

Minutes of the Meeting of the Board of Trustees
University of Kentucky
Thursday, June 17, 2021

The Board of Trustees of the University of Kentucky met on Thursday, June 17, 2021, in the Gatton Student Center, Harris Ballroom.

I. Call to Order

Bob Vance, chair of the Board of Trustees, called the meeting to order at 2:04 p.m. Chair Vance asked Secretary Webb to call the roll.

II. Roll Call

The following members of the Board of Trustees were in attendance: Claude A. "Skip" Berry, Joe R. Bowen, Michael A. Christian, Ray Daniels, Ron Geoghegan, Kimberly McCann, Elizabeth McCoy, David Melanson, Paula Leach Pope, Derrick K. Ramsey, C. Frank Shoop, Sandra R. Shuffett, Bryan Sunderland, Hollie Swanson, Robert Vance, Rachel Watts Webb, Courtney Wheeler and Barbara Young. Cathy A. Black and Lee X. Blonder attended the meeting via Zoom and Carol Martin "Bill" Gatton was not in attendance.

Secretary Webb announced a quorum was present.

III. Approval of Minutes

Chair Vance reported that there had been no petitions to address the Board since the last Board of Trustees meeting and stated that the minutes of the Tuesday, May 4, 2021, meeting had been distributed and asked for a motion to approve. Trustee McCoy moved approval and Trustee Daniels seconded the motion. The motion carried without dissent. (See meeting minutes on the Board of Trustees website, www.uky.edu/Trustees, under "Agenda")

Chair Vance called attention to the 16 consent items on the agenda.

PR 2	Personnel Actions
ACC 1	Proposed Internal Audit Work Prioritization Plan
FCR 1	Gift Commitment Michael D. Rankin, MD
FCR 2	Pledge from Henry Eugene Cravens and Jean Cravens
FCR 3	Acceptance of Interim Financial Report
FCR 4	Resolution Providing for the Authorization, Issuance and Sale of General Receipts Refunding Obligations
FCR 5	AY 2021-22 Tuition and Mandatory Fees
FCR 6	FY 2021-22 Budget
FCR 7	FY 2019-20 Endowment Match Report
FCR 8	FY 2021-22 Disposal of Personal Property

FCR 9	Improve Academic/Administrative Space 1 Cooper House
FCR 10	Parking Structure Addition Design Only
FCR 11	Construct Facilities Shops and Storage Facility Vaughan Warehouse
FCR 12	Patent Assignment Report
ICR 1	Authorization to Establish Contract with Selected Finalist(s) in Endowment Investment Consultant Search
UHCCR 1	UK HealthCare FY22 Quality, Safety and Patient Experience Plan

Chair Vance asked if there were any requests to remove items from the consent agenda. Trustee Wheeler requested to pull FCR 5 from the consent agenda. Hearing no additional requests, Chair Vance asked for a motion to approve. Trustee Geoghegan moved approval, Trustee Shuffett seconded the motion and the consent agenda passed without dissent. (See consent items on the Board of Trustees website, www.uky.edu/Trustees, under "Agenda")

IV. Chair's Report

Process for the 2020-21 Presidential Evaluation (ECR 1)

Chair Vance reported that the Executive Committee met the day prior and approved ECR 1, the recommendation that the Board of Trustees approve the process, timeline, and preliminary list of survey questions as part of the 2020-21 Presidential Evaluation. Chair Vance provided background regarding the six-month-long process of the presidential evaluation. Hearing no questions, Chair Vance asked for a motion to approve ECR 1. Trustee Shoop moved approval, Trustee Sunderland seconded the motion and ECR 1 passed without dissent. (See ECR 1 on the Board of Trustees website, www.uky.edu/Trustees, under "Agenda")

Process for the 2020-21 Board of Trustees Self-Evaluation (ECR 2)

Chair Vance continued by discussing ECR 2, the recommendation to approve the process, timeline and the preliminary list of survey questions as part of the 2020-21 Board of Trustees Self-Evaluation. Chair Vance explained pursuant to Governing Regulation II.F.12 and Southern Association of Colleges and Schools Commission on Colleges, Standard 4.2.g, the Board of Trustees is obligated to perform a self-evaluation. Chair Vance continued by explaining that the assessment of the Board's performance will be transmitted to the Board from electronic survey responses and the Executive Committee will review the survey results and provide a report to the full Board of Trustees and propose any potential recommendations to the Board.

Hearing no questions, Chair Vance asked for a motion to approve ECR 2. Trustee Shuffett moved approval, Trustee Melanson seconded the motion and ECR 2 passed without dissent. (See ECR 2 on the Board of Trustees Website, www.uky.edu/Trustees, under "Agenda")

Chair Vance then recognized President Capilouto for his report.

V. President's Report

The president remarked how wonderful it was to be back together. "It is another sign that light is returning to those normal rhythms and routines that we have missed so much during the past 15 months, and one of those natural rhythms of life is the transitions good people make as they apply their talents and time to new endeavors. That is certainly the case with our Provost Dave Blackwell."

The president continued, "Today marks his last meeting with you as a board, and one of his last official functions as provost before leaving this critical position at the end of this month. For more than three years, Dave has served as our Chief Academic Officer, a term of continued and remarkable progress in student success, and of course, a term of incredible challenge over these last 15 months when we faced this pandemic. Yesterday, with his usual modesty, he shared with you those things that have been particularly joyful for him, things that he has led and worked with others to make possible."

"Provosting was always a team sport, per Dave Blackwell, and as many of you know, Dave told me months ago that he would not extend his term as provost past the end of the Fiscal Year as he wanted to pursue a presidency at another institution, an endeavor I strongly support. The fact that Dave Blackwell would be so forthright, is not surprising, but his intentions and goals demonstrate his integrity and ethics. The university always came above self for Dave Blackwell and he has been a valued colleague and friend. We are going to wish him every success in whatever path he pursues."

"Dave and I got to know each other best when I would join him on trips we would make to raise funds for the Gatton College of Business and Economics. Now, I think Coach Stoops, when they sign up a recruit here, what does he always say? Yahtzee? Well, Dave Blackwell and I, when we would get back in the car, Dave would say, "Sweet." So, Dave Blackwell, I wish you the sweetest of successes, and I thank you from the bottom of my heart and on behalf of this university for your admirable service. Thank you, sir."

The president continued by recognizing Dr. Michael Rankin. "In a few moments, you will be asked to approve the historic \$22 million gift commitment from Dr. Michael Rankin. It will make possible a new health education building and scholarships that will help us continue to address the shortage of physicians that critically impact the health of our state. In so many ways this gift reflects so powerfully what this special place means to so many." The president paused for a video introducing Dr. Rankin.

At the conclusion of the video, the Board of Trustees and meeting attendees thanked Dr. Rankin with a standing ovation.

The president reminded the Board that 10 years in October he stood before many of them at his investiture, and although he had only been here a few months, he was moved to say at the close of his remarks, "This is a remarkable place. So I can stand

before you today, 10 years after I first uttered it, knowing that this is truer today than it has ever been before. This, the University of Kentucky, is a remarkable place.”

The president continued by reporting on the ways UK’s campus has rallied to keep people healthy and safe including providing students with the outstanding education they deserve; offering healing and hope to patients and communities throughout the Commonwealth; conducting research that harbors UK’s best chance to solve those challenges that bedevil our state and world. The president remarked, “Although most of it was virtual, we still found ways to stir our souls with poetry, prose and performances.”

The president continued discussing ways UK has extended essential services in new ways to meet old problems with more than a quarter-million vaccines delivered; more than 60,000 COVID tests last semester; reconfigured classes; re-imagined service; deploying technology in virtually every building and classroom across the campus to make learning possible wherever the students and faculty gather or learn.

“All of that is testimony to this remarkable place, to its remarkable people and what only we can do when locked in a common cause refusing to be distracted or devastated; that would have been the case, had we been totally locked down.”

The president continued, “So I ask myself, how do we do all of these things? And I know it’s simple: It is to advance Kentucky. Those are the very visible, tangible, and credible signs of the power of partnership and the power of promise when we focus and deepen our resolve to get all these things done. But what are the things that we have to firmly remain fixated on as our North Star? To do what? To advance Kentucky, from its economy to itself, from its culture to its levels of academic attainment.”

The president referred to the report the day prior from Provost Blackwell and Vice President Turner concerning UK’s students and their successes over the past year. He pointed out to hold those retention rates largely steady over this past year is a testament to UK’s students and their tireless tenacity. “It is a testament to our faculty and staff, the compassion and commitment they displayed day, after day, after day.”

“It is those collective efforts that have helped UK move nearly six percentage points in six years, in our six-year graduation rate. And nearly 15 percent in our four-year graduation rate. We did all this in only six years. That is an incredible accomplishment in higher education.”

The president continued by discussing what that support looked like including the UK’s mass vaccination clinic at Kroger; the 30,000 targeted calls to every student, with specific calls to those who had particular problems, personalized text messages, handwritten notes from faculty and staff and direct mail; more than 12,500 cases handled by the Healthcorps team; expanded specific and strategic outreach to students of color, to provide academic and career resources; “And as we have worked to hold down the cost of college, as reflected in the budget that you will be asked to approve in a few moments, we have made it a particular focus to lower that cost of attendance for those

students in the most financial need. In fact, that unmet need has gone down in the last year, and as Angie Martin reported to you, the percentage of students graduating with no debt is increasing again.”

The president referred to Dr. Cassis’ report and reminded the Board that UK did not lessen the resolve to solve the state’s most difficult problems. The president reported in Fiscal Year 2020 that the university’s research reached a record of nearly \$430 million in grants and contracts, much of it focused on problems that threaten Kentucky the most; cancer, cardiovascular disease, substance misuse and disorders, and energy use. UK added to its priority areas and is seeing growth in systemic inequities and inequality associated with race and racism.

“Even as so much of our world literally shut its doors for months, we are tracking ahead of where we were last year with grants and contracts – truly remarkable. In new ways, amidst the most challenging time, certainly, that we can imagine, we are inspiring ingenuity, and I thank Lisa Cassis and her team, and all those hundreds of investigators and staff that support them. They wake up every morning with innovation and discovery as their North Stars.”

The president discussed the budget that illustrated on literally every page a thoughtful answer to the calling to intentionally take care of our people, our most valuable resource. He pointed out for the ninth time in 11 years, UK will be able to propose salary increases for faculty and staff and for the fourth time in the last six years, the university seeks to answer the call to do more for those on UK’s team who need it most by raising the minimum hourly wage to \$15.

The president continued by discussing the restoration of the retirement benefit and the introduction of a leave policy that will allow employees to better care for their families. Referring to the university’s calling to be more inclusive and an accepting community, he reported the recently hired leader to expand UK’s procurement efforts in order to provide more opportunities for minority-owned businesses and enterprises.

He discussed how the university is reevaluating the landscape of campus to uncover UK’s history and more tellingly reflect its stories of what we aspire to be as a community, in an increasingly diverse student body that deserves an increasingly diverse faculty and staff. “After all, we are many people, but just one university and we are the most diverse community, I would contend, in the State of Kentucky. Different races, ethnicities, perspectives, identities, languages – you can find it here every day, and they should be celebrated and understood, it is an advantage that is going to help our state grow in a global economy.”

The president continued by discussing how UK can model unity amid diversity and discussed Harvard professor Annette Gordon-Reed’s book about the legacy of slavery in Thomas Jefferson’s family and her recent memoir about Juneteenth. The president quoted Professor Gordon Reed, who is from Texas, where Juneteenth originated, "Love does not require taking an uncritical stance towards the object of one's affection. In truth,

it requires just the opposite. We can't be of real service to the hopes we have for places, without a clear-eyed assessment of their (and our) strengths and weaknesses. That often demands a willingness to be critical sometimes deeply so."

The president stated, "So we will be asking as we have and continue to ask ourselves these hard questions, knowing that hard answers and uncomfortable truths are sometimes necessary to make progress and thrive. We must thrive. We are going to have to look forward to the fall and our future and as wonderful as our reconnecting has been and as much as we are looking forward to some sense of normalcy, we must be ready again, to answer the call, to do more, and to be more, for Kentucky."

"The opportunities for us, in so many ways, have never been greater but so many challenges loom large too. There should be no question that the states that are going to advance in the 21st century, are going to be those whose workforces have the skills to adapt to change. Wherever it comes from, and when it occurs, we know Kentucky must be healthier, it must be more skilled, and it must be more prepared for the continued change and evolution in the global economy."

"We must now more than ever prepare our students, not only for a job but for that critical responsibility to live a life of meaning and purpose. What a precarious time for them, this accelerated change, you hear from employers sometimes that our students are not fully skilled for the jobs of today. Those same employers will tell you that in a few years, they are going to have to assume jobs that we have not even imagined today. So, our education must be that much more effective and compelling. Over the last 15 months, we demonstrated that we could take on any task and be incredibly successful. We used our infrastructure, our talent, our people, and our commitment to make a difference and everything remarkable took everybody."

"Individualism is not what we show at the University of Kentucky – we show the strength of community. So, within this world of divisions and divides, the University of Kentucky must be a beacon. We need to ask the hard questions of ourselves and each other, we need to debate with vigor and passion to find those answers, but we also need to be willing to stand back and listen more and learn more too. We must listen, really listen, and I hope learn both from those who provide the comfort of views in which we agree, but also from those whose views may be discomfiting to us because this is where we make progress in the spaces and places outside of our comfort zone, where we may feel less confident and less in charge. In those areas, when we diverge our points of view and carefully listen to others, I have faith in this place to find common ground and move forward."

The president closed his report to the Board by stating, "Our endless resolve and renewal, it has been going on for 155 years, so this is our precious legacy today. Who and what we are, to be the university of, for, and with Kentucky, has never been more vital and necessary. I am more confident today, than I was 10 years ago that this place is up to it because I know even with deeper conviction today, this is a remarkable place. So thank you, Mr. Chairman, our Board, all those people who make a day like this

possible, the countless invisible others that are on the front lines every day to teach, to serve, and to heal Kentucky.”

PR 3 University Staff Emeritus

The president introduced PR 3, the recommendation that the Board of Trustees confer upon Terry Allen, the title of University Staff Emeritus in recognition of his many years of service, dedication and loyalty to the University of Kentucky.

Terry Allen has provided service to the University of Kentucky for more than 38 years and has led the UK Office of Institutional Equity and Equal Opportunity since 2003 as Associate Vice President for Institutional Equity. He is responsible for upholding the institution's commitment to equal opportunity in academic programs, employment, services, and activities.

The president added, “People across our campus know Terry, and they often have interacted with him in moments of tremendous stress and strain. Terry, though, is highly regarded by everyone with whom he comes in contact across this campus. His integrity, his commitment, his sense of command, his compassion, and his steadfast commitment to doing what is right for the people involved and for this institution is well regarded. Terry has always answered the call of the university when it needed him.”

From July 2015, through March 2017, Mr. Allen assumed the position of Interim Vice President for Institutional Diversity, reporting to the president, and providing counsel on a broad and important range of issues. Among many other duties on campus, Terry has long served as a member of the Martin Luther King Jr. Holiday Planning Committee which coordinates the annual MLK Freedom March in downtown Lexington. In addition to working with other state and local organizations, another example of his service to UK and to the community.

The president remarked, “This community, our community, is stronger because of the indelible mark he has made, and the university will be forever grateful for his service. Terry Allen has exemplified the idea of doing more and being more for the University of Kentucky and the Commonwealth of Kentucky. He is truly deserving of the honor of University Staff Emeritus as conferred by the Board of Trustees.”

Chair Vance asked for a motion to approve PR 3. Trustee Ramsey moved approval, Trustee Berry seconded the motion and PR 3 passed without dissent. (See PR 3 on the Board of Trustees website, www.uky.edu/Trustees, under “Agenda”)

Terry was invited to make remarks and received a standing ovation from the attendees.

PR 4 University Staff Emeritus

The president continued by introducing PR4, the recommendation that the Board of Trustees confer upon Kathy Johnson, the title of University Staff Emeritus in recognition of her many years of service, dedication and loyalty to the University of Kentucky.

Kathy Johnson has provided service to the University of Kentucky for more than 35 years. Most recently serving as News Bureau Deputy Director for Public Relations and Strategic Communications. That title does not nearly convey the breadth and depth of her service to the university, and as importantly, the profoundly deep impact she had on a generation of young people, mostly UK graduates who saw her mentorship and counsel over decades of work.

For many years she has been the primary contact for UK police and emergency operations officials whenever a safety issue or crisis emerged. From weather closures to safety concerns, Ms. Johnson has been the point person for all crisis communication efforts.

In recent years she has helped lead the development of a new crisis communication plan, which included expanded training efforts for staff and a new alert system. For the last several years, Ms. Johnson has led all campus public relations efforts, overseeing a staff focused on media and communication efforts for colleges across the Lexington Campus. Indeed, perhaps most significant among her many accomplishments and attributes is her commitment to her staff and people across campus.

The president stated, "Much of the UK Public Relations staff is comprised of university graduates, many of whom were interns who came to UK for their first jobs in the PR department. Ms. Johnson has been turned to for years by this staff and others across our campus for guidance, advice, mentorship and wise counsel. For many years she has been affectionately referred to as the "team's mother" for her commitment to the university. This community is stronger because of the indelible mark she has made, and the university will be forever grateful to her service. She is truly deserving of the honor of University Staff Emeritus as conferred by the Board of Trustees."

Chair Vance asked for a motion to approve PR 4. Trustee Melanson moved approval, Trustee McCoy seconded the motion and PR 4 passed without dissent. (See PR 4 on the Board of Trustees website, www.uky.edu/Trustees, under "Agenda")

Kathy was invited to make remarks and received a standing ovation from the attendees.

PR 5 Renaming of Building

The president introduced PR 5, the recommendation that the Board of Trustees approve the renaming of building 400 at the former Lexington Theological Seminary, as

the Early Childhood Laboratory. The Early Childhood Laboratory, or ECL, was established in 1928. It is the university-based center operated by the Department of Special Education and Rehabilitation Counseling in the College of Education.

Building 400, as it is currently named, was part of what the Lexington Theological Seminary was when we purchased that. The building was renovated for the ECL and they moved into the building in 2015. The building is in need of appropriate signage, and UK facilities is supportive of this request, as it will correct some conflicting building identification challenges.

The Advisory Committee on naming university property has reviewed this request and found it to be in compliance with Administrative Regulation V and recommends approval of this name designation.

Chair Vance asked for a motion to approve PR 5. Trustee Pope moved approval, Trustee Black seconded the motion and PR 5 passed without dissent. (See PR 5 on the Board of Trustees website, www.uky.edu/Trustees, under "Agenda")

VI. Finance Committee Report

Trustee McCann stated that the Finance Committee had adopted FCR 5 tuition and mandatory fees, and on behalf of the Committee, moved the adoption of FCR 5 for the entire board. Chair Vance thanked Trustee McCann and introduced Trustee Wheeler to offer her perspective as the Student Trustee.

Trustee Wheeler stated, "Today, I will announce that I am voting yes on FCR 5, the tuition rate for 2021-2022 school year. Although previous SGA presidents have developed a tradition of voting no on such items and efforts to oppose tuition increases, it is time for our students to depart from our view of our tuition policy, and instead view it with its necessary complexities."

Trustee Wheeler continued, "This past fall, our student voiced concern with the distance learning fees applies to all online courses. Our administration heard these concerns, and the university is moving to eliminate these fees in the coming years. It is a reflection of student advocacy and action. The tuition policy also reflects substantial efforts to increase access to online education through the University of Kentucky. This decision is to not only be beneficial to our health conscientious students, but also to our nontraditional students but necessary for our transition into the future of higher education."

In conclusion, "This policy will likely result in tuition reduction for two key student groups at UK: full-time students taking at least one online course and part-time students also taking any online courses. These measures will eliminate barriers to remote learning, which procures a more equitable educational experience. While I will acknowledge these changes do not fully encompass what our students have been fighting for, I must recognize the progressive steps our Board has taken to consider student voices and

ensure equity. It is my sincere hope that future SGA administrations and students continue to share a similar value for such progress towards a better BBN. Thank you.”

Chair Vance thanked Trustee Wheeler and stated, “I think we all recognize that the COVID pandemic has imposed significant burdens on all students and the president, and his staff have made extraordinary efforts to minimize the financial impact on students.” With no further discussion, Chair Vance called for the vote on FCR 5 which carried without dissent.

VII. Investment Committee Report

Trustee McCoy reported that the Investment Committee met to hear finalists’ presentations and to discuss the selection of a successful bidder in the endowment investment consultant RFP. The Committee authorized the investment staff and university purchasing officials to establish a final contract with a selected finalist, who will be identified once the final contract is successfully completed.

VIII. University Health Care Committee Report

Trustee Young reported the University Health Care Committee met and celebrated UK HealthCare’s successful launch of Epic, their new electronic health record (EHR) on June 5. The more than two-year journey officially began in April of 2019, when the University Health Care Committee approved the FCR to replace the current system. Despite the global pandemic, the new EHR went live on time and under budget.

Trustee Young continued by stating that Dr. Newman had thanked Dr. Cecilia Page for her leadership and the entire UK HealthCare staff and faculty for all their hard work. The Committee approved several important items for the new Fiscal Year including the enterprise goals, Quality, Safety and Patient Experience Plan and the FY 2022 Financial and Operational plan.

Lastly, Trustee Young reported that the list of privileges and appointments were submitted by the UK medical staff for credentialing, and FCRs ten and 11 were approved.

IX. Other Business

Chair Vance remarked, “I think we all agree that it has been wonderful to be back in person today. It reminds us of the importance and the power of connecting with people. I am looking forward to our meetings in the future, back on campus with each other.” Chair Vance announced that the resolutions traditionally presented to those members retiring, will occur at the September meeting and announced at the conclusion of the meeting Student Trustee Michael Hawse will be sworn in.

X. Adjournment

Hearing no further business, the meeting adjourned at 3:09 p.m.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Rachel", written in a cursive style.

Rachel Watts Webb
Secretary

(PR 2, ACC 1, FCR 1, FCR 2, FCR 3, FCR 4, FCR 5, FCR 6, FCR 7, FCR 8, FCR 9, FCR 10, FCR 11, FCR 12, ICR 1 and UHCCR 1 are official parts of the Minutes of the meeting)