,800	\$20,701,300
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 283,100	\$ 394,700
Capital Outlay	<u>196,200</u>	<u>3,900</u>
Total Expenditures	\$ 479,300	\$ 398,600

**PROVOST
LIBRARIES**

The vision of the University of Kentucky Libraries is to be a leading user-centered provider of information resources and services, continually anticipating and responding to all information needs and expectations. As the major research library in the Commonwealth, its mission is to provide comprehensive access to information essential to teaching, research, and services at the University of Kentucky through human resources and maximum use of technology. As library staff meet these needs for the University community, they extend information services to the Commonwealth and make major holdings available to the world.

On-campus service is provided at the new William T. Young Library and the Medical Center Library, and specialized branches in Agriculture, Architecture, Chemistry/Physics, Education, Engineering, Fine Arts, Geology, Law, and Mathematical Sciences. Off-campus support is provided by the Distance Learning Librarian and interlibrary loan.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
Librarian FTE Positions	64	64
Faculty (Librarians)	\$ 3,848,200	\$ 3,993,500
Other Personal Services	3,703,400	3,893,200
Subtotal	7,551,600	7,886,700
Operating Expenses	604,800	628,300
Library Books	6,136,100	6,136,100
Capital Outlay	285,000	285,000
Total Expenditures	14,577,500	14,936,100
Recharges	(2,700)	(4,300)
Net Expenditures	\$14,574,800	\$14,931,800
 <u>Restricted Funds</u>		
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 14,900	\$ 16,600
Operating Expenses	109,200	52,700
Library Books	2,205,200	3,530,300
Capital Outlay	63,300	80,100
Total Expenditures	\$ 2,392,600	\$ 3,679,700

PROVOST

MULTIDISCIPLINARY GRADUATE PROGRAMS

1. The James W. Martin School of Public Policy and Administration provides an integrated approach to the University's activities in public policy and administration. The Martin School offers three multidisciplinary graduate degree programs – the Master of Public Administration, the Master of Health Administration, and the Ph.D. in Public Administration, and engages in research and public service activities. The disciplines represented by the School's faculty are agricultural economics, economics, health services, management, finance, political science, pharmacy, psychology, and industrial engineering. The research and public service components of the Martin School offer the School's faculty, staff, and graduate students opportunities to engage in multidisciplinary research on public policy and administration issues.

Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	9	10
Faculty	\$ 969,400	\$ 987,100
Other Personal Services	<u>182,700</u>	<u>300,700</u>
Subtotal	1,152,100	1,287,800
Operating Expenses	<u>73,300</u>	<u>74,500</u>
Total Expenditures	\$ 1,225,400	\$ 1,362,300
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ <u>117,600</u>	\$ <u>50,200</u>
Total Expenditures	\$ 117,600	\$ 50,200

PROVOST - MULTIDISCIPLINARY GRADUATE PROGRAMS (continued)

2. The Patterson School of Diplomacy and International Commerce offers an interdisciplinary professional Master of Arts degree program, preparing students for international careers in both the public and private sectors. This three semester program is especially suited for the student desiring a career in international companies, foreign trade, international organizations, non-governmental private organizations, or with governmental agencies such as the U.S. State Department, Department of Commerce, Department of Defense, and the intelligence community. The multidisciplinary curriculum is tailored to meet the individual interests of the student with emphasis on particular disciplines, area studies or policy analyses, within the structure of a major plus a minor and a working internship.

Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Faculty FTE Positions	3		3
Faculty	\$ 274,900		\$ 279,400
Other Personal Services	<u>69,500</u>		<u>82,100</u>
Subtotal	344,400		361,500
Operating Expenses	<u>6,400</u>		<u>6,400</u>
Total Expenditures	\$ 350,800		\$ 367,900

Restricted Funds

	<u>2001-02</u>		<u>2002-03</u>
Operating Expenses	\$ 248,700		\$ 234,700
Total Expenditures	\$ 248,700		\$ 234,700

3. The goal of the Graduate School is to foster the growth and development of the University's graduate education programs by coordinating and supervising the instructional programs of all graduate students. This includes students in 94 master's, three specialist, and 56 doctoral level programs. It also coordinates the admissions process, maintains student records, and certifies degrees. In addition, the Graduate School administers University fellowships as well as several other graduate student support programs.

PROVOST - MULTIDISCIPLINARY GRADUATE PROGRAMS (continued)

3. Graduate School (continued)

a. **The Office of Distance Learning Graduate Programs** coordinates the delivery of graduate programs to locations across the state. Master's programs in Engineering, Mining Engineering, and Special Education are offered by satellite and by interactive compressed video. Ed.D. programs in Educational Administration and in Higher Education are delivered by interactive compressed video and on-site instruction, and a Master's in Nursing is offered at several sites. Master's programs in Library Science and in Social Work are offered by on-site instruction and by compressed interactive video. The University of Kentucky participates with three other state universities in offering the Masters in Communication Disorders in a special program offered through the Kentucky Virtual University that is 100% Internet-based. Overall, 11 different colleges and 23 departments deliver graduate courses using distance-learning technology. Specific activities of the Office include: (1) liaison with the units offering distance-learning graduate programming, (2) liaison with other universities, (3) coordination with support units in Lexington, (4) assistance with distance-learning recruitment and advising, (5) planning and implementing electronic communication, and (6) oversight of the NKU Graduate Center.

b. The postdoctoral program provides doctoral graduates with opportunities for extended research and/or teaching experience through activities of direct benefit to the University's teaching and research programs. Some examples are Anatomy, Behavioral Sciences, Biology, Biochemistry, Chemical and Materials Engineering, Chemistry, Electrical Engineering, Mechanical Engineering, Microbiology, Pharmaceutical Sciences, Physics, Physiology, Plant Physiology, Toxicology, and Veterinary Sciences.

c. The Graduate School received Research Challenge Trust Fund support to increase the University of Kentucky's ability to recruit outstanding graduate students to its leading doctoral programs. This Trust Fund provides enhanced Fellowship support packages and tuition scholarships for graduate students in programs currently judged to be the University's Targets of Opportunity.

Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Other Personal Services	\$ 1,704,000		\$ 1,368,700
Subtotal	1,704,000		1,368,700
Operating Expenses	344,100		372,000
Capital Outlay	38,900		38,900
Total Expenditures	\$ 2,087,000		\$ 1,779,600

PROVOST - MULTIDISCIPLINARY GRADUATE PROGRAMS (continued)

3. Graduate School (continued)

Financial Data (continued)

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Other Personal Services	\$ 62,300	\$ 64,400
Operating Expenses	<u>764,400</u>	<u>574,800</u>
Total Expenditures	\$ 826,700	\$ 639,200

PROVOST

ASSOCIATE PROVOST FOR UNDERGRADUATE EDUCATION

The **Associate Provost for Undergraduate Education** has responsibility for academic support functions pertaining to enrollment management (e.g. admissions, advising, registrar, transfer) and the advancement of quality teaching. The Associate Provost is responsible for the Undergraduate Studies Program, the Office of Admissions, the Registrar's Office, the Honors Program, the Teaching and Learning Center, the Robinson Trust Program, Student Billing Services, the Student Financial Aid Office and the Pre K-12 Program. The Associate Provost will also work with issues that involve education in the public schools, preparedness of students for higher education, and the programs to prepare educators for the Commonwealth.

1. **Undergraduate Studies Program.** The Office of the Dean of Undergraduate Studies is the focal point for matters related to the undergraduate academic experience. The Dean is responsible for the University Studies Program, the Gaines Center for the Humanities, the Undergraduate Council, the Central Advising Service and Transfer Center, undergraduate research programs, and new faculty orientation. In addition, the Dean of Undergraduate Studies serves as the University representative in statewide general education and transfer credit matters. The Dean's Office is charged with providing the leadership necessary to ensure that undergraduate teaching and learning maintain a prominent and visible place at the University of Kentucky.
 - a. The **Humanities Program**, which is housed in the Gaines Center for the Humanities, offers fellowship and educational opportunities for undergraduates and special teaching situations for faculty members in the humanities and related areas.
 - b. The **Central Advising Service and Transfer Center** is designed to serve the advising needs of undeclared students, transfer students, nontraditional students, and others needing assistance in planning their careers and their academic programs. The staff of the Center works closely with faculty and academic advisors in colleges across the campus in order to ensure that students receive the most current and complete advice regarding their academic aspirations. One of the major purposes of the Center is to emphasize good advising and to work with units on campus in developing advising services in the various colleges and academic units. The Center also includes a research component which is designed to provide current information regarding student academic performance and the most effective means for increasing retention at the University of Kentucky.
 - c. The **Teaching and Learning Center** is designed to enhance graduate and undergraduate instruction in all colleges and academic units in the Provost area to provide special support to teaching assistants. The primary goals of the Center are: (1) to promote discourse among the faculty across campus concerning the importance of superior teaching and the most effective ways of providing it; (2) to serve as a resource for academic units in their efforts to keep abreast of teaching innovation in their own fields and to improve instruction; and (3) to assess faculty members in evaluating classroom performance in order to effect desired change. The Center is responsible for the teaching assistant (TA) orientation, for working with individual departments in developing and implementing discipline specific assistance programs for teaching assistants, and for providing support to new faculty in the development and implementation of their teaching programs.

PROVOST - ASSOCIATE PROVOST FOR UNDERGRADUATE EDUCATION (continued)

2. The **Office of Admissions** is responsible for identifying, contacting, and encouraging application and enrollment of students who meet University academic qualifications. The Office of Admissions provides prospective undergraduate students with information about programs of study, admission standards, and services available at the University. The Office continues to communicate with applicants throughout the admission decision process. After the student has been admitted, he or she participates in an advising conference on campus and enrolls for classes. The student's file in the Student Information System is created in the Office of Admissions. During the past year, staff members in the Office of Admissions have provided information about the University to more than 75,000 potential students. The Office processed applications and determined the admission status for over 16,000 applicants.
 - a. Admissions oversees the operation of the Visitors Center, which coordinates tours and provides information to prospective students, their families and academic departments.
 - b. The Merit Scholarship Office administers academic scholarships for students at the University of Kentucky.
3. The **Registrar's Office** is responsible for registration and maintenance of student records, including implementation, management, and continuing development of the on-line Student Information System (SIS). In addition, this Office provides numerous support services to a wide range of academic and service units within the University. Among those services are the assignment of classroom space; publication of the University of Kentucky Bulletin (catalog) and three class schedule booklets; maintenance of the official University course file and program descriptions; determination of student residency status; official certification functions for athletes, veterans, and scholarship/loan recipients; new student advising conferences; development of web process applications such as grade checking, address updates, and major verification; and functioning as the secretary of the University Senate.
4. The **Honors Program** serves as a vehicle for attracting outstanding students to the University and provides special courses, programs, and learning opportunities for enrolled students as well as advising and career preparation. The Honors Program served 915 students in 2000-01.
5. **Student Billings** collects student registration fees, housing and dining fees, and other miscellaneous fees. This office also collects student loans under various Federal, State, and University loan programs. Funds are drawn down from the government for the Direct Loan Program for UK and the Lexington Community College with necessary financial reconciliation. The Student Tax office issues to students IRS 1099-T forms and tax credit information per Tax Reform Act of 1997.
6. The **Student Financial Aid Office** provides \$140 million in need-based financial assistance for students who would be unable to pursue their educational objectives without such assistance. Included in the responsibilities of this Office is the administration of scholarships, grants, loans, and federal work-study program employment for students.

PROVOST - ASSOCIATE PROVOST FOR UNDERGRADUATE EDUCATION (continued)

7. Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	12	12
Faculty	\$ 691,800	\$ 719,000
Other Personal Services	<u>5,482,600</u>	<u>5,907,900</u>
Subtotal	6,174,400	6,626,900
Operating Expenses	1,832,100	3,373,500
Capital Outlay	<u>5,700</u>	<u>5,700</u>
Total Expenditures	\$ 8,012,200	\$10,006,100
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 184,300	\$ 228,400
Operating Expenses	3,652,300	4,180,000
Capital Outlay	<u>9,000</u>	<u>2,500</u>
Total Expenditures	\$ 3,845,600	\$ 4,410,900

PROVOST

ASSOCIATE PROVOST FOR MINORITY AFFAIRS

The **Office of the Associate Provost for Minority Affairs** functions to ensure the full participation in the University of African Americans and other students of minority cultural and ethnic backgrounds.

1. The **Associate Provost for Minority Affairs** has direct responsibility for the services and programs of the Office for Minority Affairs which emphasize support for African-American students, other students of minority, cultural and ethnic backgrounds, and the general advancement of an inclusive learning community for faculty, staff, and students. The Associate Provost for Minority Affairs serves in an advisory capacity in all matters related to minorities throughout the University.
2. **Services** - The Office of the Associate Provost for Minority Affairs provides assistance to departments and academic units in recruiting faculty and students, planning programs or addressing other issues relating to minority faculty, staff, or students. The Office of the Associate Provost has administrative responsibility for the units comprising the Office of Minority Affairs as well. Specifically, the units are:
 - a. The **Office of African-American Student Recruitment** which recruits African-American students to the University from across the Commonwealth. The recruiter also coordinates the "Come See For Yourself" recruitment program which attracts over 600 students to campus each year.
 - b. The **Office of African-American Student Affairs** offers orientation, cultural, social, and leadership development programs. The director provides staff assistance to the African-American student organizations and coordinates the activities of the Martin Luther King Cultural Center.
 - c. The **African-American Student Scholarship Program** provides eligible students with scholarships and/or grants. The director also oversees a number of other institutional scholarship or grant awards.
 - d. The **Learning Services Center** provides a comprehensive academic support system that consists of tutoring, structured study groups, assistance with academic planning and learning skills, help with personal and social problems, peer mentoring and the Freshman Summer Program.
3. The **Office of the Associate Provost for Minority Affairs** oversees a number of special programs including:
 - a. **Minority College Awareness Program (MCAP)** provides early intervention activities to students in the fourth through ninth grades. The program aims to enhance the precollege preparation and college going rate of African-American youth. MCAP has three distinctive components:

PROVOST - ASSOCIATE PROVOST FOR MINORITY AFFAIRS (continued)

3. Office of the Associate Provost for Minority Affairs (continued)

a. Minority College Awareness Program (continued)

(1) Students in the UK component meet bimonthly in Dickey Hall and receive instruction in integrated mathematics and science, language arts, and African-American history. The UK component also sponsors a three-week summer session for 100 young people in grades four through twelve. Classes are offered in mathematics, science, computer science, agriculture, and teacher education. Enrichment activities are provided by the Kentucky Department of Education on Teacher Recruitment and Project HOPE, sponsored by the Kentucky Higher Education Assistance Authority.

(2) The Lexington Traditional Middle School hosts the YMCA Black Achievers Seventh/Eighth Grade Cluster Component involving 67 students who learn about career choices during their bimonthly meeting during the academic year.

(3) Winburn Middle School hosts the Realizing Academic Potential component that involves all the students at the school in a number of different educational activities focused on improving the students' academic achievement.

b. **Student Support Services (SSS)** is funded through the U.S. Office of Education and through special initiatives addresses the needs of 150 students with low income status, of first generation, and/or with physical disabilities. The Program offers academic enrichment, cooperative learning groups, counseling, tutoring, and exposure to cultural events generally not utilized by students from disadvantaged backgrounds. The program's goal is to increase college retention and graduation rates, to increase the transfer rates of eligible students from two-year to four-year institutions and to foster an institutional climate supportive of the success of low income and first generation college students and individuals with disabilities.

4. Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Personal Services	\$ 682,900		\$ 711,000
Operating Expenses	259,000		259,000
Capital Outlay	<u>3,000</u>		<u>3,000</u>
Total Expenditures	\$ 944,900		\$ 973,000
		<u>Restricted Funds</u>	
	<u>2001-02</u>		<u>2002-03</u>
Operating Expenses	\$ <u>63,100</u>		\$ <u>63,100</u>
Total Expenditures	\$ 63,100		\$ 63,100

PROVOST

ASSOCIATE PROVOST AND VICE PRESIDENT FOR STUDENT AFFAIRS

The **Office of the Associate Provost And Vice President For Student Affairs** serves the mission of the University by facilitating student development outside the classroom and by supporting relationships between students and the University. This Office is responsible for communicating the needs of students to the University and interpreting University constituencies to students; evaluating the qualitative aspects of student life; and coordinating and supervising the administrative, service, and student activity functions within the Student Affairs Division.

1. The **Office of the Dean of Students** has the responsibility for assisting students in their personal, academic, and extracurricular development. The fulfillment of these responsibilities involves administrative and advisory functions which include: exit interviews with students who are withdrawing from the University; administration of the student judicial process; liaison with off-campus agencies regarding students; orientation and freshman programs; disciplinary clearances; implementation of the student code; research on student life; preparation and maintenance of student records; transfer requests; employment recommendations; advising the fraternity and sorority system; Intercollegiate Debate; the Disability Resource Center; Health/Alcohol Education Programs; distribution of student athletic tickets; liaison with campus religious groups; and Campus Recreation.
 - a. The **Campus Recreation** program provides a wide variety of intramural and recreational sports experiences and opportunities for students, faculty, and staff. Activities range from organized, competitive activities to workouts during free time. Indoor facilities managed by the department include the Johnson Student Recreation Center, Seaton Center, Alumni Gym, and Lancaster Aquatics Center. Numerous outdoor fields and courts are available.
 - b. The **Intercollegiate Debate** program offers undergraduates an opportunity for training and experience in the principles and techniques of argumentation and debating. Included is the opportunity for oral advocacy with students from colleges and universities throughout the United States.
2. The **Office of Residence Life** is responsible for creating an environment in the 19 undergraduate residence halls, the Greg Page Stadium View apartments, and three Greek Houses, that is supportive of the academic mission of the University. This includes the integration of academic experiences into a living-learning atmosphere. This Office is responsible for student discipline within the living units, student development, personal counseling and referral, programming (educational, cultural, social and recreational), and providing a safe, positive atmosphere for University students to pursue their academic goals.

PROVOST - ASSOCIATE PROVOST AND VICE PRESIDENT FOR STUDENT AFFAIRS (continued)

Office of the Associate Provost and Vice President For Student Affairs (continued)

3. The **Career Center** provides assistance to students and alumni seeking employment and planning information. Included in the functions of this office are: registering candidates; life career planning; processing credentials; arranging interviews for students and alumni with interested business, educational, and governmental organizations; posting job opportunities; seeking new listings by initiating and maintaining liaison with business, educational, and governmental organizations; providing information on job opportunities by conducting seminars and interviews; teaching resume writing, interviewing techniques, job search strategies, and skill identification; maintaining an employment literature library; operating a career-related summer and part-time employment program; conducting seminars for undecided majors and students seeking career information; providing support and assistance to the Lexington Community College; and providing numerous outreach programs to nontraditional, minority, and evening class students.
4. The **Student Media** program includes assistance to students in understanding the operation and management of media enterprises; developing effective, financially sound, and attractive publications which meet accepted journalistic standards; and locating work experience in the media field.
5. The **Student Center** houses food services areas, two theaters, the bookstore, lounges, meeting rooms, the radio station WRFI, student ID production, TicketMaster, and other student activity related areas. The Student Center programs offer social, cultural, educational and recreational activities, and various other events such as Homecoming, speakers, forums, concerts, leadership institutes, and advanced studies in leadership.
6. The University provides operational assistance to **Student Government** as the primary representative body for students.
7. The **University Counseling and Testing Center** offers individual and group psychological counseling on personal, vocational, and study skills issues. Crisis consultation, debriefing and grief interventions are provided to the campus community, as well as consultation on such subjects as group processes, the nature of the University's student body, developmental problems of the college student. In addition to counseling services, the Center administers individual and group psychological assessment instruments, national standardized tests (PRAXIS, ACT), and provides proctoring for accommodated testing for students with documented disabilities. The Center also offers many outreach activities in the form of special lectures, workshops, and presentations to faculty, staff, students, and community.

PROVOST - ASSOCIATE PROVOST AND VICE PRESIDENT FOR STUDENT AFFAIRS (continued)

Office of the Associate Provost and Vice President For Student Affairs (continued)

8. Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 5,073,400	\$ 5,312,000
Operating Expenses	1,216,900	1,287,400
Capital Outlay	<u>129,900</u>	<u>129,900</u>
Total Expenditures	\$ 6,420,200	\$ 6,729,300
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 251,200	\$ 301,800
Total Expenditures	\$ 251,200	\$ 301,800
	<u>Auxiliary Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 746,700	\$ 816,000
Operating Expenses	1,560,200	1,719,200
Capital Outlay	<u>26,300</u>	<u>42,000</u>
Total Expenditures	\$ 2,333,200	\$ 2,577,200

PROVOST

EDUCATIONAL OUTREACH AND DISTANCE LEARNING

The Director of Educational Outreach and Distance Learning has direct responsibility for the University Extension programs and the Distance Learning Technology Center.

1. University Extension includes Evening and Weekend College, Summer School, the Independent Study Program, Office of Experiential Education, Japanese Saturday School, Japanese Programs, and Carnahan Conference Center. University Extension also coordinates the UK Faculty Tour of the Commonwealth and the UK - Central Magnet Career Academy Partnership. Evening and Weekend College manages on-campus UK credit activities on weekends and on weekdays starting at 5:30 pm. or later. Summer School extends access to the curriculum through two summer sessions, allowing students to maintain or accelerate academic progress and participate in enrichment opportunities. The Office of Experiential Education coordinates and provides instruction and campus leadership for internships, service learning, and shadowing experiences. The Independent Study Program offers asynchronous college, advanced placement, high school, and middle school coursework employing traditional and electronic delivery systems. The Japanese Saturday School provides instruction in Japanese to students in grades 1 - 12. The Japanese Programs augment this curriculum with kindergarten, tutoring, and cultural and social events. Carnahan Conference Center provides an off-campus retreat setting for educational meetings and other activities. The UK Faculty Tour of the Commonwealth culminates the first-year faculty orientation. The UK - Central Partnership reflects the University's commitment to encouraging excellence, advancing underserved populations, and promoting diversity.

2. The Distance Learning Technology Center (DLTC) includes the offices of Distance Learning Programs, Distance Learning Networks, Distance Learning Library Services, and Media Design and Production. DLTC provides the infrastructure and support services necessary to deliver distance learning credit courses and programs throughout the Commonwealth of Kentucky and around the world. In cooperation with academic departments and colleges, the Graduate School, and statewide community colleges, the DLTC enhances educational opportunities through a variety of advanced technologies, including interactive video, satellite delivery, World Wide Web instruction, videotape delivery, and television courses offered on KET and UKTV Channel 16. The Media Design and Production services include web course development and multimedia support for instructional technology and media resources including audio, video, and film design. The DLTC mission is to ensure that quality teaching, as well as academic and technological support services are available to University of Kentucky distance learners across the Commonwealth.

3. Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Faculty FTE Positions	56		56

PROVOST - EDUCATIONAL OUTREACH AND DISTANCE LEARNING (continued)

3. Financial Data (continued)

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty	\$ 3,523,600	\$ 3,523,600
Other Personal Services	2,475,400	2,628,100
Subtotal	<u>5,999,000</u>	<u>6,151,700</u>
Operating Expenses	1,286,400	1,262,200
Capital Outlay	10,800	10,800
Recharges	(9,500)	(9,500)
Total Expenditures	<u>\$ 7,286,700</u>	<u>\$ 7,415,200</u>
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 16,200	\$ 16,200
Total Expenditures	<u>\$ 16,200</u>	<u>\$ 16,200</u>
	<u>Auxiliary Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 260,700	\$ 289,100
Operating Expenses	<u>125,400</u>	<u>97,000</u>
Subtotal	386,100	386,100
Recharge	(371,100)	(371,100)
Total Expenditures	<u>\$ 15,000</u>	<u>\$ 15,000</u>

PROVOST

STUDENT AID

Student Aid - Through institutional and restricted funds the University provides its students with many scholarship options, programs and opportunities. Among these scholarship and other award programs are the College Work Study, Perkins Loans, Pell Grants, College Access Program Grants, Supplemental Educational Opportunity Grants, and other institutional general merit and need-based awards.

This area is of extreme importance to the University and its students. Efforts continue at all times to obtain new monies to provide more funds for current programs or new funds to provide additional opportunities. A significant enhancement in the merit scholarship program was the introduction in 1990-91 of the state-supported Commonwealth Scholarships for academically distinguished students. This support and other institutional support has resulted in the enrollment of National Merit finalists in the freshman class, ranking the University of Kentucky as one of the top institutions in the country in the number of National Merit finalists.

Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$20,877,100	\$22,660,500
Total Expenditures	\$20,877,100	\$22,660,500
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$17,597,700	\$19,670,000
Total Expenditures	\$17,597,700	\$19,670,000

PROVOST

CHIEF ACADEMIC OFFICER

Chief Academic Officer - The **Office of the Chief Academic Officer** facilitates and directs all undergraduate and graduate teaching, research, and service activities of the Provost and develops financial support and the human resources required to achieve even higher levels of academic excellence for the benefit of the citizens of the Commonwealth. The Offices of International Affairs, Provost Budget Office, Institutional Research, Planning and Effectiveness, University Press, and Academic Ombud report directly to the Chief Academic Officer.

1. **Office of International Affairs** - The mission of the Office of International Affairs (OIA) is to further internationalize education at the University of Kentucky and within the Commonwealth by supporting an environment conducive to intercultural learning, research and exchange and by fostering opportunities for students, staff, faculty, and citizens to develop the global perspective fundamental to full participation in the modern world.

The objectives of the Office of International Affairs are:

To offer leadership in internationalizing the campus and promote campus-wide planning and coordination toward international education; to stimulate the creation of more cross-cultural/international courses and the addition of an international dimension to other courses; to make the study abroad experience an integral part of students' education; to assist the integration of foreign students and scholars into the University's community of learning; to develop, implement, and support programs for cross-cultural learning and discussion of international issues for students, staff, and faculty; to promote the establishment of links with institutions in the United States and overseas for the purposes of faculty, staff, and student exchange and to broaden international research and service opportunities; to act as a bridge between the international community and local and state citizens and institutions in order to create a supportive environment for international women and provide opportunities for American women to participate fully in all kinds of international experiences; and to participate, through funded contracts, in projects designed to assist developing nations in their efforts to strengthen institutions of research, instruction, and public service.

2. **Provost Budget Office** - This Office is responsible for collecting information on program needs and relating those needs to available resources in a manner which facilitates decisions on the allocation of resources within the Provost area, and assessing and evaluating the use of those resources.

3. **Institutional Research, Planning and Effectiveness** - The mission of the Office of the Vice President for Institutional Research, Planning and Effectiveness is to coordinate planning and institutional effectiveness activities on behalf of the Provost in support of the teaching, research and public service missions of the University of Kentucky. These activities include conducting institutional research, providing benchmarking data, completing special analyses, and coordinating evaluation efforts, all in support of the University's strategic planning process. Additionally, the office coordinates the University's response to external requests for information related to students, programs, faculty and staff, including requests for institutional accountability and documentation of the quality of programs and services.

PROVOST - CHIEF ACADEMIC OFFICER (continued)

4. University Press is a regional scholarly book publisher serving the University of Kentucky and other colleges and universities statewide.

5. Academic Ombud Services - The Academic Ombud helps resolve academic disputes between students and faculty or administration. When students are unable to resolve grievances or complaints through usual means, the Ombud may be able to expedite the process or advise the student about the proper procedures to follow. Problems include, but are not limited to, violation of student academic rights, unfair teaching and grading practices, cheating and plagiarism, and discrimination and harassment. All cases are held in strict confidence.

6. Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Faculty FTE Positions	1	1
Faculty	\$ 152,300	\$ 151,500
Other Personal Services	3,700,100	4,195,100
Operating Expenses	2,983,400	2,970,600
Capital Outlay	<u>13,500</u>	<u>13,000</u>
Total Expenditures	\$ 6,849,300	\$ 7,330,200
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 4,000	\$ 4,000
Operating Expenses	<u>1,435,300</u>	<u>276,600</u>
Total Expenditures	\$ 1,439,300	\$ 280,600

PROVOST

BUDGETED EXPENDITURES DETAIL - GENERAL FUND

2001-02

2002-03

COLLEGES

College of Agriculture

Administration	\$ 598,700	\$ 620,800
Agricultural Economics	1,080,700	1,192,700
Agronomy	539,300	667,500
Agronomy Research Challenge Trust Fund Research and Graduate Programs	10,600	25,700
Animal Sciences	1,004,100	1,063,900
Biosystems and Agricultural Engineering	399,300	503,100
Entomology	254,700	265,900
Forestry	352,500	422,400
Horticulture	251,200	250,800
Landscape Architecture	553,500	571,900
Plant Pathology	129,800	144,500
Plant Pathology Research Challenge Trust Fund Research and Graduate Programs	5,000	11,000
Rural Sociology	125,700	106,500
Arboretum	43,100	44,700
Veterinary Science	50,400	52,100
Total Agriculture	\$ 5,398,600	\$ 5,943,500

Agricultural Experiment Station

Director	\$ 495,100	\$ 510,600
Associate Director	658,700	693,300
Agricultural Economics	984,500	931,500
Agronomy	3,886,300	4,160,700
Agronomy Research Challenge Trust Fund Research and Graduate Programs	564,200	553,700
Animal Sciences	3,302,100	3,597,700

PROVOST - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

COLLEGES (continued)

	<u>2001-02</u>	<u>2002-03</u>
Agricultural Experiment Station (continued)		
Biosystems and Agricultural Engineering	\$ 1,510,100	\$ 1,673,700
Entomology	1,203,100	1,228,800
Forestry	803,200	928,400
Horticulture	893,500	934,000
Landscape Architecture	74,400	77,200
Plant Pathology	1,361,300	1,435,100
Plant Pathology Research Challenge Trust Fund Research and Graduate Programs	233,000	227,200
Rural Sociology	359,300	361,500
Veterinary Science	1,679,500	1,725,100
Center for Equine Health Care	157,900	169,400
Agricultural Communications	421,600	484,000
Alumni and Development	342,500	356,700
Building Maintenance	191,600	196,300
Business Office	144,100	152,200
Central Kentucky Farms	1,918,700	2,011,400
Data Center	308,400	328,500
Facilities Maintenance	595,200	610,200
Farm Service Center	698,500	758,800
Groundwater Program	624,200	616,000
Program Reserve	287,000	283,000
Robinson Substation	171,500	181,400
Utilities	814,100	814,100
West Kentucky Substation	514,600	519,400
Wood Use Center/Robinson Forest	239,300	243,400
Woodford County Farm	166,700	184,500
Total Agricultural Experiment Station	\$ 25,604,200	\$ 26,947,800

PROVOST - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

2001-02

2002-03

COLLEGES (continued)

Agricultural Public Service

Agronomy	\$ 259,100	\$ 292,100
Animal Sciences	0	200
Entomology	99,000	99,000
Forestry	11,000	100,000
Veterinary Science	1,224,000	806,500
Livestock Disease Diagnostic Laboratory	3,120,600	3,336,700
Regulatory Services	3,637,600	3,745,600
Total Agricultural Public Service	\$ 8,351,300	\$ 8,380,100

Agricultural Cooperative Extension Service

Director	\$ 1,036,000	\$ 564,800
Associate Director	160,200	173,300
Assistant Director-Agriculture Programs	269,200	235,000
Agricultural Economics	1,682,100	1,720,000
Agronomy	775,600	793,200
Animal Sciences	1,058,600	1,157,200
Biosystems and Agricultural Engineering	824,800	836,800
Entomology	349,900	383,700
Forestry	346,500	405,800
Home Economics	621,100	617,600
Horticulture	360,600	383,400
Plant Pathology	290,500	286,100
Rural Sociology	386,300	489,300
Veterinary Science	103,000	105,900
Agricultural Communication	1,429,300	1,447,800
Alumni and Development	59,400	61,900
Business Office	61,500	64,000
Data Center	545,400	564,300

PROVOST - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

COLLEGES (continued)

		<u>2001-02</u>	<u>2002-03</u>
College of Agricultural Cooperative Extension Service (continued)			
Developmental Programs	\$	67,400	\$ 69,400
Field Programs		26,758,900	27,772,200
Home Economics Programs		766,800	838,000
Personnel		270,600	279,600
West Kentucky Extension Program		127,200	133,800
4-H Youth Development Programs		534,200	585,700
Total Agricultural Cooperative Extension Service	\$	<u>38,885,100</u>	<u>\$ 39,968,800</u>
College of Architecture	\$	2,237,400	\$ 2,365,600
College of Arts and Sciences			
Administration	\$	2,741,600	\$ 2,755,900
Aerospace Science		21,900	22,900
Anthropology		1,477,000	1,456,300
Biological Sciences		3,230,000	3,305,200
Chemistry		3,408,100	3,583,000
Chemistry Research Challenge Trust Fund Research and Graduate Programs		624,400	624,400
Classical Languages		475,900	565,400
English		4,081,100	4,099,900
French		747,100	736,000
Geography		1,525,500	1,409,100
Geography Research Challenge Trust Fund Research and Graduate Programs		256,200	255,800
Geology		970,100	1,103,700
German		513,500	573,600
History		2,422,400	2,466,800
Interdisciplinary Programs		111,000	109,500

PROVOST - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

COLLEGES (continued)

	<u>2001-02</u>	<u>2002-03</u>
College of Arts and Sciences (continued)		
Mathematics	\$ 4,260,900	\$ 4,570,200
Military Science	84,200	88,600
Philosophy	1,425,800	1,457,800
Physics and Astronomy	3,446,700	3,569,900
Political Science	1,554,200	1,548,800
Psychology	2,305,500	2,456,300
Psychology Research Challenge Trust Fund Research and Graduate Programs	199,800	200,300
Russian and Eastern Studies	430,500	410,000
Sociology	1,322,800	1,368,300
Spanish and Italian	1,367,100	1,359,400
Statistics	1,305,700	1,349,100
Women's Studies	144,100	117,600
Faculty Reallocation Pool	302,000	352,200
Language Laboratory	232,900	242,400
Total Arts and Sciences	\$ 40,988,000	\$ 42,158,400
Gatton College of Business and Economics		
Administration	\$ 542,400	\$ 932,400
School of Accountancy	1,877,200	1,923,900
Economics	1,703,000	1,833,000
Economics Research Challenge Trust Fund Research and Graduate Programs	161,000	161,000
Management	4,420,800	4,555,900
Management Research Challenge Trust Fund Research and Graduate Programs	291,000	291,000
Center for Business and Economic Research	206,300	214,700
Center for Entrepreneurship	580,700	593,500

PROVOST - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

2001-02

2002-03

COLLEGES (continued)

Gatton College of Business and Economics (continued)

Center for Labor Education and Research	\$ 526,800	\$ 517,800
Graduate Center	867,500	871,200
International Business and Management Center	600,000	535,000
MBA Center	132,800	138,000
Undergraduate Center	301,200	313,400
Faculty Reallocation Pool	304,800	213,200
Total Business and Economics	<u>\$ 12,515,500</u>	<u>\$ 13,094,000</u>

College of Communications and Information Studies

Administration	\$ 750,900	\$ 783,500
Communication	1,278,100	1,374,600
School of Journalism and Telecommunications	1,353,500	1,443,700
School of Library and Information Science	936,500	965,100
Total Communications and Information Studies	<u>\$ 4,319,000</u>	<u>\$ 4,566,900</u>

College of Education

Administration	\$ 1,060,400	\$ 1,577,700
Administration and Supervision	731,600	769,000
Curriculum and Instruction	1,938,400	1,982,200
Educational Policy Studies	1,007,000	1,098,200
Educational Psychology and Counseling	1,189,900	1,281,100
Kinesiology and Health Promotion	1,283,500	1,318,700
Special Education and Rehabilitation Counseling	1,364,000	1,426,900
Vocational Education	24,100	24,100
Center for Professional Development	177,800	182,500
Collaborative Literacy Program	1,289,700	1,314,200
Instructional Media and Technology	301,000	318,600
Teacher Education and Certification	652,800	670,900
Total Education	<u>\$ 11,020,200</u>	<u>\$ 11,964,100</u>

PROVOST - BUDGETTED EXPENDITURES DETAIL - GENERAL FUND (continued)

COLLEGES (continued)

	<u>2001-02</u>	<u>2002-03</u>
College of Engineering		
Administration	\$ 1,604,100	\$ 1,149,000
Alumni Development	338,300	350,500
Chemical and Materials Engineering	1,974,500	2,050,800
Chemical and Materials Engineering Research Challenge Trust Fund Research and Graduate Programs	463,800	505,800
Civil Engineering	2,265,100	2,558,100
Computer Science	1,889,000	2,130,800
Computer Science Research Challenge Trust Fund Research and Graduate Programs	609,700	614,600
Electrical Engineering	1,887,400	2,103,200
Electrical Engineering Research Challenge Trust Fund Research and Graduate Programs	375,300	377,600
Mechanical Engineering	2,341,600	2,432,200
Mining Engineering	773,600	817,000
Center for Robotics and Manufacturing Systems	4,394,200	2,894,200
Computer Operations	446,600	565,600
Consortium for Fossil Fuel Liquefaction Science	25,000	25,000
Engineering Enhancement Award	300,000	300,000
Paducah Engineering Program	1,586,100	1,067,100
Transportation Center	310,000	445,300
Office of Institutional Services Technical Liaison (OISTL)	100,000	100,000
Total Engineering	\$ 21,684,300	\$ 20,486,800

PROVOST - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

	<u>2001-02</u>	<u>2002-03</u>
<u>COLLEGES (continued)</u>		
College of Fine Arts		
Administration	\$ 657,500	\$ 695,200
Art	1,574,000	1,631,000
Music	3,088,800	3,209,300
Theatre Arts	856,800	872,400
Band	210,300	210,300
Center for the Arts	367,500	566,000
Total Fine Arts	<u>\$ 6,754,900</u>	<u>\$ 7,184,200</u>
College of Human Environmental Sciences		
Administration	\$ 761,500	\$ 780,900
Family Studies	1,497,300	1,581,500
Interior Design, Merchandising and Textiles	531,700	504,700
Nutrition and Food Science	734,200	775,100
School of Interior Design	359,600	434,600
Total Human Environmental Sciences	<u>\$ 3,884,300</u>	<u>\$ 4,076,800</u>
College of Law	\$ 5,239,800	\$ 5,544,400
College of Social Work	\$ 2,096,900	\$ 2,162,300
Lexington Community College		
College-Wide Administration	\$ 2,136,800	\$ 2,421,600
General Instruction	10,456,800	12,104,800
Academic Support	736,200	752,500
Student Services	1,816,400	2,064,600
Scholarships	225,900	239,600
Public and Community Service	636,400	330,800

PROVOST - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

COLLEGES (continued)

	<u>2001-02</u>	<u>2002-03</u>
Lexington Community College (continued)		
Technology and Computing	\$ 575,500	\$ 661,100
Library Services	708,300	774,800
Maintenance and Operations	1,206,500	1,351,500
Total Lexington Community College	<u>\$ 18,498,800</u>	<u>\$ 20,701,300</u>

LIBRARIES

Libraries	\$ 14,574,800	\$ 14,931,800
-----------	---------------	---------------

MULTIDISCIPLINARY GRADUATE PROGRAMS

James W. Martin School of Public Policy and Administration	\$ 697,600	\$ 818,900
Center for Public Administration	527,800	543,400
Patterson School of Diplomacy and International Commerce	350,800	367,900
Graduate School	1,489,900	1,602,200
Extended Campus Graduate Centers	53,800	53,700
General Academic Support	543,300	123,700
Total Multidisciplinary Graduate Programs	<u>\$ 3,663,200</u>	<u>\$ 3,509,800</u>

UNDERGRADUATE EDUCATION

Associate Provost of Undergraduate Studies	\$ 384,200	\$ 446,000
University Studies	203,700	203,700
Advising and Transfer Center	600,600	627,300
Humanities Program	171,800	185,000
Teaching and Learning Center	520,700	526,400
Admissions	1,402,200	1,428,800
Scholarship Office	96,200	100,000
Honors Program	740,100	762,800
Registrar	1,741,500	3,528,400

PROVOST - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

2001-02

2002-03

UNDERGRADUATE EDUCATION (continued)

Robinson Trust	\$ 55,400	\$ 57,500
Student Billing Services	918,600	1,135,300
Student Financial Aid - Administration	1,177,200	1,004,900
Total Undergraduate Education	<u>\$ 8,012,200</u>	<u>\$ 10,006,100</u>

MINORITY AFFAIRS

Office of the Associate Provost	\$ 402,500	\$ 415,100
Learning Services Center	121,100	125,600
Minority Student Affairs	108,200	112,300
Recruitment and Retention	313,100	320,000
Total Minority Affairs	<u>\$ 944,900</u>	<u>\$ 973,000</u>

STUDENT AFFAIRS

Office of the Associate Provost	\$ 322,900	\$ 345,600
Dean of Students	922,700	979,500
Campus Recreation	1,682,100	1,696,000
Debate	197,200	195,500
Counseling and Testing	728,900	762,500
University Career Center	500,300	515,600
Residence Life Program	1,478,800	1,574,900
Student Center	477,500	531,900
Student Publications	99,000	117,000
Kentuckian	10,800	10,800
Total Student Affairs	<u>\$ 6,420,200</u>	<u>\$ 6,729,300</u>

PROVOST - BUDGETTED EXPENDITURES DETAIL - GENERAL FUND (continued)

2001-02 2002-03

EDUCATIONAL OUTREACH AND DISTANCE LEARNING

University Extension Administration	\$ 470,100	\$ 514,600
Conferences and Institutes	61,000	62,800
Distance Learning Technology Center	2,630,200	2,668,900
Evening Class Program	70,500	99,500
Experiential Education	189,200	184,500
Independent Study Program	886,200	885,900
Japanese Programs	337,100	345,700
Summer School	2,642,400	2,653,300
Total Educational Outreach and Distance Learning	<u>\$ 7,286,700</u>	<u>\$ 7,415,200</u>

STUDENT AID

Commonwealth Scholarships	\$ 1,106,300	\$ 1,177,100
Law Scholarships	62,100	62,100
Minority Affairs Scholarships	1,937,100	2,060,100
President/Provost Scholarships	2,143,900	2,262,600
Student Fee Scholarships	9,087,500	9,685,500
Student Loan and Work Study Matching	267,000	267,000
Undergraduate Scholarships - Fund for Excellence	280,000	280,000
University Scholarships	580,000	580,000
Graduate School Scholarships	3,259,700	4,081,700
Research Challenge Trust Fund Research and Graduate Programs	1,676,500	1,705,900
Other Student Aid	477,000	498,500
Total Student Aid	<u>\$ 20,877,100</u>	<u>\$ 22,660,500</u>

CHIEF ACADEMIC OFFICER

Office of the Provost	\$ 557,400	\$ 580,000
Provost Budget Office	393,100	397,000
Program Reserve	1,099,500	1,063,100
Disability Reserve	737,800	763,900
Residuals	100,000	100,000
Academic Ombudsman	81,300	76,300

PROVOST - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

	<u>2001-02</u>	<u>2002-03</u>
CHIEF ACADEMIC OFFICER (continued)		
International Affairs	\$ 780,500	\$ 814,700
Institutional Research, Planning and Effectiveness	667,700	715,800
University Press	2,386,700	2,450,000
Benefits Reserve	45,300	369,400
Total Chief Academic Officer	<u>\$ 6,849,300</u>	<u>\$ 7,330,200</u>
DEBT SERVICE	\$ 9,759,300	\$ 7,767,100
TOTAL GENERAL FUNDS	\$ 285,866,000	\$ 296,868,000
NONRECURRING FUNDS		
Capital	\$ 1,187,200	\$ 1,520,800
Program	1,172,500	4,202,500
One Time Performance Supplement	0	4,567,300
Total Nonrecurring Funds	<u>\$ 2,359,700</u>	<u>\$ 10,290,600</u>

PROVOST

BUDGETED EXPENDITURES DETAIL - FEDERAL APPROPRIATED - RESTRICTED FUND

2001-02

2002-03

COLLEGES

Agricultural Experiment Station

Director	\$ 313,500	\$ 243,000
Associate Director	43,100	43,100
Agricultural Economics	642,200	510,500
Agronomy	858,300	788,700
Animal Sciences	886,200	886,000
Biosystems and Agricultural Engineering	426,500	422,700
Entomology	551,400	582,600
Forestry	384,400	384,400
Horticulture	462,100	466,300
Plant Pathology	356,500	356,500
Rural Sociology	94,100	94,100
Veterinary Science	439,800	439,500
Agricultural Communications	5,000	5,000
Business Office	53,300	53,500
Total Agricultural Experiment Station	\$ 5,516,400	\$ 5,275,900

Agricultural Cooperative Extension Service

Director	\$ 829,900	\$ 835,600
Associate Director	77,800	81,500
Assistant Director - Agriculture Programs	171,100	315,700
Agricultural Economics	384,600	394,400
Agronomy	704,500	716,200
Animal Sciences	652,500	630,400
Biosystems and Agricultural Engineering	297,400	313,100
Entomology	395,600	368,100
Forestry	274,500	301,300
Home Economics	128,900	133,100
Horticulture	540,800	558,100

PROVOST

BUDGETED EXPENDITURES DETAIL - FEDERAL APPROPRIATED - RESTRICTED FUND

	<u>2001-02</u>	<u>2002-03</u>
Agricultural Cooperative Extension Service (continued)		
Plant Pathology	\$ 157,600	\$ 163,400
Rural Sociology	128,400	133,800
Veterinary Science	163,800	168,100
Agricultural Communication	716,400	728,800
Alumni and Development	38,600	38,900
Business Office	161,000	167,100
Data Center	70,500	62,500
Developmental Programs	23,000	23,000
Field Programs	1,484,500	1,242,500
Home Economics Programs	1,969,400	2,117,700
Personnel	239,000	244,700
4-H Youth Development Programs	989,000	1,064,200
Total Agricultural Cooperative Extension	\$ 10,598,800	\$ 10,802,200
TOTAL FEDERAL APPROPRIATED - RESTRICTED FUNDS	\$ 16,115,200	\$ 16,078,100

PROVOST

BUDGETED EXPENDITURES DETAIL - NON-FEDERAL APPROPRIATED - RESTRICTED FUND

2001-02

2002-03

COLLEGES

College of Agriculture

College-Wide	\$ 50,100	\$ 80,100
Agricultural Economics	13,000	6,000
Agronomy	18,500	15,700
Animal Sciences	8,600	8,200
Arboretum	620,200	125,900
Biosystems and Agricultural Engineering	6,000	6,000
Forestry	6,700	7,900
Horticulture	39,100	39,000
Plant Pathology	3,100	8,000
Veterinary Science	23,800	23,800
Landscape Architecture	6,200	18,100
Scholarships	419,900	503,200
Total Agriculture	\$ 1,215,200	\$ 841,900

Agricultural Experiment Station

Director	\$ 208,000	\$ 388,700
Associate Director	42,100	157,100
Agricultural Economics	19,700	27,900
Agronomy	1,006,600	995,600
Animal Sciences	714,000	660,000
Biosystems and Agricultural Engineering	232,800	169,200
Livestock Disease Diagnostic Laboratory	1,600	0
Entomology	333,200	392,600
Forestry	74,600	86,800
Horticulture	91,800	126,100
Plant Pathology	164,100	276,600

PROVOST-BUDGETED EXPENDITURES DETAIL-NON-FEDERAL APPROPRIATED-RESTRICTED FUND (continued)

COLLEGES (continued)

	<u>2001-02</u>	<u>2002-03</u>
Agricultural Experiment Station (continued)		
Rural Sociology	\$ 6,100	\$ 10,000
Veterinary Science	5,121,700	6,507,500
Landscape Architecture	3,700	6,900
Alumni and Development	362,700	306,300
Equine Research	92,200	92,600
Scholarships	382,800	406,700
Total Agricultural Experiment Station	<u>\$ 8,857,700</u>	<u>\$ 10,610,600</u>
Agricultural Public Service		
	\$ 122,600	\$ 144,500
Agricultural Cooperative Extension Service		
Director	\$ 79,700	\$ 14,300
Associate Director	243,300	211,400
Agricultural Economics	20,700	21,700
Agronomy	158,300	33,300
Alumni and Development	35,000	0
Animal Sciences	32,300	145,300
Agricultural Programs	8,500	8,500
Biosystems and Agricultural Engineering	3,000	3,000
Entomology	42,000	34,000
Field Programs	1,100	3,500
Forestry	1,000	0
Home Economics	6,700	6,100
Horticulture	0	300
Landscape Architecture	200	200
4-H Youth Development Programs	3,500	5,100
Scholarships	19,900	9,900
Total Agricultural Cooperative Extension Service	<u>\$ 655,200</u>	<u>\$ 496,600</u>

PROVOST-BUDGETED EXPENDITURES DETAIL-NON-FEDERAL APPROPRIATED-RESTRICTED FUND (continued)

	<u>2001-02</u>	<u>2002-03</u>
<u>COLLEGES (continued)</u>		
College of Architecture		
College-Wide	\$ 180,000	\$ 198,900
Scholarships	92,300	112,000
Total Architecture	<u>\$ 272,300</u>	<u>\$ 310,900</u>
College of Arts and Sciences		
College-Wide	\$ 114,500	\$ 162,300
Chair in the Humanities	48,100	48,100
Aerospace Science	1,300	1,500
Anthropology	4,300	14,000
Biological Sciences	181,000	180,400
Chemistry	254,300	182,000
Classics	500	500
English	35,500	45,400
French	500	500
Geography	3,800	3,100
Geology	19,200	20,500
German	31,700	11,400
History	146,000	138,200
Interdisciplinary Programs	31,500	40,900
Mathematics	56,500	57,300
Military Science	500	0
Philosophy	1,200	1,200
Physics and Astronomy	8,400	9,900
Political Science	7,800	7,200
Psychology	9,600	8,600
Russian and Eastern Studies	1,200	1,200
Sociology	12,100	12,000

PROVOST-BUDGETTED EXPENDITURES DETAIL-NON-FEDERAL APPROPRIATED-RESTRICTED FUND (continued)

2001-02 2002-03

COLLEGES (continued)

	<u>2001-02</u>	<u>2002-03</u>
College of Engineering		
College-Wide	\$ 589,000	\$ 781,300
Chemical and Materials Engineering	270,400	404,500
Civil Engineering	832,700	1,352,500
Computer Science	491,000	714,900
Electrical Engineering	477,300	428,900
Mechanical Engineering	746,100	615,300
Mining Engineering	155,700	108,800
Office of Institutional Services Technical Liaison (OISTL)	0	16,500
Center for Robotics and Manufacturing Systems	0	54,500
Transportation Center	368,500	370,000
Scholarships	<u>1,844,100</u>	<u>2,243,600</u>
Total Engineering	\$ <u>5,774,800</u>	\$ <u>7,090,800</u>
College of Fine Arts		
College-Wide	\$ 80,300	\$ 115,600
Art	13,900	45,500
Music	135,000	174,500
Theatre Arts	110,300	167,300
Center for the Arts	12,100	82,300
Scholarships	<u>123,400</u>	<u>152,200</u>
Total Fine Arts	\$ <u>475,000</u>	\$ <u>737,400</u>
College of Human Environmental Sciences		
College-Wide	\$ 2,908,300	\$ 764,200
Family Studies	14,200	6,900
Interior Design, Merchandising and Textiles	20,400	11,700

PROVOST-BUDGETED EXPENDITURES DETAIL-NON-FEDERAL APPROPRIATED-RESTRICTED FUND (continued)

	<u>2001-02</u>	<u>2002-03</u>
--	----------------	----------------

COLLEGES (continued)

College of Human Environmental Sciences (continued)		
Nutrition and Food Science	\$ 1,600	\$ 4,700
School of Interior Design	71,000	60,000
Scholarships	<u>192,800</u>	<u>220,800</u>
Total Human Environmental Sciences	\$ 3,208,300	\$ 1,068,300

College of Law		
College-Wide	\$ 906,800	\$ 925,400
Scholarships	<u>613,300</u>	<u>651,000</u>
Total Law	\$ 1,520,100	\$ 1,576,400

College of Social Work		
College-Wide	\$ 50,900	\$ 63,300
Scholarships	<u>20,200</u>	<u>35,100</u>
Total Social Work	\$ 71,100	\$ 98,400

Lexington Community College		
College-Wide	\$ 114,600	\$ 109,800
General Instruction	214,600	91,100
Library	0	3,900
Maintenance and Operations	1,400	1,400
Public and Community Service	3,000	3,000
Student Services	2,000	2,000
Scholarships	<u>143,700</u>	<u>187,400</u>
Total Lexington Community College	\$ 479,300	\$ 398,600

LIBRARIES		
Libraries	\$ 2,386,900	\$ 3,671,500
Scholarships	<u>5,700</u>	<u>8,200</u>
Total Libraries	\$ 2,392,600	\$ 3,679,700

PROVOST-BUDGETED EXPENDITURES DETAIL-NON-FEDERAL APPROPRIATED-RESTRICTED FUND (continued)

	<u>2001-02</u>	<u>2002-03</u>
MULTIDISCIPLINARY GRADUATE PROGRAMS		
Extended Campus Graduate Centers	\$ 200	\$ 200
James W. Martin School of Public Policy and Administration	88,000	90,100
Patterson School of Diplomacy and International Commerce	104,500	108,900
Graduate School Program	39,900	200
Scholarships	960,400	724,700
Total Multidisciplinary Graduate Programs	<u>\$ 1,193,000</u>	<u>\$ 924,100</u>
UNDERGRADUATE EDUCATION		
Admissions	\$ 300	\$ 300
Honors Program	13,800	1,200
Humanities Program	33,800	7,900
Robinson Trust	348,900	337,700
Scholarships	3,448,800	4,063,800
Total Undergraduate Education	<u>\$ 3,845,600</u>	<u>\$ 4,410,900</u>
MINORITY AFFAIRS		
Asst. Provost	\$ 13,500	\$ 13,500
Scholarships	49,600	49,600
Total Minority Affairs	<u>\$ 63,100</u>	<u>\$ 63,100</u>
STUDENT AFFAIRS		
Assistant Provost	\$ 15,300	\$ 15,300
Counseling and Testing	1,600	1,600
Dean of Students	179,000	196,400
Student Center	9,800	33,200
Student Media Services	0	500
University Career Center	24,500	33,500
Scholarships	21,000	21,300
Total Student Affairs	<u>\$ 251,200</u>	<u>\$ 301,800</u>

PROVOST-BUDGETTED EXPENDITURES DETAIL-NON-FEDERAL APPROPRIATED-RESTRICTED FUND (continued)

	<u>2001-02</u>	<u>2002-03</u>
EDUCATIONAL OUTREACH AND DISTANCE LEARNING		
University Extension	\$ 8,000	\$ 8,000
Scholarships	<u>8,200</u>	<u>8,200</u>
Total Educational Outreach and Distance Learning	\$ 16,200	\$ 16,200
<u>STUDENT AID</u>		
College Access Program Grant	\$ 4,200,000	\$ 3,870,000
College Work Study Program	1,100,000	1,050,000
Pell Grants	11,200,000	13,625,000
Supplemental Educational Opportunity Grants	<u>1,097,700</u>	<u>1,125,000</u>
Total Student Aid	\$ 17,597,700	\$ 19,670,000
<u>CHIEF ACADEMIC OFFICER</u>		
Office of the Provost	\$ 1,270,600	\$ 101,600
University Press	160,800	171,100
Scholarships	<u>7,900</u>	<u>7,900</u>
Total Chief Academic Officer	\$ 1,439,300	\$ 280,600
TOTAL NON-FEDERAL APPROPRIATED RESTRICTED FUNDS	\$ 54,704,300	\$ 58,493,000
TOTAL RESTRICTED FUNDS	\$ 70,819,500	\$ 74,571,100

PROVOST

BUDGETED EXPENDITURES DETAIL - AUXILIARY SERVICES

2001-2002

2002-2003

COLLEGES

Agriculture Youth Programs - 4-H Camps	\$	190,000	\$	190,000
Feltner Memorial		256,200		221,000
Lake Cumberland		319,900		310,000
North Central		260,700		244,100
West Kentucky		626,600		589,900
Leadership Center		27,400		0
4-H Improvements				
Subtotal Agriculture Youth Programs - 4-H Campus		<u>1,680,800</u>		<u>1,555,000</u>
Arts and Sciences R.O.T.C. Programs		35,300		36,400
Forestry Camp		41,600		48,000
Total Colleges	\$	<u>1,757,700</u>	\$	<u>1,639,400</u>

SERVICE CENTERS

Agriculture DOT	\$	19,500	\$	0
Engineering Electron Microscopy		13,000		13,000
Media Design and Production		15,000		15,000
Singletary Center for the Arts		178,100		0
Total Service Centers	\$	<u>225,600</u>	\$	<u>28,000</u>

PROVOST - BUDGETED EXPENDITURES DETAIL - AUXILIARY SERVICES (continued)

	<u>2001-2002</u>	<u>2002-2003</u>
<u>STUDENT AFFAIRS</u>		
Residence Halls Recreation	\$ 18,000	\$ 18,000
Residence Halls Government	162,800	162,600
Residence Halls Programming	119,000	117,000
Student Center	1,826,200	2,017,800
Student Government	142,700	197,300
Student Media Services	63,000	63,000
Career Center Conferences	1,500	1,500
Total Student Affairs	\$ 2,333,200	\$ 2,577,200
TOTAL AUXILIARY SERVICES	\$ 4,316,500	\$ 4,244,600

RESEARCH

The goal of the Research area is to foster the growth and development of the University's research programs and, thereby, facilitate accomplishment of the University's mission as the principal research institution in the system of higher education in Kentucky.

The Office of the Vice President for Research provides leadership for and facilitates efforts to strengthen, expand and develop research programs throughout the University. This includes, but is not limited to, the provision of (1) general administrative support for most multidisciplinary centers and institutes, (2) executive management for most research support units, and (3) special assistance for research endeavors of individual faculty and academic units. The Vice President for Research is also the institutional officer responsible for University adherence to governmental regulations relating to the conduct of research.

1. **Research** - Programs in Research provide for an interdisciplinary approach to the solution of problems facing the Commonwealth and the nation. The Office of the Vice President for Research is responsible for the general administrative oversight of the research enterprise and, in addition, provides general administration and supervision of special research units, such as those described below. Much of the research accomplished by these units and by individual faculty is supported through federal and other external sources. Application for, and management of, such support is facilitated by the Office of Sponsored Program Development, and the Office of Sponsored Projects Administration.

a. The **Center for Computational Sciences** is a center for research and education with primary emphasis on developing and using computer models to analyze chemical, physical, and biological systems. Faculty from Mathematics, Computer Science, Statistics, Engineering, Chemistry, Physics, Biology, Biochemistry, Pharmacy and other departments use a multidisciplinary team approach to study such systems, utilizing the full range of computational resources - from desktop to supercomputers. This includes algorithm development and evaluation for parallel processors. Courses in applied mathematics, numerical analysis, and computer modeling are available for students interested in these analytical approaches. The Center also acts as a liaison with the National Computational Science Alliance (NCSA) which is a nationwide partnership centered at the University of Illinois and funded by the National Science Foundation. The Center has been identified by the Commonwealth of Kentucky as a Center of Excellence.

b. The **Kentucky Water Resources Research Institute** stimulates and supports water research and education by identifying current and emerging water issues for Kentucky and the nation. Faculty at all the universities and colleges in Kentucky are encouraged to participate in the Institute's programs.

Programs of the Institute include the Environmental Systems Certificate curriculum, which offers graduate students an understanding of the comprehensive integration of sciences concerned with environmental problems and provides an understanding of the interactions of physical, social, biological, economic and legal parameters of environmental issues; Environmental Protection Scholarships, which support both undergraduate and graduate students with full scholarships in exchange for employment with the state upon graduation; assisting the state Cabinet for Health Services with environmental oversight of federal facilities located in Kentucky; Water Resources Research Grants, which stimulate activities by supporting faculty members, research staff and graduate students in a broad spectrum of research topics; and the Institute's Technology Transfer activities, which include short courses, seminars and conferences, and the distribution of research results.

RESEARCH (continued)

1. Research (continued)

- c. The Tobacco and Health Research Institute is the administrative, research, and resource center of a tobacco research program funded by a dedicated tax on cigarettes sold in the Commonwealth of Kentucky. The Institute conducts research in two distinct fields, namely the investigation of health conditions associated with the use of tobacco products, and the application of biotechnology to develop new applications for the tobacco plant. Innovative research in both fields is encouraged through grant programs; proposals for support are subject to peer review. Biotechnology research for the development of new crops based on tobacco is also conducted by an in-house team of investigators, with a particular emphasis on collaborations with research groups in academia and industry. Collaborative projects increase the opportunities for the development of tobacco plants that produce useful new materials, and attract the interest of potential end-users who will eventually constitute the markets for such products. The Kentucky Tobacco Research Board has final approval for the expenditure of funds on all research projects. Faculty at the Institute are active in training pre- and postdoctoral fellows, and in promoting the opportunities for molecular farming with tobacco as a versatile production system. The Institute also provides a unique service to tobacco researchers worldwide through its production of research reference cigarettes.
- d. The Center of Membrane Sciences brings together University of Kentucky faculty and students from a wide variety of academic specialties in collaborative, multidisciplinary research and graduate education efforts. Currently involved are representatives from the pharmaceutical, medical, biological, physical and agricultural sciences and engineering. Current research includes work on both biological and synthetic membranes, artificial membranes and their interface. The Center sponsors a colloquium series designed to facilitate interaction and cross-fertilization of ideas and expertise among faculty, research associates, graduate students and postdoctoral scholars.
- e. The Center for Applied Energy Research - The mission of the Center is to conduct basic and applied research designed to generate baseline data on the character and use of Kentucky energy resources; to ascertain associated environmental impacts; and to produce, test and evaluate new energy technologies in the Kentucky regional setting. The Center is also extending its activities in instruction and service to complement the University's other established objectives. In this respect, the following objectives are being pursued: to deliver technical support, service and technology transfer; to assist in the application of the new technologies to specific problems; and to provide a site dedicated to the teaching, education, training and experience building of future energy specialists.
- f. The Center on Drug and Alcohol Research is a University multidisciplinary unit organized to facilitate interdisciplinary research, teaching, and other activities related to drug and alcohol issues. It brings together researchers, educators, and others from different colleges, centers and departments who have a strong common interest in the various dimensions of drug and alcohol abuse, use and addiction. With grant funding, Center researchers have focused on examining alcohol and drug abuse in rural Kentucky counties, preventing drug use, examining the incidence and prevalence of drug and alcohol use in Kentucky, and providing training and consultation to drug and alcohol treatment providers regarding women's issues. Also, presentations are made to international, national and statewide audiences; articles are published; specialized educational experiences are provided; and small research grants are provided for University of Kentucky faculty and graduate students to initiate drug and alcohol research studies.

RESEARCH (continued)

1. Research (continued)

- g. The **Advanced Science and Technology Commercialization Center** is a high technology facility that provides laboratory space (and shared instrumentation) in support of interdisciplinary programs in biopolymers, materials sciences, molecular biology, pharmaceutical engineering, and computational sciences. The facility provides an opportunity for faculty to see their research ideas developed into commercial projects.
 - h. The **Center for Structural Biology** promotes and facilitates the use of structural biology in research, teaching and commercial development at the University and within the Commonwealth. The Center brings together expertise in x-ray crystallography, NMR spectroscopy, computational sciences, structural bioinformatics and proteomics to work together as a research resource. A journal club and seminar program in structural biology are sponsored by the Center.
 - i. The **Tracy Farmer Center for the Environment** is the interdisciplinary and integrative Center for scientific, social, and policy research, education, and service activities at the University of Kentucky focused on environmental problems and issues facing the residents of the Commonwealth, the region, the nation, and the world and on developing sustainable solutions to these problems and issues. By integrating the extensive existing resources and activities of the University that are currently focused on the many diverse aspects of environmental systems and by fostering new interdisciplinary endeavors in all aspects of the physical, chemical, biological and social environment, the Tracy Farmer Center for the Environment seeks to enhance the University of Kentucky's stature as one of the nation's great universities in the environmental area.
 - j. The **UK Coldstream Research Campus** is a 735-acre real estate development located six miles from the UK campus. The site is divided into thirty-seven lots and provides 225 acres of passive recreational parkland woven throughout the campus. The vision and plan for Coldstream is that it will enhance interaction between UK and the private sector, nurture start-up Kentucky businesses and emerging technologies, and significantly benefit economic development in central Kentucky.
2. **Public Service** - The Research area offers a wide variety of community and statewide services, which are usually coordinated through special units such as those described below.
- a. The **Appalachian Center** works to help fulfill the University's commitment to improve the quality of life for the people of Appalachian Kentucky and the larger Appalachian region. Founded in 1977, the Center facilitates research, teaching, civic projects, arts programming, and other initiatives that promote understanding of Appalachian society, history, and culture.

The Center serves as a resource for policy makers, community leaders, educators, and citizens concerned with the social, economic, and environmental health of the region. A key part of the Center's work is gathering and mapping statistical data on the region, including indicators of poverty, health, and other sociocultural and economic indicators. The Center supports nonprofit and community-based organizations working on community development issues. Faculty, staff, and graduate assistants at the Center conduct research and publish on a variety of topics ranging from entrepreneurship to global studies.

RESEARCH (continued)

2. Public Service (continued)

a. Appalachian Center (continued)

The Center is an active member of the campus community. The Center sponsors multidisciplinary conferences, brings in guest scholars and artists, and serves as a support center for Appalachian students and students doing projects on Appalachian topics. The Center helps coordinate the Appalachian Studies curriculum, which features courses taught by leading historians, sociologists, writers, political theorists, musicians, and others. The Center also serves as a national and regional source of information about Appalachia and makes this information available to the public and to the media through reports, participation in public events, and personal interviews.

b. The Council on Aging, an integral part of the Sanders-Brown Center on Aging, offers educational opportunities for older adults, service providers who work with older persons, and students studying gerontology. Individuals 65 and over may enroll in academic classes at the University tuition-free under the Donovan Fellowship for Senior Citizens, administered by the Council. In addition, a number of special classes and workshops are offered. The program has gained national recognition as a model program in higher education. A variety of continuing education programs are offered for professionals who work in the field of aging including those offered through the federally funded OVAR/Geriatric Education Center. A Graduate Certificate in Gerontology and other student related activities are offered.

c. The Kentucky Geological Survey is a research and public service unit of the University charged by state statute to study the water, mineral, energy resources, and geologic hazards in Kentucky and to make the results available to researchers, industry, federal and state agencies, and the general public. The KGS conducts cooperative research with a number of departments and institutes at the University. In addition, KGS serves Kentucky by participating in cooperative programs with the United States Geological Survey in coal resources investigations and topographic map revision. The Survey also cooperates with other federal and state agencies such as the Kentucky Natural Resources and Environmental Protection Cabinet, the United States Department of Energy, and the United States Environmental Protection Agency by conducting contract and grant research projects and participating in joint projects with geological surveys from the contiguous states.

The Kentucky Geological Survey also serves in an advisory capacity to local agencies such as Lexington-Fayette Urban County Government and to state agencies such as the Natural Resources and Environmental Protection Cabinet, the Department of Mines and Minerals, and the Division for Disaster and Emergency Services in areas such as waste disposal, water resources, injection well disposal, earthquakes and other geological hazards, reclamation, mine subsidence, and exploration and development of mineral resources. Designated by statute (KRS Chapter 353) as the official repository for records of all oil and gas wells drilled in Kentucky (including well logs, samples of rock cuttings, and rock cores) and the official state repository for all groundwater data for Kentucky, the KGS manages the Geologic Records Library and the Well Sample and Core Library. KGS staff responds to more than 10,000 requests for information annually.

d. The Interdisciplinary Human Development Institute is an interdisciplinary research, training, and service unit related to persons with developmental disabilities. Interdisciplinary involvement includes education, psychology, medicine, social services, rehabilitation, and allied health professions. Programmatic relationships exist with many local, state and national agencies.

RESEARCH (continued)

2. Public Service (continued)

- e. The Survey Research Center designs, coordinates, and conducts survey research activities for the University and public agencies and assists faculty and students with survey research projects. The Center's services range from research design and questionnaire development through data analysis and report writing. The Center conducts national, statewide, and local telephone surveys using a state-of-the-art computer-assisted telephone interview system (CATI), mail surveys and face-to-face interviews. It conducts the biannual Kentucky Survey, which probes the opinions and attitudes of Kentuckians. The Center serves as the headquarters of the National Network of state Polls and is an affiliate of the Kentucky State Data Center. Poll data and data from other Center projects are available for faculty and graduate student research.

3. Academic Support - There are several units dedicated to fostering the research enterprise. Among these are the following:

- a. The Office of the Vice President for Research provides leadership for and facilitates efforts to strengthen, expand, and develop research programs throughout the University. This includes, but is not limited to, the provision of (1) general administrative support for most multidisciplinary centers and institutes, (2) executive management for most research support units, and (3) special assistance for research endeavors of individual faculty and academic units. The Vice President is also the institutional officer responsible for University adherence to governmental regulations relating to the conduct of research.
- b. The Office of the Associate Vice President for Research provides support and assistance to a variety of units across the University and works with the Intellectual Property Development Office, the Information Services Group within the Office of the Vice President for Research, and UK Coldstream Research Campus. The University-wide Shared Research equipment Maintenance Program is also coordinated through this office.
- c. The Office of the Associate Vice President for Research Medical Center provides leadership and support to enhance the Research and Graduate Education programs primarily of the Chandler Medical Center. In addition, the office supports general research service centers that are available to all University faculty, staff, and students.
- d. The Division of Laboratory Animal Resources is responsible for providing researchers with high quality animals and for ensuring that these animals are appropriately housed and humanely treated. The Division of Laboratory Animal Resources is responsible for providing veterinary care and daily husbandry. In addition, the director and attending veterinarians consult with researchers in the areas of animal model development, animal usage, humane animal treatment, and compliance with University policies and procedures and government regulations.
- e. The Office of the University Veterinarian oversees the University's compliance with all federal, state, and institutional policies concerning the humane and appropriate use and treatment of animals in research and teaching. It also coordinates the University educational programs for animal users and oversees the occupational health program for those personnel working with animals in teaching, testing, training, and research.

RESEARCH (continued)

3. Academic Support (continued)

- f. The Office of Federal Relations and Sponsored Program Development acts for the Vice President for Research as University liaison with the executive branch of the federal government, state agencies, and private sector. The Office also provides administrative oversight to the Office of Sponsored Program Development, the Office of Research Integrity, and the Survey Research Center.
- (1) Sponsored Program Development is responsible for informing the University community of extramural funding opportunities, for providing liaison services between University researchers and funding agencies, and for assisting in the development and preparation of competitive grant/contract applications.
- (2) The Office of Research Integrity is responsible for ensuring compliance with federal and institutional regulations governing protection of human subjects, protocol review of animal care and use studies, and review of radioactive drug research projects. In addition, the Office assists the University in a variety of other policy areas dealing with the ethical conduct of research.
- g. The Office of Administrative and Fiscal Affairs is responsible for overall fiscal matters concerning the Research area, to include the preparation and management of the University of Kentucky Research Foundation budget and the Research operating budget for the Research area. Additional responsibilities include oversight of the Office of Sponsored Projects Administration as well as other Research administrative activities.
 - (1) The Office of Sponsored Projects Administration is responsible for the administration of all extramural grants and contracts awarded to the University of Kentucky through the University of Kentucky Research Foundation. Primary responsibilities include: reviewing and submitting proposals; receiving, reviewing and negotiating changes to awarded sponsored projects; serving as a source of information for faculty and staff with regard to sponsored project procedures and regulations; and preparing contractual documents with outside consultants and subcontractors.
- h. The Office of Research Communications/Odyssey develops and produces marketing communications to promote research to targeted external and internal audiences. These communications include publications, Odyssey magazine, newsletters, Web publishing, presentations, reports, special projects, and events. The office also provides services to the units reporting to the Office of the Vice President for Research, the Graduate School, External Affairs, UK Public Relations, etc.
- i. The Office of Information Services is responsible for providing and maintaining the computing and communications environment in support of the Vice President for Research and related units. It also provides support by conducting training for Research personnel and developing software that assists personnel in their day-to-day activities. The Office of Information Services provides technology assessments and recommendations to all Research units as requested.
- j. The Office of Research Assessment serves the academic research community by collecting and managing the Research Challenge Trust Fund (RCTF) data. In addition, the office supports the university administration in the effective monitoring and tracking of research activity and capabilities in order to enhance the quality, quantity and effectiveness of human resource development within research activities at the University of Kentucky.

RESEARCH (continued)

3. Academic Support (continued)

k. The University of Kentucky Research Foundation, an affiliated corporation, serves as the University's agent in the receipt of all external grants and contracts, intellectual property income, and other designated income. It oversees the protection, development and commercialization of intellectual properties and manages special cooperative arrangements. The Vice President for Research also serves as the Executive Director of the University of Kentucky Research Foundation.

4. Financial Data

Personal Services	\$12,763,800	\$14,244,100
Operating Expenses	4,122,100	6,778,100
Capital Outlay	448,400	456,600
Total Expenditures	\$17,334,300	\$21,478,800

2001-02

General Fund

2002-03

2001-02

Restricted Funds

2002-03

Personal Services	\$ 2,032,500	\$ 1,800,500
Operating Expenses	1,856,800	2,304,400
Mandatory Transfers - Debt Service	1,581,700	1,617,900
Capital Outlay	245,500	375,000
Total Expenditures	\$ 5,716,500	\$ 6,097,800

Auxiliary Services

2001-02

2002-03

Personal Services	\$ 1,354,000	\$ 1,685,100
Operating Expenses	861,800	1,137,900
Total Expenditures	2,215,800	2,823,000
Capital Outlay	(2,039,800)	(2,629,000)
Net Expenditures	\$ 176,000	\$ 194,000

RESEARCH

BUDGETED EXPENDITURES DETAIL - GENERAL FUND

	<u>2001-02</u>	<u>2002-03</u>
ACADEMIC AFFAIRS		
Appalachian Center	\$ 220,300	\$ 240,000
Center for Applied Energy Research	4,567,000	4,703,000
Center for Computational Sciences	641,800	649,600
Center for Membrane Sciences	61,800	73,600
Center on Aging	143,100	148,900
Computational Sciences Professorship	60,000	60,000
Council on Aging	263,600	272,700
Kentucky Geological Survey-Administrative Support	659,100	683,000
Kentucky Geological Survey-Program Support	2,732,500	2,859,900
Kentucky Water Resources Research Institute	254,500	265,400
Survey Research Center	24,700	24,700
Total Academic Affairs	\$ 9,628,400	\$ 9,980,800

ACADEMIC SUPPORT

Vice President for Research	\$ 430,000	\$ 575,500
Associate Vice President for Research	360,500	392,600
General Academic Support	2,136,900	4,589,200
Coldstream Farm Development	462,500	475,000
Research Administration (OSPA)	2,973,000	3,558,000
Research Equipment Maintenance	1,103,000	1,667,700
Scholarships/Fellowships	240,000	240,000
Total Academic Support	\$ 7,705,900	\$ 11,498,000

TOTAL GENERAL FUNDS

	\$ 17,334,300	\$ 21,478,800
--	---------------	---------------

NONRECURRING FUNDS

Capital	\$ 308,000	\$ 256,000
Program	1,036,400	999,000
One Time Performance Supplement	0	211,300
Total Nonrecurring Funds	\$ 1,344,400	\$ 1,466,300

RESEARCH

BUDGETED EXPENDITURES DETAIL - RESTRICTED FUND

	<u>2001-02</u>	<u>2002-03</u>
RESEARCH		
Vice President for Research	\$ 45,000	\$ 68,100
ASTeCC	200	1,400
Interdisciplinary Human Development Institute	4,700	2,000
Water Resources	300	300
Membrane Science	38,000	38,200
Appalachian Center	8,800	11,700
Center for Applied Energy Research	135,400	30,000
Computational Sciences	35,000	35,000
Council on Aging	258,400	291,100
Kentucky Geological Survey	9,000	2,100
The Mining and Minerals Trust Fund - Debt Service	1,581,700	1,617,900
Tobacco and Health Research Institute	3,573,000	3,965,000
Tobacco Research Board	27,000	35,000
Total	\$ 5,716,500	\$ 6,097,800

RESEARCH

BUDGETED EXPENDITURES DETAIL - AUXILIARY SERVICES

	<u>2001-02</u>	<u>2002-03</u>
SERVICE CENTERS		
Kentucky Geological Survey	\$ 29,000	\$ 40,000
Research Core Facilities - Medical Center	27,000	34,000
Survey Research Center	120,000	120,000
Total	\$ 176,000	\$ 194,000

PRESIDENT
UNIVERSITY-WIDE

PRESIDENT

Executive direction and management of the University's operations, both academic and fiscal, are carried out by the Board of Trustees and the President.

1. The **Board of Trustees and President's Office** provides overall governance for the University through the promulgation of regulations and policies. The President's Office includes the President, the University Senate Council Office, the Legal Counsel, the Director of Athletics, the Center for Rural Development, the Office of Corporate Relations and Economic Development, the Chief Development Officer, the Commission on Women, and the Commission on Diversity. The President, in addition to serving as the Chief Executive Officer of the University, is responsible for all official communication with the Board of Trustees and external agencies, and is responsible for the policy, planning and development functions of the institution. The Provost, the Senior Vice President for Administration, the Senior Vice President and Chancellor of the Medical Center, and the Vice President for Research report directly to the President.

Financial Data

Personal Services	\$ 708,600	\$ 755,400
Operating Expenses	<u>124,400</u>	<u>107,400</u>
Total Expenditures	\$ 833,000	\$ 862,800

2001-02

General Fund

2002-03

2001-02

Restricted Funds

2002-03

Operating Expenses	\$ 92,600	\$ 212,700
Total Expenditures	\$ 92,600	\$ 212,700

2. The **Center for Rural Development** is a model economic and cultural development center for rural areas that primarily serves Kentucky's Fifth Congressional District. Oversight of the Center was transferred to the University System when management of the Community College System was transferred to KCTCS. The University of Kentucky is responsible for the maintenance of the Center for Rural Development facility in Somerset.

Financial Data

Personal Services	\$ 153,400	\$ 160,700
Operating Expenses	203,300	203,300
Capital Outlay	<u>40,000</u>	<u>40,000</u>
Total Expenditures	\$ 396,700	\$ 404,000

2001-02

General Fund

2002-03

PRESIDENT (continued)

3. Corporate Relations and Economic Outreach provides leadership for promoting entrepreneurship within the University and throughout Kentucky, works with faculty, staff and students to optimize opportunities with the University's intellectual property, and develops relationships with companies that result in research, licensing and other business opportunities for the University.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 102,400	\$ 107,200
Operating Expenses	<u>47,600</u>	<u>47,600</u>
Total Expenditures	\$ 150,000	\$ 154,800

General Fund

4. The Development Office is responsible for the planning, implementation, and coordination of the University's development program, including the University's Five Year Capital Campaign.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$3,020,000	\$3,136,600
Operating Expenses	<u>963,900</u>	<u>969,900</u>
Total Expenditures	\$3,983,900	\$4,106,500

General Fund

Restricted Funds

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ -0-	\$ 26,300
Operating Expenses	<u>54,800</u>	<u>79,200</u>
Total Expenditures	\$ 54,800	\$ 105,500

PRESIDENT (continued)

5. The Director of **Intercollegiate Athletics** manages the day-to-day operations of the University's intercollegiate athletics program, which is budgeted and operated under the Board of Directors of the University of Kentucky Athletics Association.

Financial Data

Personal Services
 Operating Expenses
 Total Expenditures

2001-02
 \$ 255,300
216,400
 \$ 471,700

General Fund
2002-03
 \$ 223,000
178,700
 \$ 401,700

Restricted Funds

2001-02

2002-03

Operating Expenses
 Capital Outlay
 Total Expenditures

\$ 607,400
-0-
 \$ 607,400

\$ 723,200
75,000
 \$ 798,200

6. The **Legal Counsel** is responsible for all University legal matters. In addition to advising the President and Board of Trustees, the Legal Counsel is also available to advise the chancellors and vice presidents on legal matters within their sectors.

Financial Data

Personal Services
 Operating Expenses
 Total Expenditures

2001-02
 \$ 722,200
130,100
 \$ 852,300

General Fund
2002-03
 \$ 753,100
80,200
 \$ 833,300

PRESIDENT

BUDGETED EXPENDITURES DETAIL - GENERAL FUND

	<u>2001-02</u>	<u>2002-03</u>
PRESIDENT		
Office of the President	\$ 694,800	\$ 726,200
Board of Trustees	22,700	22,700
Senate Council	115,500	113,900
Center for Rural Development	396,700	404,000
Corporate Relations and Economic Outreach	150,000	154,800
Development	3,983,900	4,106,500
Intercollegiate Athletics	471,700	401,700
Legal Counsel	852,300	833,300
Total	\$ 6,687,600	\$ 6,763,100
NONRECURRING FUNDS		
Capital	\$ 0	\$ 13,600
Program	243,500	301,000
One Time Performance Supplement	0	76,800
Total Nonrecurring Funds	\$ 243,500	\$ 391,400

PRESIDENT

BUDGETED EXPENDITURES DETAIL - RESTRICTED FUND

	<u>2001-02</u>	<u>2002-03</u>
PRESIDENT		
Office of the President	\$ 92,600	\$ 212,700
Development	54,800	105,500
Intercollegiate Athletics	<u>607,400</u>	<u>798,200</u>
Total	\$ <u>754,800</u>	\$ <u>1,116,400</u>

UNIVERSITY-WIDE

1. **General University Expenses**

- a. Annual Giving Program - These are private gifts provided to the University which are used in support of various programs of the institution.
- b. Common Insurance Fund - Expenditures for insurance premiums which provide university-wide coverage for University property provided centrally through this insurance fund.
- c. Cultural Enrichment - The University contributes to the support of concerts, lectures, and other cultural activities as a means of enhancing the cultural dimensions of the University community.
- d. General Expenses - Expenses associated with meetings, official functions and recruitment having institution-wide significance are provided centrally as general expenses of the University.
- e. General Liability/Auto Liability - Through the University's risk management program, these expenditures provide, on a University-wide basis, an improved and more cost effective program for the protection of University employees and their liability exposures as well as an auto liability program which covers all University owned and leased auto liability exposures.
- f. Institutional Dues and Memberships - The University participates in national and regional educational, professional, and accrediting associations and agencies.
- g. Minority Faculty Recruitment - The University is committed to the employment of minority faculty. This program provides an incentive to the academic departments to recruit minority faculty through providing the first year salary from central sources.
- h. Professional Liability Insurance - This program provides liability insurance coverage for members of the boards of the University and its ancillary corporations, as well as decision-making members of the faculty and administration.
- i. University Audit - An independent evaluation of the management and financial operations of the University is performed annually by certified public accountants.

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Total Recurring Expenditures	\$ 4,681,000		\$ 5,799,300
Nonrecurring Funds	\$ 3,970,000		\$ 1,520,000

UNIVERSITY-WIDE (continued)

2. Staff Benefits

A competitive compensation program for an institution of higher education includes a number of benefits in addition to salaries. The University participates in several optional programs, as well as those which are mandatory. All of the benefits listed below, in addition to Social Security, life insurance, retirement, disability, and medical costs, are included within the appropriate personal services budgets in the 2002-03 fiscal year budget.

- a. Employee Educational Program - This program provides for the defrayal of the registration fee for up to two credit courses (six hours) per semester for all regular full-time faculty and staff.
- b. Retirement Payments - The University is committed to make annual payments from current operating funds upon retirement to those eligible full-time employees not covered by one of the retirement systems or by the United States Civil Service.
- c. Unemployment Insurance - Legislation enacted by the United States Congress and by the Kentucky General Assembly made mandatory the coverage of University employees under the provisions of the Employment Security Act effective July 1, 1972.
- d. Workers' Compensation - Insurance protection is provided in accordance with the requirements set forth in the State Workers' Compensation Act for employees injured or incurring an occupational disease while on the job.

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Total Recurring Expenditures	\$10,836,800		\$ -0-

UNIVERSITY-WIDE

BUDGETED EXPENDITURES DETAIL - GENERAL FUND

	<u>2001-02</u>	<u>2002-03</u>
GENERAL EXPENSES		
Annual Giving Program	\$ 550,000	\$ 575,000
Common Insurance Fund	998,100	975,000
Cultural Enrichment	25,000	25,000
General Expenses	796,100	634,200
General Liability/Auto Liability	277,700	277,700
Institutional Dues and Memberships	107,000	125,000
Minority Faculty Recruitment	400,000	400,000
Professional Liability Insurance	277,200	268,000
Program Improvement Fund	656,200	1,000,000
Special Projects Reserve	561,000	1,479,400
University Audit	<u>32,700</u>	<u>40,000</u>
Total General Expenses	\$ 4,681,000	\$ 5,799,300
STAFF BENEFITS - UNIVERSITY SYSTEM		
Employee Educational Program	\$ 1,235,100	\$ 0
Retirement - Life Insurance and Health Costs	3,632,100	0
Retirement Payments	4,546,700	0
Unemployment Insurance	196,700	0
Workers' Compensation	1,010,800	0
Other Staff Benefits	<u>215,400</u>	<u>0</u>
Total Staff Benefits	\$ 10,836,800	\$ 0
DEBT SERVICE		
	\$ 3,363,700	\$ 3,399,000
TOTAL UNIVERSITY-WIDE	\$ 18,881,500	\$ 9,198,300

UNIVERSITY-WIDE - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

	<u>2001-02</u>	<u>2002-03</u>
NONRECURRING FUNDS		
Operating Contingency	\$ 2,500,000	\$ 550,000
Acquisition of Land/Property	400,000	200,000
Office Rental	120,000	320,000
SACS Accreditation Review	500,000	0
Utilities Reserve	450,000	450,000
Total Nonrecurring Funds	\$ 3,970,000	\$ 1,520,000

SENIOR VICE PRESIDENT-
ADMINISTRATION

SENIOR VICE PRESIDENT - ADMINISTRATION

1. The Senior Vice President for Administration provides executive management, general administrative coordination and support for all areas of administration including the Vice President for Fiscal Affairs and Information Technology, and the Vice President for Auxiliary and Campus Services. In addition, the offices of the Controller and Treasurer, Employment Equity, President Emeritus, and the Associate Vice President for External Affairs report directly to the Senior Vice President for Administration.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
	<u>General Fund</u>	
Personal Services	\$1,070,200	\$ 907,900
Operating Expenses	603,500	234,300
Capital Outlay	<u>12,200</u>	<u>6,200</u>
Total Expenditures	\$1,685,900	\$1,148,400
	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 106,600	\$ 71,100
Total Expenditures	\$ 106,600	\$ 71,100

2. The Associate Vice President for External Affairs manages and oversees: (1) The Office of Alumni Relations, which is responsible for the planning, implementation, and coordination of the University's alumni relations programs; (2) The University Art Museum which collects, preserves, exhibits, and interprets original works of visual art and displays the University's art collection; (3) WUKY which is the University's licensed, noncommercial, National Public Radio FM broadcast station; (4) the Office for Public Relations which is responsible for planning, implementing, and coordinating the University's public relations program, including support for the University's Five Year Capital Campaign; and (5) the Community Relations Office which is responsible for maintaining communications with the Lexington community, including the Mayor's Office, the Lexington Fayette Urban County Government, the neighborhood associations, and relations with the Fayette County Public School System.

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

2. Associate Vice President for External Affairs (continued)

Financial Data

Personal Services
 Operating Expenses
 Total Expenditures
 Recharges
 Total Expenditures

2001-02
 \$2,680,100
311,600
 2,991,700
(4,900)
 \$2,986,800

General Fund
2002-03
 \$2,785,200
309,800
 3,095,000
(4,900)
 \$3,090,100

Restricted Funds

2001-02

2002-03

Personal Services
 Operating Expenses
 Total Expenditures

\$ 243,100
1,153,300
 \$1,396,400

\$ 389,600
1,041,200
 \$1,430,800

3. The **Controller and Treasurer** is responsible for all official University financial records and for the management of the treasury function, accounting, payroll, property records, investment of the endowment portfolio, and banking functions. In addition, the responsibility for the Purchasing Division resides with this office.

Financial Data

Personal Services
 Operating Expenses
 Total Expenditures

2001-02
 \$4,806,500
464,200
 \$5,270,700

General Fund
2002-03
 \$5,072,500
533,100
 \$5,605,600

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

4. The Associate Vice President for Employment Equity is responsible for the development and interpretation of policies and plans for carrying out the Equal Opportunity and Affirmative Action programs in all areas of the University and for relating to external agencies. In addition, this office has responsibility for Employee Counseling.

Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Personal Services	\$ 303,600		\$ 317,000
Operating Expenses	<u>13,200</u>		<u>13,200</u>
Total Expenditures	\$ 316,800		\$ 330,200

SENIOR VICE PRESIDENT - ADMINISTRATION

FISCAL AFFAIRS AND INFORMATION TECHNOLOGY

The goal of Fiscal Affairs and Information Technology is to establish broad, easy access to an array of computing, and other information services and facilities to enable the University community to sustain its commitment to excellence in teaching, research, and service to the Commonwealth of Kentucky.

1. The Vice President for Fiscal Affairs and Information Technology, under the direction of the Senior Vice President for Administration, is responsible for managing the University's Information Technology; Human Resources; Planning, Budget and Policy Analysis; and Internal Audit functions. The office has the responsibility for the planning and coordination of the University's academic and administrative support programs in all areas of information technology, including computer planning and policy development, development of a comprehensive communications (voice, data, and video) plan, and coordination of communication needs for the institution. The Vice President has responsibility for managing and coordinating the information technology units on a University-wide basis in order to enhance services to the University community. The Vice President has direct responsibility for the central and distributed computing operations, data administration, systems security, and communications operations (voice, data, and video).

Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Personal Services	\$ 623,100		\$ 710,100
Operating Expenses	1,168,600		1,247,600
Capital Outlay	-0-		153,700
Total Expenditures	<u>\$1,791,700</u>		<u>\$2,111,400</u>
		<u>Restricted Funds</u>	
	<u>2001-02</u>		<u>2002-03</u>
Operating Expenses	\$ 301,200		\$ 377,500
Total Expenditures	<u>\$ 301,200</u>		<u>\$ 377,500</u>

2. The Communications and Network Systems division is responsible for the planning, coordination, operation, and maintenance of University-wide voice, data and video communications systems and services. This includes long-range planning based on analysis of the computer, data communication/networking, local area networking of personal computers, video and satellite communications, and other telecommunication needs at the University.

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

FISCAL AFFAIRS AND INFORMATION TECHNOLOGY (continued)

2. Communications and Network Systems (continued)

The Communications and Network Systems division is committed to providing statewide leadership on all telecommunications issues. Statewide leadership is provided through appointments on state committees and workgroups. Regional leadership is demonstrated through support of federal and state initiatives in research networking. State-of-the-art distance learning technology is deployed on high-speed communication links to support postsecondary institutions statewide. National network access is provided to the academic community for seamless connectivity to national research computing centers, research universities, and research laboratories.

The principal responsibilities of Communications and Network Systems include:

- a. Administrative and operational support for the voice communication systems and services.
- b. Administrative and operational support for the video and data communication systems that include the University's Wide Area Network (WAN) and video distribution systems. Communications and Network Systems coordinates and implements Local Area Networks (LAN's) within the University.
- c. Installation of communications wiring in new buildings and in major renovations of existing buildings.
- d. Establishment of network equipment standards and installation of all network equipment in new buildings and in major renovations of existing buildings.
- e. Telecommunications services for media production facilities in support of the University's instructional, administrative, public relations, extension, and community service programs.
- f. High performance supercomputing hardware and software support is provided to faculty and students for numerically intensive scientific computing.

Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Personal Services	\$ 33,400		\$ 209,100
Operating Expenses	<u>3,399,600</u>		<u>2,820,900</u>
Total Expenditures	\$ 3,433,000		\$ 3,030,000

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

FISCAL AFFAIRS AND INFORMATION TECHNOLOGY (continued)

2. Communications and Network Systems (continued)

Financial Data (continued)

	<u>2001-02</u>	<u>Auxiliary Funds</u>	<u>2002-03</u>
Personal Services	\$ 5,164,300		\$ 5,499,500
Operating Expenses	<u>8,764,400</u>		<u>10,090,500</u>
Total Expenditures	13,928,700		15,590,000
Recharges	<u>(13,928,700)</u>		<u>(15,590,000)</u>
Net Expenditures	\$ -0-		\$ -0-

3. Enterprise Computing Services provides state-of-the-art computing hardware and software services with emphasis on remaining at the forefront of information technology in support of the instruction, research, and public service missions of the University. Principal responsibilities are:

- a. Administrative program support provides for management information services and decision support; systems analysis and programming; and development and maintenance services to support institutional administrative systems.
- b. Support is provided for infrastructure services such as electronic mail, calendaring, and shared file and print services. Technical support is provided for the University's web site, electronic library system, and electronic print management system.

Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Personal Services	\$4,444,400		\$4,170,000
Operating Expenses	<u>1,597,700</u>		<u>1,604,000</u>
Capital Outlay	496,700		496,700
Total Expenditures	6,538,800		6,270,700
Recharges	<u>(6,500)</u>		<u>-0-</u>
Net Expenditures	\$6,532,300		\$6,270,700

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

FISCAL AFFAIRS AND INFORMATION TECHNOLOGY (continued)

4. Information Technology Support Services operates many of the institution's frontline information technology customer service departments and the University's student computing facilities. The Information Technology (IT) Service Center consolidates frontline IT customer support units to operate as a fast-track entry point to all IT divisions, services, resources, and expertise. Customer Service Center staff facilitate, expedite, and track service delivery and quality from customer query to service delivery. While specifically comprised of the Help Desk, Training Services, and Computing Operations, the Customer Service Center staff assists customers with access to all appropriate IT services and resources.

Comprised of a call center, computing accounts office, and consulting office, the Help Desk operates as the launching point for integration of individual customer-service lines. It is the first point of contact regarding computing problems and queries, and acts as an information clearinghouse and customer advocate for other IT issues.

IT Training Services provide instructor-led, hands-on training in desktop software to faculty and staff. NetG, a web-based instructional service, is also available to all University faculty, staff and students at no charge. In addition, this unit has facilitated the development of a university computer certification series for employees, as well as certification preparation training in various other areas.

Student Computing Services administers computer labs that serve personal computing needs of the student community. These labs provide computers and basic software for student use. Several labs have specialized software and classroom settings to meet the needs of the host college.

The Social Sciences Teaching and Research Statistics (SSTARS) Center provides professional consultation with faculty and students; documentation and training support for various software and facilities; consultation and support for faculty introduction of computing into the instructional process; various operational services to assist faculty and students; and support for all aspects of statistical computing.

Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Personal Services	\$3,332,000		\$4,007,800
Operating Expenses	1,348,400		1,235,500
Capital Outlay	<u>386,500</u>		<u>847,800</u>
Total Expenditures	5,066,900		6,091,100
Recharges	<u>-0-</u>		<u>(6,500)</u>
Total Expenditures	\$5,066,900		\$6,084,600

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

FISCAL AFFAIRS AND INFORMATION TECHNOLOGY (continued)

4. Information Technology Support Services (continued)

Financial Data (continued)

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 35,300	\$ 36,000
Operating Expenses	<u>99,400</u>	<u>98,800</u>
Total Expenditures	134,700	134,800
Recharges	<u>(124,700)</u>	<u>(124,800)</u>
Net Expenditures	\$ 10,000	\$ 10,000

5. Human Resource Services is responsible for the management of all personnel functions in all sectors of the University, including University personnel policies, employment, employee relations, wage and salary programs, employee training, employee assistance programs, employee benefits, and employee wellness programs.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$4,086,700	\$4,703,500
Operating Expenses	881,000	1,071,900
Capital Outlay	<u>30,000</u>	<u>35,000</u>
Total Expenditures	4,997,700	5,810,400
Recharges	<u>(784,000)</u>	<u>(1,833,200)</u>
Net Expenditures	\$4,213,700	\$3,977,200

General Fund

2001-02

Auxiliary Funds

2002-03

Personal Services	\$8,749,300	\$8,536,700
Operating Expenses	<u>82,500</u>	<u>169,500</u>
Total Expenditures	8,831,800	8,706,200
Recharges	<u>(8,831,300)</u>	<u>(8,705,700)</u>
Net Expenditures	\$ 500	\$ 500

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

FISCAL AFFAIRS AND INFORMATION TECHNOLOGY (continued)

6. Planning, Budget, and Policy Analysis is responsible for University-Wide planning, budgeting and policy analysis activities. This unit coordinates the development, submission, implementation, and evaluation of the University's strategic plan, capital plan, executive budget request, capital request, and the annual operating budget.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 658,700	\$ 703,600
Operating Expenses	<u>38,900</u>	<u>58,900</u>
Total Expenditures	\$ 697,600	\$ 762,500

7. Internal Audit is responsible for the development and implementation of the University's internal review policy and procedures for nonacademic programs and for the internal audit program. This includes conducting financial and operational audits and reporting the results to administration.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 429,300	\$ 442,200
Operating Expenses	<u>40,600</u>	<u>22,700</u>
Total Expenditures	\$ 469,900	\$ 464,900

SENIOR VICE PRESIDENT - ADMINISTRATION

AUXILIARY AND CAMPUS SERVICES

1. The **Vice President for Auxiliary and Campus Services**, under the direction of the Senior Vice President for Administration, is responsible for Capital Construction, Environmental Health and Safety, Parking and Transportation, Physical Plant, Real Property, University Architect, University Police, and Auxiliary Services.

Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Personal Services	\$ 705,700	\$	\$ 788,800
Operating Expenses	<u>355,800</u>		<u>211,600</u>
Total Expenditures	\$ 1,061,500		\$ 1,000,400

2. The Director of **Capital Construction** is responsible for project management in the planning and construction of new buildings, facilities, and other capital improvements. The Director also provides architectural services. The University Architect is responsible for the development and oversight of the University Physical Development Plan.

Financial Data

	<u>2001-02</u>	<u>General Fund</u>	<u>2002-03</u>
Personal Services	\$ 303,900	\$	\$ 318,900
Operating Expenses	<u>16,400</u>		<u>18,500</u>
Total Expenditures	\$ 320,300		\$ 337,400

Auxiliary Funds

	<u>2001-02</u>		<u>2002-03</u>
Personal Services	\$ 1,463,000		\$ 1,515,400
Operating Expenses	<u>187,500</u>		<u>145,000</u>
Total Expenditures	1,650,500		1,660,400
Recharges	<u>(1,642,500)</u>		<u>(1,610,400)</u>
Net Expenditures	\$ 8,000		\$ 50,000

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

AUXILIARY AND CAMPUS SERVICES (continued)

3. The Director of Environmental Health and Safety has direct responsibility for the University Fire Marshal, Occupational Health and Safety, Environmental Protection, Radiation Safety, and Hazardous Materials Management.

Financial Data

Personal Services	\$ 737,600	\$ 777,300
Operating Expenses	464,900	228,600
Total Expenditures	1,202,500	1,005,900
Recharges	(174,400)	(179,400)
Net Expenditures	\$ 1,028,100	\$ 826,500

2001-02

General Fund

2002-03

2001-02

Auxiliary Funds

2002-03

Personal Services	\$ 710,100	\$ 895,300
Operating Expenses	646,500	789,900
Total Expenditures	1,356,600	1,685,200
Recharges	(1,350,600)	(1,679,200)
Net Expenditures	\$ 6,000	\$ 6,000

4. The Director of Parking and Transportation is responsible for planning, implementation, and coordination of the University's parking program and mass transportation services.

Financial Data

Personal Services	\$ 1,435,300	\$ 1,578,200
Operating Expenses	1,181,000	1,267,100
Capital Outlay	1,047,600	886,100
Total Expenditures	3,663,900	3,731,400
Recharges	(27,700)	(33,000)
Net Expenditures	\$ 3,636,200	\$ 3,698,400

2001-02

General Fund

2002-03

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

AUXILIARY AND CAMPUS SERVICES (continued)

5. Physical Plant is responsible for the maintenance and operation of the physical plant for the University, exclusive of Medical Center facilities operations.

Financial Data

Personal Services
 Operating Expenses
 Total Expenditures
 Recharges
 Net Expenditures

	<u>2001-02</u>	<u>2002-03</u>
	\$22,456,400	\$23,204,100
	<u>11,373,100</u>	<u>11,600,900</u>
	33,829,500	34,805,000
	<u>(6,349,200)</u>	<u>(7,040,300)</u>
	\$27,480,300	\$27,764,700

Restricted Funds

Operating Expenses
 Total Expenditures

	<u>2001-02</u>	<u>2002-03</u>
	\$ 2,000	\$ 2,000
	\$ 2,000	\$ 2,000

6. Real Property coordinates the purchase or disposal of University real properties and is directly responsible for the rental of University properties and the leasing of additional space as may be required. This office also oversees fraternity and sorority on-campus housing.

Financial Data

Personal Services
 Operating Expenses
 Total Expenditures

	<u>2001-02</u>	<u>2002-03</u>
	\$ 138,200	\$ 146,500
	<u>147,100</u>	<u>152,500</u>
	\$ 285,300	\$ 299,000

General Fund

Operating Expenses
 Total Expenditures

	<u>2001-02</u>	<u>2002-03</u>
	\$ 116,500	\$ 116,500
	\$ 116,500	\$ 116,500

Auxiliary Funds

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

AUXILIARY AND CAMPUS SERVICES (continued)

7. The University Police Office is responsible for planning, implementation and coordination of the University's public safety program.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 2,136,800	\$ 2,071,800
Operating Expenses	184,200	184,100
Total Expenditures	2,321,000	2,255,900
Recharges	<u>(130,400)</u>	<u>-0-</u>
Net Expenditures	\$ 2,190,600	\$ 2,255,900

8. Conferences and Institutes is managed by the Auxiliary Services Division within Campus and Auxiliary Services. The Fire School and College Business Management Institute provide continuing education to professionals throughout the nation as well as within the state.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 34,900	\$ 35,100
Operating Expenses	321,900	340,600
Capital Outlay	<u>2,000</u>	<u>2,000</u>
Total Expenditures	\$ 358,800	\$ 377,700

Restricted Funds

	<u>2001-02</u>	<u>2002-03</u>
Operating Expenses	\$ 26,200	\$ 26,200
Total Expenditures	\$ 26,200	\$ 26,200

Auxiliary Funds

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 123,700	\$ 131,600
Operating Expenses	<u>14,500</u>	<u>6,600</u>
Total Expenditures	\$ 138,200	\$ 138,200

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

AUXILIARY AND CAMPUS SERVICES (continued)

9. Auxiliary Services oversees the operation of housing, dining, and other auxiliaries.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$10,963,700	\$13,195,500
Operating Expenses	21,304,200	20,893,700
Capital Outlay	<u>143,000</u>	<u>143,000</u>
Total Expenditures	32,410,900	34,232,200
Recharges	<u>(1,953,000)</u>	<u>(2,410,500)</u>
Net Expenditures	\$30,457,900	\$31,821,700

10. Stores provides the University with commodity distribution services and manages the Computer Store.

Financial Data

	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 1,173,000	\$ 1,236,100
Operating Expenses	<u>644,000</u>	<u>1,194,000</u>
Total Expenditures	1,817,000	2,430,100
Recharges	<u>(1,029,000)</u>	<u>(1,005,100)</u>
Net Expenditures	\$ 788,000	\$ 1,425,000

Auxiliary Funds

2001-02

2002-03

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

AUXILIARY AND CAMPUS SERVICES (continued)

11. Postal and Printing Services provides U.S. and Campus mail and duplicating services to the University.

Financial Data

	<u>General Fund</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 567,700	\$ 627,300
Operating Expenses	<u>82,000</u>	<u>70,200</u>
Total Expenditures	\$ 649,700	\$ 697,500
	<u>Auxiliary Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
Personal Services	\$ 1,629,700	\$ 556,100
Operating Expenses	<u>7,228,600</u>	<u>4,573,800</u>
Total Expenditures	8,858,300	5,129,900
Recharges	<u>(8,498,300)</u>	<u>(4,839,900)</u>
Net Expenditures	\$ 360,000	\$ 290,000

SENIOR VICE PRESIDENT - ADMINISTRATION

BUDGETED EXPENDITURES DETAIL - GENERAL FUND

	<u>2001-02</u>	<u>2002-03</u>
SENIOR VICE PRESIDENT - ADMINISTRATION		
Office of the Senior Vice President	\$ 1,349,200	\$ 767,100
President Emeritus	336,700	381,300
Alumni Relations	828,700	821,200
Art Museum	417,500	460,900
Community Relations	86,700	96,900
External Affairs	346,000	349,600
Public Relations	1,020,000	1,063,700
WUKY Radio	287,900	297,800
Controller and Treasurer	5,270,700	5,605,600
Employment Equity	316,800	330,200
Total	\$ 10,260,200	\$ 10,174,300

FISCAL AFFAIRS AND INFORMATION TECHNOLOGY

Office of the Vice President	\$ 1,791,700	\$ 2,111,400
Communications and Network Systems	3,433,000	3,030,000
Enterprise Computing Services	6,532,300	6,270,700
Support Services	5,066,900	6,084,600
Human Resource Services	4,213,700	3,977,200
Planning, Budget and Policy Analysis	697,600	762,500
Internal Audit	469,900	464,900
Total	\$ 22,205,100	\$ 22,701,300

SENIOR VICE PRESIDENT - ADMINISTRATION (continued)

BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

	<u>2001-02</u>	<u>2002-03</u>
AUXILIARY AND CAMPUS SERVICES		
Office of the Vice President	\$ 1,061,500	\$ 1,000,400
Capital Construction	320,300	337,400
Conferences and Institutes	358,800	377,700
Environmental Health and Safety	1,028,100	826,500
Parking and Transportation	3,636,200	3,698,400
Physical Plant	27,480,300	27,764,700
Postal and Printing Services	649,700	697,500
Real Property	285,300	299,000
University Police	2,190,600	2,255,900
Total	<u>\$ 37,010,800</u>	<u>\$ 37,257,500</u>

TOTAL SENIOR VICE PRESIDENT - ADMINISTRATION
GENERAL FUND

	\$ 69,476,100	\$ 70,133,100
--	---------------	---------------

NONRECURRING FUNDS

Capital	\$ 2,895,400	\$ 2,868,700
Program	6,898,600	6,594,600
One Time Performance Supplement	0	964,300
Total Nonrecurring Funds	<u>\$ 9,794,000</u>	<u>\$ 10,427,600</u>

SENIOR VICE PRESIDENT - ADMINISTRATION

BUDGETED EXPENDITURES DETAIL - RESTRICTED FUND

2001-02

2002-03

SENIOR VICE PRESIDENT - ADMINISTRATION

Office of the Senior Vice President	\$ 33,700	\$ 19,900
President Emeritus	72,900	51,200
Alumni Relations	45,200	86,800
Art Museum	207,400	190,200
Community Relations	5,700	9,400
Public Relations	19,100	18,600
WUKY Radio	<u>1,119,000</u>	<u>1,125,800</u>
Total	\$ <u>1,503,000</u>	\$ <u>1,501,900</u>

FISCAL AFFAIRS AND INFORMATION TECHNOLOGY

Office of the Vice President	\$ <u>301,200</u>	\$ <u>377,500</u>
Total	\$ 301,200	\$ 377,500

AUXILIARY AND CAMPUS SERVICES

Conferences and Institutes	\$ 26,200	\$ 26,200
Physical Plant	<u>2,000</u>	<u>2,000</u>
Total	\$ <u>28,200</u>	\$ <u>28,200</u>

**TOTAL SENIOR VICE PRESIDENT - ADMINISTRATION
RESTRICTED FUNDS**

	\$ 1,832,400	\$ 1,907,600
--	--------------	--------------

SENIOR VICE PRESIDENT - ADMINISTRATION

BUDGETED EXPENDITURES DETAIL - AUXILIARY SERVICES

2001-02

2002-03

FISCAL AFFAIRS AND INFORMATION TECHNOLOGY

Service Centers	\$	<u>10,500</u>	\$	<u>10,500</u>
Total	\$	10,500	\$	10,500

AUXILIARY AND CAMPUS SERVICES

Campus Bookstore	\$	819,000	\$	1,110,000
Conferences and Institutes		138,200		138,200
Real Property		116,500		116,500
Housing and Dining System				
Auxiliary Services Administration		142,000		0
Food Services		13,669,500		15,142,700
Housing - General Operations		14,249,400		14,940,100
- Debt Service		3,665,400		3,858,400
Fraternity House Replacement		1,220,700		38,500
University-Provided Services and Telecommunications		<u>(3,308,100)</u>		<u>(3,268,000)</u>
Subtotal		30,712,600		32,076,400

Service Centers

Capital Construction	\$	8,000	\$	50,000
Environmental Health and Safety		6,000		6,000
Postal and Printing Services		360,000		290,000
Stores		<u>788,000</u>		<u>1,425,000</u>
Subtotal	\$	<u>1,162,000</u>	\$	<u>1,771,000</u>

TOTAL	\$	31,874,600	\$	33,847,400
--------------	-----------	-------------------	-----------	-------------------

TOTAL SENIOR VICE PRESIDENT - ADMINISTRATION	\$	31,885,100	\$	33,857,900
AUXILIARY SERVICES				

AFFILIATED CORPORATIONS (continued)

2. Business Partnership Foundation (continued)

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
REVENUE		
Endowment Income	\$ 620,400	\$ 593,400
Investment Income	10,000	-0-
Gifts, Grants and Contracts	10,300	500
Fund Balances	<u>251,600</u>	<u>298,900</u>
Total	\$ 892,300	\$ 892,800

	\$	\$
EXPENDITURES BY PROGRAM		
Instruction	71,700	84,200
Research	71,700	84,100
Academic Support	544,200	525,000
Student Financial Aid	<u>204,700</u>	<u>199,500</u>
Total	\$ 892,300	\$ 892,800

	\$	\$
EXPENDITURES BY OBJECT		
Personal Services	336,400	164,100
Operating Expenses	<u>555,900</u>	<u>728,700</u>
Total	\$ 892,300	\$ 892,800

3. Center on Aging Foundation. The University of Kentucky Center on Aging Foundation, Inc. has been created to support the University's educational, research and clinical activities related to gerontology.

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
REVENUE		
Investment Income	\$ -0-	\$ 11,700
Gifts, Grants and Contracts	81,700	117,000
Fund Balances	<u>365,000</u>	<u>344,800</u>
Total	\$ 446,700	\$ 473,500

AFFILIATED CORPORATIONS (continued)

3. Center on Aging Foundation (continued)

Restricted Funds

	<u>2001-02</u>	<u>2002-03</u>
EXPENDITURES BY PROGRAM		
Instruction	\$ 7,500	\$ 16,100
Research	439,200	457,400
Total	<u>\$ 446,700</u>	<u>\$ 473,500</u>

EXPENDITURES BY OBJECT

Personal Services	\$ 90,900	\$ 37,000
Operating Expenses	335,800	436,500
Capital Outlay	<u>20,000</u>	<u>-0-</u>
Total	<u>\$ 446,700</u>	<u>\$ 473,500</u>

4. **Equine Research Foundation.** The purpose of the University of Kentucky Equine Research Foundation, Inc. is to further establish an equine research program at the University as one of the finest in the nation by a continuing partnership between the University and the horse industry of the Commonwealth for the enhancement and improvement of the Maxwell H. Gluck Equine Research Center and related programs.

Restricted Funds

	<u>2001-02</u>	<u>2002-03</u>
REVENUE		
Fund Balances	\$ 514,400	\$ 539,900
Total	514,400	539,900
Less: Grant to University	<u>(497,700)</u>	<u>(530,900)</u>
Total Net of Grant to University	<u>\$ 16,700</u>	<u>\$ 9,000</u>

EXPENDITURES BY PROGRAM

Research	\$ 11,700	\$ 4,000
Institutional Support	<u>5,000</u>	<u>5,000</u>
Total	<u>\$ 16,700</u>	<u>\$ 9,000</u>

AFFILIATED CORPORATIONS (continued)

4. **Equine Research Foundation** (continued)

	<u>2001-02</u>	<u>Restricted Funds</u>	<u>2002-03</u>
EXPENDITURES BY OBJECT			
Operating Expenses	\$ 16,700		\$ 9,000
Total	\$ 16,700		\$ 9,000

NOTE: Expenditures do not include \$497,700 and \$530,900 for transfer to the University for 2001-02 and 2002-03, respectively.

5. **Health Care Collection Service**. The purpose of the Health Care Collection Service, Inc. is to provide collection services for the health care facilities of the University of Kentucky and the Medical Center Fund.

	<u>2001-02</u>	<u>Restricted Funds</u>	<u>2002-03</u>
REVENUE			
Sales and Services	\$2,929,200		\$3,366,100
Total	\$2,929,200		\$3,366,100

EXPENDITURES BY PROGRAM			
Institutional Support	\$2,929,200		\$3,366,100
Total	\$2,929,200		\$3,366,100

EXPENDITURES BY OBJECT			
Personal Services	\$2,135,100		\$2,521,700
Operating Expenses	794,100		844,400
Total	\$2,929,200		\$3,366,100

6. **Humanities Foundation**. The purpose of the Kentucky Humanities Foundation, Inc. is to support the Humanities programs of the University of Kentucky and to provide scholarship monies to students engaged in studies in the humanities at the University.

AFFILIATED CORPORATIONS (continued)

6. Humanities Foundation (continued)

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
REVENUE		
Endowment Income	\$ 58,400	\$ 59,300
Gifts, Grants and Contracts	100	100
Fund Balances	<u>62,100</u>	<u>44,400</u>
Total	\$ 120,600	\$ 103,800

	\$	\$
EXPENDITURES BY PROGRAM		
Instruction	28,100	16,700
Student Services	19,400	19,100
Student Financial Aid	<u>73,100</u>	<u>68,000</u>
Total	\$ 120,600	\$ 103,800

	\$	\$
EXPENDITURES BY OBJECT		
Operating Expenses	<u>120,600</u>	<u>103,800</u>
Total	\$ 120,600	\$ 103,800

7. The Medical Center Fund. The purpose of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center is to promote, advance and support the educational, research, charitable and other purposes of the University of Kentucky Medical Center.

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
REVENUE		
Gifts, Grants and Contracts	\$ 1,500,300	\$ 2,090,900
Investment Income	99,400	86,900
Sales and Services	8,057,600	8,174,900
Fund Balances	<u>1,722,600</u>	<u>2,210,200</u>
Total	11,379,900	12,562,900
Less: Grant to University	<u>(6,543,200)</u>	<u>(6,916,600)</u>
Total Net of Grant to University	\$ 4,836,700	\$ 5,646,300

AFFILIATED CORPORATIONS (continued)

7. The Medical Center Fund (continued)

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
EXPENDITURES BY PROGRAM		
Instruction	\$ 118,400	\$ 110,600
Research	132,400	42,400
Public Service	525,000	574,200
Academic Support	3,490,400	4,327,100
Student Services	83,300	83,100
Institutional Support	400,600	431,000
Student Financial Aid	83,600	74,900
Operations and Maintenance	<u>3,000</u>	<u>3,000</u>
Total	\$4,836,700	\$5,646,300

EXPENDITURES BY OBJECT		
Operating Expenses	\$4,740,000	\$5,533,400
Capital Outlay	<u>96,700</u>	<u>112,900</u>
Total	\$4,836,700	\$5,646,300

NOTE: Expenditures do not include \$6,543,200 and \$6,916,600 for transfer to the University for 2001-02 and 2002-03, respectively.

8. Mining Engineering Foundation. The purpose of the University of Kentucky Mining Engineering Foundation, Inc. is to receive, invest and expend funds for the enhancement and improvement of the Mining Engineering Department of the College of Engineering.

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
REVENUE		
Endowment Income	\$ 94,700	\$ 103,700
Total	<u>\$ 94,700</u>	<u>\$ 103,700</u>

AFFILIATED CORPORATIONS (continued)

8. Mining Engineering Foundation (continued)

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
EXPENDITURES BY PROGRAM		
Instruction	\$ 42,600	\$ 46,700
Research	42,600	46,600
Public Service	9,500	10,400
Total	<u>\$ 94,700</u>	<u>\$ 103,700</u>

	\$	\$
EXPENDITURES BY OBJECT		
Personal Services	42,500	46,900
Operating Expenses	42,200	46,800
Capital Outlay	<u>10,000</u>	<u>10,000</u>
Total	<u>\$ 94,700</u>	<u>\$ 103,700</u>

9. Research Foundation. The purpose of the University of Kentucky Research Foundation is to receive, invest and expend funds in promoting and implementing scientific, educational and developmental activities of the University.

	<u>Restricted Funds</u>	
	<u>2001-02</u>	<u>2002-03</u>
REVENUE		
Gifts, Grants and Contracts	\$161,521,000	\$185,000,000
Investment Income	117,800	146,000
Endowment Income	154,800	155,000
Other Income	2,430,000	2,598,000
Fund Balances	<u>3,090,400</u>	<u>5,639,000</u>
Total	167,314,000	193,538,000
Less: Grant to University	<u>(9,456,000)</u>	<u>(13,100,000)</u>
Total Net of Grant to University	<u>\$157,858,000</u>	<u>\$180,438,000</u>

AFFILIATED CORPORATIONS (continued)

9. Research Foundation (continued)

Restricted Funds

2001-02 2002-03

EXPENDITURES BY PROGRAM

Instruction	\$ 20,696,200	\$ 20,830,900
Research	105,478,900	123,924,100
Public Service	25,736,900	30,007,100
Academic Support	2,382,500	1,542,700
Student Services	103,100	51,100
Institutional Support	256,200	415,000
Student Financial Aid	<u>3,204,200</u>	<u>3,667,100</u>
Total	<u>\$157,858,000</u>	<u>\$180,438,000</u>

EXPENDITURES BY OBJECT

Personal Services	\$ 83,033,500	\$112,432,100
Operating Expenses	63,206,500	59,411,200
Capital Outlay	<u>11,618,000</u>	<u>8,594,700</u>
Total	<u>\$157,858,000</u>	<u>\$180,438,000</u>

NOTE: Expenditures do not include \$9,456,000 and \$13,100,000 for transfer to the University for 2001-02 and 2002-03, respectively.

PKD

Equal Opportunity Institution