

Minutes of the Meeting of the Board of Trustees  
University of Kentucky  
University Health Care Committee  
Monday, December 10, 2018

The University Health Care Committee of the Board of Trustees of the University of Kentucky met on Monday, December 10, 2018, in the Gatton Student Center on the University of Kentucky campus.

A. Meeting Opened

Robert Vance, Chair of the University Health Care Committee, called the meeting to order at 3:30 p.m.

B. Roll Call

The following members of the University Health Care Committee answered the call of the roll: James Booth, Cammie DeShields Grant, Robert Grossman, Robert Vance, and Barbara Young.

Chair Vance announced that a quorum was present.

The UK HealthCare and University administration was represented by President Eli Capilouto, Executive Vice President for Health Affairs Mark F. Newman, Executive Vice President for Finance and Administration Eric N. Monday, Provost David Blackwell, Vice President and College of Medicine Dean Robert DiPaola, Vice President for Administration and External Affairs Mark D. Birdwhistell, Vice President and Chief Financial Officer Craig Collins, and Interim Vice President for Hospital Operations Colleen Swartz.

Guests and members of the news media were also in attendance.

C. Approval of Minutes

Chair Vance asked for a motion for approval of the minutes from the September 14, 2018 meeting. Trustee Booth moved approval of the minutes. Trustee Grossman seconded the motion and the minutes passed without dissent. (See minutes on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

D. Executive Vice President for Health Affairs Update

Dr. Mark Newman updated the Committee on the new Lexington Clinic collaboration. Lexington Clinic and UK HealthCare have identified an immediate opportunity in cancer services. Dr. Newman explained that in areas where Lexington Clinic has clinical strength, UK HealthCare has opportunities for improvement. Sixty-five percent of Lexington Clinic's cancer cases originate from UK HealthCare's primary service area. Lexington Clinic will benefit by

gaining access to clinical trials and precision medicine that is only available at an academic medical center. UK HealthCare's patients will benefit by having convenient ambulatory access in key local geographies. Dr. Newman stated that it is an opportunity to reach into the community to provide better access to UK HealthCare's patients. The collaboration with Lexington Clinic will provide increased cancer patient volumes to put UK HealthCare in the top 25% and will move UK HealthCare closer to achieving a National Cancer Institute (NCI) Comprehensive status. The two entities have signed a non-binding letter of intent and hope that it is a first of many collaborations. Dr. Newman has been attending UK HealthCare departmental and faculty meetings to communicate these strategies.

Dr. Newman continued by discussing the current joint venture with the Lexington Surgery Center. The first procedures have been performed and the Lexington Surgery Center will break ground on a new facility to be located near the UK HealthCare Turfland location. Next steps include a groundbreaking, coordination of pathology services, a co-marketing agreement, and ongoing quality control and review. The Committee will receive frequent updates regarding the progress of the joint venture with the Lexington Surgery Center.

Dr. Newman discussed changes to his organizational structure and announced that Dr. Colleen Swartz would be the Interim Vice President for Hospital Operations due to the reorganization. During the reorganization, the Chief Clinical Operations Officer position was eliminated and will not be replaced.

Dr. Newman yielded the floor to Dr. Swartz to discuss the recent Triennial Survey by The Joint Commission. During the revisit in December, the surveyors found that UK HealthCare had addressed and had plans for all areas of concern during their first visit in November. Trustee Blonder inquired about the long-term vision for the Lexington Clinic partnership. Dr. Newman stated he envisioned the partnership creating additional access for UK HealthCare.

Efforts are being made at UK HealthCare to quantify the impact and cost of treating substance use disorders. Both UK HealthCare and the University are looking at a number of partnerships to reduce cost and mortality. Dr. Newman introduced the Director of the UK Center on Drug and Alcohol Research Dr. Sharon Walsh to further discuss those efforts. Dr. Walsh announced a grant proposal called Kentucky CAN HEAL worth approximately \$96,000,000 and includes 17 counties in the state of Kentucky. Trustee Young asked Dr. Walsh how many patients are currently being seen in the First Bridge Clinic located on Angliana Avenue. Dr. Walsh stated that the Clinic has a census of approximately 50 people. Trustee Blonder asked what Colleges are participating in the grant. Dr. Walsh stated that the grant would allow training in the UK College of Medicine and the UK College of Public Health.

#### E. Quality Update

Chair Vance introduced Drs. Chang, Williams, and Swartz to give the Quality Update.

##### a. UHCCR 1 Approval of the Fiscal Year 2019 Enterprise, Safety, and Patient Experience Plan

Dr. Colleen Swartz presented the FY 2019 Enterprise, Safety, and Patient Experience Plan to the Committee and reviewed modifications made from the FY 2018 Plan. She stated that efforts would be made to get the Plan in sequence with the Enterprise Goals to allow approval of both at

the same meeting. Hearing no further discussion, Chair Vance requested a motion to approve UHCCR 1. Trustee Young made a motion to approve and Trustee Grant seconded the motion. The motion carried without dissent.

#### F. Compliance Update

Chair Vance yielded the floor to UK HealthCare Chief Compliance Officer Brett Short to update the Committee on new programs and other work in the Office of Corporate Compliance. Mr. Short discussed the essential elements of a compliance program and how the department determines and prioritizes their work. Mr. Short introduced Chief Privacy Officer Richard Chapman. Mr. Chapman reviewed areas covered by the Health Insurance Portability and Accountability Act (HIPAA) and common violations in most organizations. He covered and reviewed the FY 2019 Privacy Work Plan. Director of Compliance Glenna Jarboe summarized the presentation and reviewed future roles and responsibilities. Chair Vance reiterated the importance of compliance and asked that if anyone sees an area of concern to contact Mr. Short.

#### G. Graduate Medical Education (GME) Update

Chair Vance introduced Assistant Dean of Graduate Medical Education Dr. Katherine McKinney to discuss outcomes from the 2017-18 Graduate Medical Annual Institutional Review. Dr. McKinney provided the Committee with the fully documented review and discussed the outcomes for the 62 programs in the University of Kentucky College of Medicine. Dr. McKinney reported that 52 of the 62 programs are in continued accreditation. Nine programs are in initial accreditation due to being new. One program has not seen graduates at this time and zero programs are in probation. Forty-nine percent of Residents and Fellows from the UK College of Medicine go into practice in Kentucky. The College has increased the number of total ACGME Residents and Fellows from 673 to 717. Dr. McKinney reported that the Bowling Green campus has helped with the expansion. The Committee discussed the need to grow the GME programs to retain medical school graduates locally.

#### H. Financial Update-FY 2019 October Operating Results

Colleen Swartz reviewed the Key Performance Indicators for FY 2019 October. Both hospital and outpatient volumes remain strong. Operating room volumes are under budget due to delays in opening the six new rooms and a ramp-up of new surgeons and staff. Mr. Collins reviewed the FY 2019 October Operating Results and reported that Enterprise revenue was under budget in October by \$5.0M year-to-date in hospital operations primarily due to changes in payer and patient mix.

#### I. Business Items

##### a. FCR 8 Approval of Improvements for Speech Language Pathology Space

The recommendation authorizes payment of up to \$200,000 for improvements to space for Speech Language Pathology in the Professional Arts Center Building. Through autonomies granted during the last legislative session, public universities in Kentucky may pay for these

improvements directly instead of through a lease arrangement. Trustee Booth made a motion to recommend approval of FCR 8 to the Finance Committee. Trustee Grossman seconded the motion. The motion carried without dissent.

J. Privileges and Appointments

Dr. Newman presented the list of credentials and appointments for approval. Chair Vance called for a motion to approve the list of providers. Trustee Young moved to approve the list. Trustee Grossman seconded the motion and it passed without dissent

K. Meeting Adjourned

Chair Vance called for new or other business that needed to be brought before the University Health Care Committee. Hearing no further business, the meeting was adjourned at 5:22 p.m.

Respectfully submitted,

A handwritten signature in blue ink, appearing to read "Robert D. Vance".

Robert Vance  
Chair, University Health Care Committee