

Minutes of the Meeting of the Board of Trustees  
University of Kentucky  
Friday, April 28, 2023

The Board of Trustees of the University of Kentucky met on Friday, April 28, 2023, in the Gatton Student Center, Harris Ballroom.

I. Call to Order

Bob Vance, chair of the Board of Trustees, called the meeting to order at 1:34 p.m. Chair Vance reminded the guests in the room of the meeting disruption protocols and asked Secretary Webb to call the roll.

II. Roll Call

The following members of the Board of Trustees were in attendance: Claude A. “Skip” Berry, E. Britt Brockman, Todd Case, Aaron Cramer, Ray Daniels, Ron Geoghegan, Brenda Gosney, Andrew Laws, Lance Lucas, Kimberly McCann, David Melanson, Paula Leach Pope, Derrick K. Ramsey, Frank Shoop, Sandra R. Shuffett, Hollie Swanson, Robert Vance and Rachel Watts Webb. Cathy A. Black attended via Zoom.

Secretary Webb announced a quorum was present.

III. Approval of Minutes

Chair Vance reported that there had been one petition to address the Board since their last meeting concerning branding at athletic events. Since the subject matter was not relevant to a pending or future agenda, the concern was forwarded to the appropriate department for review.

Chair Vance stated that the minutes of the February 17, 2023, meeting had been distributed and asked for a motion to approve. Trustee Shuffett moved approval and Trustee Melanson seconded the motion. The motion carried without dissent. (See meeting minutes on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under “Agenda”)

IV. Chair’s Report

Chair Vance called attention to the 37 consent items on the agenda:

PR 2	Personnel Actions
ACC1	ACC 1 Audit and Compliance Committee Charter Revisions
ACC2	ACC 2 UK Internal Audit Charter Revisions
ASACR 1	Candidates for Degrees: May 2023
ASACR 2	Candidates for Degrees: August 2023

ASACR 3	In Memoriam Degrees: May 2023
ASACR 4	Candidates for Degrees: December 2022
ASACR 5	Change in Name of Educational Unit: Department of Anesthesiology
ASACR 6	Academic Degree Recommendation: College of Education
ASACR 7	Academic Degree Recommendation: College of Arts and Sciences
ASACR 8	Academic Degree Recommendation: College of Social Work
FCR 1	Acceptance of Grant Commitment - Lighthouse Beacon Foundation
FCR 2	Acceptance of Grant - Mt. Brilliant Family Foundation
FCR 3	Acceptance of Gift Commitment - Cambron
FCR 4	Acceptance of Gift Charitable Grand and Gift Commitments - Pigman
FCR 5	Acceptance of Gift Commitment - Shively
FCR 6	Acceptance of Grant Commitment - Aetna Better Health
FCR 7	Acceptance of Grant - Brown
FCR 8	Approval of Amendment to Sally Humphrey Research Professorship
FCR 9	Acceptance of Grant Commitment - Markey Cancer Foundation
FCR 10	Acceptance of Gift - Potter
FCR 11	Acceptance of Gift - Cain
FCR 12	Improve UK HealthCare Facilities - UK Chandler Hospital
FCR 13	Construct Improve Medical Administrative Facility 1
FCR 14	Construct Improve Medical Administrative Facility 3
FCR 15	Authorization of Lease Amendment
FCR 16	Approval of Lease
FCR 17	Emergency Replacement Air Handling Units
FCR 18	Administrative Regulation Revision
FCR 19	Lease Purchase - Equipment
FCR 20	Acceptance of Interim Financial Report
FCR 21	Approval of Disposal - Barn
FCR 22	Facilities Renewal and Modernization - Scovell Hall
FCR 23	Improve Seaton Center Capital Project
FCR 24	Capital Construction Report
UHCCR 1	UK HealthCare Medical Staff Privileges and Appointments

Chair Vance asked if there were any requests to remove an item from the consent agenda. Hearing none, Chair Vance asked for a motion to approve. Trustee Shoop moved approval, Trustee Shuffett seconded the motion and the consent agenda passed without dissent. (See consent agenda items on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under "Agenda")

Chair Vance then recognized President Capilouto for his report.

## V. President's Report

The president began his report, "With your approval today, we will prepare to confer nearly 6,000 degrees next weekend a few blocks from here at Rupp Arena. There are so many stories behind each diploma – of success and triumph, of hard work and resilience, of families that sacrificed and dreamed, and yes, at times, of setbacks and tragedy. This afternoon, I want to share one."

"Growing up was not easy for Ashley Wright. She lost her father at an early age. Ashley and her family in Louisville did not have much. If she were to go to college, Ashley would be the first in her family to do so. But she was determined. Obstacles wouldn't define her. They would drive her to do more. "Losing my father helped shape me," Ashley said. "It is one of the main reasons why I am here and pursuing an education at the University of Kentucky."

The president continued, "Ashley worked her way through school, starting at Walgreen's. Advisors in the College of Arts and Sciences and in Public Health helped her to focus her interests. Three faculty members mentored her through their passion for subjects like epidemiology and environmental health. Their teaching – and shadowing experiences she had at public health agencies – further lit her desire to help create and sustain healthier communities. In response to the devastating flooding in Eastern Kentucky last year, a group of University of Kentucky College of Public Health students, including Ashley, contributed to public health preparedness and response. They assisted agencies across the state's tiered preparedness system through a federal initiative called the Community Assessment for Public Health Emergency Response, or CASPER. She also has been accepted to the Spinal Cord and Brain Injury Research Center (SCoBIRC) African American Research Training Scholars (AARTS) program. That initiative provides an opportunity to receive up to 12 months of funded research training in neurotrauma at our College of Medicine."

"After walking across the stage next week with a bachelor's degree in public health in hand she will return to pursue her Master of Public Health. She has a career goal in mind – working for a federal agency such as the Environmental Protection Agency (EPA) or Centers for Disease Control and Prevention (CDC) because "I want to make a difference and change people's lives. I want to gain more experience so I can be ready to change the policies that can help everyone be healthier. All my life, I have had to fight harder than most," Ashley says. "I am doing this for my family and want to be able to take care of them one day. My drive and my background are what separates me from most. I am willing to do what it takes."

The president stated, "Kentucky is lucky to have one Ashley Wright, fighting for a better future. But Kentucky needs thousands of Ashley Wrights. And that is the special role and responsibility we have at the University of Kentucky. Led by this board, we have reaffirmed – and renewed our commitment to – our historic mission to advance our state in everything that we do."

The president continued by discussing the University Health Care Retreat, “For several hours yesterday, we discussed in detail the distinctive role our academic health system – UK HealthCare – plays in that mission. To meet the increasing needs of patients, we must grow our footprint on this campus and in communities around us – to care for more children ... to offer more advanced specialty services to those with the most complex cases ... to take care of our own people closer to their homes .... and to open doors for healing for those who don’t have access to care right in our own midst. No Kentuckian should be turned away because the need is too complex, or the space is not there, or the service is simply not available. That will mean attracting, growing and retaining a talented and committed workforce necessary to provide that care along with the infrastructure, technology and research to support their efforts. That is the vision you so strongly endorsed yesterday. And that is the commitment we must make – and reach for each day – with a sense of unyielding determination.”

“Similarly, more students – more Ashley Wrights – need the outstanding education and opportunity that only we provide in this state. In a little more than a decade, we have built nearly \$4 billion in infrastructure – much of it self-financed – to support our growth and our commitment to advance this state. We will need to continue to build – not for ourselves, but for our state’s future. And we will – as we must – continue to grow.”

The president continued, “As we do so, we will need more great teachers like those Ashley encountered, particularly in areas and disciplines responsive to the needs of our state. Today, you will also recognize 16 university research professors for their outstanding contributions to scholarship. They excel in diverse fields – from public policy to pharmaceutical sciences... from healing communities through public health to restoring souls through poetry and literature. Just as we need more Ashley Wrights, we will need more professors, scholars and instructors like Crystal Wilkinson ... Erin Haynes ... Feng Li and Ron Zimmer. Because no Kentuckian who has the capacity and commitment to live and learn in this special community should be turned away – because of cost or space, distance or geography, background, identity or perspective. The doors of access to the education we provide should be open widest to Kentuckians like Ashley.”

The president discussed how thriving institutions have two things in common. They know who they are. They are firmly focused on their mission and why they exist. And they are not afraid to change, evolve and grow to meet the needs of those they serve. “These are not contradictory challenges. They are complementary commitments. Our commitment is now as it has always been – to advance Kentucky. Our state needs us now more than ever before – remaining true to our north star, but willing to change and grow to meet the evolving needs of a state through the education we provide, the research we conduct and the care and service we render. I know we can do this. I see the proof points of progress each day. I see it in the stories – of promise and potential, renewal and resolve, discovery and breakthrough – that are written and forged across our campus each day. Ashley Wright’s story is just one. We are here to help thousands more like her write their stories, too.”

### PR 3 Renaming of the College of Engineering

President Capilouto introduced PR 3, a recommendation that the Board of Trustees approve the official renaming of the College of Engineering as the “Stanley and Karen Pigman College of Engineering”. As the president introduced the recommendation, Pigman Scholars filed into the ballroom and stood behind the president in the front of the room.

Stan and Karen Pigman, long-time benefactors and student advocates have pledged \$34.5 million to the College of Engineering thereby accelerating the College’s mission and extending its reach further than ever. This commitment will support endowed and non-endowed student scholarships and provide annual funding for high-impact priorities and initiatives including student recruiting, retention and student success activities.

Additionally, the gift will create endowed professorships in Heritage Science, and Power and Energy. It will provide funding to create the Lighthouse Beacon Foundation Endowment for Research and Graduate Education and will support the W.D. Funkhouser Renovation Project. A portion of the gift will qualify for matching funds from the Commonwealth of Kentucky’s Research Challenge Trust Fund resulting in a cumulative impact of \$38.45 million. This donation, the largest single gift ever made to the University of Kentucky, extends the Pigman’s philanthropic vision and generosity for generations to come. With this gift, Stan and Karen Pigman’s total philanthropic impact to the University of Kentucky is over \$55 million.

Chair Vance asked for a motion to approve PR 3. Trustee Cramer moved approval; Trustee Berry seconded the motion, and PR 3 passed without dissent. Chair Vance stated, “I want to, again, thank Stan and Karen – for their generosity, their compassion for students and their commitment to this university and our shared goal to advance Kentucky.” (See PR 3 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under “Agenda”)

President Capilouto introduced Mr. and Mrs. Pigman for remarks.

The Pigmans’ expressed their appreciation and recognized the students who came to support them. “We love working with students, it is a passion we have from middle school, but especially engineering and computer science students. It is our dream to see more engineers and computer scientists produced, it is great for the students, it is great for the College of Engineering, the university and it is great for the State of Kentucky.”

Mr. and Mrs. Pigman were recognized with a standing ovation.

## PR 4 University Research Professors

President Capilouto introduced Vice President for Research Lisa Cassis to discuss the University Research Professorships. Dr. Cassis introduced the individual 2023-24 University Research Professors and explained the criteria of the program. “These talented faculty were nominated by their peers as leaders within their respective disciplines based on a series of criteria for each college, they represent the full spectrum of research excellence across our diverse campus.” The honorees were as follows:

- Feng Li, Veterinary Science from the College of Agriculture, Food and Environment
- Ann C. Morris, Biology from the College of Arts and Sciences
- Crystal Wilkinson, English from the College of Arts and Sciences
- Allison Gordon, Integrated Communication from the College of Communication and Information
- Luciana Macchion Shaddox, Periodontology from the College of Dentistry
- Michael McKay, School of Architecture from the College of Design
- Melinda J. Ickes, Kinesiology and Health Promotion from the College of Education
- YuMing Zhang, Electrical and Computer Engineering from the College of Engineering
- Dieter Hennings Yeomans, School of Music from the College of Fine Arts
- Brian Murtha, Marketing and Supply Chain, from the College of Gatton Business and Economics
- Ron Zimmer, Martin School of Public Administration from Graduate School
- Matthew Hoch, Athletic Training and Clinical Nutrition from the College of Health Sciences
- Christopher M. Norris, Pharmacology and Nutritional Sciences from the College of Medicine
- William Walton Stoops, Behavioral Science from the College of Medicine
- Björn Bauer, Pharmaceutical Sciences from the College of Pharmacy
- Erin N. Haynes, Epidemiology and Environmental Health from the College of Pharmacy

President Capilouto introduced PR 4, a recommendation that the Board of Trustees approve the naming of the individuals presented by Dr. Cassis as University Research Professors for 2023-24.

Chair Vance asked for a motion to approve PR 4. Trustee McCann moved approval, Trustee Shoop seconded the motion, and PR 4 passed without dissent. The professors were honored with applause and the Chair Vance stated that the Board looked forward to celebrating their accomplishments at the reception immediately following the Board meeting. (See PR 4 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under “Agenda”)

## PR 5 Gluck Equine Research Foundation Board Reappointments

President Capilouto introduced PR 5, a recommendation that the Board of Trustees approves the appointments to the Board of Directors of the University of Kentucky Gluck Equine Research Foundation, Inc. Ms. Dora Delgado, Dr. Kristina Lu and Ms. Janet Van Bebber for a four-year term ending Spring 2027; Ms. Lisa Lourie and Dr. Steve Reed for a second four-year term ending Spring 2027; and Dr. Stuart Brown for an additional two-year term ending Spring 2025.

Chair Vance asked for a motion to approve PR 5. Trustee Daniels moved approval, Trustee Melanson seconded the motion, and PR 5 passed without dissent. (See PR 5 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under “Agenda”)

### VI. University Health Care Committee Report

Trustee Brockman reported that the University Health Care Committee held its annual retreat the previous day. The Committee received a report from Kaufmann Hall’s Jason Sussman on UK HealthCare’s performance through the lens of a broader healthcare industry. He reported that UK HealthCare exceeded industry-wide medians during a difficult period for hospitals nationwide.

The Committee approved UHCCR 1, a refresh of UK HealthCare’s 2025 Strategic Plan. This strategic refresh is the culmination of an extensive qualitative and quantitative feedback cycle. The Committee affirmed three key themes that enhance UK HealthCare’s position as the state’s premier center for advanced sub-specialty care. These themes included:

1. Advanced sub-specialty care and access
2. Taking care of our community, people and talent
3. Academic Health System for Kentucky

Acting Co-EVPHA and Provost Robert DiPaola provided examples of how UK HealthCare provides distinct and unique opportunities for alignment of clinical care with research.

The Committee recommended approval of six Finance Committee recommendations (FCR) that create access and ensure Kentuckians do not have to leave the state for their care. These six FCRs include renovations to several areas in the UK Albert B. Chandler Hospital. A much-needed renovation in the women’s health clinical area, establishment of space for advanced endoscopy care, creation of an observation unit in space adjacent to the existing emergency department and completion of eight new operating rooms adjacent to the existing space are all included.

Upon approval, two of the six approved FCRs initiate the design for a new bed tower on the Albert B. Chandler Hospital campus and a medical office building on the new Hamburg property. In conjunction, UK HealthCare submitted a certificate of need request

to the state for an additional 300 inpatient beds as part of the planning for the new bed tower.

Acting Co-EVPHA and EVPFA Eric Monday announced that new cancer and advanced ambulatory center will be constructed with three additional shelled floors for future expansion and growth. Critical needs for medically underserved populations exist throughout Fayette County. Vice President for Ambulatory and Medical Group Operations Tim Gaillard announced four new seed sites for ambulatory expansion. One of these four new sites will create necessary access points and services for the most vulnerable populations in Fayette County.

Senior Vice President and Chief Financial Officer Craig Collins presented UK HealthCare's five-year financial plan that supports the proposed level of capital spending. UK HealthCare is projected to generate sufficient cash flow to support its preliminary capital plan and liquidity target. Mr. Collins discussed UK HealthCare's FYTD March 23 Financials. UK HealthCare's net income was \$84M over budget with investment income contributing \$51M of the positive margin.

The Committee approved the list of the UK Medical Staff privileges and appointments presented by Chief Physician Executive Jay Grider.

Dr. Brockman stated that as a requirement of UK HealthCare's governing bodies, he had met with compliance and risk leadership for a quarterly report on any UK HealthCare matters.

#### VII. Other Business

Chair Vance asked if there was any further business to come before the Board. Trustee Cramer recognized Trustee Swanson who was reelected by the faculty of the university for a second three-year term and recognized her continued contributions to the Board and the university.

#### VIII. Adjournment

Hearing no further business, the meeting adjourned at 2:16 p.m.

Respectfully submitted,



Rachel Watts Webb  
Secretary