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Office of the President
June 16, 2022

Members, Audit and Compliance Committee:

PROPOSED INTERNAL AUDIT FY 2022-23
WORK PRIORITIZATION PLAN

Recommendation: that the proposed Internal Audit Work Prioritization Plan be approved for FY 2022-23.

Background: per the Internal Audit Charter, the Audit and Compliance Committee is required to review and approve the Internal Audit Work Prioritization Plan annually. The proposed FY 2022-23 Work Prioritization is attached.

Action taken: Approved Disapproved Other _____

UK INTERNAL AUDIT FY 2022-23 WORK PRIORITIZATION

FY 2022-23 Work Prioritization

Processes

- Asset Management
- Employee Lifecycle
- Endowments and Gift Accounting
- **Export Controls**
- Fringe Benefits
- **Student Aid**
- Procurement
- Travel
- **UK HealthCare Revenue Cycle**

Information Systems

- Governance
- Cybersecurity Operations
- **Data Centers**

Units

- Academics
- Athletics
- **Research Centers**
- UK HealthCare Services

Inquiries

- Comply Line Calls
- Direct Reports (First-hand Accounts)
- UKIA Website Form

Follow-ups

- Comprehensive Reviews
- Information Technology
- Inquiries/Investigations
- Repetitive Audits

Other/Ongoing Activities

- Committee Membership
- Partnerships
- Task Force Participation
- Data Analytics
- Consultations

Bold items denote carryover from FY 2021-22.

Blue box indicates the items that are consistently a part of UKIA's ongoing Work Prioritization.