

Minutes of the Executive Committee
of the Board of Trustees
University of Kentucky
Friday, February 19, 2016

The Executive Committee of the Board of Trustees of the University of Kentucky met on Friday, February 19, 2016, in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

E. Britt Brockman, chair of the Executive Committee, called the meeting to order at 9:00 a.m. and asked Secretary Kelly Holland to call the roll.

B. Roll Call

The following members of the Executive Committee answered the call of roll: E. Britt Brockman (chair), Mark Bryant, Robert Vance, and Barbara Young were present. Trustee C. B. Akins, Sr. was absent. Secretary Holland reported that a quorum was present.

Members of the Board of Trustees also in attendance included: Sheila Brothers, John Wilson, and Keith Gannon.

General Counsel Bill Thro, Executive Director of Public Relations and Marketing Jay Blanton and members of the media were also in attendance.

C. Approval of Minutes

Chair Brockman stated that the minutes of the June 19, 2015, Executive Committee meeting had been distributed. Trustee Bryant moved approval and Trustee Vance seconded the motion. It carried without dissent.

D. ECR 1 Presidential Evaluation Discussion

Chair Brockman introduced the proposed process for the 2015-2016 Presidential Evaluation to the Executive Committee. With the extensive evaluation and adoption of the Strategic Plan from the preceding year, Chair Brockman suggested that the Board conduct an abbreviated evaluation process for the 2015-16 year.

Chair Brockman reviewed ECR 1, which recommended that the Board of Trustees approve the 2015-16 Presidential Evaluation process and timeline, and which states that the Executive Committee shall serve as the performance review committee. The Executive Committee shall involve the entire Board of Trustees, and solicit input from the University Senate, Staff Senate, and Student Government Association throughout the Presidential Evaluation process.

The Committee reviewed the details of the timeline and a list of proposed questions. The

questionnaire will be distributed to the executive committees or executive councils of the University Senate, Staff Senate and Student Government Association for review and feedback. A list of constituents, which includes faculty, staff, students, alumni, community members, and government officials, will also be reviewed by the Committee.

Continuing with the process, Chair Brockman stated that the President would also submit a self-evaluation in June. The collection of the survey questionnaires would follow shortly after. The Executive Committee will review both the surveys and the self-evaluation and prepare a report that will be sent to the full Board of Trustees. With this information, the Board will be asked to submit their own evaluations to the Executive Committee. After the final Trustee evaluations are returned to the Executive Committee in September, the Executive Committee will draft a recommendation for the full Board of Trustees.

Chair Brockman explained that the questions being used are essentially the same as in prior evaluation questionnaires, while adding three references to the Strategic Plan, including those regarding strategies and priorities, leadership, and future consideration.

With no questions regarding the timeline or the evaluation process, Chair Brockman opened the floor for discussion regarding the questionnaire.

Trustee Brothers suggested that question (3) under the Strategies and Priorities section read “*the* strategic priorities” instead of “*his* strategic priorities.

Trustee Bryant asked about the definition and use of “SWOT”. It was explained that SWOT stands for strengths, weaknesses, opportunities and threats. It is a form of analysis in certain disciplines or as a tool for audit and analysis of overall strategic positions of a business or environment.

Trustee Brothers also requested a possible change regarding the language within the leadership portion of the questions, stating that there should be consistency with how questions are framed, either addressing the President’s leadership or the overall Strategic Plan. Chair Brockman confirmed that some specific questions need to address the President’s performance specifically, and the goals of the evaluation are to look more at the President’s ability to articulate and carry through on the priorities of the University.

Trustee Young requested clarification on whether the Board has analyzed the responses to the questions to determine whether they are a proper measure of the evaluation process. Chair Brockman stated that the questions have been used for five years with no issues from the faculty or other constituents.

Seeing no further discussion, Chair Brockman asked for a motion for approval of ECR 1. Barbara Young moved approval and Robert Vance seconded. The motion passed without dissent.

E. Closed Session

Chair Brockman stated that having concluded the discussion of the President’s Performance Evaluation process for 2015-16, the Executive Committee needed to go into closed

session in order to discuss President's Capilouto's appointment pursuant to his contract. Trustee Vance moved that the Executive Committee go into closed session pursuant K.R.S. 61.810 (1) (f) and this closed session shall be for the discussion on the appointment of President Capilouto, specifically the discussion of possible changes to his contract/appointment. Trustee Young seconded the motion.

Chair Brockman opened the floor for discussion. Trustee Wilson offered that he thought Trustees were generally allowed to be present during closed session, as they were given the opportunity at the University Health Care Committee meeting last year. Chair Brockman stated that during his tenure as Chairman, it was not his practice to do so unless the individual was specifically requested to attend. Trustee Gannon offered that he did not agree with Chair Brockman's position, but respected the Chairman's decision.

Chair Brockman called for the vote and it passed without dissent.

Chair Brockman reiterated that only members of the Executive Committee were permitted to attend and participate in the closed session of the Executive Committee. If the Executive Committee feels that there are other persons who have valuable input to the topic, such persons may be invited to participate either in person or by phone. Upon completion of the pertinent discussion by one person, s/he must leave; another person may enter and offer discussion. More than one person may be present if their discussion topic is related. Dr. Capilouto may be a person who is invited by the Executive Committee to give valuable input. Perhaps, other BOT members who are attending the open meeting may be invited to speak in the closed session.

The Committee went into closed session at 9:15 a.m.

F. Open Session

The Executive Committee reconvened at 9:49 a.m. Chair Brockman stated that the closed session had concluded and the Committee was back in open session. He stated that no action was taken during the closed session and President Capilouto's appointment contract remained unchanged.

G. Adjournment

With no further business, Chair Brockman asked for a motion to adjourn. It was moved by Trustee Young and seconded by Trustee Vance. The meeting adjourned at 9:50 a.m.

Respectfully submitted,



Kelly Sullivan Holland, Secretary