



UK

OPERATING BUDGET

2001 - 2002

May 1, 2001

Members, Board of Trustees:

I am pleased to submit for your consideration and approval the 2001-2002 University of Kentucky Operating Budget. The annual operating budget is designed to implement the long-term vision articulated in the Strategic Plan adopted by the Board of Trustees in May of 1998 - i.e., "enhancing the University's stature as one of the nation's great universities: an institution recognized nationally and internationally for excellence in teaching, research, and public service, and a sustaining resource for the intellectual, social, cultural, and economic development of the Commonwealth". This budget will enable the University to continue its momentum toward top 20 public research university status by the year 2020.

Strategic Plan Emphases

The Strategic Plan guides decision-making about the allocation of resources, and this budget supports in particular the following strategic planning goals and objectives:

- Advancing the University among the nation's leading public institutions by fostering excellence in research, graduate, postgraduate, and professional education;
- Continuing to build an internationally distinguished and diverse faculty;
- Recruiting and graduating a diverse population of professional and graduate students;
- Strengthening the University's commitment to excellence in teaching and student advising;
- Being a catalyst for economic development by becoming nationally distinguished in research and the transfer of knowledge;
- Strengthening the University's commitment to quality undergraduate education;
- Maximizing access to higher education for people of diverse backgrounds and increasing postsecondary study by the people of the Commonwealth;

- Enhancing research and instructional space and equipment to a status comparable to benchmark institutions;
- Enhancing outreach and public service programs consistent with the resources available to support the University's statewide land grant mission;
- Being a leader in the innovative application of information technology in scholarship, teaching, and public service programs;
- Developing and managing the University's information technology resources to support University goals; and
- Recruiting, retaining, and supporting the highest quality faculty and staff.

Research and Graduate Program Enhancement

State support during the 1998-2000 biennium very decidedly helped the University in the aspiration defined in HBI of becoming a "top 20 public research university". The 2000-2002 Executive Budget continues the initiatives funded in 1998-2000 to enhance the University's research and graduate program standing. An additional \$100 million was set aside in the State General Fund Surplus Expenditure Plan for Research Challenge Trust Fund endowments, of which \$66.7 million is designated for UK to further research and graduate programs and initiatives. These funds must be matched by the University, which will result in total additions to institutional endowment funds of \$133.4 million when fully matched. Through the generosity of UK supporters the University has matched the \$66.7 million appropriated in 1998-2000. Furthermore, the University has matched more than \$50.0 million of the \$66.7 million appropriated in 2000-2002. Contained in this operating budget are restricted funds that represent the investment income on these endowments to be utilized during this budget year for endowed chairs, professorships, support of graduate fellowships and scholarships, and the research library endowment. This program exemplifies that necessary blend of financial support from the state complemented by that extra "edge" of excellence that private support brings.

Also contained in this operating budget are restricted funds that represent a significant increase in grant and contract activity (\$33.8 million), resulting in increased indirect cost recovery and other income of \$7.6 million. Through a grant to the University from UKRF, this revenue will help fund new general fund positions in support of increasing research activity, including five positions in the Office of Research Integrity. Additionally, these dollars will provide an increase of \$4.7 million in support of faculty research programs. This operating budget also includes support for a new position, Director of Corporate Relations, that will work collaboratively with business and industry to support faculty in transferring research discoveries to real-world applications.

Undergraduate Education

Within the context of limited funding, a number of important initiatives have been advanced in this budget: Classroom renovation and instructional equipment (\$1.1 million), maintaining and enhancing the undergraduate student scholarship base, additional support for the Admissions Office, and additional support for instruction and retention programs, such as the Excel Program, the Freshman Summer Program, the Discovery Seminars, and the new Academic Recovery Program.

In addition, funding has been allocated to Lexington Community College (LCC), which enrolls approximately 7,200 undergraduate students, for additional faculty, library, and staff positions to support enrollment growth (5.9% in 2000-2001). This budget places a high priority on faculty and staff salaries. Therefore, \$517,800 was used to boost LCC faculty and staff salaries as part of a three year plan to achieve the benchmark median.

These initiatives, which require funding, go hand-in-hand with others identified in the Strategic Plan which do not necessarily require additional financial resources, but which focus on the continued improvement of undergraduate education, e.g., simplifying and improving the University Studies Program; promoting and rewarding excellent teaching and advising; continuing teaching development programs and coordinating comprehensive teaching evaluations. Ongoing efforts are being made in each of these areas.

Budgetary Changes

This University is committed to its strategic planning objective to "implement an organizational structure and processes that support the achievement of University goals." As a result, this 2001-2002 budget reflects significant changes in Central Administration. Due to a reorganization of Central Administration,

eliminating three vice president positions, more than \$500,000 was reallocated to other University needs. The reorganization includes the following changes: The position of Vice President for University Relations has been eliminated and Public Relations is moved to the Office of the President; Parking and Transportation is moved to Fiscal Affairs; Governmental Relations and the UK Police are moved to the Vice President for Administration; Alumni Affairs is moved to the Office of Development, which remains a separate unit; and the position of Vice President for Outreach and International Affairs has been eliminated, and University Extension and the Office of International Affairs are transferred to the Lexington Campus. The position of Vice President for Management and Budget was eliminated effective January 1, 2001, and those responsibilities transferred to the Vice President for Administration. Finally, this operating budget provides support beginning July 1, 2001, for the position of President-Elect Lee T. Todd and for the position of the outgoing president.

State Support

Due to the support of the Governor and the General Assembly, this budget includes a 3.7% increase in operating appropriation, providing an additional \$10.9 million for the University of Kentucky (including Lexington Community College).

Several special appropriations are also included in this budget. An additional \$517,800 was appropriated to provide funds to move Lexington Community College's funding level toward the 55th percentile of its benchmark institutions. Also included in the CPE appropriation is \$1.1 million for enrollment growth and retention at the University of Kentucky and Lexington Community College. Distribution of these funds is subject to guidelines established by the CPE. Finally, nearly \$3.0 million is provided for the Operations and Maintenance of new facilities scheduled to come on-line in 2001-2002, including the Aying/Allied Health, Agricultural Plant Sciences, and Mechanical Engineering Buildings, among others. The University is very grateful for the support of the Governor and General Assembly and their recognition of the needs of postsecondary education.

Tuition

This budget includes increased revenue from the tuition rate increase adopted by the Board of Trustees for 2001-2002 (5.1% for in-state undergraduate students in the University System). The tuition revenue increase assumes that enrollment growth due to new graduate and professional programs in the Medical Center will be tempered by enrollment declines among nonresident students and will result in a modest enrollment growth overall in 2001-2002. For Lexington Community College, an additional

\$514,100 was available for allocation in 2001-2002 based on the 2000-2001 enrollment growth of 5.9%. Due to space constraints and the available population of new students, Lexington Community College anticipates a leveling off in enrollment growth for the next two years, until additional space becomes available.

Expenditure Priorities

From a combination of the increase in state support, tuition, and reallocation within Central Administration, this budget addresses the following expenditure priorities:

Operations and Maintenance. State-funded operations and maintenance increases by approximately \$3.0 million in support of six new facilities projected for completion in 2001-2002.

Salaries and Fringe Benefits. Competitive salaries for faculty and staff continue to be the highest priority for the University. Therefore, this budget includes an average salary increase of 4% for regular full-time and part-time faculty and staff to be distributed on the basis of merit. Faculty salaries in the University System, which continue to be a critical need, are at 87.8% of the median of the current set of benchmarks. In addition to the salary increase, a 3.5% funding increase is provided for a scale adjustment in the staff salary schedule, for teaching assistants, and for retirees. Also included in this budget is funding for a 12.5% increase in the University contribution to health insurance for regular full-time employees.

Utilities. In response to recent higher energy costs, a 4.6% increase is provided for utilities.

Operating Expenses. A 3.5% increase for operating expenses is provided as well as a 7.15% increase for library acquisitions.

Program Priorities

After fixed costs, operations and maintenance, salary and benefit considerations, library acquisition costs, and base operating expenses, funding was made available for program priorities. Most of these allocations were focused on research and graduate education enhancement and the improvement of the undergraduate education program as discussed previously. Funding of approximately \$770,000 was provided to maintain the value of the tuition and fee scholarship program which would have decreased because of tuition rate increases. The following provides additional information on funding priorities within the chancellor areas:

Many of the budget priorities for the Lexington Campus and Lexington Community College have been discussed above within the sections on research and graduate program enhancement and undergraduate education enhancement. The Chancellor has also identified funds for reallocation on a recurring and nonrecurring basis to priority areas including funding for new faculty lines and instructional support in Architecture, Business and Economics, Communications, Fine Arts, and Human Environmental Sciences; Law School scholarships to attract top Law School candidates, including minorities; additional funding for the Honors Program; and support for the Joint UK/UofL KERA Center, administrative computing systems, and the Teaching Award Program.

The Medical Center operating budget is consistent with the University and Medical Center strategic planning emphases in the areas of enhancing education, scholarship, and research program excellence; promoting human and economic development; ensuring good stewardship of resources; and supporting outreach and patient care activities throughout the Commonwealth. Funding is provided in this budget to extend and enhance multimedia capability to several classrooms; to add faculty for the College of Nursing Clinical Doctorate, the College of Medicine School of Public Health; and the Center for Biomedical Engineering; to fund additional enhancements for the Pharmacy Practice and Science Division and the Center for Oral Health Research; and to provide scholarships for the new College of Medicine MD/PhD program.

The University Hospital budget supports the University, Medical Center, and Hospital strategic plan priorities and reflects the current pressures in the health environment that are driven by demands for increased productivity, efficiency, and cost effectiveness.

The 2001-2002 budget continues to provide support for faculty and staff recruitment to support scholarship and academic excellence in the Medical Center colleges. Approximately \$9.8 million will be contributed this year for faculty guarantees and start-up funds in Emergency Medicine, Family Practice, Bone Marrow Transplant, Cardiology, General Medicine, Hematology and Oncology, Hepatology, Infectious Disease, Pulmonary, Neurology, Ob/Gyn, Ophthalmology, Pediatrics, Radiation Medicine, Neurosurgery, CT Surgery, Plastic Surgery, Trauma Surgery, and General Surgery. In addition, the Hospital continues to provide support for the residency training program in 24 subspecialty fields. In order to attract the most competent faculty and staff and to sustain our market position and regional tertiary and referral role, the Hospital recognizes that it must maintain a state-of-the-art technological environment. The business plans implemented in FY 2001 for Cardiology, CT Surgery,

Orthopedics Surgery, Pediatrics, Neurosciences, and Oncology will continue into FY 2002. The neuroscience, Oncology, Pediatrics, and Cardiac program emphases noted above are consistent with the Hospital's strategic plan emphases in these clinical areas.

The Hospital budget reflects recognition of the necessity to provide cost-effective care and efficient use of resources. Incorporated in this budget is a commitment for sophisticated information systems, which supports both patient management protocols and strategies for improved clinical outcomes and more cost-effective care. Initiatives in the area of Continuous Quality Improvement, which support staff development and departmental objectives to provide efficient operations, have been included in this budget. While the comprehensiveness and quality of our services are recognized throughout the region, we realize that we must address our cost structure to deal with the managed care market place. Therefore, this budget includes funding for a continued operation improvement initiative to improve quality and become more cost efficient. All of these items are consistent with the University emphasis on the stewardship of resources.

In addition to the programs stated above, the Hospital continues to be engaged in the development of integrated delivery systems and related managed care products. These ventures serve to maintain an income stream and patient pool for the Hospital and Ambulatory Care Services at the Medical Center, Kentucky Clinic South and Kentucky Clinic North, which are needed to support our teaching, public service, and research missions. These products along with other investments in outpatient service opportunities continue to provide the framework of a vertically integrated health care system that will provide seamless care. This reflects the Hospital's strategic plan focus on community and ambulatory care.

Other Income

In addition to the increase of \$14.4 million in state appropriations and \$4.3 million in general tuition revenue, a \$41.3 million increase in other income and associated expenditures is included in this budget. The changes in income-supported activities in this budget are as follows:

General Fund	(Millions)
Student Fees (Self-Supporting)	\$ 2.8
Federal/County Appropriations	0.0
Investment/Endowment Income	(0.7)
Gifts and Grants	2.3
Sales and Services	.4
Fund Balances (Nonrecurring)	(0.7)
Restricted, Auxiliary, Affiliates & Hospital	\$37.2

Student Fees - as noted previously, the increase from general tuition has been utilized to support fixed and continuation costs and programmatic needs of the University. Student noncredit fees and mandatory registration fees, such as the health fee, technology fee, and student recreation fee, support those self-supporting activities. Federal/County appropriations provide support for the agricultural programs. Gifts and grants are funds that generally come to the University for specific purposes and are expended for those purposes. Sales and services income is generated by units that are basically self-supporting operations, and the additional revenue is required to support increased costs of operation and levels of activity. Investment income supports the recurring program budget, nonrecurring capital and programmatic needs, and some debt service. Fund balances support much of the nonrecurring capital program and some one-time program activities of the University. Restricted, Auxiliary, Affiliate and Hospital funds are either funds restricted for specific purposes or the operations are expected to be self-supporting, and the revenue is required to support increased costs of operation and levels of activity.

Affiliated Corporation Budgets

The budgets of the affiliated corporations are included to provide the Board with a consolidated operating budget consistent with reports provided to the Governor, the General Assembly, and the Council on Postsecondary Education. Any increases made to the affiliated corporation budgets by their respective boards will be brought back to this Board for review.

Capital Budget

The new facilities approved by the Governor and General Assembly for the 2000-2002 biennium were scheduled in the 2000-2001 Capital Budget, including the Biomedical Sciences Research Building; the Center for Rural Health in Hazard; the Seaton Center Addition/Renovation project; an expansion of parking facilities; a number of utility upgrade/maintenance projects; and several Hospital projects. Also, in the 2000-2001 Capital Budget the University depicted its authorization in the CPE budget for \$8.9 million in deferred maintenance/life safety projects, with a dollar-for dollar match required, and the pending instructional equipment pool which was \$7.3 million. All of these capital projects and purchases are currently underway.

For 2001-2002 the \$116.0 million capital budget includes \$25.1 million for programmatic renovations; \$4.2 million for scheduled maintenance projects; \$1.7 million for life safety projects; \$5.5 million for land

improvement and \$2.0 million for other projects; and \$77.5 million for equipment. Of the \$77.5 million equipment budget, \$11.8 million is for computing equipment; \$1.4 million is for other instructional equipment; \$12.4 million is for research equipment; \$1.1 million is for auxiliary, communications, and office equipment; and \$50.8 million is for other equipment, primarily Hospital equipment. There is an additional \$10.9 million in the capital budget for library books.

The University's land, buildings and equipment are fundamental assets of the University and a high priority for the University is that these assets be developed and managed to support institutional goals while providing a safe, healthful, clean and accessible campus environment.

Summary

The 2001-2002 Operating Budget represents an expenditure level of \$1,288.2 million, an increase of 4.9% over the revised 2000-2001 budget. The major sources of funds in the budget are the state appropriation, 25.0% of the total; student fees, 10.3%; hospital funds, 24.5%; and affiliated corporations, 16.1%. Federal and county appropriations, endowment income, investment income, gifts and grants, sales and services, fund balances, restricted funds, and auxiliary services income support the balance of the budget.

The funds available to the University are allocated 20.6% in support of the instructional program; 14.6% for research; 12.7% for public service; 6.0% for academic support; 4.3% for student financial aid; 24.6% for the University Hospital; and 5.9% for auxiliary services. The remaining 11.3% supports student services, institutional support, operations and maintenance, and mandatory transfers. Approximately 56.1% of the budget supports personal services, 38.1% supports operating expenses, 2.5% supports debt service, and 3.3% is budgeted for capital outlay.

General Provisions

This proposed budget allocates expenditure authority to individual budget units for personal services (salaries and employee benefits), operating expenses, and capital. Salaries for 2001-2002 are included within the allocations to the respective organizational budgets. Expenditures are included for the major fund groups of the University. First, there is the general fund group that is supported by state appropriations, federal and county appropriations, student fees, gifts and grants, educational sales and services, investment income, and fund balances. Second, there is the hospital fund group that includes all expenditures of the University Hospital. Third, there is the auxiliary fund group that includes self-sustaining

operations, such as housing and dining activities, the 4-H camps, the dental instrument resale program and similar operations. Fourth, there is the restricted fund group that is supported by revenue restricted to specific purposes by sources outside the institution. Fifth, there is the affiliated corporation fund group, not-for-profit Kentucky corporations which include the Athletics Association, the Business Partnership Foundation, the Center on Aging Foundation, the Equine Research Foundation, the Health Care Collection Service, the Humanities Foundation, the Medical Center Fund, the Mining Engineering Foundation, and the Research Foundation.

The expenditures proposed for the general fund group are the best current estimates of program support; however, because actual support may vary from these estimates, it is proposed that authority to transfer funds within the overall general fund allocation be delegated to the President. The monthly financial reports submitted to the Board of Trustees will reflect transfers authorized by the President between and among major functions. Any changes in overall expenditures based on revised income estimates will be presented to the Board for prior approval.

The hospital fund is supported by revenue from hospital operations. Any changes in expenditures based on revenue estimates that differ from those included in the proposed budget will be presented to the Board for prior approval.

The auxiliary fund group is supported by revenue from auxiliary enterprises operations. Any changes in expenditures based on revenue estimates that differ from those included in the proposed budget will be presented to the Board for prior approval.

The restricted fund group is supported by revenue restricted by the donor (1) for specific program activities or (2) for use by specific organizational units. Expenditures are summarized herein by budget unit; however, specific accounts will be separately established and administered. Expenditures will not exceed the total authorized by category unless there is action by the Board to change the authorization. The restricted fund group also includes grants or contracts for sponsored programs. Grants or contracts for sponsored programs represent a contractual obligation activity through which the sponsor receives services or other identifiable benefits. The contractual obligation is documented by a proposal/award combination, contract, or written memorandum of agreement. The Board of Trustees has delegated to the President the authority to enter into such contracts with outside agencies. Authority has also been delegated to authorize expenditures from accounts created as a result of a

contract or grant agreement between the University and an outside agency, with such authorization being reported to the Board at its next regular meeting following the authorization of such expenditures. During 2001-2002, with Your approval of this document, the President will continue to operate under the delegations in this area.

Expenditure budgets for restricted (and unrestricted) accounts supported by investment earnings from endowment funds are based upon a spending rule of 5% applied to their respective average market values for the 36 months ending December 31, 2000. Expenditures are supported from ordinary current income (yield) earned during the year, and, if required, from realized net appreciation in the value of endowment assets. The University has established a goal for the endowment of balancing annual spending and the real return (inflation adjusted) on assets in order to preserve the purchasing power of the endowment and related revenue stream over time.

I am hereby requesting that the Board approve the appropriation and allocation of all fund balances for future operations, to include amounts necessary to cover accounts receivable and inventories that will represent assets on the audited balance sheet of the University of Kentucky for 2000-2001. These balances are in addition to those incorporated in the proposed budget. Since the books of accounts of the University of Kentucky are maintained on a full accrual basis, this action will provide the funds necessary to maintain a sound financial situation during the 2001-2002 fiscal year.

Respectfully submitted,



Charles T. Wethington, Jr.
President

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ESTIMATED INCOME AND FUNDS AVAILABLE

2000-01

2001-02

GENERAL FUND

STATE APPROPRIATION

Regular Appropriation	\$ 307,830,100	\$ 322,210,600
Total State Appropriation	\$ 307,830,100	\$ 322,210,600

STUDENT FEES

General

Regular - University System	\$ 87,970,300	\$ 90,992,800
- Lexington Community College	9,071,200	9,526,500
Medicine	4,188,200	4,212,000
Dentistry	2,079,900	2,265,200
Summer Session - University System	5,338,400	5,828,200
- Lexington Community College	662,500	771,300
Subtotal	\$ 109,310,500	\$ 113,596,000
Self-Supporting		
Noncredit Fees	\$ 8,663,800	\$ 8,382,100
Mandatory Registration Fees	5,875,900	8,903,300
Other Fees	2,060,000	2,131,300
Subtotal	\$ 16,599,700	\$ 19,416,700
Total Student Fees	\$ 125,910,200	\$ 133,012,700

FEDERAL APPROPRIATIONS

Agricultural Experiment Station	\$ 5,297,300	\$ 5,291,100
Agricultural Cooperative Extension Service	10,255,400	10,253,000
Total Federal Appropriations	\$ 15,552,700	\$ 15,544,100

COUNTY APPROPRIATIONS

	\$ 8,270,600	\$ 8,270,600
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ENDOWMENT INCOME

	\$ 874,800	\$ 835,400
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INVESTMENT INCOME

	\$ 11,900,600	\$ 11,245,100
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ESTIMATED INCOME AND FUNDS AVAILABLE

2000-01

2001-02

GENERAL FUND (continued)

GIFTS AND GRANTS

The Fund for Advancement of Education and Research in the	\$	6,717,900	\$	6,528,200
Medical Center				
University of Kentucky Research Foundation		5,091,000		6,483,000
General Fund Grants		2,539,000		2,973,000
Research Administration		1,149,500		691,100
Federal - Agriculture		54,369,500		55,521,500
Other Gifts and Grants		69,866,900		72,196,800
Total Gifts and Grants		<u>69,866,900</u>		<u>72,196,800</u>

SALES AND SERVICES

Agricultural Public and Regulatory Services	\$	3,439,600	\$	3,482,100
Departmental Sales and Services		50,358,800		50,396,500
Farm Sales		885,100		1,025,100
Housestaff Reimbursed Costs		7,329,300		7,473,400
Housing and Dining - University-Provided Services		688,000		688,000
Total Sales and Services		<u>62,700,800</u>		<u>63,065,100</u>

FUND BALANCES

	\$	21,291,900	\$	20,560,900
TOTAL GENERAL FUND INCOME		\$ 624,198,600		\$ 646,941,300

NONGENERAL FUNDS

RESTRICTED FUNDS	\$	72,562,000	\$	82,369,400
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AUXILIARY SERVICES	\$	33,548,900	\$	35,363,000
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AFFILIATED CORPORATIONS	\$	173,352,000	\$	207,717,000
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HOSPITAL

Revenue	\$	322,543,000	\$	313,427,900
University-Provided Services		2,000,000		2,396,100
Total Hospital		<u>324,543,000</u>		<u>315,824,000</u>

TOTAL NONGENERAL FUND INCOME	\$	604,005,900	\$	641,273,400
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TOTAL INCOME	\$	1,228,204,500	\$	1,288,214,700
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**EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS**

	2000-01	2001-02
INSTRUCTION		
Central Administration	\$ 214,400	\$ 231,300
Information Systems		
Distance Learning	\$ 1,891,100	\$ 1,981,800
Lexington Campus		
Agriculture	\$ 4,670,300	\$ 4,799,200
Architecture	1,628,800	1,738,300
Arts and Sciences	35,680,600	36,984,200
Business and Economics	8,659,800	9,204,900
Communications and Information Studies	3,345,600	3,603,300
Education	9,245,400	9,502,900
Engineering	13,732,700	13,696,400
Fine Arts	5,440,700	5,665,300
Human Environmental Sciences	2,957,800	3,284,600
Law	3,874,200	4,063,900
Lexington Community College	10,585,600	10,856,700
Social Work	2,033,100	2,108,500
Academic Services	1,338,400	1,394,400
Administration and Outreach*	18,800	3,807,100
Center for Robotics and Manufacturing Systems	1,610,000	1,610,000
College Business Management Institute	340,000	340,000
Multidisciplinary Graduate Programs	1,077,100	1,050,900
Research Challenge Trust Fund Research and Graduate Programs	10,200	4,900
Other Instruction	1,285,300	624,400
Total Lexington Campus	\$ 107,534,400	\$ 114,339,900
Medical Center		
Allied Health Professions	\$ 4,463,900	\$ 4,688,100
Dentistry	7,857,200	8,112,800
Medicine	64,869,700	64,519,000
Nursing	4,923,500	5,181,700
Pharmacy	6,476,400	6,887,700

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

**EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS**

	2000-01	2001-02
INSTRUCTION (continued)		
Medical Center (continued)		
Graduate Centers	\$ 1,592,500	\$ 1,771,700
Area Health Education Centers	688,800	693,700
Center on Aging	10,000	5,000
Center for Cancer Prevention, Education, Research and Patient Care	452,800	470,400
Center for Rural Health	2,383,600	2,417,600
Consolidated Continuing Education Office (CCEO)	3,994,100	4,223,700
Research Challenge Trust Fund Research and Graduate Programs	486,200	495,200
Other Instruction	<u>1,363,400</u>	<u>1,327,000</u>
Total Medical Center	\$ 99,562,100	\$ 100,793,600
Outreach and International Affairs*		
Office of International Affairs	\$ 4,000	\$ -0-
University Extension Administrative Programs	<u>3,759,600</u>	<u>-0-</u>
Total Outreach and International Affairs	\$ 3,763,600	\$ -0-
Research and Graduate Studies	\$ 430,700	\$ 562,000
University-Wide Instructional Program Support	\$ 616,700	\$ 959,500
University-Wide Staff Benefits	\$ 4,366,100	\$ 4,379,300
Total Instruction	\$ 218,379,100	\$ 223,247,400
Nonrecurring	\$ 11,354,800	\$ 10,273,900

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

**EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS**

	2000-01	2001-02
RESEARCH		
Lexington Campus		
Agricultural Experiment Station	\$ 29,550,500	\$ 30,281,100
Center for Equine Health Care	151,500	157,900
Center for Robotics and Manufacturing Systems	2,708,400	2,784,200
Center for Public Administration	388,700	492,800
Research Challenge Trust Fund Research and Graduate Programs	3,264,900	3,417,000
Other Research	<u>684,400</u>	<u>692,700</u>
Total Lexington Campus	\$ 36,748,400	\$ 37,825,700
Medical Center		
Dentistry	\$ 222,200	\$ 363,200
Medicine	608,400	610,000
Academic Support Grants	600,000	600,000
Center on Aging	1,994,700	2,069,400
Center for Cancer Prevention, Education, Research and Patient Care	445,200	486,900
Center for Pharmaceutical Science and Technology	270,400	290,300
Center for Rural Health	913,500	931,900
Research Challenge Trust Fund Research and Graduate Programs	2,266,700	2,352,300
Other Research	<u>275,600</u>	<u>269,800</u>
Total Medical Center	\$ 7,596,700	\$ 7,973,800
Research and Graduate Studies		
Center for Applied Energy Research	\$ 4,225,100	\$ 4,335,500
Center for Computational Sciences	630,800	641,800
Kentucky Water Resources Research Institute	249,100	254,500
Other Research	<u>1,413,500</u>	<u>2,343,200</u>
Total Research and Graduate Studies	\$ 6,518,500	\$ 7,575,000
University-Wide Research Program Support	\$ 73,900	\$ 139,600
University-Wide Staff Benefits	\$ 1,154,400	\$ 1,182,400
Total Organized Research	\$ 52,091,900	\$ 54,696,500
Nonrecurring	\$ 3,437,900	\$ 3,007,100

**EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS**

	2000-01	2001-02
PUBLIC SERVICE		
Lexington Campus		
Agricultural Cooperative Extension Service	\$ 47,543,300	\$ 48,951,300
Agricultural Public Service	5,082,400	5,236,500
Art Museum	399,700	416,300
Center for Business and Economic Research	197,800	207,000
Center for Entrepreneurship	563,300	580,800
Center for Labor Education and Research	480,500	497,300
Center for Professional Development	177,500	183,800
Center for the Arts	269,900	336,500
Japanese Programs*	-0-	337,100
Lexington Community College	332,000	277,700
Livestock Disease Diagnostic Laboratory	2,996,400	3,120,600
University Artist Series	168,100	170,600
University Press	2,356,800	2,386,700
WUKY Radio	276,900	287,900
Other Public Service	1,296,900	1,375,500
Total Lexington Campus	<u>\$ 62,141,500</u>	<u>\$ 64,365,600</u>
Medical Center		
Dentistry	\$ 4,202,200	\$ 4,437,700
Medicine	48,805,300	48,447,300
Area Health Education Centers	688,800	693,700
Center for Cancer Prevention, Education, Research and Patient Care	1,453,800	1,523,700
Center for Rural Health	2,604,600	2,673,200
Other Public Service	333,000	291,700
Total Medical Center	<u>\$ 58,087,700</u>	<u>\$ 58,067,300</u>
Outreach and International Affairs*		
Vice President for Outreach and International Affairs	\$ 349,400	\$ -0-
University Extension Administrative Programs	326,800	-0-
Total Outreach and International Affairs	<u>\$ 676,200</u>	<u>\$ -0-</u>

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

**EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS**

	2000-01	2001-02
PUBLIC SERVICE (continued)		
Research and Graduate Studies		
Appalachian Center	\$ 213,200	\$ 215,300
Center for Applied Energy Research	107,800	231,500
Council on Aging	537,300	177,900
Kentucky Geological Survey	3,311,200	3,420,600
Survey Research Center	144,700	144,700
Other Public Service	80,600	214,100
Total Research and Graduate Studies	<u>\$ 4,394,800</u>	<u>\$ 4,404,100</u>
University-Wide Public Service Program Support	\$ 148,900	\$ 270,600
University-Wide Staff Benefits	\$ 1,912,900	\$ 1,882,800
Total Public Service	\$ 127,362,000	\$ 128,990,400
Nonrecurring	\$ 5,458,900	\$ 4,758,200
ACADEMIC SUPPORT		
Central Administration	\$ 131,100	\$ 137,600
Information Systems		
Computing Services	\$ 7,403,300	\$ 7,727,600
Libraries	15,545,400	16,739,400
Other Academic Support	1,261,200	1,293,800
Total Information Systems	<u>\$ 24,209,900</u>	<u>\$ 25,760,800</u>
Lexington Campus		
Agriculture	\$ 527,900	\$ 540,400
Architecture	461,500	503,600
Arts and Sciences	2,197,900	2,303,300
Business and Economics	1,496,700	1,626,100
Communications and Information Studies	678,200	714,500
Education	757,300	805,300
Engineering	1,204,800	1,266,500

**EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS**

2000-01 2001-02

ACADEMIC SUPPORT (continued)

Lexington Campus (continued)

Fine Arts	\$ 644,700	\$ 674,200
Human Environmental Sciences	629,500	589,000
Law	725,700	750,400
Lexington Community College	1,085,500	1,328,400
Academic Services	1,021,900	1,089,500
Administration and Outreach*	1,700	544,900
Other Academic Support*	<u>2,172,800</u>	<u>2,497,100</u>
Total Lexington Campus	\$ 13,606,100	\$ 15,233,200

Medical Center

Allied Health Professions	\$ 662,500	\$ 720,100
Dentistry	1,477,000	1,153,600
Medicine	2,393,800	2,757,700
Nursing	465,100	483,000
Pharmacy	584,800	636,800
Library	2,924,400	3,081,100
Other Academic Support	<u>2,917,600</u>	<u>3,117,000</u>
Total Medical Center	\$ 11,425,200	\$ 11,949,300

Outreach and International Affairs*

Office of International Affairs	\$ 224,200	\$ -0-
University Extension Administrative Programs	514,800	-0-
Total Outreach and International Affairs	<u>\$ 739,000</u>	<u>\$ -0-</u>

Research and Graduate Studies

Graduate School	\$ 1,235,700	\$ 1,282,800
Research Administration (OSPA)	2,539,000	2,973,000
Other Academic Support	1,053,200	1,208,400
Total Research and Graduate Studies	<u>\$ 4,827,900</u>	<u>\$ 5,464,200</u>

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

**EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS**

	2000-01	2001-02
ACADEMIC SUPPORT (continued)		
University-Wide Academic Support	\$ 149,600	\$ 284,100
University-Wide Staff Benefits	\$ 1,225,700	\$ 1,281,200
Total Academic Support	\$ 56,314,500	\$ 60,110,400
Nonrecurring	\$ 3,623,200	\$ 3,107,100
STUDENT SERVICES		
Central Administration	\$ 243,500	\$ 266,000
Lexington Campus	\$ 1,309,600	\$ 1,410,100
Admissions	572,600	592,600
Advising and Transfer Center	675,200	712,400
Counseling and Testing	1,303,600	1,629,700
Lexington Community College	621,900	644,200
Minority Affairs	1,493,500	1,541,600
Registrar	4,048,800	5,378,700
Student Affairs	1,056,900	1,076,400
Student Billing Services	936,000	918,600
Student Financial Aid - Administration	570,300	1,156,100
Other Student Services*	<u>12,588,400</u>	<u>15,060,400</u>
Total Lexington Campus	\$ 12,588,400	\$ 15,060,400
Medical Center	\$ 166,200	\$ 175,600
Allied Health Professions	335,000	313,200
Dentistry	689,000	789,300
Medicine	150,100	155,900
Nursing	3,669,400	3,794,400
University Health Service	123,300	127,400
Other Student Services	<u>5,133,000</u>	<u>5,355,800</u>
Total Medical Center	\$ 5,133,000	\$ 5,355,800

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

**EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS**

	2000-01	2001-02
STUDENT SERVICES (continued)		
Outreach and International Affairs*		
Office of International Affairs	\$ 531,100	\$ -0-
Research and Graduate Studies		
Graduate School	\$ 593,200	\$ 593,200
University-Wide Student Services	\$ 1,000	\$ 1,000
University-Wide Staff Benefits	\$ 432,600	\$ 470,100
Total Student Services	\$ 19,522,800	\$ 21,746,500
Nonrecurring	\$ 470,300	\$ 514,300
INSTITUTIONAL SUPPORT		
Central Administration		
General Administrative Services	\$ 28,083,300	\$ 29,766,500
Information Systems		
General Administrative Services	\$ 7,027,900	\$ 7,281,500
Lexington Campus		
General Administrative Services	\$ 3,302,300	\$ 3,864,200
Medical Center		
General Administrative Services	\$ 3,825,200	\$ 4,097,500
Research and Graduate Studies		
General Administrative Services	\$ 662,300	\$ 715,800
University-Wide Institutional Program Support	\$ 1,252,200	\$ 1,820,300

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

**EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS**

	2000-01	2001-02
INSTITUTIONAL SUPPORT (continued)		
University-Wide Staff Benefits	\$ 1,000,600	\$ 1,050,600
Total Institutional Support	\$ 45,153,800	\$ 48,596,400
Nonrecurring	\$ 2,781,100	\$ 2,744,300
STUDENT FINANCIAL AID		
Central Administration	\$ 10,500	\$ 10,500
Lexington Campus		
Commonwealth Scholarships	\$ 1,052,600	\$ 1,106,300
Minority Affairs Scholarships	1,843,800	1,937,100
Research Challenge Trust Fund Research and Graduate Programs	370,000	370,000
Sector-Wide Student Financial Aid	<u>12,314,800</u>	<u>13,056,900</u>
Total Lexington Campus	\$ 15,581,200	\$ 16,470,300
Medical Center		
Research Challenge Trust Fund Research and Graduate Programs	\$ 279,600	\$ 280,200
Sector-Wide Student Financial Aid	<u>1,513,300</u>	<u>1,704,200</u>
Total Medical Center	\$ 1,792,900	\$ 1,984,400
Outreach and International Affairs*		
Office of International Affairs	\$ 128,200	\$ -0-
Research and Graduate Studies		
Research Challenge Trust Fund Research and Graduate Programs	\$ 1,615,300	\$ 1,676,500
Sector-Wide Student Financial Aid	<u>1,893,900</u>	<u>3,468,200</u>
Total Research and Graduate Studies	\$ 3,509,200	\$ 5,144,700
Total Student Financial Aid	\$ 21,022,000	\$ 23,609,900
Nonrecurring	\$ 275,000	\$ 262,000

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

EXPENDITURE SUMMARY BY PROGRAM
UNRESTRICTED CURRENT FUNDS

	2000-01	2001-02
OPERATIONS AND MAINTENANCE		
Central Administration	\$ 26,473,000	\$ 27,273,800
Lexington Campus	\$ 1,344,200	\$ 1,204,700
Medical Center	\$ 8,147,000	\$ 9,227,100
University-Wide Operations and Maintenance	\$ 1,445,800	\$ 1,561,600
University-Wide Staff Benefits	\$ 847,800	\$ 867,600
Total Operations and Maintenance	\$ 38,257,800	\$ 40,134,800
Nonrecurring	\$ 2,353,200	\$ 2,876,900
MANDATORY TRANSFERS - DEBT SERVICE		
Lexington Campus	\$ 8,202,200	\$ 9,759,300
Medical Center	5,602,400	6,485,300
University-Wide	3,482,700	3,363,700
Total Mandatory Transfers - Debt Service	\$ <u>17,287,300</u>	\$ <u>19,608,300</u>
HOSPITAL - GENERAL OPERATIONS		
Mandatory Transfers - Debt Service	\$ 321,472,000	\$ 311,958,900
	\$ 2,124,000	\$ 2,522,000
TOTAL UNRESTRICTED CURRENT FUNDS	\$ 948,741,600	\$ 962,765,300

**EXPENDITURE SUMMARY BY PROGRAM
RESTRICTED CURRENT FUNDS**

	2000-01	2001-02
INSTRUCTION		
Lexington Campus	\$ 6,332,900	\$ 6,637,000
Medical Center	3,381,200	4,427,800
Research and Graduate Studies	43,400	62,700
Total Instruction	<u>\$ 9,757,500</u>	<u>\$ 11,127,500</u>
RESEARCH		
Central Administration	\$ 679,400	\$ 655,500
Lexington Campus	9,452,200	11,330,900
Medical Center	6,265,900	7,961,400
Research and Graduate Studies	3,705,700	3,818,500
Total Research	<u>\$ 20,103,200</u>	<u>\$ 23,766,300</u>
PUBLIC SERVICE		
Central Administration	\$ 660,500	\$ 634,500
Information Systems	6,900	8,400
Lexington Campus	2,031,200	2,293,000
Medical Center	457,400	807,400
Outreach and International Affairs*	5,300	-0-
Research and Graduate Studies	208,000	240,200
Total Public Service	<u>\$ 3,369,300</u>	<u>\$ 3,983,500</u>
ACADEMIC SUPPORT		
Central Administration	\$ -0-	\$ 2,700
Information Systems	1,309,400	2,378,700
Lexington Campus	3,278,400	3,437,900
Medical Center	1,518,300	1,415,400
Outreach and International Affairs*	1,000	-0-
Research and Graduate Studies	18,800	18,800
Total Academic Support	<u>\$ 6,125,900</u>	<u>\$ 7,253,500</u>
STUDENT SERVICES		
Central Administration	\$ 674,400	\$ 692,100
Lexington Campus	368,700	467,000
Medical Center	75,200	77,200
Outreach and International Affairs*	1,500	-0-
Research and Graduate Studies	-0-	500
Total Student Services	<u>\$ 1,119,800</u>	<u>\$ 1,236,800</u>

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

**EXPENDITURE SUMMARY BY PROGRAM
RESTRICTED CURRENT FUNDS**

	2000-01	2001-02
INSTITUTIONAL SUPPORT		
Central Administration	\$ 450,500	\$ 159,800
Information Systems	-0-	300,000
Lexington Campus	156,200	224,100
Medical Center	165,300	194,200
Research and Graduate Studies	2,100	2,100
Total Institutional Support	<u>774,100</u>	<u>880,200</u>
STUDENT FINANCIAL AID		
Central Administration	\$ 334,600	\$ 1,422,600
Information Systems	3,600	5,700
Lexington Campus	23,442,300	25,119,200
Medical Center	1,515,400	1,527,000
Outreach and International Affairs*	12,600	-0-
Research and Graduate Studies	548,200	818,800
Total Student Financial Aid	<u>25,856,700</u>	<u>28,893,300</u>
OPERATIONS AND MAINTENANCE		
Central Administration	\$ 517,000	\$ 107,700
Lexington Campus	487,600	537,900
Medical Center	541,700	622,200
Total Operations and Maintenance	<u>1,546,300</u>	<u>1,267,800</u>
Subtotal	\$ 68,652,800	\$ 78,408,900
MANDATORY TRANSFERS - DEBT SERVICE		
Research and Graduate Studies	\$ 1,591,500	\$ 1,581,700
Total Mandatory Transfers - Debt Service	<u>1,591,500</u>	<u>1,581,700</u>
HOSPITAL		
	\$ 2,317,700	\$ 2,378,800

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

**EXPENDITURE SUMMARY BY PROGRAM
RESTRICTED CURRENT FUNDS**

	2000-01	2001-02
TOTAL RESTRICTED		
Central Administration	\$ 3,316,400	\$ 3,674,900
Hospital	2,317,700	2,378,800
Information Systems	1,319,900	2,692,800
Lexington Campus	45,549,500	50,047,000
Medical Center	13,920,400	17,032,600
Outreach and International Affairs*	20,400	-0-
Research and Graduate Studies	<u>6,117,700</u>	<u>6,543,300</u>
Total Restricted	\$ <u>72,562,000</u>	\$ <u>82,369,400</u>

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

**EXPENDITURE SUMMARY BY PROGRAM
 AUXILIARY SERVICES CURRENT FUNDS**

	2000-01	2001-02
AUXILIARY SERVICES		
Fiscal Affairs		
Real Property	\$ 116,500	\$ 116,500
Total Fiscal Affairs	\$ 116,500	\$ 116,500
Lexington Campus		
Agricutlural Cooperative Extension Service	\$ 1,723,300	\$ 1,680,800
Auxiliary Enterprises		
General Operations	27,839,100	29,340,800
Mandatory Transfers - Debt Service	3,332,700	3,665,400
Total Lexington Campus	\$ 32,895,100	\$ 34,687,000
Medical Center		
Dentistry	\$ 537,300	\$ 559,500
Total Medical Center	\$ 537,300	\$ 559,500
Total Auxiliary Services	\$ 33,548,900	\$ 35,363,000

EXPENDITURE SUMMARY BY PROGRAM
AFFILIATED CORPORATIONS CURRENT FUNDS

	2000-01	2001-02
INSTRUCTION	\$ 17,806,100	\$ 20,967,700
RESEARCH	84,536,700	106,179,700
PUBLIC SERVICE	19,475,500	26,265,300
ACADEMIC SUPPORT	6,900,900	6,420,400
STUDENT SERVICES	154,400	205,800
INSTITUTIONAL SUPPORT	3,374,000	3,594,500
STUDENT FINANCIAL AID	3,279,100	3,565,600
OPERATIONS AND MAINTENANCE	160,300	3,000
AUXILIARY SERVICES - GENERAL OPERATIONS	32,064,400	35,035,000
- MANDATORY TRANSFERS - DEBT SERVICE	5,600,600	5,480,000
TOTAL AFFILIATED CORPORATIONS	\$ 173,352,000	\$ 207,717,000

EXPENDITURE SUMMARY BY PROGRAM
ALL FUNDS

	2000-01	2001-02
INSTRUCTION	\$ 257,297,500	\$ 265,616,500
RESEARCH	160,169,700	187,649,600
PUBLIC SERVICE	155,665,700	163,997,400
ACADEMIC SUPPORT	72,964,500	76,891,400
STUDENT SERVICES	21,267,300	23,703,400
INSTITUTIONAL SUPPORT	52,083,000	55,815,400
STUDENT FINANCIAL AID	50,432,800	56,330,800
OPERATIONS AND MAINTENANCE	42,317,600	44,282,500
MANDATORY TRANSFERS - DEBT SERVICE	18,878,800	21,190,000
HOSPITAL	325,913,700	316,859,700
AUXILIARY SERVICES	<u>71,213,900</u>	<u>75,878,000</u>
TOTAL	\$ 1,228,204,500	\$ 1,288,214,700

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE

	2000-01	2001-02
PERSONAL SERVICES	\$ 672,129,000	\$ 722,837,900
OPERATING EXPENSES	491,221,700	490,303,800
MANDATORY TRANSFERS - DEBT SERVICE	29,936,100	32,857,400
CAPITAL OUTLAY	<u>34,917,700</u>	<u>42,215,600</u>
TOTAL	\$ 1,228,204,500	\$ 1,288,214,700

LEXINGTON CAMPUS

LEXINGTON CAMPUS

The goals of the Lexington Campus are (1) to facilitate and to direct all teaching, research, and service activities of the colleges, academic support units, business affairs, minority affairs, student affairs, and research and graduate studies of the Lexington Campus; and (2) to develop additional financial support and the human resources required to achieve even higher levels of academic excellence for the benefit of the University and the citizens of the Commonwealth.

	Actual	Estimated
	<u>2000-01</u>	<u>2001-02</u>
1. <u>Fall Headcount Enrollment</u>		
Undergraduate	23,302	23,302
First Professional	379	379
Total Enrollment	<u>23,681</u>	<u>23,681</u>
2. <u>FTE Enrollment</u>	22,601	22,601
3. <u>Continuing Education - Contact Hours</u>	679,100	679,100
4. <u>Faculty FTE Positions</u>	1,503	1,552

COLLEGES

Through eleven colleges and the Lexington Community College, the Lexington Campus offers programs which support the functions of instruction, research, and public service.

1. College of Agriculture

a. **Instruction** - The College of Agriculture provides instruction leading to the baccalaureate and graduate degrees in the fields of Agricultural Biotechnology, Agricultural Education, Communications and Leadership, Architectural Engineering, Agricultural Economics, Animal Sciences, Food Science, Forestry, Landscape Architecture, Natural Resource Conservation and Management, and Plant and Soil Science. The College also offers graduate degrees in Entomology, Plant Pathology, Rural Sociology, and Veterinary Science. The current curriculum provides instruction of a scientific and practical nature which enables each student to develop an awareness of the role of agriculture in meeting human needs while protecting the quality of the environment. Each student is expected to develop competency in areas such as crop and livestock production, agricultural education, forest land management, agricultural or forest science, agricultural business, agricultural journalism, horticulture enterprise management, international trade, natural resource management, agricultural biotechnology, or a combination of these areas. Two hundred sixty-five students are enrolled in graduate programs in the College.

	Actual	Estimated
	<u>2000-01</u>	<u>2001-02</u>
(1) <u>Fall Headcount Enrollment</u>		
Undergraduate	1,027	1,027

LEXINGTON CAMPUS - COLLEGES (continued)

1. College of Agriculture (continued)

a. Instruction (continued)

	<u>Actual</u> <u>2000-01</u>	<u>Estimated</u> <u>2001-02</u>
(2) <u>FTE Enrollment</u>	781	781
(3) <u>Financial Data</u>		
	<u>General Fund</u>	<u>2001-02</u>
	<u>2000-01</u>	
Faculty FTE Positions	43	43
Faculty	\$3,870,700	\$3,949,300
Other Personal Services	1,004,500	1,062,500
Subtotal	4,875,200	5,011,800
Operating Expenses	336,400	332,200
Capital Outlay	15,500	11,000
Total Expenditures	\$5,227,100	\$5,355,000
	<u>Restricted Funds</u>	<u>2001-02</u>
	<u>2000-01</u>	
Personal Services	\$ 10,000	\$ 15,500
Operating Expenses	512,200	546,700
Capital Outlay	8,500	21,500
Total Expenditures	\$ 530,700	\$ 583,700
	<u>Auxiliary Funds</u>	<u>2001-02</u>
	<u>2000-01</u>	
Personal Services	\$ -0-	\$ 24,900
Operating Expenses	22,000	13,700
Capital Outlay	-0-	3,000
Total Expenditures	\$ 22,000	\$ 41,600

b. **Research** - The research programs in Agriculture are conducted through the Agricultural Experiment Station. The University's agriculture program has been enhanced by the development of research facilities at Woodford County Farm and the initiation of construction for a Plant Science research building. Support for maintenance of off-campus agricultural facilities has been essential for continued productivity. Each department in the Agricultural Experiment Station has its own research emphasis:

LEXINGTON CAMPUS - COLLEGES (continued)

1. College of Agriculture (continued)

b. Research (continued)

- (1) The Department of Agricultural Economics serves farmers, agribusiness and consumers by supporting a more efficient utilization of economic resources in the management and operation of enterprises and in the production, marketing and distribution of food and fiber.
- (2) The Department of Biosystems and Agricultural Engineering strives to solve existing and emerging engineering problems through research on soil and water quality, power and machinery, structures and environments, electric power and processing, and food engineering. The Department is housed in the Agricultural Engineering Building and is a significant contributor to interdisciplinary programs in food science and technology and integrated agricultural systems research.
- (3) The Agronomy Department's research programs are directed toward generating new technology and solving problems related to more efficient and profitable production of field crops under conditions which conserve and protect soil and water quality. The Department directs research efforts related to soil science, crop ecology and management, plant genetics and breeding, and plant physiology/molecular biology.
- (4) The Department of Animal Sciences has as its objective the enhancement of quality and efficiency of animal production through research efforts in animal nutrition, reproductive biology, intermediary metabolism, microbiology, genetics, and physiology. The Department directs research and development activities in food science, including food quality and safety.
- (5) The Entomology Department seeks to provide a better understanding of insect identification, distribution, biology, ecology, physiology, economic importance and control through research efforts in insect taxonomy, insecticide toxicology, insect ecology and behavior, physiology of insects and mites, and the integrated control of insect pests.
- (6) The Forestry Department's research programs are directed toward improving the economic climate and quality of life in Kentucky, while optimizing the benefits of the forest resource to landowners and industry. The Department manages and conducts research in the Robinson Forest, one of the largest tracts of prime forested land in Kentucky, and in other forested tracts in eastern Kentucky.
- (7) The research programs of the Horticulture Department are directed to the solution of the biological and technical problems which confront fruit and vegetable growers, ornamental nurseries, florists, landscapers, home gardeners, and the general public.
- (8) The Department of Plant Pathology's research efforts are directed toward obtaining a fundamental understanding of disease processes in plants and toward developing methods and strategies by which important diseases of Kentucky's crops may be controlled. The Department is a major contributor to the molecular biology and multidisciplinary plant genetic engineering research programs.
- (9) Efforts in Rural Sociology are directed to the creation of a better understanding and more efficient utilization of human resources and social institutions through research in domestic and international agriculture, family studies, demography, community development and natural resources.

LEXINGTON CAMPUS - COLLEGES (continued)

1. College of Agriculture (continued)

b. Research (continued)

(10) Research efforts in Veterinary Science are directed to the discovery of causative agents of animal disease and control of disease through efforts in virology, bacteriology, parasitology, pathology, immunology, and pharmacology. The research program also emphasizes equine genetics, biomechanics and reproductive physiology. The Department is housed in the Maxwell A. Gluck Equine Research Center and receives additional research funding through the Kentucky Equine Research Foundation.

(11) Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Faculty FTE Positions	134		134
Faculty	\$10,729,100		\$11,206,500
Other Personal Services	14,324,200		14,844,900
Subtotal	25,053,300		26,051,400
Operating Expenses	6,052,500		6,011,000
Capital Outlay	228,700		145,600
Total Expenditures	31,334,500		32,208,000
Recharges	(862,000)		(971,800)
Net Expenditures	\$30,472,500		\$31,236,200

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
Personal Services	\$ 1,777,500		\$ 2,034,400
Operating Expenses	5,072,700		6,159,700
Capital Outlay	651,600		672,700
Total Expenditures	\$ 7,501,800		\$ 8,866,800

c. Public Service - The service programs in Agriculture include those conducted through the Cooperative Extension Service, the Division of Regulatory Services, and the Livestock Disease Diagnostic Lab.

(1) The Cooperative Extension Service: This continuing education arm of the College of Agriculture provides aid in interpreting and disseminating among the people of Kentucky useful and practical information on subjects relating to agriculture, home economics, 4-H/Youth Development, and rural and economic development and encourages the application of the same in all counties of the Commonwealth. The University of Kentucky Cooperative Extension Service programs are carried out in cooperation with Kentucky State University and the United States Department of Agriculture. These off-campus continuing education programs are offered in every county of the Commonwealth through the efforts of approximately 500 professional extension agents and subject matter specialists. Extension agents are located in each county, with assistance available from specialists in the various subject matter disciplines. Through this statewide educational technology transfer network, research findings are carried directly to farmers and families of the Commonwealth. Major educational focus areas of the Agricultural Cooperative Extension Service are:

LEXINGTON CAMPUS - COLLEGES (continued)

1. College of Agriculture (continued)

c. Public Service (continued)

(1) Cooperative Extension Service (continued)

- (a) Community capacity building
- (b) Sustainability of agricultural and economic development
- (c) Leadership development
- (d) Nutrition and health
- (e) Life skills
- (f) Environment and natural resources

	Actual	Estimated
	2000-01	2001-02
Total Clientele Contacts	6,050,500	6,150,000
Continuing Education Contact Hours	478,000	478,000
4-H Enrollment	230,000	230,000
Homemaker Enrollment	23,000	23,000

(2) The Division of Regulatory Services administers state laws and promulgates regulations pertaining to the manufacturing, processing, labeling, and marketing of commercial feed, pet food, fertilizer, seed, and raw milk. Its purpose is to protect farmers and other consumers from poor quality, mislabeled, or misrepresented products; to conduct educational programs that will lead to higher quality products; and to protect agricultural businesses from unfair competition from those who might take short cuts in the quality of their products. Feed, pet foods, fertilizer, and seed are monitored in the manufacturing or retail channels for compliance with state laws through label review, product inspections and sampling, and analyzing of products. Raw milk is monitored during marketing to assure an accurate and equitable exchange between producers and processors and to ensure the integrity of milk from farm to processor. This purpose is accomplished by inspectors who travel throughout the state, collecting samples, inspecting facilities, reviewing labels, and auditing records at about 900 feed firms, 475 fertilizer manufacturing plants, 450 seed firms, 30 milk processing facilities including milk laboratories, and 400 urban outlets selling specialty and small-package products. Product samples are analyzed in division laboratories, and regulatory action is taken as needed to accomplish the Division's purpose.

The Division also offers to growers, homeowners, researchers, and extension workers seed testing, soil testing, poultry litter and animal manure testing, and water and nutrient solution analyses for greenhouse production and seedling production.

(3) The Livestock Disease Diagnostic Center provides services to the farmers, agri-businesses and other animal owners of Kentucky to identify, control, prevent, and eradicate diseases. These services include laboratory animal disease diagnostic examinations and tests on specimens submitted by veterinarians and farmers to diagnose disease conditions in animals, provide objective therapy, and implement preventive measures. The laboratory also cooperates with the Kentucky Department of Agriculture and federal animal disease control and eradication agencies in carrying out their regulatory programs.

LEXINGTON CAMPUS - COLLEGES (continued)

1. College of Agriculture (continued)

c. Public Service (continued)

(4) Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty FTE Positions	89	89
Faculty	\$ 8,999,700	\$ 9,270,400
Other Personal Services	<u>40,575,500</u>	<u>42,707,700</u>
Subtotal	49,575,200	51,978,100
Operating Expenses	5,512,100	4,807,400
Capital Outlay	<u>534,800</u>	<u>522,900</u>
Total Expenditures	\$55,622,100	\$57,308,400

Restricted Funds

	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 65,700	\$ 53,700
Operating Expenses	391,000	524,500
Capital Outlay	<u>232,100</u>	<u>201,800</u>
Total Expenditures	\$ 688,800	\$ 780,000

Auxiliary Funds

	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 641,900	\$ 712,700
Operating Expenses	756,500	766,500
Capital Outlay	<u>324,900</u>	<u>201,600</u>
Total Expenditures	\$ 1,723,300	\$ 1,680,800

2. College of Architecture

a. Instruction - The College of Architecture offers a five-year curriculum which leads to the professional degree, Bachelor of Architecture. This is the only program of study in the Commonwealth of Kentucky accredited by the National Architectural Accreditation Board. The College of Architecture has recently established a graduate program in which twelve students are enrolled in Historic Preservation, offering a Master of Historic Preservation degree.

b. Research - Basic and applied research endeavors which relate to an extensive range of issues in architecture and planning, building design, architectural history and theory, technical aspects of construction, professional practice, and historic preservation are an integral part of scholarly activity in the College.

LEXINGTON CAMPUS - COLLEGES (continued)

2. College of Architecture (continued)

c. **Public Service** - The College makes a substantial commitment to community affairs throughout the Commonwealth on its own and through participation in professional activities like the Kentucky Design Assistance Teams (KY DAT). A new emphasis on issues of historic preservation should further increase the presence of the College in communities across the Commonwealth. The College has established Downtown Design Centers in Lexington and Louisville to focus through studies and research upon urban design and planning issues in these cities and surrounding communities, involving faculty, staff, students, design professionals, local citizens and community leaders.

d. **Fall Headcount Enrollment**

	<u>Actual</u> <u>2000-01</u>	<u>Estimated</u> <u>2001-02</u>
Undergraduate	343	343

e. **FTE Enrollment**

	247	247
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f. **Continuing Education - Contact Hours**

	600	600
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g. **Financial Data**

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Faculty FTE Positions	24		24

Faculty	\$1,476,100		\$1,529,600
Other Personal Services	487,800		554,400
Subtotal	1,963,900		2,084,000
Operating Expenses	137,000		167,900
Total Expenditures	<u>\$2,100,900</u>		<u>\$2,251,900</u>

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
Personal Services	\$ -0-		\$ 68,200
Operating Expenses	167,900		204,100
Total Expenditures	<u>\$ 167,900</u>		<u>\$ 272,300</u>

3. College of Arts and Sciences

a. Instruction - The College of Arts and Sciences provides broad instruction in the liberal arts and sciences for all undergraduates and advanced training in the core disciplines of the University. The College awards the Bachelor of Arts and Bachelor of Science degrees. The 5,975 undergraduate students enrolled in the College may pursue a degree program in any one of 18 academic departments or obtain a degree with a topical focus. The College offers minors in 31 disciplines and interdisciplinary programs. The College also offers University Studies courses to meet the needs of students in other colleges, providing more than half of the instruction on the Lexington Campus.

The College provides graduate instruction leading to a Master of Arts and a Master of Science in a wide range of basic disciplines. Graduate degrees can be earned in Anthropology, Biological Sciences, Chemistry, Classics, English, French, Geography, Geological Sciences, German, History, Mathematics, Microbiology, Philosophy, Physics and Astronomy, Political Science, Psychology, Sociology, Spanish, and Statistics, as well as several interdisciplinary areas. Eight hundred ninety-six students are currently enrolled in graduate programs in departments within the College.

b. Research - Research is a primary mission of every academic department in the College. Basic research, much of which is coordinated with advanced graduate programs, is conducted in the physical and biological sciences, the social sciences, and the humanities. Some applied research is conducted in the social sciences and the physical and biological sciences. Arts and Sciences researchers also engage in cooperative research projects with researchers in other university units, including the College of Medicine, the College of Engineering, the College of Agriculture, the Sanders-Brown Center on Aging, the Center for Applied Energy Research, and the Appalachian Center. Several research journals and monograph series are housed in the humanities and the social sciences departments of the College. Much of the individual and cooperative research conducted in the College of Arts and Sciences is supported by grants from federal funding agencies, including the National Science Foundation, the National Institutes of Health, and the National Endowment for the Humanities. In addition, Arts and Sciences faculty research has been supported by a wide range of private foundations, including the Guggenheim, Sloan, Kellogg, Rockefeller, and Ford Foundations.

c. Public Service - The College of Arts and Sciences offers many services to the citizens of Kentucky. College faculty engage in a variety of activities in support of the Kentucky Education Reform Act (KERA). Individual faculty members serve as consultants to state and local government agencies and to businesses and industries in the Commonwealth. Others serve as members of governmental task forces and commissions. Several departments, including Anthropology, English, Psychology, and Statistics, provide extensive consultation services. The Department of Anthropology administers the Program for Cultural Resource Assessment, an archaeological research and public service unit, and also maintains a museum of considerable interest to the public. The College cooperates with Fayette County elementary, middle and high schools on a variety of programs.

d. Fall Headcount Enrollment

Undergraduate

<u>Actual</u>	<u>Estimated</u>
<u>2000-01</u>	<u>2001-02</u>

5,975

5,975

e. FTE Enrollment

9,007

9,007

LEXINGTON CAMPUS - COLLEGES (continued)

3. College of Arts and Sciences (continued)

f. Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Faculty FTE Positions	466		462

Faculty	\$31,778,100	\$32,490,600
Other Personal Services	5,642,900	6,249,900
Subtotal	<u>37,421,000</u>	<u>38,740,500</u>
Operating Expenses	1,786,900	2,013,000
Capital Outlay	104,600	104,400
Total Expenditures	<u>39,312,500</u>	<u>40,857,900</u>
Recharges	-0-	(15,000)
Net Expenditures	<u>\$39,312,500</u>	<u>\$40,842,900</u>

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
Personal Services	\$ 295,000	\$ 249,600	
Operating Expenses	1,002,000	1,227,300	
Capital Outlay	66,500	52,100	
Total Expenditures	<u>\$ 1,363,500</u>	<u>\$ 1,529,000</u>	

	<u>2000-01</u>	<u>Auxiliary Funds</u>	<u>2001-02</u>
Operating Expenses	\$ 35,300	\$ 35,300	
Total Expenditures	<u>\$ 35,300</u>	<u>\$ 35,300</u>	

4. Gattson College of Business and Economics

a. Instruction - The Carol Martin Gattson College of Business and Economics offers instruction leading to the following degrees: Bachelor of Business Administration, Bachelor of Science in Accounting, Bachelor of Science in Business and Economics, Bachelor of Arts in Economics (through the College of Arts and Sciences), Master of Science in Economics, Master of Business Administration, Master of Science in Accounting, Doctor of Philosophy in Business Administration, and Doctor of Philosophy in Economics. The MBA and Ph.D. in Business Administration programs of the School of Management and graduate programs in the Department of Economics were selected for additional funding from the Research Challenge Trust Fund. In addition, continuing education programs are available through the International Business and Management Center, the Center for Labor Education and Research, and the Douglas J. Van Almen Center for Entrepreneurship and E-commerce. Three hundred thirty-four students were enrolled in graduate programs in the College during Fall 2000.

4. **Gatton College of Business and Economics** (continued)

b. **Research** - The high quality of the faculty research programs in the School of Management and the Department of Economics was recognized for funding from the Research Challenge Trust Fund programs. Many faculty members engage in research projects for federal, state, and local governments, business organizations, and professional associations. The Kentucky Initiative for Knowledge Management (KIKM) supports cutting-edge research to explore varied issues concerned with the management of knowledge resources. Findings are documented in the KIKM series of research papers, doctoral dissertations, journal articles, and books. The Center for Business and Economic Research (CBER) conducts a number of important research studies for various state and local government agencies, various not-for-profit organizations, and for private industry. The Douglas J. Van Allmen Center for Entrepreneurship and E-commerce supports research studies focusing on new start-up companies, entrepreneurship and new venture financing. The Center for Labor Education and Research provides customized research services to individual labor organizations and conducts public policy-oriented research on employment issues. The Center for Sports Marketing supports faculty research in the emerging field of sports marketing.

c. **Public Service** - The International Business and Management Center serves both public and private sector organizations and companies by providing a wide variety of educational programs. In addition to serving the Commonwealth of Kentucky, the Center offers programs domestically and abroad. All disciplines related to business and government professions are addressed through conferences, workshops, seminars and custom programs for specific companies. As new challenges arise, the Center is poised to meet these challenges, e.g., the Leadership Institute which serves both the public and private sectors; a seminar for the Kentucky Institute for Economic Development; the School Financial Officers Certificate Program in cooperation with the Kentucky Department of Education; The Certificate Program in Human Resources in cooperation with the Society for Human Resource Management; the Certificate Program in medical care management; and the Family Business Institute.

The Center for Labor Education and Research provides continuing education to union leaders and members on such topics as steward training, union administration, contract negotiation, and employment law. Regular course offerings are held at venues throughout the Commonwealth and are supplemented by special conferences highlighting new developments and issues.

The Douglas J. Van Allmen Center for Entrepreneurship and E-commerce provides management consultations, training courses, information and research services to the Kentucky small business community. It serves as the headquarters/central office for the Kentucky Small Business Development Center Network which manages fourteen outreach service centers throughout the state. The Center also operates a statewide, nonregulatory, air quality environmental assistance program and serves as an advocate for development of environmental regulations which promote economic competitiveness. The Center also provides assistance to university faculty members wishing to commercialize this research.

The Center for Real Estate Studies is charged with the responsibility of providing education to undergraduate, graduate, and professional level students as well as to real estate practitioners and provides college level courses in real estate that meet the educational requirements for real estate licensing. The Center also supports ongoing real estate-related research projects. Center research projects include housing market studies designed to summarize Kentucky's market conditions, impact studies used to analyze economic outcomes of proposed building projects, and academic research to expand upon the professional literature of real estate-related fields.

LEXINGTON CAMPUS - COLLEGES (continued)

4. Gatton College of Business and Economics (continued)

c. Public Service (continued)

The Center for Business and Economic Research coordinates and implements economic and public policy research activities to benefit both public and private sectors of the Commonwealth. Center initiatives are to stimulate and coordinate a broad program of externally funded research and to support the collection, analysis, and dissemination of economic and social statistical information concerning the Kentucky and regional economies. The focus of the Center's information service is the Kentucky Economic Information System (KEIS), a computerized statistical database with on-line accessibility statewide. Regular publications include Growth and Change: A Journal of Public, Urban, and Regional Policy (a refereed, scholarly publication with paid subscriptions of approximately 1,000), and Kentucky Business and Economic Outlook, a periodic newsletter covering current economic issues and providing quarterly economic forecasts. The Center also prepares an annual economic report, which it distributes to state agencies and other interested parties and makes available to the public upon request.

Actual	Estimated
<u>2000-01</u>	<u>2001-02</u>

d. Fall Headcount Enrollment

Undergraduate

2,709	2,709
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e. FTE Enrollment

f. Continuing Education - Contact Hours

2,200	2,200
14,400	14,400

g. Financial Data

<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
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Faculty FTE Positions

86	85
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Faculty \$ 8,460,000
 Other Personal Services 2,481,200
 Subtotal 10,941,200
 Operating Expenses 881,500
 Total Expenditures \$11,822,700

\$ 8,460,000	\$ 8,972,700
2,481,200	2,651,500
10,941,200	11,624,200
881,500	943,900
\$11,822,700	\$12,568,100

Restricted Funds

Personal Services \$ 1,021,000
 Operating Expenses 850,300
 Capital Outlay 37,000
 Total Expenditures \$ 1,908,300

<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
\$ 1,021,000	\$ 1,689,700	
850,300	1,034,400	
37,000	163,400	
\$ 1,908,300	\$ 2,887,500	

5. College of Communications and Information Studies

- a. Instruction - The College of Communications and Information Studies offers instruction leading to undergraduate degrees in Integrated Strategic Communications, General Editorial Journalism, Communication, and Telecommunications. It also offers a Master's in Library Science and Master's and Ph.D. degrees in Communication.

The College's baccalaureate programs prepare individuals for positions in the media and for careers as information specialists in business, government, and other areas. The graduate programs in communication provide instruction in intrapersonal, interpersonal, organizational, and mass communication to help students prepare for careers as teachers, researchers, communication consultants, and other high-level positions. Many nonmajors also enroll in courses in the College to gain an understanding of how communication changes their lives and society and to learn basic oral communication skills.

The major instructional goal of the School of Library and Information Science is graduate-level preparation of professional librarians and other information professionals who work in government, health care centers and hospitals, education, the publishing industry, and a variety of other businesses and industries. Total graduate enrollment for the College is 204 masters and 58 doctoral students.

- b. Research - Various faculty members are active in individual and group research projects. One of the goals of the College is to continue attracting research grants from governmental and private agencies. Since the mid-1980's, communications professors have participated in several ongoing research projects funded by the National Institutes of Health.

Through scholarly research and writing, the faculty of the School of Library and Information Science contribute to the knowledge base and theoretical foundations of library and information science, as well as to the more immediate needs of practicing information professionals for innovative solutions to everyday problems.

- c. Public Service - Service functions of the College include seminars and mid-career refresher sessions for journalists and others working as communication specialists, consulting with business and community agencies, and supplying speakers for community groups. The School of Journalism and Telecommunications offers a summer workshop for minority students.

A focus of the service role of the School of Library and Information Science is a continuing education program for librarians in Kentucky. Off-campus courses are offered in various locations throughout the Commonwealth. In addition, continuing education workshops and institutes are offered for the benefit of practicing professionals. The School also provides direct consulting services through contracts to various state government agencies and private businesses, and is active in KERA.

LEXINGTON CAMPUS - COLLEGES (continued)

5. College of Communications and Information Studies (continued)

	<u>Actual</u>	<u>Estimated</u>
	<u>2000-01</u>	<u>2001-02</u>
d. <u>Fall Headcount Enrollment</u>		
Undergraduate	1,014	1,014
e. <u>FTE Enrollment</u>	823	823

f. Continuing Education - Contact Hours

	10,300	10,300
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g. Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
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Faculty FTE Positions	45	47
Faculty	\$ 3,098,300	\$ 3,320,600
Other Personal Services	716,200	778,800
Subtotal	3,814,500	4,099,400
Operating Expenses	242,300	239,000
Total Expenditures	\$ 4,056,800	\$ 4,338,400

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
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Operating Expenses	\$ 308,400	\$ 346,600
Total Expenditures	\$ 308,400	\$ 346,600

6. College of Education

a. Instruction - Programs offered by the College of Education at the undergraduate level are directed principally toward the preparation of teachers in the categories of early elementary, middle school, special education, health promotion, and kinesiology. An interdisciplinary early childhood education program is also available through the College of Human Environmental Sciences and the College of Education. These programs, leading to a bachelor's degree, provide students with a theoretical background in education, develop skills in techniques of teaching each specific field, and supply supervised field experience in each subject area. High school teachers are prepared through the College's Master's with Initial Certification Program in the areas of business, English, foreign language, mathematics, science and social studies. The graduate programs leading to a Master of Arts in Education, Master of Science in Education, Education Specialist, Doctor of Education, or Doctor of Philosophy degree are directed toward the preparation of teaching specialists, counselors, administrators, and supervisors for elementary, middle, secondary, higher education, and related institutions.

LEXINGTON CAMPUS - COLLEGES (continued)

6. College of Education (continued)

a. Instruction (continued)

A master's degree program in Rehabilitation Counseling is designed to prepare rehabilitation counselors to work with individuals who have severe disabilities. The College has the only Ph.D. programs in the state in higher education, counseling psychology, and kinesiology. In addition, the College has the only American Psychological Association (APA) accredited Ph.D. programs in counseling psychology and school psychology in Kentucky. Doctoral programs in administration and supervision and higher education are offered through the Distance Learning Program in northern Kentucky, in eastern Kentucky at Ashland and Prestonsburg, and in southeastern Kentucky at Somerset. Additionally, cooperative doctoral programs are offered with five other institutions - Eastern Kentucky University, Morehead State University, Murray State University, Western Kentucky University, and the University of Louisville. The College of Education is accredited by the National Council for the Accreditation of Teacher Education. Currently 829 students are enrolled in graduate programs.

b. Research - Faculty in the College of Education are actively involved in a variety of research projects and scholarly activities related to the improvement of education at all levels. The research program is supported primarily by extramural funds from federal and state agencies as well as private foundations and businesses. Faculty disseminate research findings through articles in refereed journals and presentations at international, national, regional, state, and local levels. Faculty also utilize research results to guide instruction and service activities. A large percentage of faculty research and scholarship is devoted to studying components of education reform, such as school-based decision making, instructional technology, the primary program, the preschool program, extended school services, family and youth service centers, and high school restructuring. Other examples of inquiry include the relationship of health practices and physical activity to disease; biomechanical analysis; learning disorders of exceptional children; literacy; language development and use of technology to assist individuals with handicapping conditions; gender equity; multicultural education; and educational law and policy.

c. Public Service - The College's faculty members are involved significantly in professional and University service, particularly with initiatives specific to the Kentucky Education Reform Act. The forms of service to agencies outside the University include: workshops, conferences, and professional development activities for public school, college, and university staff members; consultative and professional development services to members of the Central Kentucky Education Cooperative; consultative, planning, and evaluative service to public schools, the Regional Education Laboratory, the State Department of Education, and institutions of higher education; consultative service to city and county governments to assist with the planning of comprehensive recreational programs; consultative and resource services for special education teachers; coordination of regional accreditation (Southern Association of Colleges and Schools) of public and private schools; coordination of off-campus instruction and service through the Center for Professional Development; and provision of appropriate consultation and support services to other academic units of the University on such matters as curriculum design, technology, and evaluation.

Actual	Estimated
2000-01	2001-02

d. Fall Headcount Enrollment
Undergraduate

1,371

1,371

LEXINGTON CAMPUS - COLLEGES (continued)

6. College of Education (continued)

e.	<u>FTE Enrollment</u>	Actual <u>2000-01</u>	Estimated <u>2001-02</u>
		1,197	1,197
f.	<u>Continuing Education - Contact Hours</u>	39,000	39,000
g.	<u>Financial Data</u>		

	Faculty FTE Positions	102	101
	Faculty	\$ 6,815,000	\$ 6,949,800
	Other Personal Services	2,279,800	2,479,500
	Subtotal	9,094,800	9,429,300
	Operating Expenses	1,614,300	1,596,900
	Capital Outlay	19,000	19,000
	Total Expenditures	10,728,100	11,045,200
	Recharges	(3,000)	(3,000)
	Net Expenditures	\$10,725,100	\$11,042,200

		<u>Restricted Funds</u>	
		<u>2000-01</u>	<u>2001-02</u>
	Personal Services	\$ 31,000	\$ 31,100
	Operating Expenses	302,400	459,800
	Total Expenditures	\$ 333,400	\$ 490,900

7. College of Engineering

a. Instruction - The College of Engineering offers programs leading to baccalaureate degrees in Biosystems and Agricultural Engineering, Chemical Engineering, Civil Engineering, Computer Science, Electrical Engineering, Mechanical Engineering, Materials Engineering, and Mining Engineering. Graduate programs are offered in all fields offering baccalaureate degrees, as well as a Master of Engineering and a Master of Science in Manufacturing Systems Engineering. The degree programs in the College are enhanced by the activities of the Center for Robotics and Manufacturing Systems, the Center for Fossil Fuels Liquefaction Science, and the Kentucky Transportation Center units within the College. Continuing education and extension programs serve the needs of engineers and others by presenting comprehensive programs in the College. Currently, 402 students are enrolled in graduate programs in the College.

7. College of Engineering (continued)

b. Research - A comprehensive research and development program, supported largely by extramural funds, is operated by the College of Engineering. This research program is sponsored by a number of federal and state agencies as well as industrial concerns both within and outside the Commonwealth. These projects deal with many technological problems facing society today. The Kentucky Transportation Center is a unit within the College, which carries out, under contract, the research program of the Kentucky Transportation Cabinet. The Center for Robotics and Manufacturing Systems is housed in a facility adjacent to the main engineering building. Primary objectives of the Center for Robotics and Manufacturing Systems are to conduct graduate level academic research of the highest quality and to transmit the knowledge gained through research to industries across the Commonwealth. This Center is having a significant impact on economic development by enhancing industries currently located in Kentucky and by attracting new industries. The Center for Fossil Fuels Liquefaction Science (CFPLS) conducts research relating to coal conversion and solid waste management. The Office of Institutional Services and Technical Liaison (OIS/TL) provides information on industrial, technological, social, and health aspects of coal production and usage; and distributes research results and other information through published reports, monographs, newsletters, news releases, seminars and conferences.

c. Public Service - The College of Engineering provides public service in a number of forms. The College organizes a wide variety of conferences, workshops, and classes. The Kentucky Transportation Center provides technology transfer to counties throughout the Commonwealth. The College Library is an important regional resource for engineering professionals. An important function of the Center for Robotics and Manufacturing Systems is to provide extension-type assistance to Kentucky manufacturing companies, particularly small and medium-sized businesses.

	<u>Actual</u> <u>2000-01</u>	<u>Estimated</u> <u>2001-02</u>
d. <u>Fall Headcount Enrollment</u>		
Undergraduate	2,025	2,025

e. <u>FTE Enrollment</u>	1,373	1,373
f. <u>Continuing Education - Contact Hours</u>	30,000	30,000

g. <u>Financial Data</u>		
Faculty FTE Positions	<u>2000-01</u> 136	<u>2001-02</u> 135

LEXINGTON CAMPUS - COLLEGES (continued)

7. College of Engineering (continued)

g. Financial Data (continued)

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty	\$11,763,400	\$12,211,500
Other Personal Services	7,007,400	6,764,100
Subtotal	18,770,800	18,975,600
Operating Expenses	2,493,800	2,425,900
Capital Outlay	385,900	385,900
Total Expenditures	21,650,500	21,787,400
Recharges	(42,100)	(42,000)
Net Expenditures	\$21,608,400	\$21,745,400

	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 56,700	\$ 69,100
Operating Expenses	7,873,200	5,705,700
Total Expenditures	\$ 7,929,900	\$ 5,774,800

8. College of Fine Arts

a. Instruction - The College of Fine Arts at the University of Kentucky is comprehensive with degrees offered in the performing and visual arts. Bachelors, masters and doctoral degrees are offered with 700 undergraduate students and 128 students matriculating in the graduate programs during the fall of 2000. Professional arts training leading to degrees in theater arts, art education, art history, art studio, music performance, music education, music theory and arts administration are offered. The College is also actively engaged in offering numerous courses that fulfill University Studies and other non-art requirements to the general student population. Students enrolled in any College course are introduced to the arts in a manner that promotes and facilitates lifelong learning and appreciation.

b. Research - The College of Fine Arts faculty explore the diversity and complexity of the human condition with the arts as a vehicle. Research in the College takes two fundamental forms - creative expression and scholarship. Expression may be performance, studio work, exhibitions, audio, technical innovations or a combination of many forms. Scholarly research is the search for new knowledge, disseminated through books, articles, papers, lectures and other traditional avenues of communication. The spectrum of areas under investigation is broad. It includes traditional artistic pursuits as well as innovative, interdisciplinary work, technical applications which alter the manner in which creative work is made, as well as developing new methods of preparing teachers for careers in K-12 education.

c. Public Service - The College of Fine Arts provides public service under many banners. The most conspicuous are public performances, exhibitions and individual participation in many of Kentucky's arts organizations. Less visible but of significant impact is the faculty's work with various public schools, particularly in regard to KERA where faculty and staff provide expertise related to training, consulting, adjudication, and other activities throughout the Commonwealth. Additionally, the College offers noncredit courses to the public through its Fine Arts Institute and offers exhibitions in its art gallery located in downtown Lexington.

LEXINGTON CAMPUS - COLLEGES (continued)

8. College of Fine Arts (continued)

c. Public Service (continued)

The principal performance venue for the academic and urban communities is the Singletary Center for the Arts. The Center provides an invaluable service as a presenter of important musical events featuring members of the University's community of musicians, as well as other nationally and internationally renowned artists. The Center hosts more than 350 events each year with attendance reaching more than 100,000 annually.

Actual	Estimated
<u>2000-01</u>	<u>2001-02</u>

d. Fall Headcount Enrollment

Undergraduate	700	700
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e. <u>FTE Enrollment</u>	855	855
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f. <u>Continuing Education - Contact Hours</u>	1,800	1,800
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g. Financial Data

General Fund	
<u>2000-01</u>	<u>2001-02</u>

Faculty FTE Positions	73	73
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Faculty	\$ 4,473,100	\$ 4,686,400
Other Personal Services	1,349,600	1,413,900
Subtotal	5,822,700	6,100,300
Operating Expenses	854,000	911,600
Total Expenditures	6,676,700	7,011,900
Recharges	(105,300)	(117,300)
Net Expenditures	\$ 6,571,400	\$ 6,894,600

Restricted Funds	
<u>2000-01</u>	<u>2001-02</u>

Personal Services	\$ 116,000	\$ 48,300
Operating Expenses	293,400	426,700
Total Expenditures	\$ 409,400	\$ 475,000

9. College of Human Environmental Sciences

- a. **Instruction** - The baccalaureate program of the College is directed toward the preparation of students through programs in the areas of Interior Design; Individual and Family Development; Early Childhood Education; Family Resource Management and Consumer Studies; Merchandising, Apparel and Textiles; Food Science (including Human Nutrition); Dietetics; Hospitality Management; and Home Economics Education. At the graduate level, students can earn a master's degree in one of the following concentrations: Biochemical Nutrition; Food Science; Community Nutrition; Interior Design, Merchandising and Textiles; and Family Studies and Early Childhood Education. Within the specialty of Family Studies, students may complete a program of study in Marriage and Family Therapy. Sixty-nine master's students are enrolled in the College. At the doctoral level, the College participates in the multidisciplinary Ph.D. in Nutritional Sciences where twenty-six students are working directly with faculty in this College.
- b. **Research** - Scholarly activity in the College of Human Environmental Sciences involves the study of individuals and families in both multidisciplinary and specialized areas of interest. Multidisciplinary research focuses on the social and economic factors that affect the health of older rural adults and place children and youth at risk. In 1991 the College established the Research Center for Families and Children to directly support the Kentucky Education Reform Act. Family Studies focuses on ethics-related behavior, successful interpersonal and economic interaction of individuals in the workplace and within the family, cross-cultural early childhood education, strengths of African-American families, and public finance of education reform.
- The areas of interior design, merchandising and textiles emphasize research and creative activity related to the design of commercial and residential living and work environments, textile testing for apparel and furnishings, and the design of protective clothing. Nutrition research relates to vitamin metabolism; cancer; atherosclerosis; neurochemical regulation of appetite; mathematical modeling of physiological responses; determination of nutrient requirements; antioxidants and aging; cell culture technology; maternal and child nutrition; and aging. Food science research develops food products with improved protein content; studies food patterns and choices; and develops improved packaging methods.
- c. **Public Service** - As a service to the families, agencies, and other interested parties throughout the Commonwealth, the College disseminates information and knowledge gained from the research efforts of its faculty to expand the knowledge base in the field of human environmental sciences. This includes coordination with the Cooperative Extension Service and agencies and organizations which provide community and work experiences for Human Environmental Sciences majors. A unique interdisciplinary effort is the One-Parent Virginia Place Program which is a cooperative effort among the Lexington-Fayette Urban County Government Mayor's Office, the College of Human Environmental Sciences, and other colleges within the University. The One-Parent Virginia Place Program benefits single parent families who are seeking self-sufficiency.
- The Training Resource Center for the Department of Social Services, which is a cooperative program between the College of Human Environmental Sciences and the College of Social Work, focuses on foster parent training. Support of KERA is achieved in part through faculty participation in the Family Resource/Youth Services Centers, referrals to family therapy, provision of at-risk preschool programming through the Early Childhood Lab, and research projects conducted through the College Research Center for Families and Children. Service is provided to industry through the General Electric Appliance Agreement for research, faculty/students exchanges and testing for the National Association of Industrial Linen Manufacturers.

LEXINGTON CAMPUS - COLLEGES (continued)

9. College of Human Environmental Sciences (continued)

<u>Actual</u>	<u>Estimated</u>
<u>2000-01</u>	<u>2001-02</u>

d. <u>Fall Headcount Enrollment</u>	704	704
Undergraduate	704	704

e. <u>FTE Enrollment</u>	597	597
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f. <u>Continuing Education - Contact Hours</u>	4,000	4,000
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g. Financial Data

<u>General Fund</u>	
<u>2000-01</u>	<u>2001-02</u>

Faculty FTE Positions	41	43
Faculty	\$ 2,537,800	\$ 2,765,700
Other Personal Services	911,600	916,200
Subtotal	3,449,400	3,681,900
Operating Expenses	163,300	217,100
Total Expenditures	\$ 3,612,700	\$ 3,899,000

<u>Restricted Funds</u>	
<u>2000-01</u>	<u>2001-02</u>

Operating Expenses	\$ 376,100	\$ 446,700
Capital Outlay	261,600	2,761,600
Total Expenditures	\$ 637,700	\$ 3,208,300

10. College of Law

a. Instruction - The College of Law provides a broad-based professional education designed to prepare students for the private practice of law, for public law practice in state or federal government, and for employment in businesses which utilize legal training.

b. Research - As an integral part of its program, the College, through its faculty, engages in research and writing which supports the teaching function, assists and instructs the practicing lawyer, and provides a resource of knowledge and analysis which may be drawn upon by federal and state judicial and legislative bodies in developing and changing the law in response to societal change and current problems.

LEXINGTON CAMPUS - COLLEGES (continued)

10. College of Law (continued)

c. **Public Service** - The College of Law's Elder Law Clinic serves elderly, indigent persons in need of legal services. Through its Law Library, Mineral Law Center, the Eastern Mineral Law Foundation, and the direct consultation of its faculty, the College provides service and expert assistance to federal and state agencies and legislatures, judges, and practicing lawyers. An extensive program of continuing education for the bar and the judiciary is conducted.

Actual	Estimated
<u>2000-01</u>	<u>2001-02</u>

d. Fall Headcount Enrollment		
First Professional	379	379

e. FTE Enrollment	385	385
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f. Continuing Education - Contact Hours	15,000	15,000
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g. **Financial Data**

General Fund	
<u>2000-01</u>	<u>2001-02</u>

Faculty FTE Positions	27	27
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Faculty	\$ 3,182,500	\$ 3,279,900
Other Personal Services	<u>1,288,300</u>	<u>1,352,300</u>
Subtotal	4,470,800	4,632,200
Operating Expenses	433,000	489,100
Library Books	<u>87,000</u>	<u>93,400</u>
Total Expenditures	\$ 4,990,800	\$ 5,214,700

Restricted Funds	
<u>2000-01</u>	<u>2001-02</u>

Personal Services	\$ 379,300	\$ 367,200
Operating Expenses	847,200	1,137,900
Capital Outlay	<u>10,000</u>	<u>15,000</u>
Total Expenditures	\$ 1,236,500	\$ 1,520,100

LEXINGTON CAMPUS - COLLEGES (continued)

11. College of Social Work

a. **Instruction** - The College of Social Work offers instruction leading to the degrees of Bachelor of Arts in Social Work, Master of Social Work and Ph.D. in Social Work, accredited by the Council on Social Work Education. Two hundred fifty-three students are enrolled in the MSW program, including students at Morehead State University, Northern Kentucky University, and the Center for Rural Health in Hazard. The primary objective of the BASW program is the preparation of entry level social work practitioners. The objective for the MSW program is preparation of advanced practitioners in one of two areas of concentration: mental health or family and community. The University of Kentucky currently enrolls 24 students in its joint Ph.D. program with the University of Louisville that educates students for research and social work education.

b. **Research** - An integral part of scholarly activity in the College is research in areas directly related to the academic curriculum and improvement of social work practice and service delivery systems. The faculty and graduate students conduct research on a broad array of human problems and collaborate with rural sociology, education, psychiatry and other units at the University. Research relates to children's and families' well being, mental health, health and community revitalization.

c. **Public Service** - The College's service activities include continuing education programs to upgrade and improve competence of human service personnel through evening and extension courses, workshops, institutes, and special training programs. The College has strong partnership programs with the Cabinet for families and children through our Training Resource Center for Healthy Communities, foster parent training, adoption support, citizen review boards and agency-based research and education sites.

	<u>Actual</u> <u>2000-01</u>	<u>Estimated</u> <u>2001-02</u>
d. Fall Headcount Enrollment		
Undergraduate	220	220

e. FTE Enrollment	327	327
f. Continuing Education - Contact Hours	9,000	9,000

g. Financial Data		
	<u>2000-01</u>	<u>General Fund</u> <u>2001-02</u>
Faculty FTE Positions	23	23
Faculty	\$ 1,203,800	\$ 1,262,800
Other Personal Services	681,700	674,700
Subtotal	<u>1,885,500</u>	<u>1,937,500</u>
Operating Expenses	147,600	171,000
Total Expenditures	<u>\$ 2,033,100</u>	<u>\$ 2,108,500</u>

LEXINGTON CAMPUS - COLLEGES (continued)

11. College of Social Work (continued)

g. Financial Data (continued)

	<u>2000-01</u>	<u>2001-02</u>
	<u>Restricted Funds</u>	
Operating Expenses	\$ 88,100	\$ 71,100
Total Expenditures	\$ 88,100	\$ 71,100

12. Lexington Community College

The University of Kentucky Lexington Community College was established in 1965 and was one of 14 community colleges within the University of Kentucky Community College System. In 1997, House Bill 1 was passed by the Kentucky General Assembly, whereby the other 13 community colleges were to be managed by the Kentucky Community and Technical Colleges System (KCTCS), and Lexington Community College continued to be managed and governed by the University of Kentucky. The change was effective January 14, 1998. Lexington Community College is separately accredited and an integral part of the University of Kentucky.

The goal of the Lexington Community College is to provide easily accessible educational opportunities to citizens, communities, and the Commonwealth by offering comprehensive programs in instruction as well as public service.

a. Instruction - The objective of Lexington Community College is to provide high-quality lower division transfer programs applicable to baccalaureate degrees and associate degree programs in technical or semi-professional areas, to citizens, communities, and the Commonwealth.

The technical programs are designed to meet workforce requirements of the communities through associate degree technical programs, which prepare students for immediate employment and in some programs, qualify the graduates for advanced entry into related baccalaureate programs. Those offerings include: Architectural Technology, Business Technology, Computer Information Systems, Dental Hygiene, Dental Laboratory Technology, Engineering Technology, Environmental Science Technology, Nuclear Medicine Technology, Nursing, Radiography, Respiratory Care, Information Management and Design, Early Childhood Education, and Civil Engineering.

b. Public Service - Lexington Community College provides noncredit continuing education, customized training, community service activities, professional development, personal enrichment, children's programs, computer classes and offerings and services in support of KERA through the Center for Community Partnership, to citizens, communities, and business and industry.

c. Support Services provide technological services, facilities, safety and security, and student services in support of instruction.

(1) Information Technology supports the instructional programs in activities related to the collection, storage, retrieval, distribution, and use of information.

(a) Telecommunications infrastructure supports the delivery of courses to remote locations (telecourses).

(b) Academic computing capabilities support the teaching-learning process and College administration.

LEXINGTON CAMPUS - COLLEGES (continued)

12. Lexington Community College (continued)

c. Support Services (continued)

(2) The Physical Plant Operations provide support services related to the operation and maintenance of the physical plant to include maintenance, utilities, housekeeping, security, safety, and other services required for facilities management of three buildings consisting of approximately 200,000 gross square feet. In addition to the main campus facilities, LCC has two leased facilities and extended campus sites.

(3) Other support includes activities related to executive management, academic affairs, business affairs, and student affairs administrative operations of Lexington Community College.

<u>Actual</u>	<u>Estimated</u>
<u>2000-01</u>	<u>2001-02</u>

d. Fall Headcount Enrollment

College Parallel	2,612	2,821
Technical	1,804	1,804
Undecided	2,121	1,912
Non-Degree	<u>677</u>	<u>677</u>
Total Enrollment	7,214	7,214

e. FTE Enrollment

	4,733	4,733
	77,000	77,000

f. Continuing Education - Contact Hours

g. Financial Data

		<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
	Faculty FTE Positions	182		187
	Librarians/Counselors FTE Positions	8		8
	Faculty	\$ 8,684,700		\$ 9,543,900
	Librarians/Counselors	506,900		651,300
	Other Personal Services	<u>4,917,000</u>		<u>4,926,000</u>
	Subtotal	14,108,600		15,121,200
	Operating Expenses	2,753,200		3,007,900
	Library Books	73,200		78,200
	Capital Outlay	<u>283,500</u>		<u>238,500</u>
	Total Expenditures	\$17,218,500		\$18,445,800

LEXINGTON CAMPUS - COLLEGES (continued)

12. Lexington Community College (continued)

g. Financial Data (continued)

Operating Expenses
Capital Outlay
Total Expenditures

<u>Restricted Funds</u>	
<u>2000-01</u>	<u>2001-02</u>
\$ 418,100	\$ 283,100
-0-	196,100
<u>\$ 418,100</u>	<u>\$ 479,200</u>

LEXINGTON CAMPUS

MULTIDISCIPLINARY GRADUATE PROGRAMS

1. The James W. Martin School of Public Policy and Administration provides an integrated approach to the University's activities in public policy and administration. The Martin School offers three multidisciplinary graduate degree programs - the Master of Public Administration, the Master of Health Administration, and the Ph.D. in Public Administration, and engages in research and public service activities. The disciplines represented by the School's faculty are agricultural economics, economics, health services, management, finance, political science, psychology, and industrial engineering. The research and public service components of the Martin School offer the School's faculty, staff, and graduate students the opportunity to engage in multidisciplinary research on public policy and administration issues. The Fall 2000 headcount enrollment for the Martin School was 61.

<u>Actual</u>	<u>Estimated</u>
<u>2000-01</u>	<u>2001-02</u>

a. <u>FTE Enrollment</u>	55	55
Graduate	55	55

b. Financial Data

<u>General Fund</u>	<u>2001-02</u>
<u>2000-01</u>	<u>2001-02</u>

Faculty FTE Positions	9	9
Faculty	\$ 848,400	\$ 964,800
Other Personal Services	215,300	183,400
Subtotal	1,063,700	1,148,200
Operating Expenses	94,400	78,300
Total Expenditures	\$ 1,158,100	\$ 1,226,500
<u>Restricted Funds</u>		
<u>2000-01</u>		
Operating Expenses	\$ 163,900	\$ 117,600
Total Expenditures	\$ 163,900	\$ 117,600

2. The Patterson School of Diplomacy and International Commerce offers an interdisciplinary professional Master of Arts degree program preparing students for international careers in both the public and private sectors. This three semester program is especially suited for the student desiring a career in international companies, foreign trade, international banking, or with governmental agencies such as the U.S. State Department, Department of Commerce, Department of Defense, and the intelligence community. The multidisciplinary curriculum is tailored to meet the individual interests of the student with emphasis on particular disciplines, area studies or policy analyses, within the structure of a major and a minor and a working internship. The Fall 2000 headcount enrollment was 50.

LEXINGTON CAMPUS - MULTIDISCIPLINARY GRADUATE PROGRAMS (continued)

2. Patterson School of Diplomacy and International Commerce (continued)

a. FTE Enrollment

Graduate

21

21

Actual
2000-01

Estimated
2001-02

b. Financial Data

General Fund
2000-01 2001-02

Faculty FTE Positions

3

3

Faculty

\$ 266,900

\$ 274,900

Other Personal Services

64,200

69,500

Subtotal

331,100

344,400

Operating Expenses

11,500

7,900

Total Expenditures

\$ 342,600

\$ 352,300

Operating Expenses
Total Expenditures

\$ 233,500
\$ 233,500

\$ 248,700
\$ 248,700

Restricted Funds
2000-01 2001-02

LEXINGTON CAMPUS

VICE CHANCELLOR FOR ACADEMIC SERVICES

The Vice Chancellor for Academic Services has responsibility for academic support functions pertaining to enrollment management (e.g. admissions, advising, registrar, transfer), the advancement of quality teaching, and support for computing and information systems. The Vice Chancellor is responsible for the Dean of Undergraduate Studies, the Office of Admissions, the Registrar's Office, the Honors Program, the Teaching and Learning Center, and the Pre K-12 Program. The Vice Chancellor will also work with issues that involve education in the public schools, preparedness of students for higher education, and the programs to prepare educators for the Commonwealth.

1. Dean of Undergraduate Studies. The Office of the Dean of Undergraduate Studies is the focal point for matters related to the undergraduate academic experience. The Dean is responsible for the University Studies Program, the Gaines Center for the Humanities, the Undergraduate Council, the Central Advising Service and Transfer Center, undergraduate research programs, and new faculty orientation. In addition, the Dean of Undergraduate Studies serves as the University representative in statewide general education and transfer credit matters. The Dean's Office is charged with providing the leadership necessary to ensure that undergraduate teaching and learning maintain a prominent and visible place at the University of Kentucky.
 - a. The Humanities Program, which is housed in the Gaines Center for the Humanities, offers fellowship and educational opportunities for undergraduates and special teaching situations for faculty members in the humanities and related areas.
 - b. The Central Advising Service and Transfer Center is designed to serve the advising needs of undeclared students, transfer students, nontraditional students, and others needing assistance in planning their careers and their academic programs. The staff of the Center works closely with faculty and academic advisors in colleges across the campus in order to ensure that students receive the most current and complete advice regarding their academic aspirations. One of the major purposes of the Center is to emphasize good advising and to work with units on campus in developing advising services in the various colleges and academic units. The Center also includes a research component which is designed to provide current information regarding student academic performance and the most effective means for increasing retention at the University of Kentucky.
 - c. The Teaching and Learning Center is designed to enhance graduate and undergraduate instruction in all colleges and academic units on the Lexington Campus and to provide special support to teaching assistants. The primary goals of the Center are: (1) to promote discourse among the faculty across campus concerning the importance of superior teaching and the most effective ways of providing it; (2) to serve as a resource for academic units in their efforts to keep abreast of teaching innovation in their own fields and to improve instruction; and (3) to assess faculty members in evaluating classroom performance in order to effect desired change. The Center is responsible for the teaching assistant (TA) orientation, for working with individual departments in developing and implementing discipline specific assistance programs for teaching assistants, and for providing support to new faculty in the development and implementation of their teaching programs.

2. The Office of Admissions is responsible for identifying, contacting, and encouraging application and enrollment of students who meet University academic qualifications. The Office of Admissions provides prospective undergraduate students with information about programs of study, admission standards, and services available at the University. The Office continues to communicate with applicants throughout the admission decision process. After the student has been admitted, he or she participates in an advising conference on campus and enrolls for classes. The student's file in the Student Information System is created in the Office of Admissions. During the past year, staff members in the Office of Admissions have provided information about the University to more than 75,000 potential students. The Office processed applications and determined the admission status for over 16,000 applicants.
 - a. Admissions oversees the operation of the Visitors Center, which coordinates tours and provides information to prospective students, their families and academic departments.
 - b. The Merit Scholarship Office administers academic scholarships for students at the University of Kentucky.
3. The Registrar's Office is responsible for registration and maintenance of student records, including implementation, management, and continuing development of the on-line Student Information System (SIS). In addition, this Office provides numerous support services to a wide range of academic and service units within the University. Among those services are the assignment of classroom space; publication of the University of Kentucky Bulletin (catalog) and three class schedule booklets; maintenance of the official University course file and program descriptions; determination of student residency status; official certification functions for athletes, veterans, and scholarship/loan recipients; new student advising conferences; development of web process applications such as grade checking, address updates, and major verification; and functioning as the secretary of the University Senate.
4. The Honors Program serves as a vehicle for attracting outstanding students to the University and provides special courses, programs, and learning opportunities for enrolled students as well as advising and career preparation. The Honors Program served 915 students in 2000-01.
5. The Office of Assessment and Institutional Data is responsible for providing quality data and information to the University. Data gathered includes student enrollment and degrees awarded, faculty data, teacher course evaluations, undergraduate and graduate alumni and support to the program review process.

6. Financial Data

	<u>General Fund</u>
	<u>2000-01</u> <u>2001-02</u>
Faculty FTE Positions	12 13

LEXINGTON CAMPUS - VICE CHANCELLOR FOR ACADEMIC SERVICES (continued)

6. Financial Data (continued)

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty	\$ 751,600	\$ 782,300
Other Personal Services	<u>4,165,900</u>	<u>4,311,500</u>
Subtotal	4,917,500	5,093,800
Operating Expenses	1,013,500	1,133,800
Capital Outlay	<u>9,200</u>	<u>9,200</u>
Total Expenditures	\$ 5,940,200	\$ 6,236,800
 <u>Restricted Funds</u> 		
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 963,900	\$ 1,268,600
Total Expenditures	\$ 963,900	\$ 1,268,600

LEXINGTON CAMPUS

VICE CHANCELLOR FOR ADMINISTRATION AND OUTREACH

The Vice Chancellor for Administration and Outreach of the Lexington Campus serves as the principal business officer of the Lexington Campus. The Directors of Auxiliary Services, Student Billing Services, WUKY, Student Financial Aid Office, the University Press of Kentucky, and Art Museum report directly to the Vice Chancellor. Principal responsibilities include:

1. Student Financial Aid Office provides \$140 million in need-based financial assistance for students who would be unable to pursue their educational objectives without such assistance. Included in the responsibilities of this Office is the administration of scholarships, grants, loans, and federal work-study program employment for students at the Lexington Campus, the Medical Center and the Graduate School.
2. Auxiliary Services oversees the operation of housing, dining, and other auxiliaries.
3. The College Business Management Institute presented in cooperation with the Southern Association of College and University Business Officers.
4. Student Billings collects student registration fees, housing and dining fees, and other miscellaneous fees. This office also collects student loans under various Federal, State, and University loan programs. Funds are drawn down from the government for the Direct Loan Program for UK and the Lexington Community College with necessary financial reconciliation. The Student Tax office issues to students IRS 1099-T forms and tax credit information per Tax Reform Act of 1997.
5. WUKY operates a licensed, noncommercial FM broadcast station.
6. University Press is a regional scholarly book publisher serving the University of Kentucky and other colleges and universities statewide.
7. The University Art Museum displays the University's art collection of over 3,500 paintings, drawings, prints, sculptures, textiles, photographs and decorative arts; collects, preserves, exhibits, and interprets original works of visual art; and provides Kentucky's general public, specialized researchers, artists, and students with opportunities, aesthetic pleasure, cultural enrichment, and a fuller understanding of the nature of the societies from which these arts develop.
8. University Extension administers the Evening and Weekend College, Summer School, Independent Study Program, Office of Experiential Education, Japanese Saturday School, Japanese Programs, Carnahan Conference Center, and University of Kentucky Service Learning Program.
9. Financial Data*

Faculty FTE Positions

-0-

44

2000-01 General Fund
2001-02

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

LEXINGTON CAMPUS - VICE CHANCELLOR FOR ADMINISTRATION AND OUTREACH (continued)

9. Financial Data* (continued)

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty	\$ -0-	\$ 2,608,900
Other Personal Services	3,185,300	4,910,800
Operating Expenses	2,650,700	3,091,000
Capital Outlay	28,000	33,800
Total Expenditures	5,864,000	10,644,500
Recharges	-0-	(9,500)
Net Expenditures	\$ 5,864,000	\$ 10,635,000
	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 232,600	\$ 247,100
Operating Expenses	3,266,000	2,962,800
Capital Outlay	80,000	-0-
Total Expenditures	\$ 3,578,600	\$ 3,209,900
	<u>Auxiliary Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$10,915,800	\$11,087,400
Operating Expenses	15,863,600	17,203,300
Mandatory Transfers - Debt Service	3,332,700	3,665,400
Capital Outlay	143,000	143,000
Total Expenditures	30,255,100	32,099,100
Recharges	(1,834,100)	(1,953,000)
Net Expenditures	\$28,421,000	\$30,146,100

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

LEXINGTON CAMPUS

VICE CHANCELLOR FOR MINORITY AFFAIRS

The Office of the Vice Chancellor for Minority Affairs functions to ensure the full participation in the University of African Americans and other students of minority, cultural and ethnic backgrounds.

1. The Vice Chancellor for Minority Affairs for the Lexington Campus is administratively responsible for and reports to the Chancellor for the Lexington Campus. The Vice Chancellor has direct responsibility for the services and programs of the Office for Minority Affairs which emphasize support for African-American students, other students of minority, cultural and ethnic backgrounds, and the general advancement of an inclusive learning community for faculty, staff, and students. The Vice Chancellor for Minority Affairs serves in an advisory capacity in all matters related to minorities throughout the University.
2. Services - The Office of the Vice Chancellor for Minority Affairs provides assistance to departments and academic units in recruiting faculty and students, planning programs or addressing other issues relating to minority faculty, staff, or students. The Office of the Vice Chancellor has administrative responsibility for the units comprising the Office of Minority Affairs as well. Specifically, the units are:
 - a. The Office of African-American Student Recruitment which recruits African-American students to the University from across the Commonwealth. The recruiter also coordinates the "Come See For Yourself" recruitment program which attracts over 600 students to campus each year.
 - b. The Office of African-American Student Affairs offers orientation, cultural, social, and leadership development programs. The director provides staff assistance to the African-American student organizations and coordinates the activities of the Martin Luther King Cultural Center.
 - c. The African-American Student Scholarship Program provides eligible students with scholarships and/or grants. The director also oversees a number of other institutional scholarship or grant awards.
 - d. The Learning Services Center provides a comprehensive academic support system that consists of tutoring, structured study groups, assistance with academic planning and learning skills, help with personal and social problems, peer mentoring and the Freshman Summer Program.
3. The Office of the Vice Chancellor of Minority Affairs oversees a number of special programs including:
 - a. Minority College Awareness Program (MCAP) provides early intervention activities to students in the fourth through ninth grades. The program aims to enhance the precollege preparation and college going rate of African-American youth. MCAP has three distinctive components:

3. Office of the Vice Chancellor for Minority Affairs (continued)

a. Minority College Awareness Program (continued)

(1) Students in the UK component meet bimonthly in Dickey Hall and receive instruction in integrated mathematics and science, language arts, and African-American history. The UK component also sponsors a three-week summer session for 100 young people in grades four through twelve. Classes are offered in mathematics, science, computer science, agriculture and teacher education. Enrichment activities are provided by the Kentucky Department of Education on Teacher Recruitment and Project HOPE, sponsored by the Kentucky Higher Education Assistance Authority.

(2) The Lexington Traditional Middle School hosts the YMCA Black Achievers Seventh/Eighth Grade Cluster Component involving 67 students who learn about career choices during their bimonthly meeting during the academic year.

(3) Winburn Middle School hosts the Realizing Academic Potential component that involves all the students at the school in a number of different educational activities focused on improving the students' academic achievement.

b. Advancement via Individual Determination (AVID) is funded through the Kentucky Department of Education to support the early identification and recruitment of students from minority, low income or first generation college backgrounds into college through faculty development and technical assistance to the identified schools. The program currently serves faculty and students in 38 junior and senior high schools throughout Kentucky.

c. Student Support Services (SSS) is funded through the U.S. Office of Education to address the needs of 150 low income, first generation, and/or students with physical disabilities through special initiatives. The Program offers academic enrichment, cooperative learning groups, counseling, tutoring and exposure to cultural events generally not utilized by students from disadvantaged backgrounds. The program's goal is to increase college retention and graduation rates, to increase the transfer rates of eligible students from two-year to four-year institutions and to foster an institutional climate supportive of the success of low income and first generation college students and individuals with disabilities.

d. Bridges, funded through the Department of Health and Human Services, is a collaboration between the Lexington Campus, Medical Center, and the Community College System. The goal is to create an environment that will attract and nurture an increasing number of minority students transferring from the community colleges and facilitate their efforts to complete their education in the biomedical sciences. The Vice Chancellor for Minority Affairs is a codirector and is responsible for the recruitment, transition, and retention components.

LEXINGTON CAMPUS - VICE CHANCELLOR FOR MINORITY AFFAIRS (continued)

4. Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 643,300	\$ 682,900
Operating Expenses	223,800	259,000
Capital Outlay	<u>3,000</u>	<u>3,000</u>
Total Expenditures	\$ 870,100	\$ 944,900
	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 64,100	\$ 63,100
Total Expenditures	\$ 64,100	\$ 63,100

LEXINGTON CAMPUS

VICE CHANCELLOR FOR RESEARCH AND GRADUATE STUDIES

The Vice Chancellor for Research and Graduate Studies has responsibility for assisting the Chancellor of the Lexington Campus with functions that support the research and creative activities of the faculty, facilities planning, intellectual property development, graduate programs, and various compliance issues associated with the management of grants and contracts. The Vice Chancellor serves as the chair of the Lexington Campus Research Advisory Committee and advises the Chancellor and the Vice President for Research and Graduate Studies on research needs including capital equipment and construction, renovation of facilities, and specific research initiatives. The Vice Chancellor works with the Vice Chancellor for Research and Graduate Studies-Medical Center and the Dean of the Graduate School on graduate education and research issues. The Vice Chancellor provides oversight for RGS budget expenditures on the Lexington Campus for start-up costs, Research Committee Grants, departmental incentive awards, research equipment purchases, special awards, support for RAs and postdoctoral fellows, bridge funding for investigators who are "between" grants, support for conferences, support for faculty serving as editors of scholarly journals, and renovations related to research.

Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty FTE Positions	.45	.45
Faculty	\$ 81,300	\$ 85,200
Subtotal	81,300	85,200
Operating Expenses	9,400	8,700
Total Expenditures	\$ 90,700	\$ 93,900

LEXINGTON CAMPUS

VICE CHANCELLOR FOR STUDENT AFFAIRS

The Office of the Vice Chancellor for Student Affairs serves the mission of the University by facilitating student development outside the classroom and by supporting relationships between students and the University. This Office is responsible for communicating the needs of students to the University and interpreting University constituencies to students; evaluating the qualitative aspects of student life; and coordinating and supervising the administrative, service, and student activity functions within the Student Affairs Division.

1. The Office of the Dean of Students has the responsibility for assisting students in their personal, academic, and extracurricular development. The fulfillment of these responsibilities involves administrative and advisory functions which include: exit interviews with students who are withdrawing from the University; administration of the student judicial process; liaison with off-campus agencies regarding students; orientation and freshman programs; disciplinary clearances; implementation of the student code; research on student life; preparation and maintenance of student records; transfer requests; employment recommendations; advising the fraternity and sorority system; Interscholastic Debate; the Disability Resource Center; Health/Alcohol Education Programs; distribution of student athletic tickets; liaison with campus religious groups; and Campus Recreation.

(a) The Campus Recreation program provides a wide variety of intramural and recreational sports experiences and opportunities for students, faculty, and staff. Activities range from organized, competitive activities to workouts during free time. Indoor facilities managed by the department include the Seaton Center, Alumni Gym, and Lancaster Aquatics Center. Numerous outdoor fields and courts are available.

(b) The University Interscholastic Debate program offers undergraduates an opportunity for training and experience in the principles and techniques of argumentation and debating. Included is the opportunity for oral advocacy with students from colleges and universities throughout the United States.

2. The Office of Residence Life is responsible for creating an environment in the 19 undergraduate residence halls and the Greg Page Stadium View apartments that is supportive of the academic mission of the University. This includes the integration of academic experiences into a living-learning atmosphere. This Office is responsible for student discipline within the living units, student development, personal counseling and referral, programming (educational, cultural, social and recreational), and providing a safe, positive atmosphere for University students to pursue their academic goals.

3. Student Services

(a) The University Career Center provides assistance to students and alumni seeking employment and information and service to undergraduates and alumni in career planning. Included in the functions of this office are: registering candidates; life career planning; processing credentials; arranging interviews for students and alumni with interested business, educational, and governmental organizations; posting job opportunities; seeking new listings by initiating and maintaining liaison with business, educational, and governmental organizations; providing information on job opportunities by conducting seminars and interviews; teaching resume writing, interviewing techniques, job search statistics, and skill identification; maintaining an employment literature library; operating a career-related summer and part-time employment program; conducting seminars for undecided majors and students seeking career information; providing support and assistance to the Lexington Community College; providing cooperative education opportunities to undergraduates; and providing numerous outreach programs to nontraditional, minority, and evening class students.

Office of the Vice Chancellor for Student Affairs (continued)

3. Student Services (continued)

(b) The Student Media program includes assistance to students in understanding the operation and management of media enterprises; developing effective, financially sound, and attractive publications which meet accepted journalistic standards; and locating work experience in the media field.

4. The Student Center houses food services areas, two theaters, the bookstore, lounges, meeting rooms, and other student activity related areas. The Student Center programs offer social, cultural, educational and recreational activities, and various other events such as Homecoming, speakers, forums, concerts, leadership institutes, and advanced studies in leadership.

(a) The University provides operational assistance to Student Government as the primary representative body for students.

5. The University Counseling and Testing Center offers individual and group psychological counseling on personal, vocational, and study skills issues. In addition to counseling services, the Center administers and scores individual and group assessment instruments. The campus community is offered consultation on such subjects as group processes, the nature of the University's student body, the nontraditional student, developmental problems of the college student, and many other topics. The Center also offers many outreach activities in the form of special lectures, workshops, and presentations to faculty, staff, students, and community.

6. Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 4,298,200		\$ 4,501,400
Operating Expenses	<u>1,015,100</u>		<u>2,160,800</u>
Total Expenditures	\$ 5,313,300		\$ 6,662,200
	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
Operating Expenses	\$ 227,000		\$ 251,200
Total Expenditures	\$ 227,000		\$ 251,200
	<u>2000-01</u>	<u>Auxiliary Funds</u>	<u>2001-02</u>
Personal Services	\$ 708,700		\$ 746,700
Operating Expenses	<u>1,503,500</u>		<u>1,560,200</u>
Capital Outlay	<u>31,300</u>		<u>26,300</u>
Total Expenditures	\$ 2,243,500		\$ 2,333,200

LEXINGTON CAMPUS

STUDENT AID

Student Aid - Through institutional and restricted funds the University provides its students with many scholarship options, programs and opportunities. Among these scholarship and other award programs are the College Work Study, Perkins Loans, Pell Grants, College Access Program Grants, Supplemental Educational Opportunity Grants, and other institutional general merit and need-based awards.

This area is of extreme importance to the University and its students. Efforts continue at all times to obtain new monies to provide more funds for current programs or new funds to provide additional opportunities. A significant enhancement in the merit scholarship program was the introduction in 1990-91 of the state-supported Commonwealth Scholarships for academically distinguished students. This support and other institutional support has resulted in the enrollment of National Merit finalists in the freshman class, ranking the University of Kentucky as one of the top institutions in the country in the number of National Merit finalists.

Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$15,018,900	\$15,874,400
Total Expenditures	\$15,018,900	\$15,874,400
	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$16,795,000	\$17,597,700
Total Expenditures	\$16,795,000	\$17,597,700
	<u>Auxiliary Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 450,000	\$ 450,000
Total Expenditures	\$ 450,000	\$ 450,000

LEXINGTON CAMPUS

CHANCELLOR

Chancellor - The Office of the Chancellor facilitates and directs all undergraduate and graduate teaching, research, and service activities of the Lexington Campus and develops financial support and the human resources required to achieve even higher levels of academic excellence for the benefit of the citizens of the Commonwealth.

Office of International Affairs - The mission of the Office of International Affairs (OIA) is to further internationalize education at the University of Kentucky and within the Commonwealth by supporting an environment conducive to intercultural learning, research and exchange and by fostering opportunities for students, staff, faculty, and citizens to develop the global perspective fundamental to full participation in the modern world.

The objectives of the Office of International Affairs are:

To offer leadership in internationalizing the campus and promote campus-wide planning and coordination toward international education; to stimulate the creation of more cross-cultural/international courses and the addition of an international dimension to other courses; to make the study abroad experience an integral part of students' education; to assist the integration of foreign students and scholars into the University's community of learning; to develop, implement, and support programs for cross-cultural learning and discussion of international issues for students, staff, and faculty; to promote the establishment of links with institutions in the United States and overseas for the purposes of faculty, staff, and student exchange and to broaden international research and service opportunities; to act as a bridge between the international community and local and state citizens and institutions in order to create a supportive environment for international women and provide opportunities for American women to participate fully in all kinds of international experiences; and to participate, through funded contracts, in projects designed to assist developing nations in their efforts to strengthen institutions of research, instruction, and public service.

Planning and Budget - This Office is responsible for collecting information on program needs and relating those needs to available resources in a manner which facilitates decisions on the allocation of resources within the Lexington Campus, and assessing and evaluating the use of those resources.

Financial Data*

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty FTE Positions	-0-	2
Faculty	\$ -0-	\$ 153,900
Other Personal Services	2,102,100	2,212,800
Operating Expenses	771,900	720,200
Total Expenditures	\$2,874,000	\$3,086,900
	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 1,000	\$ 4,900
Total Expenditures	\$ 1,000	\$ 4,900

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

LEXINGTON CAMPUS
 BUDGETED EXPENDITURES DETAIL - GENERAL FUND

2000-01

2001-02

COLLEGES

College of Agriculture

Administration	\$ 546,400	\$ 558,900
Agricultural Economics	1,151,100	1,128,600
Agronomy	582,000	539,300
Agronomy Research Challenge Trust Fund Research and Graduate Programs	18,700	10,600
Animal Sciences	830,600	1,004,100
Biosystems and Agricultural Engineering	438,300	399,300
Entomology	197,700	254,700
Forestry	347,100	352,500
Horticulture	248,900	251,200
Landscape Architecture	539,400	545,000
Plant Pathology	143,000	129,800
Plant Pathology Research Challenge Trust Fund Research and Graduate Programs	10,200	4,900
Rural Sociology	120,000	125,700
Veterinary Science	53,700	50,400
Total Agriculture	<u>\$ 5,227,100</u>	<u>\$ 5,355,000</u>

Agricultural Experiment Station

Director	\$ 849,100	\$ 762,700
Associate Director	356,700	594,400
Agricultural Economics	1,734,000	1,721,600
Agronomy	4,644,900	4,900,800
Agronomy Research Challenge Trust Fund Research and Graduate Programs	546,900	564,200
Animal Sciences	4,155,900	4,280,600
Biosystems and Agricultural Engineering	1,856,300	1,947,700
Entomology	1,831,900	1,876,900
Forestry	1,147,300	1,192,100
Human Environmental Sciences	33,500	-0-
Horticulture	1,292,300	1,357,100
Landscape Architecture	69,300	72,900
Plant Pathology	1,656,200	1,717,800

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

2000-01

2001-02

COLLEGES (continued)

Agricultural Experiment Station (continued)

Plant Pathology Research Challenge Trust Fund Research and		
Graduate Programs	\$ 223,600	\$ 233,000
Rural Sociology	438,800	453,400
Veterinary Science	2,244,400	2,141,900
Center for Equine Health Care	151,500	157,900
Agricultural Communications	335,900	360,500
Alumni and Development	315,500	342,500
Building Maintenance	187,200	191,600
Business Office	182,700	197,400
Central Kentucky Farms	1,764,700	1,918,700
Data Center	298,200	305,700
Facilities Maintenance	574,800	595,200
Farm Service Center	688,700	718,000
Groundwater Program	615,200	624,200
Program Reserve	435,300	101,200
Robinson Substation	177,600	171,500
Utilities	778,400	814,100
West Kentucky Substation	501,200	514,600
Wood Use Center/Robinson Forest	229,200	239,300
Woodford County Farm	155,300	166,700
Total Agricultural Experiment Station	\$ 30,472,500	\$ 31,236,200

Agricultural Public Service

Agronomy	\$ 246,600	\$ 259,100
Animal Sciences	600	-0-
Entomology	99,000	99,000
Forestry	35,000	11,000
Veterinary Science	1,229,000	1,224,000
Livestock Disease Diagnostic Laboratory	2,996,400	3,120,600
Regulatory Services	3,472,200	3,643,400
Total Agricultural Public Service	\$ 8,078,800	\$ 8,357,100

2000-01

2001-02

COLLEGES (continued)

Agricultural Cooperative Extension Service			
Director	\$	1,524,100	\$ 1,211,600
Associate Director		235,100	239,100
Assistant Director-Agriculture Programs		571,600	500,300
Agricultural Economics		1,897,200	2,018,500
Agromony		1,511,900	1,561,700
Animal Sciences		1,676,000	1,722,900
Biosystems and Agricultural Engineering		940,000	1,129,300
Entomology		730,900	745,500
Forestry		577,800	615,500
Home Economics		828,000	846,200
Horticulture		951,600	960,400
Plant Pathology		413,500	448,100
Rural Sociology		534,700	557,000
Veterinary Science		255,600	266,800
Agricultural Communication		2,060,800	2,204,400
Alumni and Development		92,800	98,000
Business Office		210,200	222,500
Data Center		576,600	625,700
Developmental Programs		87,900	90,400
Field Programs		26,990,000	27,898,300
Home Economics Programs		2,759,400	2,790,800
Personnel		492,600	516,000
West Kentucky Extension Program		121,500	127,200
4-H Youth Development Programs		1,503,500	1,555,100
Total Agricultural Cooperative Extension Service	\$	<u>47,543,300</u>	\$ <u>48,951,300</u>
College of Architecture	\$	2,100,900	\$ 2,251,900

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

2000-01

2001-02

COLLEGES (continued)

College of Arts and Sciences

Administration	\$ 2,326,100	\$ 2,716,400
Aerospace Science	21,300	21,900
Anthropology	1,346,200	1,477,000
Biological Sciences	3,222,700	3,227,900
Chemistry	3,023,800	3,248,400
Chemistry Research Challenge Trust Fund Research and Graduate Programs	605,600	624,400
Classical Languages	510,900	443,200
English	4,192,000	4,020,700
French	725,900	691,100
Geography	1,412,700	1,525,500
Geography Research Challenge Trust Fund Research and Graduate Programs	239,000	256,200
Geology	1,006,800	958,000
German	531,900	515,600
History	2,184,000	2,385,400
Interdisciplinary Programs	88,500	111,000
Mathematics	4,359,200	4,273,800
Military Science	81,100	84,200
Philosophy	1,333,900	1,364,400
Physics and Astronomy	3,172,200	3,416,000
Political Science	1,441,200	1,558,000
Psychology	2,288,400	2,277,700
Psychology Research Challenge Trust Fund Research and Graduate Programs	191,600	197,800
Russian and Eastern Studies	412,700	430,500
Sociology	1,439,000	1,322,800
Spanish and Italian	1,416,200	1,332,100
Statistics	1,120,100	1,306,800
Women's Studies	130,900	138,600
Faculty Reallocation Pool	265,200	684,600
Language Laboratory	223,400	232,900
Total Arts and Sciences	\$ 39,312,500	\$ 40,842,900

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

2000-01

2001-02

COLLEGES (continued)

Gatton College of Business and Economics

Administration	\$ 1,007,500	\$ 890,000
School of Accountancy	1,768,800	1,963,100
Economics	1,544,900	1,555,000
Economics Research Challenge Trust Fund Research and Graduate Programs	143,100	161,000
Management	3,946,100	4,182,400
Management Research Challenge Trust Fund Research and Graduate Programs	281,600	291,000
Center for Business and Economic Research	197,800	207,000
Center for Entrepreneurship	563,300	583,300
Center for Labor Education and Research	511,100	529,000
Graduate Center	836,800	867,500
International Business and Management Center	531,700	600,000
MBA Center	126,400	132,800
Undergraduate Center	277,200	301,200
Faculty Reallocation Pool	86,400	304,800
Total Business and Economics	\$ 11,822,700	\$ 12,568,100

College of Communications and Information Studies

Administration	\$ 688,400	\$ 717,200
Communication	1,159,400	1,296,600
School of Journalism and Telecommunications	1,293,200	1,374,500
School of Library and Information Science	915,800	950,100
Total Communications and Information Studies	\$ 4,056,800	\$ 4,338,400

College of Education

Administration	\$ 1,060,800	\$ 1,062,900
Administration and Supervision	663,900	668,200
Curriculum and Instruction	1,972,600	2,052,300
Educational Policy Studies	1,017,800	1,000,600
Educational Psychology and Counseling	1,251,700	1,239,600
Kinesiology and Health Promotion	1,272,000	1,302,700
Special Education and Rehabilitation Counseling	1,214,000	1,370,100

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

2000-01

2001-02

COLLEGES (continued)

College of Education (continued)

Vocational Education	\$ 28,400	\$ 24,100
Center for Professional Development	177,500	183,800
Collaborative Literacy Program	1,200,000	1,213,700
Instructional Media and Technology	239,500	268,400
Teacher Education and Certification	626,900	655,800
Total Education	<u>\$ 10,725,100</u>	<u>\$ 11,042,200</u>

College of Engineering

Administration	\$ 1,361,900	\$ 1,818,700
Alumni Development	321,800	338,300
Chemical and Materials Engineering	2,079,500	1,965,600
Chemical and Materials Engineering Research Challenge	512,000	463,800
Trust Fund Research and Graduate Programs		
Civil Engineering	2,475,000	2,378,300
Computer Science	1,690,100	1,659,900
Computer Science Research Challenge Trust Fund Research and Graduate Programs	516,400	609,700
Electrical Engineering	2,332,600	2,019,400
Electrical Engineering Research Challenge Trust Fund		
Research and Graduate Programs	356,400	375,300
Mechanical Engineering	2,207,800	2,345,400
Mining Engineering	732,900	773,600
Center for Robotics and Manufacturing Systems	4,318,400	4,394,200
Computer Operations	394,100	414,100
Consortium for Fossil Fuel Liquefaction Science	45,000	25,000
Engineering Enhancement Award	300,000	300,000
Paducah Engineering Program	1,545,000	1,454,100
Transportation Center	319,500	310,000
Office of Institutional Services Technical Liaison (OIS/TL)	100,000	100,000
Total Engineering	<u>\$ 21,608,400</u>	<u>\$ 21,745,400</u>

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

2000-01

2001-02

COLLEGES (continued)

	2000-01	2001-02
College of Fine Arts		
Administration	\$ 693,400	\$ 721,200
Art	1,525,600	1,574,000
Music	2,928,600	3,086,100
Theatre Arts	773,300	793,100
Band	212,500	213,100
Center for the Arts	269,900	336,500
University Artist Series	168,100	170,600
Total Fine Arts	<u>\$ 6,571,400</u>	<u>\$ 6,894,600</u>
College of Human Environmental Sciences		
Administration	\$ 806,100	\$ 755,600
Family Studies	1,319,800	1,496,700
Interior Design, Merchandising and Textiles	834,500	894,400
Nutrition and Food Science	652,300	752,300
Total Human Environmental Sciences	<u>\$ 3,612,700</u>	<u>\$ 3,899,000</u>
College of Law		
	\$ 4,990,800	\$ 5,214,700
College of Social Work		
	\$ 2,033,100	\$ 2,108,500
Lexington Community College		
College-Wide Administration	\$ 2,024,600	\$ 2,337,700
General Instruction	9,629,800	10,368,400
Academic Support	611,800	739,200
Student Services	1,315,300	1,638,700
Scholarships	192,300	225,900
Public and Community Service	1,176,800	657,700
Technology and Computing	460,000	575,500
Library Services	631,100	698,300
Maintenance and Operations	1,176,800	1,204,400
Total Lexington Community College	<u>\$ 17,218,500</u>	<u>\$ 18,445,800</u>

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

2000-01 2001-02

MULTIDISCIPLINARY GRADUATE PROGRAMS

James W. Martin School of Public Policy and Administration	\$ 734,400	\$ 698,700
Center for Public Administration	423,700	527,800
Patterson School of Diplomacy and International Commerce	342,600	352,300
Total Multidisciplinary Graduate Programs	<u>\$ 1,500,700</u>	<u>\$ 1,578,800</u>

VICE CHANCELLOR FOR ACADEMIC SERVICES

Academic Services Administration	\$ 117,900	\$ 117,700
Assessment and Institutional Data	242,400	267,100
Dean of Undergraduate Studies	245,700	256,500
University Studies	178,700	203,700
Advising and Transfer Center	580,600	600,600
Humanities Program	170,200	171,800
Teaching and Learning Center	544,600	562,300
Admissions	1,309,600	1,410,100
Scholarship Office	91,600	96,200
Honors Program	790,400	834,200
Registrar	1,668,500	1,716,600
Total Vice Chancellor for Academic Services	<u>\$ 5,940,200</u>	<u>\$ 6,236,800</u>

VICE CHANCELLOR FOR ADMINISTRATION AND OUTREACH

Office of the Vice Chancellor	\$ 496,500	\$ 551,400
Student Financial Aid - Administration	936,000	918,600
Student Billing Services	1,056,900	1,076,400
WKVY Radio	276,900	287,900
College Business Management Institute	340,000	340,000
University Press	2,356,800	2,386,700
Art Museum	400,900	417,500
University Extension Administrative Programs*	-0-	470,200
Conferences and Institutes*	-0-	61,000
Evening Class Program*	-0-	70,500
Experiential Education*	-0-	189,200
Independent Study Program*	-0-	886,200
Japanese Programs*	-0-	337,100
Summer School*	-0-	2,642,300
Total Vice Chancellor for Administration and Outreach	<u>\$ 5,864,000</u>	<u>\$ 10,635,000</u>

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

	2000-01	2001-02
<u>VICE CHANCELLOR FOR MINORITY AFFAIRS</u>		
Office of the Vice Chancellor	\$ 347,300	\$ 402,500
Learning Services Center	115,100	121,100
Minority Student Affairs	103,000	108,200
Recruitment and Retention	304,700	313,100
Total Vice Chancellor for Minority Affairs	\$ 870,100	\$ 944,900
<u>VICE CHANCELLOR FOR RESEARCH AND GRADUATE STUDIES</u>		
	\$ 90,700	\$ 93,900
<u>VICE CHANCELLOR FOR STUDENT AFFAIRS</u>		
Office of Vice Chancellor	\$ 281,700	\$ 1,472,200
Dean of Students	889,300	917,700
Campus Recreation	515,600	532,800
Debate	193,200	197,200
Cheerleader Support	115,000	115,000
Counseling and Testing	691,700	728,900
University Career Center	475,100	500,300
Nutter Field House Operations	155,400	131,900
Residence Life Program	1,447,000	1,478,900
Student Center	442,500	477,500
Student Publications	96,000	99,000
Kentuckian	10,800	10,800
Total Vice Chancellor for Student Affairs	\$ 5,313,300	\$ 6,662,200
<u>STUDENT AID</u>		
Commonwealth Scholarships	\$ 1,052,600	\$ 1,106,300
Law Scholarships	62,100	62,100
Minority Affairs Scholarships	1,843,800	1,937,100
President/Chancellor Scholarships	2,053,900	2,143,900
Student Fee Scholarships	8,644,700	9,087,500
Student Loan and Work Study Matching	267,000	267,000
Undergraduate Scholarships - Fund for Excellence	280,000	280,000
University Scholarships	580,000	580,000
Other Student Aid	234,800	410,500
Total Student Aid	\$ 15,018,900	\$ 15,874,400

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

	2000-01	2001-02
CHANCELLOR		
Office of the Chancellor	\$ 469,500	\$ 487,100
Office of International Affairs*	-0-	802,500
Planning and Budget	404,100	441,900
Program Reserve	574,200	353,800
Academic Support Grants	100,000	100,000
Other Benefits	1,326,200	901,600
Total Chancellor	<u>\$ 2,874,000</u>	<u>\$ 3,086,900</u>
DEBT SERVICE		
	\$ 8,202,200	\$ 9,759,300
TOTAL GENERAL FUNDS	\$ 261,048,700	\$ 278,123,300
NONRECURRING FUNDS		
Capital Program	\$ 1,160,100	\$ 1,414,900
	<u>2,331,000</u>	<u>2,538,400</u>
Total Nonrecurring Funds	<u>\$ 3,491,100</u>	<u>\$ 3,953,300</u>

* Funding for Vice President for Outreach and International Affairs transferred in 2001-02 to Lexington Campus.

LEXINGTON CAMPUS
BUDGETED EXPENDITURES DETAIL - RESTRICTED FUND

COLLEGES

	2000-01	2001-02
College of Agriculture		
College-Wide	\$ 54,700	\$ 50,100
Agricultural Economics	14,000	13,000
Agronomy	18,500	18,500
Animal Sciences	15,300	8,600
Biosystems and Agricultural Engineering	5,100	6,000
Forestry	5,500	6,700
Horticulture	25,400	39,100
Plant Pathology	3,100	3,100
Veterinary Science	21,500	23,800
Landscape Architecture	3,700	4,000
Scholarships	363,900	410,800
Total Agriculture	<u>\$ 530,700</u>	<u>\$ 583,700</u>
Agricultural Experiment Station		
Director	\$ 242,300	\$ 208,000
Associate Director	60,100	42,100
Agricultural Economics	20,500	19,700
Agronomy	1,050,400	1,006,600
Animal Sciences	716,900	714,000
Biosystems and Agricultural Engineering	236,800	232,800
Livestock Disease Diagnostic Laboratory	2,200	1,600
Entomology	354,400	333,200
Forestry	75,500	74,600
Horticulture	80,800	91,800
Plant Pathology	136,200	164,100
Rural Sociology	600	6,100
Veterinary Science	3,897,000	5,121,700
Landscape Architecture	3,500	3,700
Alumni and Development	156,100	362,700
Equine Research	83,300	92,200
Scholarships	385,200	391,900
Total Agricultural Experiment Station	<u>\$ 7,501,800</u>	<u>\$ 8,866,800</u>

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - RESTRICTED FUND (continued)

2000-01

2001-02

COLLEGES (continued)

Agricultural Cooperative Extension Service

Director	\$ -0-	\$ 79,700
Associate Director	243,500	243,500
Agricultural Economics	22,500	20,700
Agronomy	151,000	158,300
Alumni and Development	67,200	35,000
Animal Sciences	32,100	32,300
Agricultural Programs	8,500	8,500
Biosystems and Agricultural Engineering	3,000	3,000
Entomology	39,000	42,000
Field Programs	-0-	1,100
Forestry	2,800	1,000
Home Economics	6,700	6,700
Horticulture	2,200	-0-
Landscape Architecture	-0-	2,200
4-H Youth Development Programs	500	3,500
Scholarships	-0-	19,900
Total Agricultural Cooperative Extension Service	\$ 579,000	\$ 657,400

Agricultural Public/Regulatory Service

\$ 109,800	\$ 122,600
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College of Architecture

College-Wide	\$ 102,300	\$ 180,000
Scholarships	65,600	92,300
Total Architecture	\$ 167,900	\$ 272,300

College of Arts and Sciences

College-Wide	\$ 88,100	\$ 114,500
Chair in the Humanities	44,700	48,100
Aerospace Science	2,200	1,300
Anthropology	4,900	4,300
Biological Sciences	85,200	181,000
Chemistry	258,800	254,200
Classics	500	500
English	52,800	35,500
French	500	500

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - RESTRICTED FUND (continued)

2000-01

2001-02

COLLEGES (continued)

College of Arts and Sciences (continued)

Geography	\$ 2,500	\$ 3,800
Geology	38,300	19,200
German	204,200	31,700
History	61,600	146,000
Interdisciplinary Programs	27,600	31,500
Mathematics	54,600	56,500
Military Science	4,500	500
Philosophy	1,200	1,200
Physics and Astronomy	11,400	8,400
Political Science	9,000	7,800
Psychology	12,000	9,600
Russian and Eastern Studies	1,200	1,200
Sociology	1,100	12,100
Spanish and Italian	46,700	63,400
Statistics	3,000	2,900
Scholarships	346,900	493,300
Total Arts and Sciences	\$ 1,363,500	\$ 1,529,000

Gatton College of Business and Economics

College-Wide	\$ 1,703,300	\$ 2,616,000
School of Accountancy	23,900	17,100
Scholarships	181,100	254,400
Total Business and Economics	\$ 1,908,300	\$ 2,887,500

College of Communications and Information Studies

College-Wide	\$ 28,600	\$ 28,700
Communications	22,700	22,700
School of Journalism and Telecommunications	112,700	118,200
School of Library and Information Science	26,900	46,300
Scholarships	117,500	130,700
Total Communications and Information Studies	\$ 308,400	\$ 346,600

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - RESTRICTED FUND (continued)

2000-01

2001-02

COLLEGES (continued)

College of Education

College-Wide	\$ 88,300	\$ 156,300
Administration and Supervision	10,100	10,100
Curriculum and Instruction	500	500
Counseling and Psychology	1,900	1,900
Kinesiology and Health Promotion	6,200	23,500
Special Education and Rehabilitation Counseling	53,600	53,600
Vocational Education	200	200
Scholarships	172,600	244,800
Total Education	\$ 333,400	\$ 490,900

College of Engineering

College-Wide	\$ 3,497,200	\$ 589,000
Chemical and Materials Engineering	236,400	270,400
Civil Engineering	789,200	832,700
Computer Science	273,500	491,000
Electrical Engineering	401,300	470,400
Mechanical Engineering	555,000	746,100
Mining Engineering	188,000	155,700
Office of Institutional Services Technical Liaison (OISTL)	100	-0-
Center for Robotics and Manufacturing Systems	70,000	-0-
Transportation Center	388,700	368,500
Scholarships	1,530,500	1,851,000
Total Engineering	\$ 7,929,900	\$ 5,774,800

College of Fine Arts

College-Wide	\$ 69,300	\$ 129,200
Art	8,900	13,900
Music	147,700	135,000
Theatre Arts	61,400	61,400
Center for the Arts	9,000	12,100
Scholarships	113,100	123,400
Total Fine Arts	\$ 409,400	\$ 475,000

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - RESTRICTED FUND (continued)

2000-01

2001-02

COLLEGES (continued)

College of Human Environmental Sciences

College-Wide	\$ 394,600	\$ 2,908,300
Family Studies	5,400	14,200
Interior Design, Merchandising and Textiles	86,000	91,400
Nutrition and Food Science	1,000	1,600
Scholarships	150,700	192,800
Total Human Environmental Sciences	<u>\$ 637,700</u>	<u>\$ 3,208,300</u>

College of Law

College-Wide	\$ 769,100	\$ 906,800
Scholarships	467,400	613,300
Total Law	<u>\$ 1,236,500</u>	<u>\$ 1,520,100</u>

College of Social Work

College-Wide	\$ 70,000	\$ 50,900
Scholarships	18,100	20,200
Total Social Work	<u>\$ 88,100</u>	<u>\$ 71,100</u>

Lexington Community College

College-Wide	\$ 56,100	\$ 114,600
General Instruction	214,200	214,500
Maintenance and Operations	1,400	1,400
Public and Community Service	3,000	3,000
Student Services	2,000	2,000
Scholarships	141,400	143,700
Total Lexington Community College	<u>\$ 418,100</u>	<u>\$ 479,200</u>

MULTIDISCIPLINARY GRADUATE PROGRAMS

James W. Martin School of Public Policy and Administration	\$ 134,000	\$ 88,000
Patterson School of Diplomacy and International Commerce	88,900	104,500
Scholarships	174,500	173,800
Total Multidisciplinary Graduate Programs	<u>\$ 397,400</u>	<u>\$ 366,300</u>

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - RESTRICTED FUND (continued)

	<u>2000-01</u>		<u>2001-02</u>	
VICE CHANCELLOR FOR ACADEMIC SERVICES				
Admissions	\$	300	\$	300
Honors Program		12,500		13,800
Humanities Program		33,800		33,800
Scholarships		917,300		1,220,700
Total Vice Chancellor for Academic Services	\$	<u>963,900</u>	\$	<u>1,268,600</u>
VICE CHANCELLOR FOR ADMINISTRATION AND OUTREACH				
Vice Chancellor	\$	638,100	\$	638,900
Art Museum		183,700		207,400
College Business Management Institute		5,900		5,900
University Extension Administrative Support*		-0-		8,000
University Press		175,700		160,800
WKY-FM		1,144,700		1,119,000
Scholarships		1,430,500		1,069,900
Total Vice Chancellor for Administration and Outreach	\$	<u>3,578,600</u>	\$	<u>3,209,900</u>
VICE CHANCELLOR FOR MINORITY AFFAIRS				
Vice Chancellor	\$	13,500	\$	13,500
Scholarships		50,600		49,600
Total Vice Chancellor for Minority Affairs	\$	<u>64,100</u>	\$	<u>63,100</u>
VICE CHANCELLOR FOR STUDENT AFFAIRS				
Vice Chancellor	\$	15,000	\$	15,300
Counseling and Testing		1,600		1,600
Dean of Students		179,500		179,000
Student Center		5,900		9,800
University Career Center		4,500		24,500
Scholarships		20,500		21,000
Total Vice Chancellor for Student Affairs	\$	<u>227,000</u>	\$	<u>251,200</u>
STUDENT AID				
College Access Program Grant	\$	2,775,000	\$	4,200,000
College Work Study Program		1,300,000		1,100,000
Pell Grants		11,000,000		11,200,000
Supplemental Educational Opportunity Grants		1,720,000		1,097,700
Total Student Aid	\$	<u>16,795,000</u>	\$	<u>17,597,700</u>

* Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - RESTRICTED FUND (continued)

	2000-01	2001-02
CHANCELLOR		
Chancellor	\$ 1,000	\$ 1,000
Scholarships*	-0-	3,900
Total Chancellor	\$ <u>1,000</u>	\$ <u>4,900</u>
TOTAL RESTRICTED FUNDS	\$ 45,549,500	\$ 50,047,000

* Funding for Vice President for Outreach and International Affairs transferred in 2001-02 to Lexington Campus.

LEXINGTON CAMPUS
BUDGETED EXPENDITURES DETAIL - AUXILIARY SERVICES

2000-2001

2001-2002

COLLEGES

Agriculture Youth Programs - 4-H Camps		
Feltner Memorial	\$ 198,000	\$ 190,000
Lake Cumberland	245,600	256,200
North Central	280,200	319,900
West Kentucky	365,800	260,700
Leadership Center	605,700	626,600
4-H Improvements	28,000	27,400
Subtotal Youth Programs	<u>1,723,300</u>	<u>1,680,800</u>
Arts and Sciences R.O.T.C. Programs	35,300	35,300
Forestry Camp	22,000	41,600
Total Colleges	<u>\$ 1,780,600</u>	<u>\$ 1,757,700</u>

VICE CHANCELLOR FOR ADMINISTRATION AND OUTREACH

Bookstore Scholarships	\$ 450,000	\$ 450,000
Campus Bookstore	369,000	369,000
Conferences and Institutes	120,000	138,200
Fraternity House Replacement	1,520,000	1,220,700
Housing and Dining System		
Auxiliary Services Administration	137,100	175,800
Food Services	12,445,800	13,669,500
Housing - General Operations	13,707,000	14,215,600
- Debt Service	3,332,700	3,665,400
University-Provided Services and Telecommunications	<u>(3,210,600)</u>	<u>(3,308,100)</u>
Subtotal Housing and Dining	<u>26,412,000</u>	<u>28,418,200</u>
Total Vice Chancellor for Administration and Outreach	<u>\$ 28,871,000</u>	<u>\$ 30,596,100</u>

LEXINGTON CAMPUS - BUDGETED EXPENDITURES DETAIL - AUXILIARY SERVICES (continued)

	2000-2001	2001-2002
STUDENT AFFAIRS		
Residence Halls Recreation	\$ 18,000	\$ 18,000
Residence Halls Government	161,800	162,800
Residence Halls Programming	119,000	119,000
Student Center	1,658,500	1,740,200
Student Government	135,700	142,700
Student Media Services	149,000	149,000
Career Center Conferences	1,500	1,500
Total Student Affairs	\$ 2,243,500	\$ 2,333,200
TOTAL AUXILIARY SERVICES	\$ 32,895,100	\$ 34,687,000

MEDICAL CENTER

MEDICAL CENTER

The goal of the University of Kentucky Medical Center is to provide and support progressive, quality programs of instruction, training, research, and service which are both responsive to the health science needs and interests of the Commonwealth and compatible with the needs and interests of students and the surrounding community.

Objectives:

Instruction - To meet health manpower requirements for highly trained, highly educated personnel in the Commonwealth and surrounding regions by offering Baccalaureate, Master's, Doctoral, Postdoctoral, Professional, Postprofessional, and community education programs, all of which are designed to facilitate and enhance the quality of health services provided to citizens of the Commonwealth and elsewhere.

Research - To contribute to new knowledge in the health sciences through basic and applied research.

Public Service - To conduct and develop health sciences programs which are oriented toward the needs and interests of the community and Commonwealth and which facilitate improvement in the practice of health sciences within the Commonwealth.

	Actual	Estimated
	2000-01	2001-02
1. Fall Headcount Enrollment		
Undergraduate	811	765
First Professional	1,001	1,006
Housestaff	480	507
Total Enrollment	<u>2,292</u>	<u>2,278</u>
2. FTE Enrollment	2,752	2,703
3. Continuing Education - Contact Hours	198,600	208,600
4. Faculty FTE Positions	781	794
<u>Librarian FTE Positions</u>	13	13

ACADEMIC AFFAIRS

The University of Kentucky Medical Center supports the University functions of instruction, research, and public service through activities in the Colleges of Allied Health Professions, Dentistry, Medicine, Nursing, Pharmacy, Graduate Centers, and the Centers of Excellence.

1. College of Allied Health Professions

a. Instruction - Baccalaureate degree programs offered by the College include the preparation of health care professionals in Clinical Laboratory Sciences, Communication Disorders, and Health Services Management. A dual baccalaureate/masters degree program is offered in Physical Therapy. The Physician Assistant Studies Program, moved to a Master's degree program in Spring 2001. Other Master's degree programs are offered in Clinical Nutrition, Communication Disorders (Speech Pathology), Radiological Medical Physics, and Health Administration, a collaborative degree offered in conjunction with the Martin School. Doctoral studies include the Ph.D. Program in Rehabilitation Sciences and a Ph.D. in Nutritional Sciences offered through the UK Center for Nutritional Sciences. Two hundred sixty-seven students were enrolled in our graduate programs during the 2000-01 academic year.

The College serves a variety of practicing professionals through continuing education programs (covered under the Medical Center Consolidated Continuing Education Office) on topics related to the latest scientific research and practice. Unique instructional and research experiences are designed for national and international postdoctoral fellows and visiting faculty members from several foreign countries. Several of the College's programs (Physical Therapy, Clinical Laboratory Sciences, Communication Disorders and Rehabilitation Sciences Doctoral Program) are made available outside of Lexington through the employment of distance learning technologies to such places as Hazard, Bowling Green, Murray, Morehead and many other regional university campuses.

<u>Actual</u>	<u>Estimated</u>
<u>2000-01</u>	<u>2001-02</u>

b. <u>Fall Headcount Enrollment</u>		
Undergraduate	550	505

c. <u>FTE Enrollment</u>		
<u>Financial Data</u>	411	355

d. <u>Financial Data</u>		
Faculty FTE Positions	<u>2000-01</u>	<u>General Fund</u>
	49	<u>2001-02</u>
		49

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

1. College of Allied Health Professions (continued)

d. Financial Data (continued)

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Faculty	\$ 3,952,100		\$ 4,084,800
Other Personal Services	1,315,900		1,525,200
Subtotal	5,268,000		5,610,000
Operating Expenses	357,800		319,300
Total Expenditures	5,625,800		5,929,300
Recharges	(333,200)		(344,900)
Net Expenditures	\$ 5,292,600		\$ 5,584,400
The Medical Center Fund Salary Supplement	\$ 31,000		\$ 31,000

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
Operating Expenses	\$ 663,400		\$ 702,000
Total Expenditures	\$ 663,400		\$ 702,000

2. College of Dentistry

a. **Instruction** - The College of Dentistry offers a professional degree program leading to a D.M.D. that prepares students to practice general dentistry. Disease prevention, diagnosis, patient care, use of auxiliaries, coordination of treatment with dental specialties and community involvement are emphasized.

The College also offers post-graduate programs in General Practice, Oral and Maxillofacial Surgery, Orofacial Pain, Orthodontics, Pediatric Dentistry and Periodontics. Students in Orofacial Pain, Orthodontics and Periodontics receive a Master of Science degree from the University of Kentucky Graduate School in addition to a specialty certificate. The College also has one-year post-graduate fellowships in Orofacial Pain and Oral and Maxillofacial Surgery. Advanced knowledge is disseminated to dental practitioners and auxiliary personnel through continuing education programs offered through the Medical Center Consolidated Continuing Education Office. Thirteen students are enrolled in the master's degree programs, and twenty-two in the residency programs.

b. **Research** - In response to the University's goal to become a Top Twenty public research institution in the next two decades, the College of Dentistry has coordinated establishment of a Medical Center wide Center for Oral Health Research (COHR). The COHR is the focus of College and Medical Center initiatives in clinical, basic and epidemiologic oral health research. It is the vehicle through which the institution will fulfill its academic responsibility to generate new oral health knowledge and apply it to societal problems. Interdisciplinary research complements on-going projects and further involves College faculty with colleagues elsewhere in the University and outside the institution. The College has also recently begun a collaborative project with the Kentucky Cabinet for Human Resources and the University of Louisville to systematically determine the oral health needs of children and adult Kentuckians.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

2. College of Dentistry (continued)

c. **Public Service** - In recognition of its role in a land-grant institution, the College views its public service mission in the broadest context. Services to the citizens of the Commonwealth include both on- and off-campus activities. The College provides service rotations and other programs in response to the needs of civic agencies, local governments and the dental profession. It has developed a number of initiatives with local support to provide oral health services to underserved populations. The College service mission is statewide; some examples are: Kosair Children's Hospital in Louisville, the Veteran's Administration Hospitals, Area Health Education Centers, Lexington-Fayette Urban County Family Care Center, Nathaniel Mission, Lexington Manor and Breckinridge Health Care, Kentucky Clinics North and South, children's services in the Gateway District Health Department in Bath and Menifee Counties, and school-based dental outreach programs that include mobile dental vans serving Eastern and Western Kentucky counties, and "Seal Kentucky", an innovative dental outreach initiative serving 28 Eastern Kentucky counties.

d. **Full Headcount Enrollment**

First Professional
Housestaff

Actual	Estimated
<u>2000-01</u>	<u>2001-02</u>

200	200
22	23
235	235

e. **FTE Enrollment**

f. **Financial Data**

General Fund	
<u>2000-01</u>	<u>2001-02</u>

Faculty FTE Positions	63	66
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Faculty	\$ 6,460,500	\$ 6,685,700
Other Personal Services	7,118,900	7,198,400
Subtotal	13,579,400	13,884,100
Operating Expenses	1,375,200	1,405,500
Capital Outlay	5,600	12,200
Total Expenditures	14,960,200	15,301,800
Recharges	(89,800)	(100,200)
Net Expenditures	\$14,870,400	\$15,201,600
The Medical Center Fund Salary Supplement	\$ 1,234,500	\$ 1,365,000

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

2. College of Dentistry (continued)

F. Financial Data (continued)

	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 15,000	\$ 26,700
Operating Expenses	229,100	442,100
Capital Outlay	20,400	264,000
Total Expenditures	\$ 264,500	\$ 732,800

	<u>Auxiliary Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 33,900	\$ 35,700
Operating Expenses	503,400	523,800
Total Expenditures	\$ 537,300	\$ 559,500

3. College of Medicine

- a. Instruction - The College of Medicine's primary mission is to educate medical students, graduate students, and residents in state-of-the-art knowledge, skills and techniques. In addition to this primary role, the College has a mission to teach undergraduate students, postdoctoral fellows and practicing health care professionals.

The College of Medicine offers a program for medical students in which instructional emphasis is placed on acquiring a comprehensive education necessary to function as practicing physicians. Study in the areas of primary care, both locally and at sites remote from the Medical Center, is a significant part of this integrated and complex educational program. The College's expertise in medical education has been recognized nationally.

Degree-granting graduate programs of the College of Medicine are offered under the supervision of the following departments: Anatomy and Neurobiology, Molecular and Cellular Biochemistry, Microbiology and Immunology, Molecular and Biomedical Pharmacology and Physiology. A combined master's program, offered through these five programs, is designed to prepare research and teaching personnel for careers in basic science fields. The Ph.D. programs, offered individually by each of these departments, prepare graduates for careers as faculty in medical schools or research scientists in government and industrial laboratories. An integrated first year curriculum for all graduate students has been developed. One hundred seventy-two students are currently enrolled in these degree-granting programs. In addition, the Department of Behavioral Science provides direct faculty mentoring and support for doctoral graduate students in a number of other departments across the University. Postdoctoral training activities in the College provide individual research specialization in basic and clinical scientific research areas.

A new Kentucky School of Public Health, operating under the aegis of the College of Medicine, offers a master's degree and doctoral degree in public health. Efforts are underway to involve medical students in research through individual research opportunities with faculty, joint degree programs including the MD/PHD, and involvement in clinical research through the General Clinical Research Center (GCRC).

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

3. College of Medicine (continued)

a. Instruction (continued)

In addition to graduate program responsibilities, the College of Medicine provides numerous courses for graduate and undergraduate students from elsewhere in the Medical Center, the Lexington Campus and the Graduate School.

The Graduate Medical Education (GME) program of the College of Medicine provides training for residents in various medical disciplines. These fully accredited GME programs are designed to provide young physicians with the training to receive credentials in their respective areas of specialization. During 2000-01, the GME housestaff program included the following disciplines: Anesthesiology/Pain Management, Diagnostic Radiology, Emergency Medicine, Family Practice, Family Practice/Sports Medicine, Internal Medicine and subspecialties (Cardiology, Gastroenterology, Geriatrics, Hematology and Oncology, Nephrology, and Pulmonary and Critical Care), Neurology, Occupational Medicine, Obstetrics and Gynecology, Ophthalmology, Pathology and Laboratory Medicine, Pediatrics, Perinatal-Neonatal Medicine, Psychiatry, Child Psychiatry, Radiation Medicine, Physical Medicine and Rehabilitation, General Surgery, Otolaryngology, Neurosurgery, Orthopedic/Sports Medicine, Plastic Surgery, Urology, and Vascular Surgery. Training also is offered to residents in Dentistry (General and Pediatric), Oral Surgery, Pharmacy and Pastoral Care. The clinical departments also have multiple programs for residents interested in primary care. These programs are based both at the University Hospital and at a number of clinical practice settings principally in eastern Kentucky in conjunction with the University's four Area Health Education Center (AHEC) affiliations in Berea, Hazard, Williamstown and Morehead.

Under the auspices of the Center for Rural Health, the Department of Family Practice offers a rural-based Family Practice residency program in Hazard. Rural track programs in Corbin and in Morehead are in place. Postdoctoral fellows in the clinical departments enhance the quality of GME programs by increasing the exposure of residents to the basic sciences. Another major instructional responsibility of the College is Continuing Medical Education (CME). Programs are designed to provide current information on patient care and technical advances to physicians and ancillary professionals. The CME programs are presented through the Medical Center Consolidated Continuing Education Office as well as via Kentucky Telecare and through the regional AHEC's.

b. Research - The College of Medicine serves as a major focus for research in the biomedical sciences at the University and in the Commonwealth. Faculty in the basic science and clinical departments are involved in extending the frontiers of biomedical research and are working to translate these findings into new treatments for patients. Expansion of these activities in the basic science departments under the auspices of the Research Challenge Trust Fund (RCTF) have propelled selected departments into the top ranks among similar departments at public universities. The faculty contributes to scientific programs throughout the University and are particularly active in multidisciplinary programs linking their work to research in agricultural, social and physical sciences, biological sciences, and other University collaborative research programs. New "bond issue" equipment has enhanced the research capability. State-of-the-art facilities, including X-ray structure analysis, nuclear magnetic resonance, macromolecular structure analysis and genetic engineering, fluorescence-activated cell sorting, and monoclonal antibody production, support these research endeavors. The College of Medicine operates these facilities and provides the entire University research community with contemporary approaches to cellular and molecular biology.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

3. **College of Medicine (continued)**

b. Research (continued)

The clinical research programs focus on all aspects of human disease from understanding their molecular basis to development of new therapeutic techniques for diagnosis and treatment. Research in aging, cancer, neurological disorders, cardiopulmonary disease, spinal cord and brain injury, substance abuse prevention, and new diagnostic imaging technology are major research areas with significant extramural funding from the National Institutes of Health (NIH) as well as industrial and state support. The General Clinical Research Center (GCRC) and the Kentucky Center for Clinical Research and Investigator Services (KCCRIS) support the expansion of the clinical research enterprise. The expertise and interests of the basic health science and clinical science faculty in interdisciplinary programs in these research areas have led to the development of a number of new centers as well as established Centers of Excellence in aging and cancer that continue to grow in national prominence as noted in a later section.

The biomedical research programs of the College of Medicine are essential to provide the best medical care to the public and to give physicians, physician scientists, doctoral and postdoctoral scholars, and other health professionals the best possible training.

The RCTF programs have provided resources to expand the biomedical research enterprise through investments in new faculty lines, graduate fellowships, and particularly in endowed chairs and professorships.

c. Public Service - The Chandler Medical Center encourages patients to seek ongoing care on an outpatient basis and in many situations serves to minimize long and repeated hospital stays. The Kentucky Clinic system, composed of the ambulatory medical departments, University Health Service, and Adult and Pediatric Dentistry, provides organizational continuity for the vast array of outpatient services and educational programs provided by the various colleges of the Medical Center. It also serves as the first point of patient contact for a large portion of the patients who are referred to the Medical Center. The Kentucky Clinic programs continue to grow and currently manage more than 475,000 patient visits annually.

Currently, twelve clinical departments of the College of Medicine operate 300 different clinics each week within the Kentucky Clinic system. University physicians provide direct patient care in Community based clinics throughout central and eastern Kentucky. Individuals from every Kentucky county, other states, and several foreign countries are also served. The broad cross-section of patients provides an excellent environment for the training of health professionals which in turn demands the provision of exemplary patient care services.

	<u>Actual</u>	<u>Estimated</u>
	<u>2000-01</u>	<u>2001-02</u>

d. Fall Headcount Enrollment

First Professional	382	369
Housestaff	443	469

e. FTE Enrollment

	1,239	1,239
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MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

3. College of Medicine (continued)

f. Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty FTE Positions	483	490
Faculty	\$ 67,483,200	\$ 68,976,800
Other Personal Services	58,282,100	60,109,400
Subtotal	125,765,300	129,086,200
Operating Expenses	14,616,400	11,843,000
Capital Outlay	329,400	95,000
Total Expenditures	<u>140,711,100</u>	<u>141,024,200</u>
Recharges	(23,944,700)	(24,538,800)
Net Expenditures	\$116,766,400	\$116,485,400

	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 4,161,300	\$ 5,679,600
Operating Expenses	3,759,600	3,657,100
Capital Outlay	<u>367,000</u>	<u>272,700</u>
Total Expenditures	\$ 8,287,900	\$ 9,609,400

4. College of Nursing

a. Instruction - The baccalaureate program in Nursing stresses nursing theory, the relationship between nursing and the sciences, and the development of skills in the use of methodologies and techniques appropriate to implementing nursing knowledge for the delivery of health care. The four-year generic baccalaureate curriculum is structured to provide for entry at different points. It is anticipated that the majority of four-year students will be attending on a full-time basis, while the majority of registered nurse students, who are returning to complete their baccalaureate, will continue to pursue their education on a part-time basis.

The master's program in Nursing is designed to provide skills in the advanced practice of clinical nursing, supervision and management of patient care. Instructional emphasis is placed on the advancement of clinical expertise in specific areas of nursing practice or in nursing administration. The College currently has 100 master of nursing students enrolled with an additional 36 enrolled in the post-master's certification offerings.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

4. College of Nursing (continued)

a. Instruction (continued)

The College currently conducts educational programs in two off-site locations. These programs take the entire curriculum to those areas. In providing Nursing faculty to teach in these off-site programs, the College provides off-campus students the same quality education offered to those on the campus in Lexington but at the convenience of the students in the particular area. In cooperation with Morehead State University and St. Claire Medical Center, the latest off-campus offering was initiated in January 1996. The focus of the offering is on the primary care nurse practitioner option in the MSN program, and both the RN-MSN and MSN options are available in Morehead. The RN-MSN and the MSN programs are in place in Hazard in cooperation with the UK Center for Rural Health.

The Ph.D. in Nursing program is designed to prepare nurses for leadership roles in nursing research, nursing education, and in the delivery of nursing services. Thirty-six students currently are enrolled in this program.

The College plans to admit students to the new Doctorate in Nursing Practice program during 2001-2002. This program builds on the master's in nursing. Students will be prepared to meet needs for leadership at the systems level by emphasizing research utilization, change leadership, and expert decision systems. This program will prepare nurse leaders to develop and shape the health care system for the future. This program will be separate from but complementary to the Nursing Ph.D. program and will be comparable to other professional doctoral programs.

A major priority for the College is to foster the use of innovative teaching strategies which actively engage students (case studies, problem-based learning, etc.), telecommunication modalities, computer-based technology such as courses delivered via the WEB and computer simulations and other methods to enhance student access to higher education, student learning, and program effectiveness, as well as to provide faculty development in new and improved modes of graduate and undergraduate education. The College promotes life-long education through continuing education programs through the Medical Center Consolidated Continuing Education Office.

b. Research - The College has two areas of research emphasis: prevention and management of chronic health problems and health services research. Faculty conduct research on health problems of state and national significance (cancer, depression, schizophrenia, substance abuse, heart and lung conditions) in a variety of populations; utilize diverse methodologies; and link with investigators in other fields. Within the broad field of health services research, the emphasis of faculty research is on the development of the science underlying clinical decision-making and the design of health programs. A unique focus which nurse investigators contribute to health services research is the emphasis on the quality and outcomes of the care giving process and organizational arrangements that support or impede care giving.

c. Public Service - The College's service activities can be grouped into two categories: 1) clinical practice activities, and 2) services faculty provide by serving in leadership positions and as consultants to a wide variety of service and professional organizations.

The faculty's commitment to practice activities serves as a way to expand their knowledge base and provide service to others. It also impacts the well-being and health care of all Kentucky citizens. Additionally, students benefit from faculty involvement in practice areas and services by increasing invaluable opportunities in primary, acute and community care settings. Faculty practice areas cover a wide variety of specialties and interests and include practices based in school, in-patient, and ambulatory care settings.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

4. **College of Nursing (continued)**

c. **Public Service (continued)**

Examples of practice activities which represent major commitments to public service are:

- (1) The One-Parent Family Project (Virginia Place), co-sponsored by the University of Kentucky, the Lexington-Fayette Urban County Government, and the Kentucky Housing Authority, is an interdisciplinary living and learning pilot program involving about sixty single-parent families with young children. The goal is to promote the attainment of self-sufficiency by families dependent on government programs through the provision and coordination of support services including subsidized housing, child care, educational and vocational training, counseling, and health education. On-site health education and primary care with an emphasis on family-oriented health promotion and disease prevention is provided by faculty, practitioners, primary care fellows, community health nursing interns, and students of the College of Nursing.
- (2) The College of Nursing manages a clinic for the homeless population of Lexington. The clinic is physically located at the HOPE Center, a multipurpose organization serving the homeless, and is operated as a collaborative effort between the College and the Lexington-Fayette County Health Department.
- (3) The activities at the One-parent Family Project and the HOPE Center Clinic are part of the College's Good Samaritan Nursing Center's Program. Through the Center the faculty, primary care fellows, community health interns, and students provide care to a number of vulnerable and high need populations in partnership with a number of community-based organizations including several schools where the College provides nursing services in school-based clinics.
- (4) The Family Care Center at Cisco Road is a collaborative effort among the Lexington-Fayette County Health Department, the College of Nursing and other units of the Medical Center. Working in a collaborative team relationship with other practitioners, nurse practitioners affiliated with the College provide primary care to the population of children served by the Center. The College also provides nursing services and leadership in school-based clinics affiliated with several schools in Fayette County.
- (5) Faculty members and the College Dean and Associate Deans provide services to the Commonwealth and nationally through their active participation in professional organizations. Such roles include board memberships, serving as officers of organizations, and providing expertise as consultants.

Actual	Estimated
<u>2000-01</u>	<u>2001-02</u>

d. **Fall Headcount Enrollment**

Undergraduate	261	
First Professional	-0-	15

e. **FTE Enrollment**

	239	246
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MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

4. College of Nursing (continued)

f. Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty FTE Positions	52	53
Faculty	\$ 3,969,900	\$ 4,283,300
Other Personal Services	1,638,500	1,625,500
Subtotal	5,608,400	5,908,800
Operating Expenses	221,600	218,400
Total Expenditures	5,830,000	6,127,200
Recharges	(291,400)	(302,400)
Net Expenditures	\$ 5,538,600	\$ 5,824,800
	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 162,600	\$ 517,400
Operating Expenses	201,700	95,000
Capital Outlay	5,100	18,300
Total Expenditures	\$ 369,400	\$ 630,700

5. College of Pharmacy

a. Instruction - The major goal of the College of Pharmacy is the education of outstanding, competent and contemporary Pharmacy practitioners who manage drug therapy to achieve optimal response and to contain costs, and who, in cooperation with other health care professionals, can favorably influence both overall health care and the quality of life of individual patients. The pharmacist is expected to be educated and trained to best serve patients. Thus, pharmacy education is oriented toward bringing together a drug product, authoritativeness, compassion, and service-before-self attitude. The professional program also seeks to produce an educated individual who is able to participate effectively as a responsible citizen in community affairs. The educational requirement at the University of Kentucky College of Pharmacy in preparation for licensure as a practitioner pharmacist is a minimum of two years of required prepharmacy college courses plus a four-year sequence of professional courses. The four-year professional course sequence provides a more complete background for practice and leads to the doctoral degree in pharmacy (Pharm.D.). This program is fully accredited by the American Council on Pharmaceutical Education and satisfies all educational requirements for licensure. There is also a flexible option enabling Bachelor of Science pharmacists to pursue a program leading to the Pharm.D. degree off campus through contemporary learning technologies and local clerkships.

Joint professional degree options with the MBA and MPA programs are available for individuals wishing to specialize in administering/managing pharmacy services and/or influencing the development of policy relative to improving patient outcomes.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

5. College of Pharmacy (continued)

a. Instruction (continued)

The College of Pharmacy works within a Medical Center wide Consolidated Continuing Education Office to provide continuing professional education to pharmacists on new drug products, new drug delivery systems, monitoring of drugs in patients, computer application in pharmacy, reviews of modern approaches to optimal drug product use, pharmacy management procedures, and strategies for improving and documenting outcomes.

The Master of Science and Doctor of Philosophy offerings are designed primarily to educate students for careers in teaching and research in the various academic facets of pharmacy such as pharmaceuticals, pharmaceutical analysis, medicinal chemistry, pharmacognosy, pharmacology and pharmacotherapeutics and toxicology. Fifty-four students are enrolled in the College's graduate program.

b. Research - Research is carried out by faculty, postdoctoral scholars, visiting professors, graduate and professional students, and technicians. College research programs in the pharmaceutical sciences, along with the clinical practice areas, are directed toward the discovery of drugs; factors affecting the absorption, distribution, metabolism, and excretion of drugs; methods for detecting and measuring drugs in dosage forms and body fluids; drug manufacturing technologies; development of new dosage forms and drug delivery systems; stability of drugs; drug interactions; use of biotechnology to prepare drugs and monoclonal antibodies; synthesis of drugs; isolation and identification of drugs from natural sources; interpreting drug actions on body tissues and organs; investigating drug toxicities; studying drug distribution systems; drug use review; pharmacoconomics, drug cost effectiveness and pharmaceutical services studies, management and marketing methods in pharmacy; drug abuse substances and their control; history of drugs and pharmacy; history of the pharmaceutical industry in America; drug product evaluations in human subjects; interdisciplinary research in such areas as drug abuse, health care delivery, cancer, biotechnology of drugs, aging and care for the aged, cardiorespiratory drugs, clinical pharmacokinetics and drug monitoring, and controlled drug delivery from dosage forms; and self health care. The expansion of research activities under the auspices of the Research Challenge Trust Fund (RCTF) will strengthen the translational research and graduate training in Clinical Pharmaceutical Sciences.

c. Public Service - College faculty members provide significant clinical pharmacy services at the University Hospital by managing the pharmacy services on various floors and ambulatory care clinics. The faculty members provide leadership to students taking clerkship courses and internships, and to pharmacy graduates in the pharmacy residency program. Faculty are also extremely active in local, state, and national professional and scientific organizations, as well as state and federal government and regulatory agencies. Students provide an exceptional public drug education source locally and are also active in state and national professional organizations.

d. Fall Headcount Enrollment

First Professional
Housestaff

419
15

422
15

Actual
2000-01

Estimated
2001-02

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

5. College of Pharmacy (continued)

e.	<u>FTE Enrollment</u>	507	<u>Actual</u>	<u>Estimated</u>
			<u>2000-01</u>	<u>2001-02</u>
f.	<u>Financial Data</u>			

		<u>General Fund</u>
	<u>2000-01</u>	<u>2001-02</u>
Faculty FTE Positions	55	55

Faculty	\$ 5,140,300	\$ 5,376,100
Other Personal Services	<u>1,908,200</u>	<u>2,125,500</u>
Subtotal	7,048,500	7,501,600
Operating Expenses	821,800	827,200
Capital Outlay	<u>2,100</u>	<u>4,100</u>
Total Expenditures	7,872,400	8,332,900
Recharges	(209,700)	(186,500)
Net Expenditures	\$ 7,662,700	\$ 8,146,400
The Medical Center Fund Salary Supplement	\$ 300,000	\$ 230,000

	<u>Restricted Funds</u>
	<u>2000-01</u>
Operating Expenses	\$ 785,800
Total Expenditures	\$ 785,800
	<u>2001-02</u>
Operating Expenses	\$ 589,200
Total Expenditures	\$ 589,200

6. Centers of Excellence

The Medical Center is playing a major role in fostering interdisciplinary research through its Centers of Excellence. Operational centers include the Sanders-Brown Center on Aging, the Lucille Parker Markey Cancer Center, the Center for Rural Health, the Center for Pharmaceutical Science and Technology, and the Magnetic Resonance Imaging and Spectroscopy Center (MRISC). The Aging and Cancer Centers, established primarily through College of Medicine initiatives, have achieved national recognition as nationally funded centers for Alzheimer's and cancer research, respectively.

6. Centers of Excellence (continued)

a. Sanders-Brown Center on Aging is a University-wide program that focuses on aging and the scientific, clinical and societal problems associated with aging. The major goals of the Center are: (1) to enable research that will increase our knowledge in the field of gerontology; (2) to recruit and train personnel at all levels to address the needs of older populations; (3) to provide consultative services to local, state and regional agencies working with older populations; (4) to disseminate information on geriatrics and gerontology; and (5) to provide educational opportunities for older persons. The Center has a NIH-funded Alzheimer's Disease Research Center, a program project grant on Alzheimer's disease, and is designated as a Geriatric Education Center. The Center Director has been awarded an Endowed Chair in Aging. The Center has recently established a multidisciplinary doctoral program in gerontology. The Center has been identified by the Commonwealth of Kentucky as a Center of Excellence. The funds associated with this designation support a research, service and teaching program in stroke. Other areas of research include aging of the nervous system, immunology, circulatory physiology, epidemiology and long-term care.

b. The mission of the Lucille Parker Markey Cancer Center is to reduce the incidence, morbidity and mortality of cancer through a comprehensive program of cancer prevention, early detection, clinical and basic research, patient care and education. Special emphases include: biochemical and molecular genetics of neoplasia; tumor immunology and immunotherapy; breast cancer, prostate cancer, colorectal cancer, gynecologic cancers, blood and marrow transplantation, leukemia/lymphomas and thyroid cancers. The Center maintains active leadership in the Southwest Oncology Group and the National Surgical Adjuvant Breast and Bowel Project, and the Radiation Therapy Oncology Group, and serves as a central coordination site for eight affiliate institutions. Through the associated Kentucky Cancer Program, the Center conducts a variety of cancer control and community outreach activities and houses both the statewide Kentucky Cancer Registry and Cancer Information Service. Over 150 faculty from 29 departments of nine different sectors of the University of Kentucky participate in and contribute to the Center's programs.

c. The Center for Pharmaceutical Science and Technology (CPSR) was established to initiate and manage multi-level research encompassing drug and biological product discovery, development and evaluation. The CPSR provides scientific leadership and facilities to promote partnerships fundamental to successful pharmaceutical development and technology transfer. The Center is a unique University unit capable of integrating multiple scientific disciplines in its mission of pharmaceutical development. Research teams comprised of faculty from the colleges of Pharmacy, Dentistry, Medicine, Agriculture and Engineering, and other University centers, notably those in aging, cancer, membrane sciences and robotics, combine to respond to intriguing challenges of pharmaceutical development. The CPSR's strengths in drug development are bolstered by the availability of fully operational production and control laboratories coupled with human clinical testing facilities for preparation and testing of drug-biological products and drug delivery systems.

d. Financial Data (Center on Aging, Cancer Center, Center for Pharmaceutical Science and Technology)

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Faculty FTE Positions	20		20

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

6. Centers of Excellence (continued)

d. Financial Data (continued)

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Faculty	\$ 1,770,300	\$ 1,827,400	
Other Personal Services	<u>2,865,200</u>	<u>3,534,300</u>	
Subtotal	4,635,500	5,361,700	
Operating Expenses	271,800	276,100	
Capital Outlay	<u>10,500</u>	<u>-0-</u>	
Total Expenditures	4,917,800	5,637,800	
Recharges	<u>(431,100)</u>	<u>(935,200)</u>	
Net Expenditures	\$ 4,486,700	\$ 4,702,600	

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
Personal Services	\$ 547,100	\$ 559,200	
Operating Expenses	906,600	1,703,300	
Capital Outlay	<u>155,000</u>	<u>210,500</u>	
Total Expenditures	\$ 1,608,700	\$ 2,473,000	

e. The Center for Rural Health represents a comprehensive and interrelated approach to addressing health care needs in rural Kentucky. There is particular emphasis on rural health policy development, increasing health manpower, improving access to clinical services, and expanding regionally-based educational programs. The Center also functions as the federal and state Office of Rural Health and is mandated to provide technical assistance to rural hospitals. The Center provides clinical rotations, library services for health care providers, and continuing education through AHEC programs in Morehead, Corbin, Williamstown and Hazard. The Homeplace project provides access to health care resources to many of Kentucky's most vulnerable citizens.

Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Faculty FTE Positions	23		23
Faculty	\$ 1,904,500	\$ 1,934,400	
Other Personal Services	<u>2,144,300</u>	<u>2,271,900</u>	
Subtotal	4,048,800	4,206,300	
Operating Expenses	1,817,900	1,786,400	
Capital Outlay	<u>35,000</u>	<u>30,000</u>	
Total Expenditures	\$ 5,901,700	\$ 6,022,700	

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

6. Centers of Excellence (continued)

e. Center for Rural Health (continued)

Financial Data (continued)

	2000-01	2001-02
Personal Services	\$ -0-	\$ 1,000
Operating Expenses	41,300	25,100
Capital Outlay	-0-	250,000
Total Expenditures	<u>\$ 41,300</u>	<u>\$ 276,100</u>

Restricted Funds

7. Area Health Education Center and Primary Care Residencies

These programs provide off-campus educational experiences for health professions students and residents outside Fayette County.

a. The **Area Health Education Center** (AHEC) program is a cooperative effort between the University of Kentucky and the University of Louisville Medical Centers funded by federal, state, and local appropriations. The University of Kentucky program is administered by the Vice Chancellor for Clinical Professional Services and involves participation by all Medical Center colleges. Its primary goal is to improve the distribution of health practitioners in the rural and underserved areas of the Commonwealth. Two primary initiatives are employed to achieve this goal. First, through a variety of mechanisms, efforts are made to improve the practice environment in underserved areas, thereby improving both recruitment and retention of health professionals to these regions. Second, health professions students are encouraged to obtain part of their educational experience in regional settings. The University of Kentucky is administratively responsible for four Area Health Education Centers. One of these is the Northeast AHEC located at St. Claire Medical Center in Morehead with an office in Ashland. This Center serves a twenty-three county region. The second AHEC is located at the Appalachian Regional Hospital in Hazard with offices in Pikeville and serves the sixteen county southeast region. The third AHEC is located at the Berea Hospital in Berea with an office in London and serves a fifteen county area of southern Kentucky. The fourth, the North Central, is headquartered at Northern Kentucky Family Health in Covington with offices in Williamstown and Lexington. These centers are administered through a cooperative relationship between the University and the regional host sites and obtain guidance from regional advisory boards consisting of both health practitioners and prominent citizens. The AHEC's also serve as focal points for developing educational opportunities for practicing professionals in the form of continuing education and library services.

Financial Data

	2000-01	2001-02
Faculty FTE Positions	<u>1</u>	<u>1</u>

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

7. Area Health Education Center and Primary Care Residencies (continued)

a. Area Health Education Center (continued)

	<u>Financial Data</u> (continued)	
	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty	\$ 73,700	\$ 76,100
Other Personal Services	132,600	139,900
Subtotal	206,300	216,000
Operating Expenses	1,166,300	1,166,400
Capital Outlay	5,000	5,000
Total Expenditures	\$ 1,377,600	\$ 1,387,400

	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 13,400	\$ 11,100
Total Expenditures	\$ 13,400	\$ 11,100

b. The Primary Care Residency Program (Senate Bill 28) was passed in 1976 and significantly amended in 1980. The intent of this legislation is to encourage the training of primary care resident physicians for practice in underserved, primarily rural, areas of Kentucky. To that end, funds are appropriated to support resident stipends and to underwrite faculty salaries for the supervision of those residents.

Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty FTE Positions	11	11
Faculty	\$ 699,200	\$ 702,700
Other Personal Services	1,807,200	1,897,500
Total Expenditures	\$ 2,506,400	\$ 2,600,200

8. Graduate Centers

The Medical Center plays a major role in providing education, research, and service through four multidisciplinary graduate programs. These are the Graduate Centers for Biomedical Engineering, Nutritional Sciences, Toxicology, and Gerontology.

8. Graduate Centers (continued)

a. The Graduate Center for Biomedical Engineering provides multidisciplinary programs of education, research and service in the application of engineering principles to the areas of medicine and biology. The Center brings together engineers, life scientists, and physicians to conduct original research in a variety of medical-related problems. Areas of research include cardiopulmonary mechanics and controls, neuromuscular function, musculoskeletal mechanics, rehabilitation engineering, computational neuroscience, motor control, orthopedic biomaterials, orthopedic biomechanics, cryobiology, artificial kidney mass transport electromagnetic stimulation of tissue, and instrumentation for minimally invasive surgery. Master of Science and Doctor of Philosophy degrees in Biomedical Engineering are offered. Approval of a new Professional Master's Degree in Biomedical Engineering, to better serve students seeking leadership positions in industry is expected by the fall of 2001. The Center is located in the Wenner-Gren Research Laboratory that provides the framework for multidisciplinary research. Faculty and staff of the Center collaborate with investigators from other units of the University including the Departments of Physiology, Anatomy and Neurobiology, Cardiology, Medicine, Surgery, Physical Medicine and Rehabilitation, Orthopedics, Sports Medicine, and Kinesiology and Health Promotion, as well as the Departments of Biosystems and Agricultural, Chemical, Electrical, and Mechanical Engineering, the College of Pharmacy, and the Center for Robotics and Manufacturing Systems. Center faculty and staff provide opportunities and support for graduate students, medical residents, and selected undergraduates. Graduates of the program enter careers in research institutes, academia, hospitals and industry. The Fall 2000 enrollment was 18 students.

b. The Graduate Center for Nutritional Sciences is a research-oriented multidisciplinary Ph.D. and M.S. Program involving more than 60 faculty from seven colleges and 22 departments. Areas of research include nutrition and cardiovascular disease, cancer, neuroscience, alcoholism, AIDS, exercise, aging, obesity, community nutrition, antioxidant defenses, behavioral aspects of nutrition, food science and animal nutrition. The aim of the Center is to develop nutritional scientists who are able to function in a variety of state-of-the-art academic, research, health care, government, industrial and professional settings. The Fall 2000 enrollment was 26 students.

c. The Graduate Center for Toxicology is a multidisciplinary program consisting of faculty with primary appointments in Toxicology and additional faculty from seven colleges and over 20 different departments and other academic units. The graduate program in Toxicology is designed to train individuals to utilize the basic and applied sciences in the study of the causes, mechanisms, effects, treatment, and prevention of toxicant stress of chemicals or other noxious agents on humans, other organisms, and ecosystems. The program offers both the Master of Science and Ph.D. degrees. The Fall 2000 headcount enrollment was 31 students.

The Graduate Center for Toxicology's Molecular Mechanisms of Toxicity Program was identified as a Tier 1 program and was one of eleven programs included in the initial Research Challenge Trust Fund investment. The goals of this program are to: (1) enhance its expertise in two major research areas - DNA repair and chemical carcinogenesis and neurotoxicology; (2) compete successfully for a National Institute of Environmental Health Sciences Center Grant in Environmental Toxicology; and (3) become recognized as one of the top 20 toxicology programs in the nation as rated in the next National Research Council evaluation of graduate programs.

MEDICAL CENTER - ACADEMIC AFFAIRS (continued)

8. Graduate Centers (continued)

d. The Graduate Program in Gerontology is a research-oriented multidisciplinary Ph.D. program with a focus on aging and health. The program is located in the Sanders-Brown Center on Aging and involves 36 faculty from seven colleges and 22 different departments. Areas of particular emphasis include rural aging, long-term care, cognitive and sensory change, public policy, ethical issues, and the etiology and treatment of Alzheimer's disease, stroke, and other diseases prevalent among the elderly. Graduates of the program will be prepared for careers in academia, health and health services research, and health-related administrative and social service agencies. The first class of students was admitted to this program in the fall of 1997. A Graduate Certificate in Gerontology is also awarded by the Sanders-Brown Center on Aging to students in other graduate programs who are interested in completing a 15-hour concentration in gerontology. The Fall 2000 enrollment was 26 students in the doctoral program and 33 in the graduate certificate program.

The Multidisciplinary Excellence in Gerontology and Aging Program was identified as a Tier 1 program and was one of eleven programs included in the initial Research Challenge Trust Fund Investment. The overall goal of this program for enhancement is to become the nation's leading center for the integration of gerontological studies and multidisciplinary research on aging. The program will feature research and graduate education in biomedical and social science approaches to aging and will strengthen associated programs in clinical geriatrics.

e.	<u>FTE Enrollment</u>	Actual	Estimated
		<u>2000-01</u>	<u>2001-02</u>
		121	121

f.	<u>Financial Data</u>	<u>General Fund</u>
		<u>2000-01</u> <u>2001-02</u>
	Faculty FTE Positions	22 24

Faculty	\$ 1,848,800	\$ 2,041,800
Other Personal Services	482,500	497,200
Subtotal	<u>2,331,300</u>	<u>2,539,000</u>
Operating Expenses	367,300	362,500
Capital Outlay	2,000	2,000
Total Expenditures	<u>2,700,600</u>	<u>2,903,500</u>
Recharges	(50,100)	(51,100)
Net Expenditures	\$ 2,650,500	\$ 2,852,400

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
Personal Services	\$ -0-		\$ 5,000
Operating Expenses	81,600		136,900
Total Expenditures	\$ 81,600		\$ 141,900

MEDICAL CENTER

ADMINISTRATION AND SUPPORT

1. Chancellor/Other Support Services

- a. The **Office of the Chancellor** provides executive management, general administrative coordination and support services for the five Medical Center colleges, the Centers of Excellence, the Graduate Centers, and the University Hospital.
- b. The **Center for Health Services Management and Research**, established in May 1998, serves to strengthen the health services activities of faculty in all Medical Center colleges and centers. This Center's efforts will enhance the research infrastructure, support faculty research programs, develop faculty and student competencies, and encourage interdisciplinary research and consultation.
- c. The **Other Support Service** units maintain organizational effectiveness and continuity by providing executive management, general administrative services, logistical services, human resource services, fiscal operations, public relations and development, and an optimal physical environment.
- d. The **Safety, Security and Parking Division** is responsible for providing safety, security and parking services for the University Chandler Medical Center. The Division also supervises the Medical Center Key Shop and the Medical Center shuttle service. This Division is responsible for security patrols, assignments, and responding to calls for service to 30 various locations/facilities at the Medical Center, 24 hours per day, seven days a week. In addition, the Director of the Division serves as the Medical Center Safety Officer and is responsible for implementing, directing, and monitoring Medical Center safety programs.

e. Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Faculty FTE Positions	1		1
Faculty	\$ 71,200		\$ 74,400
Other Personal Services	3,230,500		3,481,700
Subtotal	3,301,700		3,556,100
Operating Expenses	943,500		1,186,400
Total Expenditures	4,245,200		4,742,500
Recharges	(436,500)		(442,200)
Net Expenditures	\$ 3,808,700		\$ 4,300,300
	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
Personal Services	\$ 35,000		\$ 61,500
Operating Expenses	151,000		168,200
Total Expenditures	\$ 186,000		\$ 229,700

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

1. Chancellor/Other Support Services (continued)

f. **Facilities Operations** - The Physical Plant Division supports the instruction, research, and public service missions of the Medical Center by providing cost-effective, responsive service to all people involved in Medical Center functions. While fulfilling this mission, the Physical Plant Division's duties primarily lie in the efficient and safe operation, maintenance, and renovation of facilities by utilizing quality craftsmanship and engineering. The primary objective of the Physical Plant Division is to keep the facilities of the Medical Center operational, safe, and clean in order to provide those building environments and services necessary to carry out the functions of the Medical Center. The secondary objective of the Physical Plant Division is to provide energy management, engineering, and skilled trades services in order to modify buildings to meet changing needs in an efficient, flexible, and timely manner.

	<u>Financial Data</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 6,569,900	\$ 8,030,200
Operating Expenses	6,158,100	6,053,600
Total Expenditures	12,728,000	14,083,800
Recharges	(5,077,200)	(5,458,000)
Net Expenditures	\$ 7,650,800	\$ 8,625,800

g. **Office of Information Technology** - The Office of Information Technology provides leadership in the application and integration of information technologies in support of academic, clinical, research, instructional, and management of the Medical Center Information Services, which includes MCIS Clinical Enterprise Services, and MCIS Infrastructure Services which is involved in the planning and coordinating the communications network infrastructure for the entire UKCMC.

The MCIS Clinical Enterprise Services Division provides development and support of administrative and clinical information systems for the healthcare enterprise. Applications support includes systems analysis, programming, implementation services, database administration, data integration, and project management.

The MCIS Infrastructure Services Division includes management of the Data Center, which provides 24 x 7 client support, technical services for mainframe and midrange operating systems, and technical support, which includes the Support Center, Desktop and Server Support, and the provision of network liaison to UK Communications Network Systems (UK/CNS).

The MCIS Support Services Division provides administrative and academic information systems including programming, system development and support, and database access. They also provide web coordination and development for UKCMC and coordination of administrative computing efforts, including service level agreements with various academic units throughout the Medical Center. Additionally, this unit provides Business Services support to the entire MCIS organization, as well as Business Continuity and Security.

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

1. Chancellor/Other Support Services (continued)

g. Office of Information Technology (continued)

Financial Data

	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 1,233,500	\$ 1,403,500
Operating Expenses	167,600	195,600
Total Expenditures	<u>1,401,100</u>	<u>1,599,100</u>
Recharges	(195,500)	(198,800)
Net Expenditures	<u>\$ 1,205,600</u>	<u>\$ 1,400,300</u>

2. Academic Support

a. The Office of Academic and Student Affairs is responsible for assisting the Chancellor in coordinating academic and student affairs across the Medical Center colleges. The office staff provides advice and consultation to the Chancellor on matters related to academic and student affairs. The Office analyzes resource requirements and requests and advises the Chancellor regarding the allocation of resources - physical, human, and financial - as they relate to academic programs. The Office of Academic and Student Affairs has the responsibility for coordinating faculty personnel actions related to recruitment, appointment, promotion, tenure, leaves for faculty, orientation and development, and all other related faculty matters. The Office is responsible for coordinating the evaluation of academic programs, colleges, departments, and administrators, assessing institutional effectiveness and assuring that information related to the academic programs is available for accountability reports. The Office is responsible for the Medical Center's minority affairs efforts. Other major activities of the Office include serving as the academic liaison with other University units, overseeing continuing education efforts, coordinating scholarships, instructional technology, and outreach activities, developing educational and instructional resources in the Medical Center, serving as the liaison with University Health Service, and coordinating student services.

Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 920,100	\$ 1,018,100
Operating Expenses	734,400	714,100
Total Expenditures	<u>1,654,500</u>	<u>1,732,200</u>
Recharges	(10,000)	-0-
Net Expenditures	<u>\$ 1,644,500</u>	<u>\$ 1,732,200</u>

	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 1,100	\$ 10,000
Total Expenditures	<u>\$ 1,100</u>	<u>\$ 10,000</u>

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

2. Academic Support (continued)

b. The Division of Laboratory Animal Resources is responsible for providing researchers with high quality animals and for ensuring that those animals are appropriately housed and humanely treated. The Division of Laboratory Animal Resources is responsible for providing veterinary care and daily husbandry. In addition, the Director and attending veterinarians consult with researchers in the areas of animal model development, animal usage, humane animal treatment, and compliance with university policies and procedures and government regulations.

Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 1,634,800		\$ 1,642,600
Operating Expenses	1,485,100		1,422,300
Total Expenditures	3,119,900		3,064,900
Recharges	(3,104,900)		(3,049,900)
Net Expenditures	\$ 15,000		\$ 15,000

c. The Office of Communications is responsible for assisting faculty, staff and students in communicating with their constituents through media production support, audio-visual and telecommunications equipment, and technical support. The centralized group of support services includes University Arts and Photography and Audio-Visual Services. The units support the teaching, research, patient care and service mission of the Medical Center and the University.

Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 1,288,800		\$ 1,234,800
Operating Expenses	355,800		347,700
Total Expenditures	1,644,600		1,582,500
Recharges	(1,229,700)		(1,155,500)
Net Expenditures	\$ 414,900		\$ 427,000

d. Medical Center Public Relations is responsible for the dissemination of information regarding activity within the Medical Center to the public, students, faculty and staff. Public Relations provides support for the enhancement of the Medical Center image. The Office supports the research, education, patient care and service components of Medical Center operations through media relations, publications, event management and issues management.

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

2. Academic Support (continued)

d. Medical Center Public Relations (continued)

Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 275,900	\$ 292,200
Operating Expenses	27,000	26,900
Total Expenditures	\$ 302,900	\$ 319,100

e. The Medical Center Library's mission is to provide access to information essential for education, research, and patient care and to promote health information literacy. In doing this the following actions reflecting the library's vision of becoming a top 20 academic health science library recognized for excellence in the provision of health-related information services will be achieved: provision of high quality customer service, provision of instruction in the use of information resources, and provision of access to a comprehensive range of information resources that can be delivered to the user's desktop, irrespective of location. The library is comprised of 14 faculty and 19 support and administrative staff who provide service 160 hours per week to an average of 360,000 walk-in customers per year.

Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Librarian FTE Positions	13	13
Librarians	\$ 740,100	\$ 771,900
Other Personal Services	719,600	749,300
Subtotal	1,459,700	1,521,200
Operating Expenses	155,900	154,600
Library Books	1,348,800	1,445,300
Total Expenditures	2,964,400	3,121,100
Recharges	(40,000)	(40,000)
Net Expenditures	\$ 2,924,400	\$ 3,081,100

	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 2,000	\$ -0-
Capital Outlay	2,000	2,000
Total Expenditures	\$ 4,000	\$ 2,000

MEDICAL CENTER - ADMINISTRATION AND SUPPORT (continued)

2. Academic Support (continued)

f. **Medical Center Consolidated Continuing Education Office (CCEO)** administratively houses all units in the Chandler Medical Center that primarily exist to provide continuing education credit programming to audiences external to the University. The mission of the CCEO is to provide learning opportunities for health professionals in the allied health professions, dentistry, medicine, nursing, and pharmacy. In doing this, the following actions, reflecting the vision of the office, will be achieved: improving the health of citizens in our region; shaping new systems of health professions education and new systems of health care; seeking collaborative opportunities; achieving an optimal learning environment using appropriate educational strategies and technologies; contributing to the further development of the academic medical center; guiding the evolution of clinical practice; linking research to practice; enhancing evidenced-based clinical decision-making; and promoting meaningful outcome assessment.

The CCEO is composed of approximately 40 staff members who will deliver 208,600 instructional continuing education contact hours in 2001-02.

	<u>Continuing Education - Contact Hours</u>	
	<u>Actual</u> <u>2000-01</u>	<u>Estimated</u> <u>2001-02</u>
College of Allied Health Professions	6,800	7,100
College of Dentistry	8,700	9,200
College of Medicine	59,800	62,800
College of Nursing	22,300	23,400
College of Pharmacy	101,000	106,100

Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty FTE Positions	1	1
Faculty	\$ 124,500	\$ 129,200
Other Personal Services	1,443,300	1,506,500
Subtotal	1,567,800	1,635,700
Operating Expenses	2,474,900	2,610,100
Total Expenditures	\$ 4,042,700	\$ 4,245,800
	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 60,000	\$ 60,100
Total Expenditures	\$ 60,000	\$ 60,100

3. Student Services - The University Health Service provides a broad spectrum of primary care and mental health services to all students attending the University of Kentucky and Lexington Community College. Programs for students include a primary care clinic, a gynecology clinic, a mental health service, health education, and public health activities. The Health Service is also responsible for the University Hospital and College of Medicine Employee Health programs and the Medical Center Blood-Borne Pathogen Exposure Control program. The University Health Service is a primary care gatekeeper for the University's managed care workers' compensation program.

Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 3,322,300	\$ 3,442,700
Operating Expenses	530,500	531,700
Capital Outlay	55,000	65,000
Total Expenditures	3,907,800	4,039,400
Recharges	(238,400)	(245,000)
Net Expenditures	\$ 3,669,400	\$ 3,794,400
The Medical Center Fund Salary Supplement	\$ 250,000	\$ 300,000

Restricted Funds

	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 37,900	\$ 37,600
Total Expenditures	\$ 37,900	\$ 37,600

4. Student Aid - Institutional and restricted funds are provided for student scholarships.

Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 1,513,300	\$ 1,704,200
Total Expenditures	\$ 1,513,300	\$ 1,704,200

	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 1,515,400	\$ 1,527,000
Total Expenditures	\$ 1,515,400	\$ 1,527,000

MEDICAL CENTER

UNIVERSITY HOSPITAL

The University Hospital's 2001-02 operating budget reflects the Hospital's priorities in its Strategic Plan and is also consistent with the University's Strategic Plan emphases through:

- support for faculty and staff recruitment in the Medical Center Colleges,
- emphasis on major areas of excellence, i.e., transplant, cancer, cardiology,
- an emphasis on cost efficient operations,
- maintenance and enhancement of state-of-the-art facilities and equipment,
- expansion of information systems, and
- an emphasis on the continued development of integrated delivery systems and managed care products.

The fiscal year 2001-02 operating budget continues to provide support for faculty and staff recruitment in order to support scholarship and academic excellence in the colleges of the Medical Center. Approximately \$9.8 million will be contributed this year for faculty support and start-up funds in Emergency Medicine, Family Practice, Bone Marrow Transplant, Cardiology, General Medicine, Hematology and Oncology, Hepatology, Infectious Disease, Pulmonary, Neurology, OB/GYN, Ophthalmology, Pediatrics, Radiation Medicine, Neurosurgery, CT Surgery, Plastic Surgery, Trauma Surgery, and General Surgery. In addition, the Hospital continues to provide support for the residency training program in 24 subspecialty fields. In order to attract the most competent faculty and staff and to sustain our market position and regional tertiary and referral role, the Hospital recognizes that it must maintain a state-of-the-art technological environment. The business plans implemented in FY 2001 for Cardiology, CT Surgery, Orthopedics Surgery, Pediatrics, Neurosciences, and Oncology will continue into FY 2002. The Neuroscience, Oncology, Pediatrics, and Cardiac program emphasis noted above is consistent with the Hospital's Strategic Plan emphasis on these clinical areas.

The operating budget reflects recognition of the necessity to provide cost effective care and efficient use of resources. Incorporated into this operating budget is commitment for sophisticated information systems which support both patient management protocols and strategies for improved clinical outcomes and more cost effective care. Initiatives in the area of Continuous Quality Improvement, which support staff development and departmental objectives to provide efficient operations, have been included in this operating budget. While the comprehensiveness and quality of our services are recognized throughout the region, we realize that we must address our cost structure to deal with the managed care marketplace. Therefore, this budget includes continued operation improvement initiatives to improve quality and become more cost efficient. All of these items are consistent with the University's emphasis on the stewardship of resources.

In addition to the programs stated above, the Hospital continues to be engaged in the development of integrated delivery systems and related managed care products. These ventures serve to maintain an income stream and patient pool for the Hospital and Ambulatory Care Services at the Medical Center, Kentucky Clinic South, and Kentucky Clinic North, which are needed to support our teaching, public service, and research missions. These products along with other investments in outpatient service opportunities continue to provide the framework of a vertically integrated health care system that will provide seamless care. This reflects the Hospital's Strategic Plan focus on community and ambulatory care.

The budget will be closely monitored in the coming year since there is potential for significant changes in the health care market place. There are no significant reallocations as part of this operating budget.

In summary, the Hospital's operating budget for FY 2001-02 supports both the University's and Hospital's Strategic Plan priorities and reflects the current pressures in the health care environment that are driven by demands for increased productivity, efficiency, and cost effectiveness.

MEDICAL CENTER - UNIVERSITY HOSPITAL (continued)

Financial Data

	<u>General Fund and Hospital</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$114,521,000	\$124,963,600
Operating Expenses	230,453,400	210,864,300
Mandatory Transfers - Debt Service	<u>2,124,000</u>	<u>2,522,000</u>
Total Expenditures	347,098,400	338,349,900
Recharges	<u>(23,502,400)</u>	<u>(23,869,000)</u>
Net Expenditures	\$323,596,000	\$314,480,900
	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 1,000	\$ -0-
Operating Expenses	1,963,700	1,975,600
Capital Outlay	<u>353,000</u>	<u>403,200</u>
Total Expenditures	\$ 2,317,700	\$ 2,378,800

MEDICAL CENTER
BUDGETED EXPENDITURES DETAIL - GENERAL FUND

	2000-01	2001-02
COLLEGE OF ALLIED HEALTH PROFESSIONS		
Dean's Office	\$ 662,600	\$ 720,700
Department of Clinical Sciences	2,110,200	2,187,400
Department of Health Services	620,800	639,600
Student Affairs	166,200	175,600
Patient Care Education Support	49,400	49,400
Department of Rehabilitation	1,683,400	1,811,700
Total Allied Health Professions	<u>\$ 5,292,600</u>	<u>\$ 5,584,400</u>
COLLEGE OF DENTISTRY		
Office of the Dean	\$ 1,123,500	\$ 797,600
Department of Oral Health Practice	3,182,800	3,291,400
Department of Oral Health Science	3,454,000	3,702,500
Graduate and Residency Programs	2,348,900	2,440,800
Office of Administrative Affairs	1,244,700	1,312,300
Office of Student Affairs	306,400	283,900
Office of Academic Affairs	28,600	29,400
Patient Care	2,064,600	2,073,100
Education	442,300	446,000
Research and Graduate Studies	268,300	411,200
Public and Professional Services	393,100	400,200
Patient Care Education Support	13,200	13,200
Total Dentistry	<u>\$ 14,870,400</u>	<u>\$ 15,201,600</u>
COLLEGE OF MEDICINE		
Dean's Office	\$ 2,438,700	\$ 2,363,200
Pilot Research	50,000	50,000
Anatomy and Neurobiology	2,062,500	2,195,000
Behavioral Science	1,277,100	1,320,700
Molecular & Cellular Biochemistry	1,569,800	1,711,200
Microbiology and Immunology	1,919,500	2,003,100
Molecular & Biomedical Pharmacology	1,345,000	1,408,000
Physiology	2,327,600	2,337,000
Anesthesiology	6,153,800	6,887,800
Diagnostic Radiology	3,638,100	2,831,800
Emergency Medicine	1,796,600	1,727,500

MEDICAL CENTER - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

2000-01

2001-02

COLLEGE OF MEDICINE (continued)

Family Practice	\$ 2,021,800	\$ 2,079,900
Graduate Medical Education	8,961,500	9,122,100
Internal Medicine	12,399,700	13,316,500
Neurology	2,438,900	2,824,000
Obstetrics and Gynecology	6,207,100	6,582,700
Ophthalmology	3,181,100	3,052,600
Pathology and Laboratory Medicine	3,604,600	3,806,200
Pediatrics	7,797,600	7,340,800
Psychiatry	5,296,300	4,930,300
Radiation Medicine	1,639,800	2,006,900
Physical Medicine and Rehabilitation	1,612,100	1,415,700
Surgery and Divisions	17,913,200	17,432,200
Ambulatory Care	2,224,600	2,098,000
Off-site Clinics	7,901,200	6,977,200
Health Plans	815,200	751,700
Office of Academic Affairs	703,400	803,500
Patient Care Education Support	2,146,100	1,646,100
Housestaff Education Support	2,622,300	2,122,300
Kentucky School of Public Health	1,294,700	1,879,000
Research Challenge Trust Fund Research and Graduate Programs	1,406,500	1,462,400
Total Medicine	\$ 116,766,400	\$ 116,485,400

COLLEGE OF NURSING

Dean's Office	\$ 611,900	\$ 635,600
Instruction	4,926,700	5,189,200
Total Nursing	\$ 5,538,600	\$ 5,824,800

COLLEGE OF PHARMACY

Dean's Office	\$ 620,400	\$ 634,300
Instruction	305,900	371,500
Student Affairs	338,600	360,400
Pharmaceutical Science	3,312,500	3,413,100
Pharmacy Practice and Science	2,348,200	2,613,500
Patient Care Education Support	169,100	169,100
Research Challenge Trust Fund Research and Graduate Programs	568,000	584,500
Total Pharmacy	\$ 7,662,700	\$ 8,146,400

MEDICAL CENTER - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

2000-01

2001-02

CENTER ON AGING

\$ 1,864,500

\$

1,931,300

CENTER FOR CANCER PREVENTION, EDUCATION, RESEARCH AND PATIENT CARE

\$ 2,351,800

\$

2,481,000

CENTER FOR PHARMACEUTICAL SCIENCE AND TECHNOLOGY

\$ 270,400

\$

290,300

CENTER FOR RURAL HEALTH

\$ 5,901,700

\$

6,022,700

AREA HEALTH EDUCATION CENTER

\$ 1,377,600

\$

1,387,400

PRIMARY CARE RESIDENCY PROGRAM

\$ 2,506,400

\$

2,600,200

GRADUATE CENTERS

Dean's Office

\$ 4,000

\$

4,000

Biomedical Engineering

920,900

\$

998,500

Nutritional Sciences

277,300

\$

364,900

Toxicology

390,300

\$

404,200

Research Challenge Trust Fund Research and Graduate Programs

Toxicity

374,100

\$

385,600

Gerontology

683,900

\$

695,200

Total Graduate Centers

\$ 2,650,500

\$

2,852,400

MEDICAL CENTER ADMINISTRATION AND SUPPORT

Chancellor/Other Support Services

Office of the Chancellor

\$ 567,400

\$

591,400

Program Reserve

416,100

\$

520,000

Center for Health Services Management and Research

316,600

\$

326,300

Elder Care Program

91,500

\$

94,700

Vice Chancellor/Budget and Administrative Services

455,500

\$

484,200

Risk Management

147,000

\$

147,000

Corporate Compliance

147,300

\$

147,300

Facilities Coordination

400,500

\$

422,900

Facilities Operations

7,650,800

\$

8,625,800

Special Assistant for Communication and Development

221,500

\$

234,300

Performance Improvement

162,500

\$

335,700

Parking and Security

882,800

\$

996,500

Information Technology

1,205,600

\$

1,400,300

Total Other Support Services

\$ 12,665,100

\$

14,326,400

MEDICAL CENTER - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

MEDICAL CENTER ADMINISTRATION AND SUPPORT (continued)

	2000-01	2001-02
Academic Support		
Vice Chancellor/Academic and Student Affairs	\$ 290,500	\$ 306,500
MC-Information Technology Support Center	342,500	367,400
Women's Center	-0-	50,000
Community Based Faculty Program	38,600	40,700
Minority Affairs	123,200	127,400
Institutional Research/Decision Support	141,200	147,900
Science Outreach	27,000	-0-
Classroom Scheduling	81,500	92,300
Academic Support Grants	600,000	600,000
Office of Communications	414,900	427,000
Public Affairs	302,900	319,100
Laboratory Animal Resources	15,000	15,000
Medical Center Library	2,924,400	3,081,100
Medical Center Consolidated Continuing Education Office	4,042,700	4,245,800
Total Academic Support	<u>\$ 9,344,400</u>	<u>\$ 9,820,200</u>
Student Services		
University Health Service	\$ 3,669,400	\$ 3,794,400
Total Administration and Support	\$ 25,678,900	\$ 27,941,000
STUDENT AID	\$ 1,513,300	\$ 1,704,200
TOTAL COLLEGES, CENTERS, ADMINISTRATION AND SUPPORT	\$ 194,245,800	\$ 198,453,100
OTHER STAFF BENEFITS	\$ 1,183,800	\$ 852,600
DEBT SERVICE	\$ 5,602,400	\$ 6,485,300
TOTAL GENERAL FUNDS	\$ 201,032,000	\$ 205,791,000
NONRECURRING FUNDS		
Capital	\$ 1,984,500	\$ 2,470,000
Program	6,282,200	5,805,000
The Medical Center Fund Salary Supplement	1,815,500	1,926,000
Total Nonrecurring Funds	<u>\$ 10,082,200</u>	<u>\$ 10,201,000</u>

MEDICAL CENTER
BUDGETED EXPENDITURES DETAIL - UNIVERSITY HOSPITAL

	2000-01	2001-02
UNIVERSITY HOSPITAL		
Ambulatory Services	\$ 2,273,300	\$ 2,372,200
Dietary and Environmental Services	9,713,300	10,293,900
Capital Outlay and Operating Reserves	66,095,600	47,002,600
General Administrative Services	35,154,300	37,425,300
Nursing Services	62,988,500	66,379,600
Other Hospital Services	22,239,400	22,396,800
Other Professional Services	69,387,100	72,380,000
Pharmacy Central Supply	49,725,100	50,209,200
University Supporting Services	5,895,400	5,895,400
Mandatory Transfers - Debt Service	2,124,000	2,522,000
University-Provided Services	(2,000,000)	(2,396,100)
Total Hospital	\$ 323,596,000	\$ 314,480,900
HOSPITAL RESTRICTED	\$ 2,317,700	\$ 2,378,800

**MEDICAL CENTER
BUDGETED EXPENDITURES DETAIL - RESTRICTED FUNDS**

	2000-01	2001-02
COLLEGE OF ALLIED HEALTH PROFESSIONS		
College-Wide	\$ 623,600	\$ 666,600
Department of Clinical Sciences	11,000	9,200
Department of Health Professions	4,000	2,200
Department of Rehabilitation	24,800	24,000
Total Allied Health Professions	<u>663,400</u>	<u>702,000</u>
COLLEGE OF DENTISTRY		
College-Wide	\$ 183,200	\$ 397,300
Department of Oral Health Practice	23,000	24,100
Department of Oral Health Science	58,300	311,400
Total Dentistry	<u>264,500</u>	<u>732,800</u>
COLLEGE OF MEDICINE		
College-Wide/Dean's Office	\$ 388,100	\$ 362,600
Anatomy and Neurobiology	182,600	216,400
Behavioral Science	6,300	6,800
Molecular and Cellular Biochemistry	77,600	66,300
Microbiology and Immunology	80,300	76,000
Molecular and Biomedical Pharmacology	40,200	31,100
Physiology	42,500	40,400
Anesthesiology	19,000	33,800
Emergency Medicine	4,000	13,300
Family Practice	160,100	143,600
Internal Medicine	2,608,000	2,633,200
Kentucky Telecare	1,500	1,500
KY School of Public Health	58,400	72,700
Neurology	182,800	136,700
Obstetrics and Gynecology	558,600	892,700
Ophthalmology	139,400	112,400
Pathology and Laboratory Medicine	340,200	330,200
Pediatrics	308,500	396,000
Physical Medicine and Rehabilitation	158,100	255,200
Preventive Medicine and Environmental Health	39,100	28,500
Psychiatry	57,000	64,800
Radiation Medicine	107,700	90,400

MEDICAL CENTER - BUDGETED EXPENDITURES DETAIL - RESTRICTED FUNDS (continued)

2000-01

2001-02

COLLEGE OF MEDICINE (continued)

Research Challenge Trust Program	\$ 2,500	\$ 2,100
Diagnostic Radiology	312,400	193,400
Surgery and Divisions	1,889,100	2,545,600
Academic Affairs	75,300	74,200
Spinal Cord and Brain Injury Center	448,600	789,500
Total Medicine	<u>\$ 8,287,900</u>	<u>\$ 9,609,400</u>

COLLEGE OF NURSING

College-Wide	\$ 369,400	\$ 630,700
Total Nursing	<u>\$ 369,400</u>	<u>\$ 630,700</u>

COLLEGE OF PHARMACY

College-Wide	\$ 785,800	\$ 589,200
Total Pharmacy	<u>\$ 785,800</u>	<u>\$ 589,200</u>

CENTERS OF EXCELLENCE

Center on Aging	\$ 726,600	\$ 1,324,000
Center for Cancer Prevention, Education, Research and Patient Care	879,300	1,141,700
Center for Pharmaceutical Science and Technology	2,800	7,300
Center for Rural Health	41,300	276,100
Total Centers of Excellence	<u>\$ 1,650,000</u>	<u>\$ 2,749,100</u>

AREA HEALTH EDUCATION CENTER

	\$ 13,400	\$ 11,100
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GRADUATE CENTERS

Dean's Office	\$ -0-	\$ 5,000
Biomedical Engineering	35,000	34,300
Nutritional Sciences	24,900	26,900
Toxicology	-0-	18,500
Toxicity	21,600	57,100
Gerontology	100	100
Total Graduate Centers	<u>\$ 81,600</u>	<u>\$ 141,900</u>

MEDICAL CENTER - BUDGETED EXPENDITURES DETAIL - RESTRICTED FUNDS (continued)

	2000-01	2001-02
ADMINISTRATION AND SUPPORT		
Office of Chancellor	\$ 185,200	\$ 238,200
Center for Health Services Management and Research	1,900	1,500
Library	4,000	2,000
University Health Service	37,900	37,600
Medical Center Consolidated Continuing Education Office	60,000	60,100
Total Administration and Support	<u>\$ 289,000</u>	<u>\$ 339,400</u>
STUDENT AID	\$ 1,515,400	\$ 1,527,000
TOTAL RESTRICTED FUNDS	\$ 13,920,400	\$ 17,032,600

MEDICAL CENTER
BUDGETED EXPENDITURES DETAIL - AUXILIARY SERVICES

	2000-01	2001-02
COLLEGE OF DENTISTRY		
Dental Clinics	\$ 537,300	\$ 559,500
TOTAL AUXILIARY SERVICES	\$ 537,300	\$ 559,500

CENTRAL ADMINISTRATION
UNIVERSITY-WIDE

CENTRAL ADMINISTRATION

Executive direction and management of the University's operations, both academic and fiscal, are carried out by the Board of Trustees and Central Administration, which consists of the offices of the President, the Vice President for Fiscal Affairs, the Vice President for Administration, the Chief Development Officer, the Vice President for Information Systems, and the Vice President for Research and Graduate Studies.

OFFICE OF THE PRESIDENT

1. **Board of Trustees and President's Office.** The Board of Trustees provides overall governance for the University through the promulgation of regulations and policies. The President's Office includes the President, the Vice President for Administration, the University Senate Council Office, the Office of Academic Ombud Services, the Academic Affairs Office, the Legal Counsel, the Director of Athletics, the Public Relations Office, and the Center for Rural Development. The President, in addition to being the chief executive officer of the University responsible for all official communication with the Board of Trustees and external agencies, has specific responsibilities for the policy, planning, and development functions of the institution. The chancellors, vice presidents and the administrative officers of the units of Central Administration report to the President. The Vice President for Administration is responsible for coordinating the offices of Central Administration and providing staff support to the President. The Senate Council Office provides administrative direction and coordination, and support services for the University Senate and the Senate Council. For 2001-02, the Institutional Self Study effort is included in the President's Office.

Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 795,500		\$ 698,600
Operating Expenses	130,000		384,500
Total Expenditures	\$ 925,500		\$ 1,083,100
		<u>Restricted Funds</u>	
	<u>2000-01</u>		<u>2001-02</u>
Personal Services	\$ 10,900		\$ 21,000
Operating Expenses	280,800		42,600
Capital Outlay	77,000		-0-
Total Expenditures	\$ 368,700		\$ 63,600

2. **Academic Affairs.** The Special Assistant for Academic Affairs is responsible for coordinating academic programs and academic program plans across the sectors of the University and for coordinating program approval within the University and with external agencies. The Special Assistant also is responsible for the University-wide coordination of student policies, procedures, and services and for the maintenance of the Board of Trustees' and Administration's policies and procedures.

CENTRAL ADMINISTRATION - OFFICE OF THE PRESIDENT (continued)

2. Academic Affairs (continued)

<u>Financial Data</u>		<u>General Fund</u>	
	<u>2000-01</u>		<u>2001-02</u>
Personal Services	\$ 188,600		\$ 194,600
Operating Expenses	<u>9,500</u>		<u>9,800</u>
Total Expenditures	\$ 198,100		\$ 204,400

3. Legal Counsel. The Legal Counsel is responsible for all University legal matters. In addition to advising the President and Board of Trustees, the Legal Counsel is also available to advise the chancellors and vice presidents on legal matters within their sectors.

<u>Financial Data</u>		<u>General Fund</u>	
	<u>2000-01</u>		<u>2001-02</u>
Personal Services	\$ 687,900		\$ 716,100
Operating Expenses	<u>128,400</u>		<u>130,200</u>
Total Expenditures	\$ 816,300		\$ 846,300

4. Intercollegiate Athletics. The Director of Athletics manages the day-to-day operations of the University's intercollegiate athletics program, which is budgeted and operated under the Board of Directors of the University of Kentucky Athletics Association.

<u>Financial Data</u>		<u>General Fund</u>	
	<u>2000-01</u>		<u>2001-02</u>
Personal Services	\$ 204,200		\$ 224,800
Total Expenditures	\$ 204,200		\$ 224,800

<u>Financial Data</u>		<u>Restricted Funds</u>	
	<u>2000-01</u>		<u>2001-02</u>
Personal Services	\$ 2,000		\$ -0-
Operating Expenses	<u>456,100</u>		<u>607,300</u>
Capital Outlay	<u>510,000</u>		<u>-0-</u>
Total Expenditures	\$ 968,100		\$ 607,300

5. Center for Rural Development. The Center for Rural Development is a model economic and cultural development center for rural areas that serves, primarily, Kentucky's Fifth Congressional District. Oversight of the Center was transferred to the University System when management of the Community College System was transferred to KCTCS. The University of Kentucky is responsible for the maintenance of the Center for Rural Development facility in Somerset.

CENTRAL ADMINISTRATION - OFFICE OF THE PRESIDENT (continued)

5. Center for Rural Development (continued)

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
<u>Financial Data</u>			
Personal Services	\$ 145,800	\$ 153,400	
Operating Expenses	194,600	203,300	
Capital Outlay	40,000	40,000	
Total Expenditures	<u>\$ 380,400</u>	<u>\$ 396,700</u>	

6. Public Relations. The Director of Public Relations is responsible for planning, implementation, and coordination of the University's public relations program, including support for a national marketing campaign and the University's Five Year Capital Campaign.

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
<u>Financial Data*</u>			
Personal Services	\$ -0-	\$ 752,700	
Operating Expenses	-0-	140,800	
Total Expenditures	-0-	893,500	
Recharges	-0-	(4,900)	
Net Expenditure	<u>\$ -0-</u>	<u>\$ 888,600</u>	
		<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>	
Operating Expenses	\$ -0-	\$ 19,100	
Total Expenditures	<u>\$ -0-</u>	<u>\$ 19,100</u>	

* Funding transferred in 2001-02 from University Relations to the Office of the President.

CENTRAL ADMINISTRATION

FISCAL AFFAIRS

1. The Vice President for Fiscal Affairs has direct responsibility for the offices of Administration/Affirmative Action; Controller and Treasurer; Environmental Health and Safety; Human Resource Services; Property Management; Capital Construction; Physical Plant; Purchasing; Stores; Parking and Transportation; and University Architect.

Financial Data

		<u>2000-01</u>	<u>2001-02</u>
		<u>General Fund</u>	
Personal Services	\$ 1,186,800	\$ 1,327,600	
Operating Expenses	196,000	196,000	
Total Expenditures	\$ 1,382,800	\$ 1,523,600	
		<u>Restricted Funds</u>	
		<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 1,100	\$ 1,600	
Total Expenditures	\$ 1,100	\$ 1,600	

2. Administration. The Associate Vice President is responsible for the development and interpretation of policies and plans for carrying out the Affirmative Action program in all sectors of the University and for relating to external agencies having responsibilities in these areas. In addition, the Associate Vice President develops special projects and policy recommendations for the Vice President for Fiscal Affairs.

Financial Data

		<u>2000-01</u>	<u>2001-02</u>
		<u>General Fund</u>	
Personal Services	\$ 266,000	\$ 294,100	
Operating Expenses	12,500	13,200	
Total Expenditures	\$ 278,500	\$ 307,300	

3. Controller and Treasurer. The Controller and Treasurer is responsible for the official University financial records and for the management of the treasury, accounting, payroll, property records, investment, and banking functions. This Office has operational responsibilities for accounting, payroll, billings and collections, and risk management.

Financial Data

		<u>2000-01</u>	<u>2001-02</u>
		<u>General Fund</u>	
Personal Services	\$ 3,526,400	\$ 3,780,400	
Operating Expenses	399,800	399,800	
Total Expenditures	\$ 3,926,200	\$ 4,180,200	

CENTRAL ADMINISTRATION - FISCAL AFFAIRS (continued)

4. Environmental Health and Safety. The Director of Environmental Health and Safety has direct responsibility for the University Fire Marshal, Occupational Health and Safety, Environmental Protection, Radiation Safety, and Hazardous Materials Management.

Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 1,335,100		\$ 1,444,400
Operating Expenses	672,400		735,700
Total Expenditures	<u>2,007,500</u>		<u>2,180,100</u>
Recharges	(1,032,500)		(1,155,200)
Net Expenditures	\$ 975,000		\$ 1,024,900

5. Human Resource Services. The Director of Human Resource Services is responsible for the management of all personnel functions in all sectors of the University, including University personnel policies, employment, employee relations, wage and salary programs, employee training, employee assistance programs, employee benefits, and employee wellness.

Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$11,418,800		\$12,008,000
Operating Expenses	617,200		620,000
Capital Outlay	20,000		20,000
Total Expenditures	<u>12,056,000</u>		<u>12,648,000</u>
Recharges	(8,534,900)		(8,831,300)
Net Expenditures	\$ 3,521,100		\$ 3,816,700

6. Capital Construction. The Director of Capital Construction is responsible for project management in the planning and construction of new buildings, facilities, and other capital improvements.

Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 1,453,300		\$ 1,600,900
Operating Expenses	178,400		192,500
Total Expenditures	<u>1,631,700</u>		<u>1,793,400</u>
Recharges	(1,346,100)		(1,642,500)
Net Expenditures	\$ 285,600		\$ 150,900

7. Real Property. This Office coordinates the purchase or disposal of University real properties and is directly responsible for the rental of University properties and the leasing of additional space as may be required. This office also oversees Fraternity and Sorority on-campus housing.

CENTRAL ADMINISTRATION - FISCAL AFFAIRS (continued)

7. Real Property (continued)

Financial Data

Personal Services
 Operating Expenses
 Total Expenditures

<u>General Fund</u>	
<u>2000-01</u>	<u>2001-02</u>

\$ 213,400	\$ 201,600
56,400	147,100
\$ 269,800	\$ 348,700

<u>Auxiliary Funds</u>	
<u>2000-01</u>	<u>2001-02</u>

Operating Expenses
 Total Expenditures

\$ 116,500	\$ 116,500
\$ 116,500	\$ 116,500

8. Physical Plant. This area is responsible for the maintenance and operation of the physical plant for the University's Lexington Campus (exclusive of Medical Center facilities operations).

Financial Data

Personal Services
 Operating Expenses
 Total Expenditures
 Recharges
 Net Expenditures

<u>General Fund</u>	
<u>2000-01</u>	<u>2001-02</u>

\$20,998,000	\$22,456,400
10,899,700	11,379,200
31,897,700	33,835,600
(5,793,100)	(6,349,200)
\$26,104,600	\$27,486,400

Restricted Funds

<u>2000-01</u>	<u>2001-02</u>
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Operating Expenses
 Total Expenditures

\$ 2,300	\$ 2,000
\$ 2,300	\$ 2,000

9. Purchasing. The Director of Purchasing is responsible for all University purchasing activities.

Financial Data

Personal Services
 Operating Expenses
 Total Expenditures

<u>General Fund</u>	
<u>2000-01</u>	<u>2001-02</u>

\$ 991,000	\$ 989,300
64,400	64,400
\$ 1,055,400	\$ 1,053,700

CENTRAL ADMINISTRATION - FISCAL AFFAIRS (continued)

10. **Stores.** This office is responsible for providing University departments with commonly used supplies and materials at the lowest possible price through bulk purchasing.

	<u>Financial Data</u>	
	<u>2000-01</u>	<u>General Fund</u>
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 1,026,500	\$ 1,173,000
Operating Expenses	474,000	644,000
Total Expenditures	1,500,500	1,817,000
Recharges	(970,500)	(1,029,000)
Net Expenditures	\$ 530,000	\$ 788,000

11. **Parking and Transportation.** The Director of Parking and Transportation is responsible for planning, implementation, and coordination of the University's parking program and mass transportation services.

	<u>Financial Data*</u>	
	<u>2000-01</u>	<u>General Fund</u>
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ -0-	\$ 1,375,300
Operating Expenses	-0-	946,000
Capital Outlay	-0-	1,047,600
Total Expenditures	-0-	3,368,900
Recharges	-0-	(27,700)
Net Expenditures	\$ -0-	\$ 3,341,200

12. **University Architect.** The University Architect is responsible for the development and oversight of physical development plans for the University.

	<u>Financial Data</u>	
	<u>2000-01</u>	<u>General Fund</u>
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 97,300	\$ 102,900
Operating Expenses	11,400	11,400
Total Expenditures	\$ 108,700	\$ 114,300

* Funding transferred in 2001-02 from University Relations to Fiscal Affairs.

CENTRAL ADMINISTRATION

ADMINISTRATION

1. **Management and Budget.** In addition to his responsibilities for coordinating the offices of Central Administration and providing staff support to the President, the Vice President for Administration is responsible for management and budget which includes financial and capital planning; budget management and development; institutional accountability and effectiveness; and management and organizational analysis. The Vice President is also responsible for coordinating University relations with the legislative and executive branches of government; and the University's police program. In addition, the Vice President manages the development of the Coldstream Research Campus, and directs the Robinson Trust initiatives for eastern Kentucky.

Financial Data*

	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 729,600	\$ 902,900
Operating Expenses	140,300	152,500
Capital Outlay	150,000	242,800
Total Expenditures	<u>\$ 1,019,900</u>	<u>\$ 1,298,200</u>

2000-01

General Fund

2001-02

2000-01

Restricted Funds

2001-02

Personal Services	\$ 196,800	\$ 215,900
Operating Expenses	1,666,100	2,671,600
Capital Outlay	10,500	9,000
Total Expenditures	<u>\$ 1,873,400</u>	<u>\$ 2,896,500</u>

2. **Institutional Planning, Budgeting and Effectiveness.** The Associate Vice President is responsible for University-wide planning, budgeting, effectiveness and institutional reporting activities and coordinates the development, submission, implementation and evaluation of the University's strategic plan, capital plan, biennial budget request, capital request, and the annual operating and capital budget, as well as accountability reporting.

Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 640,800	\$	\$ 671,400
Operating Expenses	88,900	\$	92,000
Total Expenditures	<u>\$ 729,700</u>	\$	<u>763,400</u>

3. **Management and Organization Analysis.** The Director of Management and Organization Analysis is responsible for the development and implementation, or coordination, of the University's internal review policy and procedures for nonacademic programs and for the internal audit program.

* Some funding transferred in 2001-02 from University Relations to Management and Budget.

CENTRAL ADMINISTRATION - ADMINISTRATION (continued)

3. Management and Organization Analysis (continued)

Financial Data

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 436,500	\$ 448,000
Operating Expenses	21,300	21,900
Total Expenditures	\$ 457,800	\$ 469,900

4. University Police. The Chief of Police is responsible for planning, implementation and coordination of the University's public safety program.

Financial Data*

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ -0-	\$ 2,066,400
Operating Expenses	-0-	419,200
Total Expenditures	\$ -0-	\$ 2,485,600

* Funding transferred in 2001-02 from University Relations to Management and Budget.

**CENTRAL ADMINISTRATION
UNIVERSITY RELATIONS**

1. University Relations. Effective July 1, 2001, programs for development, alumni affairs (including community relations) public relations, government relations, police, parking and transportation and the University Ambassadors, previously under the Vice President for University Relations, have been moved to other areas within Central Administration.

Financial Data*

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 386,900	\$	-0-
Operating Expenses	58,000		-0-
Total Expenditures	\$ 444,900	\$	-0-

Restricted Funds

	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 5,700	\$ -0-
Total Expenditures	\$ 5,700	\$ -0-

2. Development. Effective July 1, 2001, the University's Development program will be transferred to Development/Alumni Affairs.

Financial Data*

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 2,967,800	\$	-0-
Operating Expenses	1,159,900		-0-
Total Expenditures	4,127,700		-0-
Recharges	(166,800)		-0-
Net Expenditures	\$ 3,960,900	\$	-0-

Restricted Funds

	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 37,500	\$ -0-
Total Expenditures	\$ 37,500	\$ -0-

3. Alumni Affairs. Effective July 1, 2001, the Alumni Affairs program will be transferred to Development/Alumni Affairs.

* Funding transferred in 2001-02 from University Relations to other areas within Central Administration.

CENTRAL ADMINISTRATION - UNIVERSITY RELATIONS (continued)

3. Alumni Affairs (continued)

Financial Data*

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 772,500	\$ -0-
Total Expenditures	\$ 772,500	\$ -0-

Restricted Funds

	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 39,100	\$ -0-
Capital Outlay	9,000	-0-
Total Expenditures	\$ 48,100	\$ -0-

4. Public Relations. Effective July 1, 2001, the University's public relations program will be transferred to the Office of the President.

Financial Data*

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 719,600	\$ -0-
Operating Expenses	136,400	-0-
Total Expenditures	856,000	-0-
Recharges	(4,700)	-0-
Net Expenditures	\$ 851,300	\$ -0-

Restricted Funds

	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 11,500	\$ -0-
Total Expenditures	\$ 11,500	\$ -0-

5. University Police. Effective July 1, 2001, the University's public safety program will be transferred to the Vice President for Administration.

* Funding transferred in 2001-02 from University Relations to other areas within Central Administration.

CENTRAL ADMINISTRATION - UNIVERSITY RELATIONS (continued)

5. University Police (continued)

Financial Data*

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 1,979,900		\$ -0-
Operating Expenses	406,000		-0-
Capital Outlay	3,000		-0-
Total Expenditures	<u>2,388,900</u>		<u>-0-</u>
Recharges	(19,300)		-0-
Net Expenditures	<u>\$ 2,369,600</u>		<u>\$ -0-</u>

6. Parking and Transportation. Effective July 1, 2001, the University's parking program and mass transportation services program will be transferred to the Vice President for Fiscal Affairs.

Financial Data*

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 1,270,000		\$ -0-
Operating Expenses	1,925,800		-0-
Capital Outlay	418,500		-0-
Total Expenditures	<u>3,614,300</u>		<u>-0-</u>
Recharges	(22,000)		-0-
Net Expenditures	<u>\$ 3,592,300</u>		<u>\$ -0-</u>

* Funding transferred in 2001-02 from University Relations to other areas within Central Administration.

**CENTRAL ADMINISTRATION
DEVELOPMENT/ALUMNI AFFAIRS**

1. **Development.** The Chief Development and Alumni Affairs Officer is responsible for the planning, implementation, and coordination of the University's development program, including the University's Five Year Capital Campaign and for the University's Office of Alumni Affairs.

Financial Data*

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ -0-	\$ 3,127,900	
Operating Expenses	-0-	1,029,500	
Total Expenditures	-0-	4,157,400	
Recharges	-0-	(173,500)	
Net Expenditures	\$ -0-	\$ 3,983,900	

Restricted Funds

	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ -0-	\$ 39,600
Total Expenditures	\$ -0-	\$ 39,600

2. **Alumni Affairs.** The Director of Alumni Affairs is responsible for the planning, implementation, and coordination of the University's alumni and community relations programs, and serves as Executive Director of the University of Kentucky National Alumni Association.

Financial Data*

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ -0-	\$ 876,100	
Operating Expenses	-0-	31,800	
Total Expenditures	\$ -0-	\$ 907,900	

Restricted Funds

	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ -0-	\$ 45,200
Total Expenditures	\$ -0-	\$ 45,200

* Funding transferred in 2001-02 from University Relations to Development/Alumni Affairs.

**CENTRAL ADMINISTRATION
INFORMATION SYSTEMS**

The goal of the University of Kentucky Information Systems is to establish broad, easy access to an array of computing, library, and other information services and facilities to enable the University community to sustain its commitment to excellence in teaching, research, and service to the Commonwealth of Kentucky.

1. **Vice President for Information Systems**

The Vice President for Information Systems is responsible for the planning and coordination of the University's academic and administrative support programs in all areas of information systems, including computer planning and policy development, library services, development of a comprehensive communications (voice, data, and video) plan, and coordination of communication needs for the institution. The Vice President has responsibility for managing and coordinating the information technology units on a University-wide basis in order to enhance services to the University community. The Vice President has direct responsibility for the central and distributed computing operations, data administration, systems security, communications operations, university libraries, distance learning technology, printing, duplicating, and postal services.

Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 547,600		\$ 602,400
Operating Expenses	975,900		1,003,700
Total Expenditures	\$ 1,523,500		\$ 1,606,100

2. **Communications and Network Systems**

Communications and Network Systems is responsible for the planning, coordination, operation, and maintenance of University-wide voice, data and video communications systems and services. This includes long-range planning based on analysis of the computer, data communication/networking, local area networking of personal computers, video and satellite communications, and other telecommunication needs at the University.

The Communications and Network Systems division is committed to providing statewide leadership on all telecommunications issues. Statewide leadership is provided through appointments on state committees and workgroups. Regional leadership is demonstrated through support of federal and state initiatives in research networking. State-of-the-art distance learning technology is deployed on high speed communication links to support postsecondary institutions statewide. National network access is provided to the academic community for seamless connectivity to national research computing centers, research universities, and research laboratories.

The principal responsibilities of Communications and Network Systems include:

- a. Administrative and operational support for the voice communication systems and services.
- b. Administrative and operational support for the video and data communication systems that include the University's Wide Area Network (WAN) and video distribution systems. Communications and Network Systems coordinates and implements Local Area Networks (LAN's) within the University.
- c. Installation of all communications wiring in new buildings and in major renovations of existing buildings.

CENTRAL ADMINISTRATION - INFORMATION SYSTEMS (continued)

2. Communications and Network Systems (continued)

- d. Telecommunications services for media production facilities in support of the University's instructional, administrative, public relations, extension, and community service programs.

Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 5,648,100		\$ 5,205,300
Operating Expenses	10,913,900		12,151,300
Total Expenditures	16,562,000		17,356,600
Recharges	<u>(13,240,400)</u>		<u>(13,923,600)</u>
Net Expenditures	\$ 3,321,600		\$ 3,433,000

3. Computing Services

University Computing Services is responsible for providing state-of-the-art computing hardware and software services with emphasis on remaining at the forefront of information technology in support of the instruction, research, and public service missions of the University. Principal responsibilities are:

- a. The Social Sciences Teaching and Research Statistics (SSTARS) Center provides professional consultation with faculty and students; documentation and training support for various software and facilities; consultation and support for faculty introduction of computing into the instructional process; various operational services to assist faculty and students; and support for all aspects of statistical computing.
- b. Research computing support is provided for high performance and numerically intensive scientific computing in addition to hardware and software services for faculty and students.
- c. Administrative program support provides for management information services and decision support; systems analysis and programming; and development and maintenance services to support institutional administrative systems.
- d. Support is provided for infrastructure services such as electronic mail, calendaring, and shared file and print services. Technical support is provided for the University's web site, electronic library system, and electronic print management system.

Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 4,440,600		\$ 4,437,000
Operating Expenses	1,430,600		1,575,800
Capital Outlay	496,700		496,700
Total Expenditures	6,367,900		6,509,500
Recharges	<u>(6,500)</u>		<u>(6,500)</u>
Net Expenditures	\$ 6,361,400		\$ 6,503,000

4. Distance Learning Technology Center

The Distance Learning Technology Center (DLTC) includes the offices of Distance Learning Programs, Distance Learning Networks, Distance Learning Library Services, and Media Design and Production. DLTC provides the infrastructure and the support services necessary to deliver distance learning credit courses and programs throughout the Commonwealth of Kentucky and around the world. In cooperation with academic departments and colleges, the Graduate School, and statewide community colleges, the DLTC enhances educational opportunities through a variety of advanced technologies. The technology services offered include interactive video, satellite delivery, World Wide Web instruction, videotape delivery, and television courses offered on KET and UKTV Channel 16. The Media Design and Production services include web course development and multimedia support for instructional technology and media resources including audio, video, and film design. The DLTC mission is to ensure that quality teaching, academic and technological support services are available to University of Kentucky distance learners across the Commonwealth.

<u>Financial Data</u>		<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>	

Faculty FTE Positions	13	13	
Faculty	\$ 978,700	\$ 978,700	
Other Personal Services	1,158,600	1,277,700	
Subtotal	2,137,300	2,256,400	
Operating Expenses	867,300	874,600	
Recharges	(371,100)	(371,100)	
Total Expenditures	\$ 2,633,500	\$ 2,759,900	

5. Libraries

The vision of the University of Kentucky Libraries is to be a leading user-centered provider of information resources and services, continually anticipating and responding to all information needs and expectations. As the major research library in the Commonwealth, its mission is to provide comprehensive access to information essential to teaching, research, and services at the University of Kentucky through human resources and maximum use of technology. As library staff meet these needs for the University community, they extend information services to the Commonwealth and make major holdings available to the world.

On-campus service is provided at the new William T. Young Library and the Medical Center Library, and specialized branches in Agriculture, Architecture, Chemistry/Physics, Education, Engineering, Fine Arts, Geology, Law, and Mathematical Sciences. Off-campus support is provided by the Extension Librarian and interlibrary loan.

Student Computing Services administers twenty computer labs that serve personal computing needs of the student community.

<u>Financial Data</u>		<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>	

Librarian FTE Positions	67	67	
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CENTRAL ADMINISTRATION - INFORMATION SYSTEMS (continued)

5. Libraries (continued)

Financial Data (continued)

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty (Librarians)	\$ 3,862,200	\$ 4,029,500
Other Personal Services	5,004,400	5,298,300
Subtotal	8,866,600	9,327,800
Operating Expenses	1,490,000	1,662,000
Library Books	5,815,300	6,231,100
Capital Outlay	120,000	360,000
Total Expenditures	16,291,900	17,580,900
Recharges	(2,400)	(2,700)
Net Expenditures	\$16,289,500	\$17,578,200

Restricted Funds

	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 18,200	\$ 14,900
Operating Expenses	104,400	409,400
Library Books	1,165,400	2,205,200
Capital Outlay	31,900	63,300
Total Expenditures	\$ 1,319,900	\$ 2,692,800

6.

Information Systems Support Services

To continually expand and leverage the effectiveness of information technology to meet the University's strategic goals, Information Systems Support Services operates many of the institution's frontline information technology customer service departments.

The Information Systems (IS) Customer Service Center consolidates frontline IS customer support units to operate as a fast-track entry point to all IS divisions, services, resources, and expertise. Customer Service Center staff facilitate, expedite, and track service delivery and quality from customer query to service delivery. While specifically comprised of the Help Desk, Training Services, and Computing Operations, the Customer Service Center staff assist customers with access to all appropriate IS services and resources.

Comprised of a call center, computing accounts office, and consulting office, the Help Desk operates as the launching point for integration of individual customer-service lines. It is the first point of contact regarding computing problems and queries, and acts as an information clearinghouse and customer advocate for IS issues.

IS Training Services provides instructor-led, hands-on training in desktop software to faculty and staff. NETg, a web-based instructional service, is also available to all University faculty, staff and students at no charge. In addition, this unit has facilitated the development of a university computer certification series for employees, as well as certification preparation training in various other areas.

Publishing Services offers comprehensive printing, duplicating, design, microform, and copier services. Publishing also operates a full-service mail center for the University. Further, Publishing is responsible for the oversight and development of all Information Systems' publicity materials and communications.

CENTRAL ADMINISTRATION - INFORMATION SYSTEMS (continued)

6. Information Systems Support Services (continued)

Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$ 4,118,400	\$ 4,246,600	
Operating Expenses	7,275,700	7,697,300	
Capital Outlay	20,000	20,000	
Total Expenditures	<u>11,414,100</u>	<u>11,963,900</u>	
Recharges	(8,567,600)	(8,972,900)	
Net Expenditures	<u>\$ 2,846,500</u>	<u>\$ 2,991,000</u>	

CENTRAL ADMINISTRATION

VICE PRESIDENT FOR OUTREACH AND INTERNATIONAL AFFAIRS

Vice President For Outreach And International Affairs. Effective July 1, 2001, the responsibility for University Extension programs and the Office of International Affairs have been moved to the Chancellor for the Lexington Campus.

Financial Data*

	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 246,800	\$ -0-
Operating Expenses	80,000	-0-
Total Expenditures	<u>\$ 326,800</u>	<u>\$ -0-</u>

1. University Extension. Effective July 1, 2001, University Extension will report to the Vice Chancellor for Administration and Outreach.

Financial Data*

	<u>2000-01</u>	<u>2001-02</u>
Faculty FTE Positions	44	-0-
Faculty	\$2,608,900	\$ -0-
Other Personal Services	1,452,600	-0-
Subtotal	4,061,500	-0-
Operating Expenses	623,500	-0-
Capital Outlay	10,800	-0-
Total Expenditures	4,695,800	-0-
Recharges	(72,000)	-0-
Net Expenditures	<u>\$4,623,800</u>	<u>\$ -0-</u>

	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 16,500	-0-
Total Expenditures	<u>\$ 16,500</u>	<u>\$ -0-</u>

2. Office of International Affairs - Effective July 1, 2001, the Office of International Affairs will report to the Chancellor on the Lexington Campus.

* University Extension and Office of International Affairs moved in 2001-02 to Lexington Campus.

CENTRAL ADMINISTRATION - VICE PRESIDENT FOR OUTREACH AND INTERNATIONAL AFFAIRS (continued)

2. Office of International Affairs (continued)

Financial Data*

	<u>General Fund</u>	
	<u>2000-01</u>	<u>2001-02</u>
Faculty FTE Positions	2	-0-
Faculty	\$ 158,000	\$ -0-
Personal Services	464,000	-0-
Subtotal	622,000	-0-
Operating Expenses	265,500	-0-
Total Expenditures	\$ 887,500	\$ -0-
	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Operating Expenses	\$ 3,900	\$ -0-
Total Expenditures	\$ 3,900	\$ -0-

* University Extension and Office of International Affairs moved in 2001-02 to Lexington Campus.

CENTRAL ADMINISTRATION

RESEARCH AND GRADUATE STUDIES

The goal of Research and Graduate Studies (RGS) is to foster the growth and development of the University's research and graduate education programs and, thereby, to facilitate accomplishment of the University's mission as the principal research and graduate institution in the system of higher education in Kentucky.

The Office of the Vice President for Research and Graduate Studies provides leadership for and facilitates efforts to strengthen, expand, and develop research and graduate education programs throughout the University. This includes, but is not limited to, the provision of (1) general administrative support for most multidisciplinary centers and institutes, (2) executive management for all graduate programs and most research support units, and (3) special assistance for research endeavors of individual faculty and academic units. The Vice President is also the institutional officer responsible for University adherence to governmental regulations relating to the conduct of research.

1. Instruction - The Research and Graduate Studies area plans, coordinates and supervises the instructional programs for all graduate students.
 - a. The Graduate School plans, coordinates and supervises the instructional programs of all graduate students. This includes students in 89 master's, four specialist, and 60 doctoral level programs. It also coordinates the admissions process, maintains student records, and certifies degrees. In addition, the Graduate School administers University fellowships as well as several other graduate student support programs.
 - b. The Office of Distance Learning Graduate Programs coordinates the delivery of graduate programs to locations across the state. Master's programs in Engineering, Mining Engineering, and Special Education are offered by satellite and by interactive compressed video. Ed.D. programs in Educational Administration and in Higher Education are delivered by interactive compressed video and on-site instruction, and a Master's in Nursing is offered at several sites. Master's programs in Library Science and in Social Work are offered by on-site instruction and by compressed interactive video. Overall, ten different colleges and 11 additional departments deliver graduate courses using distance-learning technology. Specific activities of the Office include: (1) liaison with the units offering distance-learning graduate programming, (2) liaison with other universities, (3) coordination with support units in Lexington, (4) assistance with distance-learning recruitment and advising, (5) planning and implementing electronic communication, and (6) oversight of the NKU Graduate Center. Over 500 students were enrolled in distance learning graduate programs and courses in Fall 2000, with a total of about 900 course registrations.
 - c. In addition to those graduate students enrolled in programs, there are 509 graduate students enrolled as postbaccalaureate students not in a designated program.
 - d. The postdoctoral program provides doctoral graduates with opportunities for extended research and/or teaching experience through activities of direct benefit to the University's teaching and research programs. Some examples are anatomy, biology, biochemistry, crop science, chemistry, materials science and engineering, physics, psychology, sociology, toxicology, and physiology. In Fall 2000, there were 256 students enrolled in postdoctoral programs.

CENTRAL ADMINISTRATION - RESEARCH AND GRADUATE STUDIES (continued)

1. Instruction (continued)

e. The Graduate School received Research Challenge Trust Fund support to increase the University of Kentucky's ability to recruit outstanding graduate students to its leading doctoral programs. This Trust Fund provides enhanced Fellowship support packages and tuition scholarships for graduate students in programs currently judged to be the University's Targets of Opportunity.

2. Research - Programs in Research and Graduate Studies provide for an interdisciplinary approach to the solution of problems facing the Commonwealth and the nation. The Office of the Vice President for Research and Graduate Studies is responsible for the general administrative oversight of the research enterprise and, in addition, provides general administration and supervision of special research units, such as those described below. Much of the research accomplished by these units and by individual faculty is supported through federal and other external sources. Application for, and management of, such support is facilitated by the Office of Sponsored Program Development, and the Office of Sponsored Projects Administration.

a. The Center for Computational Sciences is a center for research and education with primary emphasis on developing and using computer models to analyze chemical, physical, and biological systems. Faculty from Mathematics, Computer Science, Statistics, Engineering, Chemistry, Physics, Biology, Biochemistry, Pharmacy and other departments use a multidisciplinary team approach to study such systems, utilizing the full range of computational resources - from desktop to supercomputers. This includes algorithm development and evaluation for parallel processors. Courses in applied mathematics, numerical analysis, and computer modeling are available for students interested in these analytical approaches. The Center also acts as a liaison with the National Computational Science Alliance (NCSA) which is a nationwide partnership centered at the University of Illinois and funded by the National Science Foundation. The Center has been identified by the Commonwealth of Kentucky as a Center of Excellence.

b. The Kentucky Water Resources Research Institute (KWRI) stimulates and supports water research and education by identifying current and emerging water issues for Kentucky and the nation. Faculty at all the universities and colleges in Kentucky are encouraged to participate in the Institute's programs.

Programs of the Institute include the Environmental Systems Certificate curriculum, which offers graduate students an understanding of the comprehensive integration of sciences concerned with environmental problems and provides an understanding of the interactions of physical, social, biological, economic and legal parameters of environmental issues; Environmental Protection Scholarships, which support both undergraduate and graduate students with full scholarships in exchange for employment with the state upon graduation; assisting the state Cabinet for Health Services with environmental oversight of federal facilities located in Kentucky; Water Resources Research Grants, which stimulate activities by supporting faculty members, research staff and graduate students in a broad spectrum of research topics; and the Institute's Technology Transfer activities, which include short courses, seminars and conferences, and the distribution of research results.

c. The Tobacco and Health Research Institute is the administrative, research, and resource center of a tobacco research program funded by a dedicated tax on cigarettes sold in the Commonwealth of Kentucky.

2. Research (continued)

c. Tobacco and Health Research Institute (continued)

The Institute conducts research in two distinct fields, namely the investigation of health conditions associated with the use of tobacco products, and the application of biotechnology to develop new applications for the tobacco plant. Innovative research in both fields is encouraged through grant programs; proposals for support are subject to peer review. Biotechnology research for the development of new crops based on tobacco is also conducted by an in-house team of investigators, with a particular emphasis on collaborations with research groups in academia and industry. Collaborative projects increase the opportunities for the development of tobacco plants that produce useful new materials, and attract the interest of potential end-users who will eventually constitute the markets for such products. The Kentucky Tobacco Research Board has final approval for the expenditure of funds on all research projects. Faculty at the Institute are active in training pre- and postdoctoral fellows, and in promoting the opportunities for genetically engineered tobacco as a versatile production system. The Institute also provides a unique service to tobacco researchers worldwide through its production of research reference cigarettes, construction of equipment for smoke-exposure studies, and provision of analytical services.

d. The Center of Membrane Sciences brings together University of Kentucky faculty and students from a wide variety of academic specialties in collaborative, multidisciplinary research and graduate education efforts. Currently involved are representatives from the basic and pharmaceutical sciences in the Medical Center and from the biological, physical, and agricultural sciences and engineering on the Lexington Campus. Current research includes work on both biological and synthetic membranes, artificial membranes and their interface. The Center sponsors a colloquium series designed to facilitate interaction and cross-fertilization of ideas and expertise among faculty, research associates, graduate students and postdoctoral scholars.

e. The Center for Applied Energy Research - The mission of the Center is to conduct basic and applied research designed to generate baseline data on the character and use of Kentucky energy resources; to ascertain associated environmental impacts; and to produce, test and evaluate new energy technologies in the Kentucky regional setting. The Center is also extending its activities in instruction and service to complement the University's other established objectives. In this respect, the following objectives are being pursued: to deliver technical support, service and technology transfer; to assist in the application of the new technologies to specific problems; and to provide a site dedicated to the teaching, education, training and experience building of future energy specialists.

f. The Center on Drug and Alcohol Research is a University multidisciplinary unit organized to facilitate interdisciplinary research, teaching, and other activities related to drug and alcohol issues. It brings together researchers, educators, and others from different sectors, colleges, centers and departments who have a strong common interest in the various dimensions of drug and alcohol abuse, use and addiction. With grant funding, Center researchers have focused on examining alcohol and drug abuse in rural Kentucky counties, preventing drug use, examining the incidence and prevalence of drug and alcohol use in Kentucky, and providing training and consultation to drug and alcohol treatment providers regarding women's issues. Also, presentations are made to international, national and statewide audiences; articles are published; specialized educational experiences are provided; and small research grants are provided for University of Kentucky faculty and graduate students to initiate drug and alcohol research studies.

2. Research (continued)

g. The Advanced Science and Technology Commercialization Center (ASTeCC) is a high technology facility that provides laboratory space (and shared instrumentation) in support of interdisciplinary programs in biopolymers, materials sciences, molecular biology, pharmaceutical engineering, and computational sciences. The facility provides an opportunity for faculty to see their research ideas developed into commercial projects.

h. The Center for Structural Biology promotes and facilitates the use of structural biology in research, teaching and commercial development at the University and within the Commonwealth. The Center brings together expertise in x-ray crystallography, NMR spectroscopy, computational sciences, structural bioinformatics and proteomics to work together as a research resource. A journal club and seminar program in structural biology are sponsored by the Center.

i. The Tracy Farmer Center for the Environment is the interdisciplinary and integrative Center for scientific, social, and policy research, education, and service activities at the University of Kentucky focused on environmental problems and issues facing the residents of the Commonwealth, the region, the nation, and the world and on developing sustainable solutions to these problems and issues. By integrating the extensive existing resources and activities of the University that are currently focused on the many diverse aspects of environmental systems and by fostering new interdisciplinary endeavors in all aspects of the physical, chemical, biological and social environment, the Tracy Farmer Center for the Environment seeks to enhance the University of Kentucky's stature as one of the nation's great universities in the environmental area.

3. Public Service - The Research and Graduate Studies sector offers a wide variety of community and statewide services which are usually coordinated through special units such as those described below.

a. The Appalachian Center works to stimulate and coordinate University research and service related to Appalachia. Recent sponsored research projects have included community studies, evaluations of government programs, and studies of education, aging, poverty, and health care. The Appalachian Center collects and disseminates statistical data from a variety of government and research projects and makes these data available to public agencies, regional planners, and researchers.

The Appalachian Center works with the Appalachian Regional Commission, the Governor's Office, area development districts, and other development and planning agencies to encourage cooperation and citizen participation and to support the development of emerging leaders from both student and adult groups as well as to strengthen the civic infrastructure in eastern Kentucky communities. The Appalachian Center supports the arts in Appalachia through traveling exhibits, special events, and teacher training. Working for the continued improvement of education at all levels in the region, the Center provides workshops for public school teachers and administrators. The Center also serves as a major national and regional source of information about Appalachia and makes this information available to the public and the media through publications, lectures and personal interviews.

b. The Council on Aging, an integral part of the Sanders-Brown Center on Aging, offers educational opportunities for older adults. Individuals 65 and over may enroll in academic classes at the University tuition-free under the Donovan Fellowship for Senior Citizens, administered by the Council. In addition, a number of special classes and workshops are offered. The program has gained national recognition as a model program in higher education.

3. Public Service (continued)

c. The Kentucky Geological Survey (KGS) is a research and public service unit of the University charged by state statute to study the water, mineral, energy resources, and geologic hazards in Kentucky and to make the results available to researchers, industry, federal and state agencies, and the general public. The KGS conducts cooperative research with a number of departments and institutes at the University. In addition, KGS serves Kentucky by participating in cooperative programs with the United States Geological Survey in coal resources investigations and topographic map revision. The Survey also cooperates with other federal and state agencies such as the Kentucky Natural Resources and Environmental Protection Cabinet, the United States Department of Energy, and the United States Environmental Protection Agency by conducting contract and grant research projects and participating in joint projects with geological surveys from the contiguous states.

The Kentucky Geological Survey also serves in an advisory capacity to local agencies such as Lexington-Fayette Urban County Government and to state agencies such as the Natural Resources and Environmental Protection Cabinet, the Department of Mines and Minerals, and the Division for Disaster and Emergency Services in areas such as waste disposal, water resources, injection well disposal, earthquakes and other geological hazards, reclamation, mine subsidence, and exploration and development of mineral resources. Designated by statute (KRS Chapter 353) as the official repository for records of all oil and gas wells drilled in Kentucky (including well logs, samples of rock cuttings, and rock cores) and the official state repository for all groundwater data for Kentucky, the KGS manages the Geologic Records Library and the Well Sample and Core Library. KGS staff responds to more than 10,000 requests for information annually.

d. The Interdisciplinary Human Development Institute is a interdisciplinary research, training, and service unit related to persons with developmental disabilities. Interdisciplinary involvement includes education, psychology, medicine, social services, rehabilitation, and allied health professions. Programmatic relationships exist with many local, state and national agencies.

e. The Survey Research Center designs, coordinates, and conducts survey research activities for the University and public agencies and assists faculty and students with survey research projects. The Center's services range from research design and questionnaire development through data analysis and report writing. The Center conducts national, statewide, and local telephone surveys using a state-of-the-art computer-assisted telephone interview system (CATI), mail surveys and face-to-face interviews. It conducts the Kentucky Survey which probes the opinions and attitudes of Kentuckians and the Kentucky Health Survey which addresses a wide range of health issues. Poll data and data from other Center projects are available for faculty and graduate student research.

4. Academic Support - Within Research and Graduate Studies, there are several units dedicated to fostering the research enterprise. Among these are the following:

a. The Office of the Vice President for Research and Graduate Studies provides leadership for and facilitates efforts to strengthen, expand, and develop research and graduate education programs throughout the University. This includes, but is not limited to, the provision of (1) general administrative support for most multidisciplinary centers and institutes, (2) executive management for all graduate programs and most research support units, and (3) special assistance for research endeavors of individual faculty and academic units. The Vice President is also the institutional officer responsible for University adherence to governmental regulations relating to the conduct of research.

4. Academic Support (continued)

- b. The Office of the Vice Chancellor for Research and Graduate Studies, Medical Center and the Office of the Vice Chancellor for Research and Graduate Studies, Lexington Campus are responsible to their respective chancellors and serve as liaison and coordinator with the Vice President for Research and Graduate Studies and the Dean of the Graduate School on matters involving research and graduate education.
- c. The Division of Laboratory Animal Resources is responsible for providing researchers with high quality animals and for ensuring that these animals are appropriately housed and humanely treated. The Division of Laboratory Animal Resources is responsible for providing veterinary care and daily husbandry. In addition, the director and attending veterinarians consult with researchers in the areas of animal model development, animal usage, humane animal treatment, and compliance with University policies and procedures and government regulations.
- d. The Office of the University Veterinarian oversees the University's compliance with all federal, state, and institutional policies concerning the humane and appropriate use and treatment of animals in research and teaching. It also coordinates the University educational programs for animal users and oversees the occupational health program for those personnel working with animals in teaching, testing, training, and research.
- e. The Office of External Affairs for Research and Graduate Studies acts for the Vice President for Research and Graduate Studies as University liaison with the executive branch of the federal government, state agencies, and private sector. The Office also provides administrative oversight to the Office of Sponsored Program Development and the Survey Research Center.
 - (1) Sponsored Program Development is responsible for informing the University community of extramural funding opportunities, for providing liaison services between University researchers and funding agencies, and for assisting in the development and preparation of competitive grant/contract applications.
- f. The Office of Research Integrity is responsible for ensuring compliance with federal and institutional regulations governing protection of human subjects, protocol review of animal care and use studies, and review of radioactive drug research projects. In addition, the Office assists the University in a variety of other policy areas dealing with the ethical conduct of research.
- g. The Office of Administrative and Fiscal Affairs (AFA) is responsible for overall fiscal matters concerning the Research and Graduate Studies area, to include the preparation and management of the University of Kentucky Research Foundation budget and the Research and Graduate Studies operating budget. Additional responsibilities include oversight of the Office of Sponsored Projects Administration as well as other RGS administrative activities.
 - (1) The Office of Sponsored Projects Administration (OSPA) is responsible for the administration of all extramural grants and contracts awarded to the University of Kentucky through the University of Kentucky Research Foundation. Primary responsibilities include: reviewing and submitting proposals; receiving, reviewing and negotiating changes to awarded sponsored projects; serving as a source of information for faculty and staff with regard to sponsored project procedures and regulations; and preparing contractual documents with outside consultants and subcontractors.

4. Academic Support (continued)

h. The Office of Communications and Advancement/Odyssey is responsible for communicating to internal and external audiences to increase support and understanding of research activities and graduate education at the University of Kentucky. The Office provides marketing communications services to RGS Centers and Institutes and administrative units. Communications initiatives include a wide variety of publications, Web publishing, news reports, presentations, public relations projects, and regular advisories to research faculty and staff.

i. The Office of Information Services is responsible for providing and maintaining the computing and communications environment in support of RGS administrative and Graduate School offices. It also provides support by conducting training for RGS personnel and developing software that assists personnel in their day-to-day activities. The Office of Information Services provides technology assessments and recommendations to all RGS units as requested.

j. The Office of Research Assessment (ORA) will provide tools and information, which will facilitate effective research activity management by all levels of University administration. ORA will also develop and implement special projects to enhance the quality, quantity and effectiveness of research and graduate study at the University of Kentucky.

k. The University of Kentucky Research Foundation (UKRF), an affiliated corporation, serves as the University's agent in the receipt of all external grants and contracts, intellectual property income, and other designated income. It oversees the protection, development and commercialization of intellectual properties and manages special cooperative arrangements. The Vice President for Research and Graduate Studies also serves as the Executive Director of UKRF.

Actual	Estimated
<u>2000-01</u>	<u>2001-02</u>

5. Fall Headcount Enrollment - By Degree

Graduate Students (All Programs)	4,837	5,083
Postdoctoral	256	267

6. Continuing Education - Contact Hours

1,100	1,100
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7. Financial Data

	<u>2000-01</u>	<u>General Fund</u>	<u>2001-02</u>
Personal Services	\$14,975,900		\$15,941,000
Operating Expenses	7,824,500		10,447,600
Capital Outlay	256,300		253,300
Total Expenditures	<u>23,056,700</u>		<u>26,641,900</u>
Recharges	(1,979,900)		(2,039,800)
Net Expenditures	<u>\$21,076,800</u>		<u>\$24,602,100</u>

CENTRAL ADMINISTRATION - RESEARCH AND GRADUATE STUDIES (continued)

7. Financial Data (continued)

	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
Personal Services	\$ 2,001,700	\$ 2,032,600
Operating Expenses	2,260,500	2,683,500
Mandatory Transfers - Debt Service	1,591,500	1,581,700
Capital Outlay	<u>264,000</u>	<u>245,500</u>
Total Expenditures	\$ 6,117,700	\$ 6,543,300

CENTRAL ADMINISTRATION
BUDGETED EXPENDITURES DETAIL - GENERAL FUND

	<u>2000-01</u>	<u>2001-02</u>
OFFICE OF THE PRESIDENT		
President	\$ 714,800	\$ 863,600
Board of Trustees	21,900	22,700
Senate Council	110,000	115,500
Academic Ombud Services	78,800	81,300
Academic Affairs Office	198,100	204,400
Legal Counsel	816,300	846,300
Intercollegiate Athletics	204,200	224,800
Center for Rural Development	380,400	396,700
Public Relations*	-0-	888,600
Total	<u>\$ 2,524,500</u>	<u>\$ 3,643,900</u>
FISCAL AFFAIRS		
Fiscal Affairs	\$ 1,382,800	\$ 1,523,600
Administration/Affirmative Action	278,500	307,300
Controller and Treasurer	3,926,200	4,180,200
Environmental Health and Safety	975,000	1,024,900
Human Resource Services	3,521,100	3,816,700
Capital Construction	285,600	150,900
Real Property	269,800	348,700
Physical Plant	26,104,600	27,486,400
Purchasing	1,055,400	1,053,700
Stores	530,000	788,000
Parking and Transportation*	-0-	3,341,200
University Architect	108,700	114,300
Strategic Initiatives	122,000	240,300
Other Staff Benefits	222,500	229,000
Total	<u>\$ 38,782,200</u>	<u>\$ 44,605,200</u>
ADMINISTRATION		
Management and Budget*	\$ 1,019,900	\$ 1,298,200
Institutional Planning, Budgeting and Effectiveness	729,700	763,400
Management and Organization Analysis	457,800	469,900
University Police*	-0-	2,485,600
Other Staff Benefits	28,300	9,900
Total	<u>\$ 2,235,700</u>	<u>\$ 5,027,000</u>

* Funding transferred in 2001-02 from University Relations to other areas within Central Administration.

CENTRAL ADMINISTRATION - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

	2000-01	2001-02
UNIVERSITY RELATIONS		
University Relations*	\$ 444,900	\$ -0-
Development*	3,960,900	-0-
Alumni Affairs*	772,500	-0-
Public Relations*	851,300	-0-
University Police*	2,369,600	-0-
Parking and Transportation*	3,592,300	-0-
Other Staff Benefits*	149,200	-0-
Total	<u>\$ 12,140,700</u>	<u>\$ -0-</u>
DEVELOPMENT/ALUMNI AFFAIRS		
Development*	\$ -0-	\$ 3,983,900
Alumni Affairs*	-0-	907,900
Other Staff Benefits*	-0-	101,500
Total	<u>\$ -0-</u>	<u>\$ 4,993,300</u>
INFORMATION SYSTEMS		
Vice President for Information Systems	\$ 1,523,500	\$ 1,606,100
Communications and Network Systems	3,321,600	3,433,000
Computing Services	6,361,400	6,503,000
Distance Learning Technology Center	2,633,500	2,759,900
Libraries	16,289,500	17,578,200
Publishing and Postal Services	2,846,500	2,991,000
Other Staff Benefits	152,900	152,900
Total	<u>\$ 33,128,900</u>	<u>\$ 35,024,100</u>

* Funding transferred in 2001-02 from University Relations to other areas within Central Administration

CENTRAL ADMINISTRATION - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

	2000-01	2001-02
OUTREACH AND INTERNATIONAL AFFAIRS		
Vice President for Outreach and International Affairs**	\$ 326,800	\$ -0-
Office of International Affairs**	759,300	-0-
Scholarships**	128,200	-0-
University Extension Administrative Programs**	508,800	-0-
Conferences and Institutes**	12,000	-0-
Evening Class Program**	68,100	-0-
Experiential Education**	180,700	-0-
Independent Study Program**	869,200	-0-
Japanese Programs**	343,400	-0-
Summer School**	2,641,600	-0-
Total	\$ 5,838,100	\$ -0-

RESEARCH AND GRADUATE STUDIES

	2000-01	2001-02
Academic Affairs		
Graduate School	\$ 1,410,700	\$ 1,457,800
Appalachian Center	218,200	220,300
Center for Applied Energy Research	4,332,900	4,567,000
Center for Computational Sciences	630,800	641,800
Center of Membrane Sciences	57,900	58,600
Center on Aging	140,100	143,100
Computational Sciences Professorship	60,000	60,000
Council on Aging	623,000	263,600
Kentucky Geological Survey-Administrative Support	673,500	691,000
Kentucky Geological Survey-Program Support	2,637,700	2,729,600
Kentucky Water Resources Research Institute	249,100	254,500
Survey Research Center	144,700	144,700
Total Academic Affairs	\$ 11,178,600	\$ 11,232,000

** Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus and other programs.

CENTRAL ADMINISTRATION - BUDGETED EXPENDITURES DETAIL - GENERAL FUND (continued)

RESEARCH AND GRADUATE STUDIES (continued)

2000-01

2001-02

Academic Support

Vice President for Research and Graduate Studies	\$ 448,800	\$ 477,500
Vice Chancellor for Research and Graduate Studies, Medical Center, and Vice Chancellor for Academic Affairs and Research, Lexington Campus	573,500	598,800
Extended Campus Graduate Centers	53,700	53,700
General Academic Support	1,335,800	2,481,200
Research Administration (OSPA)	2,539,000	2,973,000
Research Equipment Maintenance	900,000	1,103,000
Scholarships/Fellowships	2,432,100	4,006,400
Research Challenge Trust Fund Research and Graduate Programs	1,615,300	1,676,500
Total Academic Support	\$ 9,898,200	\$ 13,370,100
Total Research and Graduate Studies	\$ 21,076,800	\$ 24,602,100

TOTAL CENTRAL ADMINISTRATION GENERAL FUND

\$ 115,726,900 \$ 117,895,600

NONRECURRING FUNDS

Capital Program	\$ 4,420,800	\$ 3,506,400
Total Nonrecurring Funds	\$ 7,745,800	\$ 5,913,100
	\$ 12,166,600	\$ 9,419,500

CENTRAL ADMINISTRATION
BUDGETED EXPENDITURES DETAIL - RESTRICTED FUND

	2000-01	2001-02
CENTRAL ADMINISTRATION		
Office of the President		
Office of the President	\$ 368,700	\$ 63,600
Intercollegiate Athletics	968,100	607,300
Public Relations*	-0-	19,100
Total	<u>\$ 1,336,800</u>	<u>\$ 690,000</u>
Fiscal Affairs		
Nestor Fund	\$ 500	\$ 1,000
Physical Plant	2,300	2,000
Women's Forum	600	600
Total	<u>\$ 3,400</u>	<u>\$ 3,600</u>
Administration		
Management and Budget*	\$ -0-	\$ 87,900
E.O. Robinson Trust Initiatives	1,873,400	2,808,600
Total	<u>\$ 1,873,400</u>	<u>\$ 2,896,500</u>
University Relations		
University Relations*	\$ 5,700	\$ -0-
Development*	37,500	-0-
Alumni Affairs*	48,100	-0-
Public Relations*	11,500	-0-
Total	<u>\$ 102,800</u>	<u>\$ -0-</u>
Development/Alumni Affairs		
Development*	\$ -0-	\$ 39,600
Alumni Affairs*	-0-	45,200
Total	<u>\$ -0-</u>	<u>\$ 84,800</u>
Information Systems		
Libraries	\$ 1,319,900	\$ 2,692,800

* Funding transferred in 2001-02 from University Relations to other areas within Central Administration.

CENTRAL ADMINISTRATION - BUDGETED EXPENDITURES DETAIL - RESTRICTED FUND (continued)

2000-01

2001-02

CENTRAL ADMINISTRATION (continued)

Outreach and International Affairs**

Scholarships	\$ 12,600	\$ -0-
University Extension Administrative Support	7,800	-0-
Total	<u>\$ 20,400</u>	<u>\$ -0-</u>

Research and Graduate Studies

Vice President for Research and Graduate Studies	\$ 50,500	\$ 45,000
ASTeCC	200	200
Interdisciplinary Human Development Institute	-0-	4,700
Water Resources	-0-	300
Membrane Science	-0-	38,000
Appalachian Center	7,600	8,800
Center for Applied Energy Research	150,000	135,500
Computational Sciences	35,000	35,000
Council on Aging	241,200	258,400
Graduate School Program	529,800	826,700
Kentucky Geological Survey	9,000	9,000
Tobacco and Health Research Institute	3,476,900	3,573,000
Tobacco Research Board	26,000	27,000
The Mining and Minerals Trust Fund - Debt Service	1,591,500	1,581,700
Total	<u>\$ 6,117,700</u>	<u>\$ 6,543,300</u>

TOTAL CENTRAL ADMINISTRATION RESTRICTED FUNDS

\$ 10,774,400	\$ 12,826,200
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CENTRAL ADMINISTRATION
BUDGETED EXPENDITURES DETAIL - AUXILIARY FUND

2000-01

2001-02

CENTRAL ADMINISTRATION

Fiscal Affairs

Real Property	\$ 116,500	\$ 116,500
TOTAL AUXILIARY SERVICES	<u>\$ 116,500</u>	<u>\$ 116,500</u>

** Funding transferred in 2001-02 from Vice President for Outreach and International Affairs to Lexington Campus.

UNIVERSITY-WIDE

1. General University Expenses

- a. Annual Giving Program - These are private gifts provided to the University which are used in support of various programs of the institution.
- b. Common Insurance Fund - Expenditures for insurance premiums which provide university-wide coverage for University property provided centrally through this insurance fund.
- c. Cultural Enrichment - The University contributes to the support of concerts, lectures, and other cultural activities as a means of enhancing the cultural dimensions of the University community.
- d. General Expenses - Expenses associated with meetings, official functions and recruitment having institution-wide significance are provided centrally as general expenses of the University.
- e. General Liability/Auto Liability - Through the University's risk management program, these expenditures provide, on a University-wide basis, an improved and more cost effective program for the protection of University employees and their liability exposures as well as an auto liability program which covers all University owned and leased auto liability exposures.
- f. Institutional Dues and Memberships - The University participates in national and regional educational, professional, and accrediting associations and agencies.
- g. Minority Faculty Recruitment - The University is committed to the employment of minority faculty. This program provides an incentive to the academic departments to recruit minority faculty through providing the first year salary from central sources.
- h. University Audit - An independent evaluation of the management and financial operations of the University is performed annually by certified public accountants.

	<u>2000-01</u>	<u>2001-02</u>
Total Recurring Expenditures	\$ 3,160,800	\$ 4,453,000
Nonrecurring Funds	\$ 4,014,400	\$ 3,970,000

2. Staff Benefits

A competitive compensation program for an institution of higher education includes a number of benefits in addition to salaries. The University participates in several optional programs, as well as those which are mandatory. All benefits other than those listed below, i.e. Social Security, life insurance, retirement, disability, and medical costs, are included within the appropriate personal services budgets.

- a. Employee Educational Program - This program provides for the defrayal of the registration fee for up to two credit courses (six hours) per semester for all regular full-time faculty and staff.
- b. Professional Liability Insurance - This program provides liability insurance coverage for members of the boards of the University and its ancillary corporations, as well as decision-making members of the faculty and administration.

UNIVERSITY-WIDE (continued)

2. Staff Benefits (continued)

- c. Retirement Payments - The University is committed to make annual payments from current operating funds upon retirement to those eligible full-time employees not covered by one of the retirement systems or by the United States Civil Service.
- d. Unemployment Insurance - Legislation enacted by the United States Congress and by the Kentucky General Assembly made mandatory the coverage of University employees under the provisions of the Employment Security Act effective July 1, 1972.
- e. Workers' Compensation - Insurance protection is provided in accordance with the requirements set forth in the State Workers' Compensation Act for employees injured or incurring an occupational disease while on the job.

Total Recurring Expenditures

2000-01
\$10,940,200

2001-02
\$11,114,000

UNIVERSITY-WIDE
BUDGETED EXPENDITURES DETAIL - GENERAL FUND

	2000-01	2001-02
GENERAL EXPENSES		
Annual Giving Program	\$ 550,000	\$ 550,000
Common Insurance Fund	998,100	998,100
Cultural Enrichment	25,000	25,000
General Expenses	386,100	546,100
General Liability/Auto Liability	277,700	277,700
Institutional Dues and Memberships	62,400	62,400
Minority Faculty Recruitment	400,000	400,000
Program Improvement Fund	323,800	1,000,000
Special Projects Reserve	105,000	561,000
University Audit	32,700	32,700
Total General Expenses	\$ 3,160,800	\$ 4,453,000
STAFF BENEFITS - UNIVERSITY SYSTEM		
Employee Educational Program	\$ 1,235,100	\$ 1,235,100
Professional Liability Insurance	277,200	277,200
Retirement - Life Insurance and Health Costs	3,203,500	3,632,100
Retirement Payments	4,397,900	4,546,700
Unemployment Insurance	196,700	196,700
Workers' Compensation	1,310,800	1,010,800
Other Staff Benefits	215,400	215,400
Staff Benefits Reserve	103,600	-0-
Total Staff Benefits	\$ 10,940,200	\$ 11,114,000
DEBT SERVICE		
	\$ 3,482,700	\$ 3,363,700
TOTAL UNIVERSITY-WIDE	\$ 17,583,700	\$ 18,930,700
NONRECURRING FUNDS		
Operating Contingency	\$ 2,500,000	\$ 2,500,000
Acquisition of Land	400,000	400,000
Office Rental	120,000	120,000
Presidential Search Committee	196,400	-0-
SACS Accreditation Review	348,000	500,000
Utilities Reserve	450,000	450,000
Total Nonrecurring Funds	\$ 4,014,400	\$ 3,970,000

AFFILIATED CORPORATIONS

The affiliates are private, nonprofit corporations which are affiliated with the University. They include the Athletics Association, the Business Partnership Foundation, the Center on Aging Foundation, the Equine Research Foundation, the Health Care Collection Service, the Humanities Foundation, the Medical Center Fund, the Mining Engineering Foundation, and the Research Foundation.

1. **Athletics Association.** The University's intercollegiate athletics program is supported with gift funds restricted to use in the program and with funds generated through activities of the University of Kentucky Athletics Association, the purpose of which is to promote athletics and physical culture for students at the University of Kentucky and for residents of the Commonwealth of Kentucky.

		<u>Auxiliary and Restricted Funds</u>	
		<u>2000-01</u>	<u>2001-02</u>
REVENUE			
Sales and Services - Auxiliaries	\$30,546,500	\$32,465,000	
Endowment Income	804,000	925,000	
Investment Income	275,000	295,000	
Licensing Royalties	1,800,000	1,800,000	
Fund Balances	650,000	-0-	
Student Fees	530,000	530,000	
Gifts and Grants	<u>3,059,500</u>	<u>4,500,000</u>	
Total	\$37,665,000	\$40,515,000	

EXPENDITURES BY PROGRAM			
Auxiliary Services	\$37,665,000	\$40,515,000	
Total	\$37,665,000	\$40,515,000	

EXPENDITURES BY OBJECT			
Personal Services	\$11,617,500	\$12,797,500	
Operating Expenses	19,346,900	20,112,500	
Mandatory Transfers - Debt Service			
Stadium	2,419,000	2,300,000	
Library	3,181,600	3,180,000	
Capital Outlay	<u>1,100,000</u>	<u>2,125,000</u>	
Total	\$37,665,000	\$40,515,000	

2. **Business Partnership Foundation.** The University of Kentucky Business Partnership Foundation, Inc. has as its purpose to receive, invest, and expend funds for the enhancement and improvement of the College of Business and Economics.

		<u>Restricted Funds</u>	
		<u>2000-01</u>	<u>2001-02</u>
REVENUE			
Endowment Income	\$ 575,200	\$ 620,400	
Investment Income	18,000	10,000	
Gifts and Grants	8,900	10,300	
Fund Balances	<u>299,700</u>	<u>251,600</u>	
Total	\$ 901,800	\$ 892,300	

AFFILIATED CORPORATIONS (continued)

2. Business Partnership Foundation (continued)

	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
EXPENDITURES BY PROGRAM		
Instruction	\$ 78,900	\$ 71,700
Research	78,900	71,700
Academic Support	569,900	544,200
Student Financial Aid	174,100	204,700
Total	<u>\$ 901,800</u>	<u>\$ 892,300</u>
EXPENDITURES BY OBJECT		
Personal Services	\$ 377,700	\$ 336,400
Operating Expenses	524,100	555,900
Total	<u>\$ 901,800</u>	<u>\$ 892,300</u>

3. Center on Aging Foundation. The University of Kentucky Center on Aging Foundation, Inc. has been created to support the University's educational, research and clinical activities related to gerontology.

	<u>Restricted Funds</u>	
	<u>2000-01</u>	<u>2001-02</u>
REVENUE		
Investment Income	\$ 1,300	\$ -0-
Gifts and Grants	300,200	81,700
Fund Balances	274,200	365,000
Total	<u>\$ 575,700</u>	<u>\$ 446,700</u>
EXPENDITURES BY PROGRAM		
Instruction	\$ 3,200	\$ 7,500
Research	572,500	439,200
Total	<u>\$ 575,700</u>	<u>\$ 446,700</u>
EXPENDITURES BY OBJECT		
Personal Services	\$ 230,000	\$ 90,900
Operating Expenses	325,700	335,800
Capital Outlay	20,000	20,000
Total	<u>\$ 575,700</u>	<u>\$ 446,700</u>

4. Equine Research Foundation. The purpose of the University of Kentucky Equine Research Foundation, Inc. is to further establish an equine research program at the University as one of the finest in the nation by a continuing partnership between the University and the horse industry of the Commonwealth for the enhancement and improvement of the Maxwell H. Gluck Equine Research Center and related programs.

AFFILIATED CORPORATIONS (continued)

4. Equine Research Foundation (continued)

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
REVENUE			
Endowment Income	\$ 50,000	\$ -0-	
Fund Balances	556,300	514,400	
Total	<u>606,300</u>	<u>514,400</u>	
Less: Grant to University	596,300	497,700	
Total Net of Grant to University	\$ <u>10,000</u>	\$ <u>16,700</u>	
EXPENDITURES BY PROGRAM			
Research	\$ 5,000	\$ 11,700	
Institutional Support	<u>5,000</u>	<u>5,000</u>	
Total	\$ <u>10,000</u>	\$ <u>16,700</u>	
EXPENDITURES BY OBJECT			
Operating Expenses	\$ <u>10,000</u>	\$ <u>16,700</u>	
Total	\$ <u>10,000</u>	\$ <u>16,700</u>	

NOTE: Expenditures do not include \$547,500 and \$596,300 for transfer to the University for 2000-01 and 2001-02, respectively.

5. Health Care Collection Service. The purpose of the Health Care Collection Service, Inc. is to provide collection services for the health care facilities of the University of Kentucky and the Medical Center Fund.

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
REVENUE			
Sales and Services	\$ 2,699,600	\$ 2,929,200	
Total	<u>\$ 2,699,600</u>	<u>\$ 2,929,200</u>	
EXPENDITURES BY PROGRAM			
Institutional Support	\$ 2,699,600	\$ 2,929,200	
Total	<u>\$ 2,699,600</u>	<u>\$ 2,929,200</u>	
EXPENDITURES BY OBJECT			
Personal Services	\$ 1,483,000	\$ 2,135,100	
Operating Expenses	<u>1,216,600</u>	<u>794,100</u>	
Total	\$ <u>2,699,600</u>	\$ <u>2,929,200</u>	

AFFILIATED CORPORATIONS (continued)

6. Humanities Foundation. The purpose of the Kentucky Humanities Foundation, Inc. is to support the Humanities programs of the University of Kentucky and to provide scholarship monies to students engaged in studies in the humanities at the University.

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
REVENUE			
Endowment Income	\$ 53,400		\$ 58,400
Gifts and Grants	100		100
Fund Balances	<u>74,800</u>		<u>62,100</u>
Total	\$ 128,300		\$ 120,600

	\$	\$
EXPENDITURES BY PROGRAM		
Instruction	34,100	28,100
Student Services	14,500	19,400
Student Financial Aid	<u>79,700</u>	<u>73,100</u>
Total	\$ 128,300	\$ 120,600

	\$	\$
EXPENDITURES BY OBJECT		
Operating Expenses	\$ 128,300	\$ 120,600
Total	\$ 128,300	\$ 120,600

7. The Medical Center Fund. The purpose of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center is to promote, advance and support the educational, research, charitable and other purposes of the University of Kentucky Medical Center.

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
REVENUE			
Gifts and Grants	\$ 1,624,000		\$ 1,500,300
Investment Income	108,500		99,400
Sales and Services	8,425,900		8,026,400
Fund Balances	<u>1,968,200</u>		<u>1,722,600</u>
Total	12,126,600		11,348,700
Less: Grant to University	6,717,900		6,512,000
Total Net of Grant to University	\$ 5,408,700		\$ 4,836,700

AFFILIATED CORPORATIONS (continued)

7. The Medical Center Fund (continued)

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
EXPENDITURES BY PROGRAM			
Instruction	\$ 112,800	\$ 118,400	
Research	314,400	132,400	
Public Service	621,000	518,200	
Academic Support	3,739,500	3,493,700	
Student Services	71,800	83,300	
Institutional Support	465,800	404,100	
Student Financial Aid	80,400	83,600	
Operations and Maintenance	3,000	3,000	
Total	<u>\$ 5,408,700</u>	<u>\$ 4,836,700</u>	

EXPENDITURES BY OBJECT		
Operating Expenses	\$ 5,138,300	\$ 4,594,900
Capital Outlay	<u>270,400</u>	<u>241,800</u>
Total	<u>\$ 5,408,700</u>	<u>\$ 4,836,700</u>

NOTE: Expenditures do not include \$6,717,900 and \$6,512,000 for transfer to the University for 2000-01 and 2001-02, respectively.

8. Mining Engineering Foundation. The purpose of the University of Kentucky Mining Engineering Foundation, Inc. is to receive, invest and expend funds for the enhancement and improvement of the Mining Engineering Department of the College of Engineering.

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
REVENUE			
Endowment Income	\$ 85,900	\$ 101,800	
Total	<u>\$ 85,900</u>	<u>\$ 101,800</u>	

EXPENDITURES BY PROGRAM		
Instruction	\$ 38,700	\$ 45,800
Research	38,600	45,800
Public Service	8,600	10,200
Total	<u>\$ 85,900</u>	<u>\$ 101,800</u>

EXPENDITURES BY OBJECT		
Personal Services	\$ 32,500	\$ 35,000
Operating Expenses	43,400	56,800
Capital Outlay	10,000	10,000
Total	<u>\$ 85,900</u>	<u>\$ 101,800</u>

AFFILIATED CORPORATIONS (continued)

9. Research Foundation. The purpose of the University of Kentucky Research Foundation is to receive, invest and expend funds in promoting and implementing scientific, educational and developmental activities of the University.

	<u>2000-01</u>	<u>Restricted Funds</u>	<u>2001-02</u>
REVENUE			
Grants and Contracts	\$130,020,000		\$161,521,000
Investment Income	62,500		117,800
Endowment Income	156,700		154,800
Other Income	2,830,000		2,430,000
Fund Balances	437,800		3,090,400
Total	<u>133,507,000</u>		<u>167,314,000</u>
Less: Grant to University	7,630,000		9,456,000
Total Net of Grant to University	<u>\$125,877,000</u>		<u>\$157,858,000</u>

	\$	\$
EXPENDITURES BY PROGRAM		
Instruction	17,538,400	20,696,200
Research	83,527,300	105,478,900
Public Service	18,845,900	25,736,900
Academic Support	2,591,500	2,382,500
Student Services	68,100	103,100
Institutional Support	203,600	256,200
Student Financial Aid	2,944,900	3,204,200
Operations and Maintenance	157,300	-0-
Total	<u>\$125,877,000</u>	<u>\$157,858,000</u>

	\$	\$
EXPENDITURES BY OBJECT		
Personal Services	64,864,400	83,033,500
Operating Expenses	51,231,600	63,206,500
Capital Outlay	9,781,000	11,618,000
Total	<u>\$125,877,000</u>	<u>\$157,858,000</u>

NOTE: Expenditures do not include \$7,630,000 and \$9,456,000 for transfer to the University for 2000-01 and 2001-02, respectively.

CAPITAL BUDGET

2001-2002 CAPITAL BUDGET - SUMMARY

Expenditure Category	Total Capital Budget 2000-2001	Operating Budget 2001-2002						Bond Proceeds	Current Plant Funds	Total Capital Budget 2001-2002
		Nonrecurring	Recurring General	Restricted	Affiliates					
PROJECTS										
New Facilities	\$ 88,400,000	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	
Programmatic Renovation	39,184,000	1,448,000	-0-	2,750,000	1,475,000	-0-	19,384,000	25,057,000		
Scheduled Maintenance	12,904,800	500,000	-0-	115,000	140,000	-0-	3,500,000	4,255,000		
Life Safety	1,595,000	-0-	-0-	-0-	120,000	-0-	1,575,000	1,695,000		
Utilities	27,040,000	-0-	-0-	-0-	-0-	-0-	-0-	-0-		
Land Improvement	5,233,500	1,551,000	-0-	-0-	-0-	-0-	2,625,000	5,505,200		
Other Projects	115,000	-0-	-0-	-0-	-0-	-0-	2,000,000	2,000,000		
TOTAL PROJECTS	\$ 174,472,300	\$ 3,499,000	\$ 1,329,200	\$ 2,865,000	\$ 1,735,000	\$ -0-	\$ 29,084,000	\$ 38,512,200		
EQUIPMENT										
Auxiliary	\$ 499,200	\$ -0-	\$ 409,300	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 409,300		
Computing	15,301,700	1,886,500	2,640,200	329,900	1,217,600	-0-	5,684,000	11,758,200		
Communications	2,084,700	-0-	200,500	78,700	-0-	-0-	40,800	320,000		
Office	468,500	61,600	97,300	137,500	82,600	-0-	36,600	415,600		
Instructional	8,311,900	970,000	163,200	172,400	121,100	-0-	-0-	1,426,700		
Research	9,595,700	453,700	752,900	1,231,200	9,437,000	-0-	509,000	12,383,800		
Physical Plant	50,000	-0-	-0-	-0-	-0-	-0-	-0-	-0-		
Other	52,149,800	920,500	139,500	1,006,100	3,448,500	-0-	45,295,600	50,810,200		
TOTAL EQUIPMENT	\$ 88,461,500	\$ 4,292,300	\$ 4,402,900	\$ 2,955,800	\$ 14,306,800	\$ -0-	\$ 51,566,000	\$ 77,523,800		
TOTAL CAPITAL BUDGET	\$ 262,933,800	\$ 7,791,300	\$ 5,732,100	\$ 5,820,800	\$ 16,041,800	\$ -0-	\$ 80,650,000	\$ 116,036,000		
LIBRARY BOOKS	\$ 8,554,100	\$ -0-	\$ 7,812,900	\$ 2,207,200	\$ -0-	\$ -0-	\$ -0-	\$ 10,020,100		

2001-2002 CAPITAL BUDGET - LEXINGTON CAMPUS

Expenditure Category	Capital Budget 2000-2001	Operating Budget 2001-2002					Bond Proceeds	Current Plant Funds	Total Capital Budget 2001-2002
		Nonrecurring	Recurring General	Restricted	Affiliates				
PROJECTS									
Programmatic Renovation									
Less than \$400,000		\$ -0-	\$ -0-	\$ 2,500,000	\$ -0-	\$ -0-	\$ -0-	\$ 2,500,000	
TOTAL PROJECTS	\$ 22,157,000	\$ -0-	\$ -0-	\$ 2,500,000	\$ -0-	\$ -0-	\$ -0-	\$ 2,500,000	
EQUIPMENT									
Auxiliary									
Less than \$100,000		\$ -0-	\$ 409,300	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 409,300	
Computing									
Less than \$100,000		87,800	338,400	201,500	137,200	-0-	-0-	764,900	
Lease/Purchase < \$100,000		-0-	416,000	-0-	-0-	-0-	-0-	416,000	
Communications									
Less than \$100,000		-0-	101,200	78,700	-0-	-0-	-0-	179,900	
Lease/Purchase < \$100,000		-0-	79,300	-0-	-0-	-0-	-0-	79,300	
Office									
Less than \$100,000		-0-	76,600	10,000	-0-	-0-	-0-	86,600	
Instructional									
Less than \$100,000		345,000	136,000	157,400	100,000	-0-	-0-	738,400	
Research									
High Power CO2 Laser		-0-	-0-	-0-	250,000	-0-	-0-	250,000	
Databse Testbed		-0-	-0-	-0-	225,000	-0-	-0-	225,000	
Ultracentrifuge		-0-	-0-	-0-	110,000	-0-	-0-	110,000	
NSF Fileserver		-0-	-0-	-0-	150,000	-0-	-0-	150,000	
Less than \$100,000		260,000	567,000	865,900	1,645,000	-0-	-0-	3,337,900	
Other									
Less than \$100,000		472,100	62,400	270,800	2,872,400	-0-	-0-	3,677,700	
Lease/Purchase < \$100,000		-0-	19,600	-0-	-0-	-0-	-0-	19,600	
TOTAL EQUIPMENT	\$ 9,930,200	\$ 1,164,900	\$ 2,205,800	\$ 1,584,300	\$ 5,489,600	\$ -0-	\$ -0-	\$ 10,444,600	
TOTAL CAPITAL BUDGET	\$ 32,087,200	\$ 1,164,900	\$ 2,205,800	\$ 4,084,300	\$ 5,489,600	\$ -0-	\$ -0-	\$ 12,944,600	
LIBRARY BOOKS	\$ 145,200	\$ -0-	\$ 131,500	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 131,500	

2001-2002 CAPITAL BUDGET - MEDICAL CENTER

Expenditure Category	Total Capital Budget 2000-2001		Operating Budget 2001-2002					Total Capital Budget 2001-2002	
			Nonrecurring	Recurring General	Restricted	Affiliates	Bond Proceeds	Current Plant Funds	
PROJECTS									
Programmatic Renovation									
Less than \$400,000			\$ 445,000	\$ -0-	\$ 250,000	\$ 250,000	\$ -0-	\$ 27,000	\$ 972,000
Scheduled Maintenance									
Less than \$400,000			400,000	-0-	-0-	-0-	-0-	-0-	400,000
TOTAL PROJECTS	\$ 76,320,700	\$ 845,000²	\$ -0-	\$ 250,000	\$ 250,000	\$ 250,000	\$ -0-	\$ 27,000	\$ 1,372,000
EQUIPMENT									
Computing									
Less than \$100,000			\$ 726,900	\$ 93,500	\$ 100,400	\$ 476,900	\$ -0-	\$ 10,000	\$ 1,407,700
Lease/Purchase < \$100,000			-0-	-0-	-0-	-0-	-0-	20,000	20,000
Office									
Less than \$100,000			46,000	18,000	127,500	39,100	-0-	-0-	230,600
Lease/Purchase < \$100,000			-0-	-0-	-0-	32,200	-0-	-0-	32,200
Instructional									
Less than \$100,000			375,000	17,200	15,000	21,100	-0-	-0-	428,300
Research									
3T Human Research									
System - L/P ¹			-0-	-0-	-0-	2,527,000	-0-	-0-	2,527,000
Less than \$100,000			125,000	6,100	255,300	3,445,000	-0-	113,000	3,944,400
Other									
Less than \$100,000			135,000	17,500	267,300	91,100	-0-	50,000	560,900
Lease/Purchase < \$100,000			-0-	-0-	-0-	25,000	-0-	-0-	25,000
TOTAL EQUIPMENT	\$ 5,932,700	\$ 1,407,900	\$ 152,300	\$ 765,500	\$ 6,657,400	\$ -0-	\$ 193,000	\$ 9,176,100	
TOTAL CAPITAL BUDGET	\$ 82,253,400	\$ 2,252,900	\$ 152,300	\$ 1,015,500	\$ 6,907,400	\$ -0-	\$ 220,000	\$ 10,548,100	
LIBRARY BOOKS	\$ 1,423,200	\$ -0-	\$ 1,445,300	\$ 2,000	\$ -0-	\$ -0-	\$ -0-	\$ 1,447,300	

¹ L/P refers to lease purchase items

² \$600,000 will be matched on a 1:1 basis by the CPE Capital Renewal and Maintenance Pool.

2001-2002 CAPITAL BUDGET - HOSPITAL

Expenditure Category	Total Capital Budget 2000-2001		Operating Budget 2001-2002				Total Capital Budget 2001-2002		
	2000-2001	2001-2002	Nonrecurring	Recurring General	Restricted	Affiliates	Bond Proceeds	Current Plant Funds	
PROJECTS									
Programmatic Renovation									
Imaging Services			\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 3,675,000	\$ 3,675,000
Materials Handling Storage/ Distribution Center			-0-	-0-	-0-	-0-	-0-	1,019,000	1,019,000
Data Systems Expansion I			-0-	-0-	-0-	-0-	-0-	595,000	595,000
Building Site Upgrade III			-0-	-0-	-0-	-0-	-0-	767,000	767,000
Hospital Parking Expansion			-0-	-0-	-0-	-0-	-0-	3,100,000	3,100,000
Hospital Kitchen									
Renovation I			-0-	-0-	-0-	-0-	-0-	1,050,000	1,050,000
Nursing Unit									
Modification X			-0-	-0-	-0-	-0-	-0-	3,780,000	3,780,000
Diagnostic Services									
Upgrade IX			-0-	-0-	-0-	-0-	-0-	1,575,000	1,575,000
Data Systems Expansion II			-0-	-0-	-0-	-0-	-0-	641,000	641,000
Diagnostic Services									
Upgrade X			-0-	-0-	-0-	-0-	-0-	1,155,000	1,155,000
Less than \$400,000			-0-	-0-	-0-	-0-	-0-	2,000,000	2,000,000
Scheduled Maintenance									
HVAC Upgrade			-0-	-0-	-0-	-0-	-0-	3,500,000	3,500,000
Life Safety									
Biohazard/Environmental Protection II			-0-	-0-	-0-	-0-	-0-	1,575,000	1,575,000
Land Improvement Implementation of Land Use Plan III			-0-	-0-	-0-	-0-	-0-	2,625,000	2,625,000
Other Projects									
Less than \$400,000			-0-	-0-	-0-	-0-	-0-	2,000,000	2,000,000
TOTAL PROJECTS	\$ 27,316,000		\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 29,057,000	\$ 29,057,000

2001-2002 CAPITAL BUDGET - HOSPITAL

Expenditure Category	Total Capital Budget 2000-2001		Operating Budget 2001-2002					Bond Proceeds	Current Plant Funds	Total Capital Budget 2001-2002
	Nonrecurring	Recurring	General	Restricted	Affiliates					
EQUIPMENT										
Computing										
Upgrade HIS Computing										
Facilities - L/P ¹	-0-	\$	-0-	-0-	-0-	-0-	-0-	-0-	\$ 2,900,000	\$ 2,900,000
Mass Storage Capability	-0-		-0-	-0-	-0-	-0-	-0-	-0-	200,000	200,000
Upgrade Disk Capacity	-0-		-0-	-0-	-0-	-0-	-0-	-0-	250,000	250,000
Managed Care Enterprise	-0-		-0-	-0-	-0-	-0-	-0-	-0-	1,160,000	1,160,000
Other	-0-		-0-	-0-	-0-	-0-	-0-	-0-	986,000	986,000
Digital Enhancement - L/P	-0-		-0-	-0-	-0-	-0-	-0-	-0-	200,000	200,000
Mobile Fluoroscopy	-0-		-0-	-0-	-0-	-0-	-0-	-0-	300,000	300,000
Vascular Ultrasound	-0-		-0-	-0-	-0-	-0-	-0-	-0-	870,000	870,000
Nuclear Medicine Camera - L/P	-0-		-0-	-0-	-0-	-0-	-0-	-0-	3,480,000	3,480,000
Clinical Information System - L/P	-0-		-0-	-0-	-0-	-0-	-0-	-0-	550,000	550,000
Surgical C-Arm (ISS) System	-0-		-0-	-0-	-0-	-0-	-0-	-0-	400,000	400,000
Surgical Laser	-0-		-0-	-0-	-0-	-0-	-0-	-0-	400,000	400,000
Radiology Ultrasound	-0-		-0-	-0-	-0-	-0-	-0-	-0-	200,000	200,000
Mobile Radiology Unit	-0-		-0-	-0-	-0-	-0-	-0-	-0-	928,000	928,000
General Radiology Unit - L/P	-0-		-0-	-0-	-0-	-0-	-0-	-0-	250,000	250,000
Endoscopy Video Ultrasound	-0-		-0-	-0-	-0-	-0-	-0-	-0-	400,000	400,000
Laboratory Analyzer	-0-		-0-	-0-	-0-	-0-	-0-	-0-	250,000	250,000
C-Arm X-Ray Unit	-0-		-0-	-0-	-0-	-0-	-0-	-0-	9,280,000	9,280,000
Cardiac Cath Laboratory Unit - L/P	-0-		-0-	-0-	-0-	-0-	-0-	-0-	500,000	500,000
General Radiography/ Fluoroscopic Unit	-0-		-0-	-0-	-0-	-0-	-0-	-0-	200,000	200,000
OR Perioperative IS	-0-		-0-	-0-	-0-	-0-	-0-	-0-	200,000	200,000
Document System	-0-		-0-	-0-	-0-	-0-	-0-	-0-	5,800,000	5,800,000
Mammography Unit	-0-		-0-	-0-	-0-	-0-	-0-	-0-	350,000	350,000
Electrophysiology Lab - L/P	-0-		-0-	-0-	-0-	-0-	-0-	-0-	928,000	928,000
C-Arm X-Ray Unit	-0-		-0-	-0-	-0-	-0-	-0-	-0-	2,088,000	2,088,000
General Radiography Unit - L/P	-0-		-0-	-0-	-0-	-0-	-0-	-0-	400,000	400,000
Radiation Therapy Unit - L/P	-0-		-0-	-0-	-0-	-0-	-0-	-0-	2,320,000	2,320,000
EKG Unit	-0-		-0-	-0-	-0-	-0-	-0-	-0-	200,000	200,000
Gamma Knife Upgrade - L/P	-0-		-0-	-0-	-0-	-0-	-0-	-0-		
EMG Unit	-0-		-0-	-0-	-0-	-0-	-0-	-0-		

1 L/P refers to lease purchase items

2001-2002 CAPITAL BUDGET - HOSPITAL

Expenditure Category	Total Capital Budget 2000-2001		Operating Budget 2001-2002					Total Capital Budget 2001-2002	
	2000-2001	2001-2002	Nonrecurring	Recurring General	Restricted	Affiliates	Bond Proceeds	Plant Funds	2001-2002
CR PAC Server	-0-	-0-	-0-	-0-	-0-	-0-	-0-	250,000	250,000
Radiographic Unit	-0-	-0-	-0-	-0-	-0-	-0-	-0-	350,000	350,000
C-Arm X-Ray Unit	-0-	-0-	-0-	-0-	-0-	-0-	-0-	400,000	400,000
Linear Accelerator - L/P	-0-	-0-	-0-	-0-	-0-	-0-	-0-	1,856,000	1,856,000
Vascular Ultrasound	-0-	-0-	-0-	-0-	-0-	-0-	-0-	800,000	800,000
Sterrad Sterilizer	-0-	-0-	-0-	-0-	-0-	-0-	-0-	375,000	375,000
Washer	-0-	-0-	-0-	-0-	-0-	-0-	-0-	230,000	230,000
ATL Ultrasound	-0-	-0-	-0-	-0-	-0-	-0-	-0-	200,000	200,000
Steam Autoclave	-0-	-0-	-0-	-0-	-0-	-0-	-0-	375,000	375,000
OB Ultrasound	-0-	-0-	-0-	-0-	-0-	-0-	-0-	300,000	300,000
Less than \$100,000	-0-	-0-	-0-	-0-	403,200	-0-	-0-	7,000,000	7,403,200
Lease/purchase < \$100,000	-0-	-0-	-0-	-0-	-0-	-0-	-0-	1,612,000	1,612,000
TOTAL EQUIPMENT	\$ 50,431,000	\$ 50,431,000	\$ -0-	\$ -0-	\$ 403,200	\$ -0-	\$ -0-	\$ 49,738,000	\$ 50,141,200
TOTAL CAPITAL BUDGET	\$ 77,747,000	\$ 77,747,000	\$ -0-	\$ -0-	\$ 403,200	\$ -0-	\$ -0-	\$ 78,795,000	\$ 79,198,200
LIBRARY BOOKS	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-

2001-2002 CAPITAL BUDGET - CENTRAL ADMINISTRATION

Expenditure Category	Total Capital Budget 2000-2001	Operating Budget 2001-2002						Bond Proceeds	Current Plant Funds	Total Capital Budget 2001-2002
		Nonrecurring	Recurring General	Restricted	Affiliates					
PROJECTS										
Programmatic Renovation		\$ 1,000,000	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 1,000,000	
Classroom Upgrade		3,000	-0-	-0-	1,170,000	-0-	-0-	-0-	1,173,000	
Land Improvement		1,151,000	1,329,200	-0-	-0-	-0-	-0-	-0-	2,480,200	
Less than \$400,000										
TOTAL PROJECTS	\$ 19,023,600	\$ 2,154,000	\$ 1,329,200	\$ -0-	\$ 1,170,000	\$ -0-	\$ -0-	\$ -0-	\$ 4,653,200	
EQUIPMENT										
Computing										
Less than \$100,000		\$ 387,000	\$ 50,000	\$ 9,000	\$ 505,000	\$ -0-	\$ -0-	\$ -0-	\$ 951,000	
Office										
Less than \$100,000		2,300	1,200	-0-	-0-	-0-	-0-	-0-	3,500	
Other										
Less than \$100,000		288,400	20,000	-0-	300,000	-0-	-0-	-0-	608,400	
Lease/Purchase < \$100,000		-0-	-0-	-0-	150,000	-0-	-0-	-0-	150,000	
TOTAL EQUIPMENT	\$ 1,204,800	\$ 677,700	\$ 71,200	\$ 9,000	\$ 955,000	\$ -0-	\$ -0-	\$ -0-	\$ 1,712,900	
TOTAL CAPITAL BUDGET	\$ 20,228,400	\$ 2,831,700	\$ 1,400,400	\$ 9,000	\$ 2,125,000	\$ -0-	\$ -0-	\$ -0-	\$ 6,366,100	
LIBRARY BOOKS	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	

2001-2002 CAPITAL BUDGET - INFORMATION SYSTEMS

Expenditure Category	Total Capital Budget		Operating Budget 2001-2002					Total Capital Budget	
	2000-2001	2001-2002	Nonrecurring	Recurring General	Restricted	Affiliates	Bond Proceeds	Current Plant Funds	2001-2002
PROJECTS									
TOTAL PROJECTS	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-
EQUIPMENT									
Computing									
Supercomputer Upgrade I - L/P ¹		\$ 583,800	\$ 496,700	\$ -0-	\$ -0-	\$ -0-	\$ 611,400	\$ 1,691,900	
Storage Management									
Upgrade - L/P		-0-	-0-	-0-	-0-	-0-	200,000	200,000	
Printing System - L/P		-0-	-0-	-0-	-0-	-0-	200,000	200,000	
Less than \$100,000		-0-	25,000	-0-	-0-	11,300	-0-	36,300	
Lease/Purchase < \$100,000		-0-	1,183,600	-0-	-0-	-0-	132,600	1,316,200	
Communications									
Less than \$100,000		-0-	20,000	-0-	-0-	-0-	-0-	20,000	
Lease/Purchase < \$100,000		-0-	-0-	-0-	-0-	-0-	40,800	40,800	
Office									
Lease/Purchase < \$100,000		-0-	-0-	-0-	-0-	-0-	36,600	36,600	
Other									
Less than \$100,000		-0-	-0-	-0-	-0-	-0-	-0-	63,300	
Lease/Purchase < \$100,000		-0-	-0-	-0-	-0-	-0-	17,600	17,600	
TOTAL EQUIPMENT	\$ 11,709,200	\$ 583,800	\$ 1,725,300	\$ 63,300	\$ 11,300	\$ -0-	\$ 1,239,000	\$ 3,622,700	
TOTAL CAPITAL BUDGET	\$ 11,709,200	\$ 583,800	\$ 1,725,300	\$ 63,300	\$ 11,300	\$ -0-	\$ 1,239,000	\$ 3,622,700	
LIBRARY BOOKS	\$ 6,980,700	\$ -0-	\$ 6,231,100	\$ 2,205,200	\$ -0-	\$ -0-	\$ -0-	\$ 8,436,300	

¹ L/P refers to lease purchase items

2001-2002 CAPITAL BUDGET - RESEARCH AND GRADUATE STUDIES

Expenditure Category	Total Capital Budget 2000-2001	Operating Budget 2001-2002					Bond Proceeds	Current Plant Funds	Total Capital Budget 2001-2002
		Nonrecurring	Recurring General	Restricted	Affiliates				
PROJECTS									
Programmatic Renovation									
Less than \$400,000		\$ -0-	\$ -0-	\$ -0-	\$ 55,000	\$ -0-	\$ -0-	\$ 55,000	
Scheduled Maintenance									
Less than \$400,000		100,000	-0-	115,000	140,000	-0-	-0-	355,000	
Life Safety									
Less than \$400,000		-0-	-0-	-0-	120,000	-0-	-0-	120,000	
TOTAL PROJECTS	\$ 365,000	\$ 100,000	\$ -0-	\$ 115,000	\$ 315,000	\$ -0-	\$ -0-	\$ 530,000	
EQUIPMENT									
Computing									
Less than \$100,000		\$ 101,000	\$ 37,000	\$ 19,000	\$ 87,200	\$ -0-	\$ -0-	\$ 244,200	
Office									
Less than \$100,000		13,300	1,500	-0-	11,300	-0-	-0-	26,100	
Instructional									
Less than \$100,000		-0-	10,000	-0-	-0-	-0-	-0-	10,000	
Research									
Gas Analyzer		-0-	-0-	-0-	100,000	-0-	-0-	100,000	
Laser Ablation Sampling System		-0-	-0-	-0-	200,000	-0-	-0-	200,000	
Field Emission Scanning									
Electron Microscope		-0-	-0-	-0-	175,000	-0-	-0-	175,000	
Instrumentation for									
Materials Characterization		-0-	-0-	-0-	150,000	-0-	-0-	150,000	
Gas Chromatograph/MSD		-0-	-0-	-0-	110,000	-0-	-0-	110,000	
System for Materials Forming		-0-	-0-	-0-	180,000	-0-	-0-	180,000	
High Resolution Optical									
Microscope		-0-	-0-	-0-	110,000	-0-	-0-	110,000	
Less than \$100,000		68,700	179,800	110,000	60,000	-0-	378,000	796,500	
Lease/Purchase < \$100,000		-0-	-0-	-0-	-0-	-0-	18,000	18,000	
Other									
Less than \$100,000		25,000	20,000	1,500	10,000	-0-	-0-	56,500	
TOTAL EQUIPMENT	\$ 1,868,600	\$ 208,000	\$ 248,300	\$ 130,500	\$ 1,193,500	\$ -0-	\$ 396,000	\$ 2,176,300	
TOTAL CAPITAL BUDGET	\$ 2,233,600	\$ 308,000	\$ 248,300	\$ 245,500	\$ 1,508,500	\$ -0-	\$ 396,000	\$ 2,706,300	
LIBRARY BOOKS	\$ 5,000	\$ -0-	\$ 5,000	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 5,000	

2001-2002 CAPITAL BUDGET - UNIVERSITY WIDE

Expenditure Category	Total Capital Budget 2000-2001	Operating Budget 2001-2002					Bond Proceeds	Current Plant Funds	Total Capital Budget 2001-2002
		Nonrecurring	Recurring General	Restricted	Affiliates				
PROJECTS									
Land Improvement		\$ 400,000	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 400,000	
Acquisition of Land		\$ 400,000	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 400,000	
TOTAL PROJECTS	\$ 29,290,000	\$ 400,000	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 400,000	
EQUIPMENT									
Instructional		\$ 250,000	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 250,000	
Less than \$100,000		\$ 250,000	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 250,000	
TOTAL EQUIPMENT	\$ 7,385,000¹	\$ 250,000	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 250,000	
TOTAL CAPITAL BUDGET	\$ 36,675,000	\$ 650,000	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ 650,000	
LIBRARY BOOKS	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	\$ -0-	

¹ Projects funded by agency bond pool included in the CPE budget but not allocated specifically until fall 2000. A proposed instructional equipment pool was approved by CPE, the Secretary of Finance, and Capital Projects Bond Oversight Committee.

UK

EQUAL OPPORTUNITY
INSTITUTION