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Office of the President  
June 18, 2020

Members, Audit and Compliance Committee:

PROPOSED INTERNAL AUDIT FY 2020-21  
WORK PRIORITIZATION PLAN

Recommendation: That the proposed Internal Audit Work Prioritization Plan be approved for FY 2020-21.

Background: Per the Internal Audit Charter, the Audit and Compliance Committee is required to review and approve the Internal Audit Work Prioritization Plan annually. The FY 2020-21 Work Prioritization Plan is attached.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

## UK Internal Audit FY 2020-21 Work Prioritization Plan

Processes		Units		Information Systems	
1	Business Continuity	1	Academic Units	1	Access Controls
2	Cash Handling	2	Alumni Associations	2	Disaster Recovery
3	Compensation	3	Hospital Services	3	In-House Applications
4	Conflict of Interest	4	Share Services	4	Server Configuration
5	Contract Management	5	Student Support Services	5	Routine Maintenance
6	Disbursements	6	Retail Operations	6	Unit Applications
7	Inventory Management				
8	Scholarships				
9	Sponsored Contracts				
10	Supply Chain				
11	Student Financial Aid				
12	Tuition and Fees				
Follow-Ups*		Inquiries		Other Activities	
1	Comprehensive Reviews	1	Comply Line	1	Committee Membership
2	Consultations	2	First-hand Accounts	2	Partnerships
3	Data Mining	3	UKIA Website	3	Taskforce Participation
4	Information Technology				
5	Inquiries / Investigations				
6	Repetitive Audits				