

Minutes of the Meeting of the Board of Trustees  
University of Kentucky  
Friday, September 11, 2020

The Board of Trustees of the University of Kentucky met on Friday, September 11, 2020, via Zoom Webinar.

A. Meeting Opened

Bob Vance, chair of the Board of Trustees, called the meeting to order at 1:32 p.m. Chair Vance asked Assistant Secretary Bill Thro to announce the members present.

B. Roll Call

The following members of the Board of Trustees were in attendance: Claude A. "Skip" Berry, Cathy A. Black, Lee X. Blonder, Joe R. Bowen, Michael A. Christian, Ray Daniels, Ron Geoghegan, Kimberly McCann, Elizabeth McCoy, David Melanson, Paula Leach Pope, Derrick K. Ramsey, C. Frank Shoop, Sandra R. Shuffett, Bryan Sunderland, Hollie Swanson, Robert Vance, Rachel Watts Webb, Courtney Wheeler and Barbara Young. Trustee Carol Martin "Bill" Gatton was not in attendance.

Assistant Secretary Thro announced a quorum was present.

C. Chair's Report

Chair Vance introduced the five new Board of Trustees members in attendance including: Ray Daniels, Ron Geoghegan, Paula Leach Pope, Hollie Swanson and Courtney Wheeler. "Today, we have five Trustees who will be formally sworn in as members. They come from different backgrounds and communities. They have different professional and personal perspectives, but they all share a deep relationship with, and love for, the University of Kentucky."

Chair Vance continued, "The opportunity to represent Kentucky's flagship, land-grant university, to protect its interests, to guide its policies, to help promote and expand its capacity to serve the needs of the Commonwealth – is a sacred trust. On behalf of our fellow members, we look forward to serving alongside each of you."

Chair Vance introduced Assistant Secretary and Legal Counsel Bill Thro to administer the Oath of Office.

Mr. Thro instructed the new members to raise their right hands and repeat after him, "I do solemnly swear that I will support the Constitution of the United States and the Constitution of this Commonwealth, and be faithful and true to the Commonwealth of Kentucky so long as I continue a citizen thereof, and that I will faithfully execute, to the best of my ability, the office of Trustee of the University of Kentucky according to law; and I do further solemnly swear that since the adoption of the present Constitution, I, being a

citizen of this State, have not fought a duel with deadly weapons within this State nor out of it, nor have I sent or accepted a challenge to fight a duel with deadly weapons, nor have I acted as a second in carrying a challenge, nor aided or assisted any person thus offending, so help me God.” The new members received a round of applause.

Chair Vance reported that there had been one request to address the Board since the last meeting concerning the addition of cricket to UK’s sports roster. It was determined that the subject matter of the petition was not relevant to a pending or future item, or essential information necessary for the Board to fulfill its statutory responsibilities. The request was forwarded to the appropriate athletics representatives.

Chair Vance stated that the minutes of the June 19, 2020, meeting had been distributed and asked for a motion. Trustee Melanson moved approval and Trustee Shoop seconded the motion. The motion carried without dissent. (See meeting minutes on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under “Agenda”.)

Chair Vance called attention to the 14 consent items on the agenda.

- PR 2 Personnel Actions
- ASACR 1 Candidate for Degree May 2019
- ASACR 2 Candidates for Degree August 2020
- FCR 1 Trust Gift from The Estate of Emogene Carr Carter
- FCR 2 Pledge from Independent Stave Company, LLC
- FCR 3 Approval of Lease Barnstable Brown Diabetes Center
- FCR 4 Approval of Lease Family and Community Medicine Clinic
- FCR 5 Approval of Lease Psychiatry Outpatient Clinic
- FCR 6 Approval of Lease Sanders-Brown Center on Aging, Alzheimer's Disease Research Center
- FCR 7 Renovate/Improve Nursing Units Capital Project
- FCR 8 Improve Electrical Infrastructure (AG North and South Complex)
- FCR 9 Capital Construction Report
- FCR 10 Patent Assignment Report
- 2021 Board of Trustee Meeting Schedule

Chair Vance asked if there were any requests to remove items from the consent agenda. Hearing none, Chair Vance asked for a motion to approve the consent agenda. Trustee McCoy moved approval, Trustee Shoop seconded the motion and the consent agenda passed without dissent. (See consent items on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under “Agenda”.)

Chair Vance continued by reporting that the Executive Committee had met earlier that day and reviewed the list of survey questions as part of the 2019-20 Presidential Evaluation, which were sent to the University Senate, Staff Senate and Student Government Association for any feedback. Chair Vance stated there were no suggested changes to the survey, and the next step would be sending the evaluation to various constituent groups for feedback. Those results will be reviewed at the October meeting.

Chair Vance then introduced the president for his report.

D. President's Report

The president thanked Chair Vance and thanked the Board for being there today. "It is good to be with everyone again, even in a context that requires us to be physically distant or digitally connected." The president then welcomed the new Trustees and stated that he looked forward to their many contributions to the Board in the tradition of those Trustees who came before them.

The president continued, "If you're on campus today, there's some somberness. On the front lawn of our campus, in memory and recognition of those who lost their lives on 911, there are flags representing each life that was lost, and our ROTC cadets are taking turns reading the names of all those individuals who perished on that day. Although you are not here, when I walked out there, I certainly paused in a moment of silence." The president then asked everyone to pause in a moment of silence.

The president continued his report by describing the beginning of the semester, "Over the last several weeks, our campus has become rejuvenated with the start of a new academic year – a semester that we all know will be like no other. This year has taken the usual level of preparation and work to new heights and new levels." The president illustrated this point by highlighting the 19 workstreams, comprised of more than 500 people across UK's campus community, "who have worked for months on the university's restart playbook, which I think rivals any in the country. This nearly 200-page guide to safe classroom spaces, dining and housing facilities, community partnerships, technology upgrades and healthy behaviors to protect the community."

The president continued, "A START team of doctors, researchers and public health professionals met frequently to design a series of recommendations for a safe and healthy return to campus. They helped us devise and implement a data-driven approach to our return and ongoing operations. With their help, we have created a modern, sophisticated public health system and infrastructure in the heart of our campus. It is an amazing enterprise to watch spring to life."

The president described the more than 25-member UK Health Corps, who works seven days a week, around the clock, conducting contact tracing, connecting students to wellness resources and helping provide academic support to those in need. He then outlined the virtual dashboard, which is updated daily and provides information to the campus community and all those UK serves, concerning reported and recovered cases, tests conducted, isolation numbers and capacity.

The president continued by describing the steps the campus has made to prepare for the students' return to campus, including: the reconfiguration of hundreds of classrooms; thousands of chairs and pieces of furniture being moved and re-deployed; the installation of yards of plexiglass; and thousands of wellness kits with masks, thermometers and hand sanitizer have been provided to students, faculty and staff.

“From the delivery of food to students in isolation, to programming that is safe and physically distanced, our community has stepped up in remarkable ways in a time of need and in the face of what looked like insurmountable challenges last spring. Every day we start, and each night we end, with trying to answer a simple question that I believe will define us in the ages: Did we care?”

The president continued, “What I see every day, with the distinct privilege of living on this campus and walking and roaming its spaces and places when few others are watching, is more than a one-word response to that question. What I see, each day, is a chorus of caring. I see it in the tired, but dedicated faces of nurses at a shift change at Chandler, after long hours of caring for those most in need. I watch it when I walk into one of 15 canopies and tents erected across our campus, where students and faculty gather, appropriately spaced, to interact, to teach, to learn. I hear it when I visit with Resident Advisors, via Zoom, at night, planning and discussing the questions of students they mentor, approaches they can take to innovative programming and ways they can address the concerns their students have right now.”

The president continued, “I experience it up close, attending a recent practice of our football team, witnessing the hours of dedication by staff to ensure facilities are cleaned and student-athletes tested and protected. For that brief hour that I was there, when you watch a team play and practice, you are suspended in time. You forget that we are in the middle of a pandemic. It’s only the sounds of game and the joy of a team, preparing to do what they love and what we all want soon to be part of again.”

The president pointed out the challenges and significant bumps in the road that have already been and will be still to come as the university is 75 days from Thanksgiving Break. “I remain cautiously optimistic, even as we all know that we are fighting an invisible foe whose spread does not care about geography. All around me, I get to see it and hear it on your behalf: A chorus of caring, a harmony of hope.”

The president continued by discussing the newly named Interim Vice President of the Office of Institutional Diversity (OID) and Senior Advisor to the President, Dr. George Wright and how he is a part of that chorus of care as we address the other pandemic that challenges our community and our world; the sting and stain of systemic racism. “In recent weeks, we’ve announced critical investments and new partnerships around research into disparities, creating more educational access working with the NAACP, the formation of a Commonwealth Institute of Black Studies and training, beginning with our senior administration, on new ways to confront these ancient and deeply embedded biases that we all share. Now, we are making progress on the long-term changes that we seek as hundreds of dedicated and committed community members have, again, stepped up to be part of this process around Diversity, Equity and Inclusion. This is not the province of a committee or the project for a class or a single semester. This is an ongoing effort on the part of a community to live up to the aspirations we all share.”

The president continued, “As Kentucky’s university, we cannot afford to think only

about surviving. We must commit ourselves to thriving, to boldly acting now so that we meet our promise tomorrow. We must position UK for the future with the goal of ensuring that one day COVID-19 is a page in a history book and racial injustice seems as ancient and bizarre to us as what we think about slavery today. Fundamentally, it comes down to the question of how we honor a mission that has evolved over the course of more than 155 years, but at its core comes down to this; how do we serve this Commonwealth? Or, put another way, as we have discussed earlier, how, in this time and in this place, do we show that we care?"

President Capilouto discussed how this idea was the impetus for a reorganization he announced earlier in the month that positions the organizational structure that reports to him to focus squarely on the most important issues and strategic imperatives as Kentucky's university. "As I told the campus when I announced this reorganization, to reach the potential that I know is within our grasp, the minds and voices of UK's leadership team must better represent our strategic imperatives. They also must better reflect our increasingly diverse world, not as symbols of our intent, but as partners who drive our progress."

The president listed three imperatives driving what the university does:

- Putting students and their success at the center of all UK does;
- Constructing a bigger table for decision-making with people and resources to get the job done; and
- Creating more accountability around the plans the university makes and more transparency on how progress is measured.

"The impact will be much more than a redrawing of our organizational chart. It will chart our organization's path to greater excellence, diversity, impact and success."

The president recognized some of the leaders, who are part of this reorganization, and will help ensure that focus, including:

Office of Institutional Diversity (OID) Interim Vice President George Wright. The president pointed out that UK has increased OID's budget of \$3.1 million to \$19.3 million with the addition of a number of programs and initiatives that reflect its central position in the university's mission – the Parker Scholarship Program and Faculty and Staff Diversity Fund.

"We will soon launch a national search in the coming weeks for a permanent vice president for institutional diversity. Dr. Wright will continue to fill this critical position in an interim capacity and add to his portfolio the permanent position of senior adviser to me. He will continue in that senior position after we select a new vice president for institutional diversity. As a former president, provost and nationally regarded historian, Dr. Wright brings to these critical positions, decades of experience and a deep understanding of this institution and community. Already, he has brought a sense of stability and direction to our diversity, equity and inclusion efforts. I look forward to

relying on him even more heavily for counsel in the coming year.”

The president continued, “Nothing is more important to our mission and what we are doing for the future of this state than the success of students. Providing more students with the critical toolkit of skills they need and preparing them to lead lives of meaning and purpose will be a key ingredient in whether our state is better off tomorrow than it is today. The structure we announced earlier this month creates more focus around two important objectives: academic excellence in everything that we do; and strong, consistent and coordinated support for the well-being of our students and their success. All of this, in harmony, further guarantees their success.”

The president continued by recognizing Vice President for Student Success Kirsten Turner. “This new unit will contain many of the functions currently in the Office of Student and Academic Life (SAL) that Dr. Turner has been leading, but adds Enrollment Management and other support units such as the Center for Academic Resources and Enrichment Services, Student Support Services and the Office of LGBTQ\* Resources. All of these units relate specifically to the work our staff do, from recruitment of students to academic advising, to support our students, their academic success but also their health and well-being.”

The president stated that the critical academic units of Undergraduate Education, the Office of the Registrar, the Gaines Center for the Humanities and the Chellgren Center for Undergraduate Excellence will remain with the Office of the Provost. “I have asked the provost, when working with our faculty, to place a renewed focus on our academic core curriculum; those core courses our undergraduates take in their first two years on campus and which comprise some of the critical skills in communication, analysis and creativity that they will need to succeed long after they graduate. That work happens in undergraduate education and in our colleges. Our colleges and faculty set the academic standards that must be met and provide the instruction that makes it possible. We want to accelerate that progress. This alignment also will allow the provost and his team the capacity to focus on our re-accreditation process next year; a seal of approval essential to our ongoing mission as Kentucky’s flagship, land-grant institution.”

The president continued, “We were created to serve Kentucky. It is in our DNA. It is as much a part of our identity as the name of the state we bear. I have asked Nancy Cox, our dean of Agriculture, Food and Environment, to take on the additional position of vice president for land-grant engagement. An important part of successfully confronting the challenges we face today can be found in translating the ethos of extension services found in the college, into programs and initiatives throughout our campus. Such a focus in a time of anxiety about the future will help us anchor our aspirations to our historical land-grant roots. As so many of you know, Dr. Cox is widely known and admired throughout the state for her commitment to the agricultural economy and our historic mission of serving all our communities in all 120 counties. We can further elevate and leverage all our talents and disciplines in serving our state.”

The president continued by introducing Chief Accountability Officer and Audit

Executive Joe Reed, who previously served as the university's chief auditor. "We talk often about accountability and transparency. This Board made those ideas and goals a priority when you created an Audit and Compliance Committee. That Committee, as you know, discusses complex issues that relate to how our institution manages the resources, programs and initiatives with which we are entrusted. Mr. Reed has elevated the internal audit division into both an aggressive watchdog, but also a partner, with departments and units throughout the campus. This move, as a direct report to me, sends a powerful signal that transparency and accountability will be expected throughout our institution."

The president shared the following information with the Board, "that would not have been possible without this Board's leadership and the support of an outstanding faculty and staff. We have laid a foundation for the future."

- More than 31,000 students returned to UK's campus – a record.
- The university has increased retention and graduation rates – not by becoming smaller and more elite, but by growing and working to ensure that money and debt are not barriers to an education.
- Some \$430 million in research annually is focused on Kentucky's most intractable challenges – cancer and heart disease; opioid disorders and our energy future; and now an array of disciplines coming together to address racial and economic disparities.
- Our academic medical center treats more than 40,000 patients a year – more than doubling what it did only 15 years ago. They have done it by extending care to those who need it most throughout our state.
- And, as we have discussed together before, our athletics department is focused on championships playing on fields and courts, but also on what's even more important: success in life as evidenced by 16 straight semesters with a cumulative 3.0 grade point average.

"We are doing more in education research, service and healing than any time in our history. We are proud. I hope all of you are proud. And like everybody I work with every day, I hope none of you are completely satisfied. We are enveloped in one pandemic that infects our lungs and spirits, and another that can poison our hearts and minds. It is just as pernicious. So, extending and enhancing our mission is more important now because of what we must confront. It is not a time to stand still. It is a time to move and accelerate forward. It is why we were created 155 years ago. Our mission is found in the name we bear and the promise we are called to keep. We must meet this moment. With your leadership and support and with a commitment of community, a community of students, scholars, our staff, they all care. They all care so deeply. I know we are going to meet this moment.

The president concluded his remarks by introducing the dean of UK's College of Medicine. "One of those dedicated scholars and healers is the Dean of our College of Medicine, Robert DiPaola. Dean DiPaola has helped lead the START Team that I mentioned earlier. And this has been so critical to our data-driven approach to our restart

efforts. We are doing more in education, research, service and care than at any time in our history.”

The president introduced Dean DiPaola to discuss UK’s approach to testing and other issues.

Dean DiPaola thanked the Board for the opportunity to give an update and inside view of the Screening and Testing to Accelerate Restart and Transition (START) Team activities. “The START Team was charged by Provost Blackwell and Dr. Monday on April 30<sup>th</sup> to make recommendations regarding restarting our campus in a safe manner with attention to testing, screening, personal protective equipment and contact tracing in our public health infrastructure with UK’s campus. This was built as part of the organizational structure of the Emergency Operations Center (EOC) and the university’s proactive response to delivering an in-person learning experience for students while keeping the campus safe.”

Dean DiPaola described the team members, each experts in their own fields, that are involved to address key areas including virology, public health, medicine, UK Health Care senior leadership, laboratory medicine, infectious disease, pharmacy, the Office of Technology, biostatistics and the UK Police.

Dean DiPaola continued, “The team’s plan started with and continues to use available evidence on a regular basis and expertise to serve and support a safe and reinvented campus. They call it the Three E’s approach, meaning we continually review the evidence, emerging studies and new technologies, the first E, experts; the second E, our own university experts beyond the team, and thought leaders around the country; and the third E, experience. Our own experience in the current time and other universities around the country monitoring the data, troubleshooting and pivoting as needed.”

Dean DiPaola stated that the team meets formally each week and also has ad hoc meetings and calls throughout the week. “I’d actually say it’s essentially daily, to assess and opine on many requests from the EOC, as well as taking proactive strategic approaches to think ahead on what options and challenges are coming up in the future. The group has been incredibly responsive to all requests and it’s been an honor to be a part of the team.”

Dr. DiPaola provided examples of requests they have received including examining testing options, reviewing the semester calendar, looking at face shields, Phase 3 resumption of research and more recently, wastewater testing. Additionally, the team reviews changes that occur in the CDC guidelines related to testing asymptomatic individuals, as well as other CDC guideline changes. “In every case, finding the evidence, reaching out to the experts and weighing our current experience and data, which is not just the CDC guidelines alone. We pull the actual evidence behind those guidelines and give opinions that fit into our campus best, including incorporating guidelines from the International Center, the UK Athletics return to events and the



student housing structure guidelines, to name a few.”

Of particular importance, the START Team considered the concept of broad testing of asymptomatic individuals based on multiple factors. Because data supports transmission from asymptomatic individuals in addition to symptomatic individuals, the team focused initially on recommendations to detect those students coming onto the campus at the beginning of the semester as they came from all 50 states, 120 counties and multiple countries. Transmission appears to come from a smaller percentage of those infected, and there is currently no way to know who they are without testing.

Dr. DiPaola discussed how the high sensitivity and specificity the preliminary chain reaction (PCR) based viral testing makes it useful for ruling out infection even in areas with low prevalence rates. He pointed out the sensitivity of some tests is lower, and the lower the sensitivity, the greater risk of false negatives, or individuals that have COVID-19 but test negative. PCR-based viral testing rolled out at UK includes many different components, like mandated testing for all students, fraternity and sorority life testing, testing of employees and the more recent community testing.

Dean DiPaola reported that beyond PCR-based viral testing, the team has initiated wastewater testing, which was a follow-through of an initial START Team guideline. Wastewater testing has now been operationalized at UK by the EOC and led by Chief Monroe and a team of scientists in engineering, public health and medicine. Dr. DiPaola explained that water testing is an emerging epidemiologic tool to identify early signs of exposure to toxic agents, as well as viruses. The COVID-19 virus is shed in the feces of many infected individuals, even when asymptomatic. Detection of an increasing level of the virus in wastewater can be an early sign of an outbreak. He provided an example from another university where two individuals were detected out of more than 300 in a residence hall. If a potential outbreak is identified, testing through Wild Health would be required of all the residents in that facility, and all the cases would be referred for UK's Health Corps team. Thinking further about the three E's, the START Team has begun strategic discussions with respect to a number of items including next semester contingencies, incorporating COVID-19 vaccinations, if and when they are available, other rapid testing options and platforms, flu vaccinations plans and additional random retesting options.

Dean DiPaola thanked the Board for the opportunity to provide an update on behalf of the full START Team. “Although we still have more to do and monitor, it has been amazing to see the strengths and expertise across the entire campus come together in this unprecedented time to create this unprecedented and powerful public health campus infrastructure.”

President Capilouto thanked Dean DiPaola and all members of the START Team for their tireless efforts and offered to take any questions.

Trustee Swanson thanked both President Capilouto and Dean DiPaola for the tremendous effort that has been ongoing. She cited the most recent report of over 1,000

cases within UK's student population and asked at what point will the university consider making a major change in the course of action.

President Capilouto called on Dean DiPaola and Dr. Monday to answer the question. Dean DiPaola explained that the number Trustee Swanson refers to includes both active cases and those cases that had already recovered. He pointed out the importance of keeping ahead of capacity, meaning capacity to isolate, capacity to quarantine and capacity within our health system. Dr. Monday added that the university has identified a number of factors that look at the operational status including the supply of PPE and residential experience. "As we look at other institutions and the challenges they are facing right now, the largest focus is on the ability to isolate and quarantine." Dr. Monday stated that UK currently has 69 percent available capacity and isolation beds, in addition to the 30-person Health Corps which continues to be available to help with this effort.

With no further questions, the chair called on the president to discuss PR 3.

#### Appointment of Dean of College of Dentistry (PR 3)

The president stated that PR 3 was the recommendation that the Board of Trustees approve the appointment of Dr. Jeffrey P. Okeson as Dean of the College of Dentistry, effective July 1, 2020.

Chair Vance asked for a motion to approve. Trustee Christian moved approval of PR 3. Trustee Shoop seconded the motion. The chair asked if there was any discussion. Trustee Christian stated that he was honored and privileged to make the motion for approval of the appointment of Dr. Jeffrey P Okeson as Dean of the College of Dentistry and added that Dr. Okeson is a man of impeccable character, excellent clinician, teacher, speaker, mentor and colleague. " He has already been a tremendous asset for our College of Dentistry through the years, as well as during the last year. He stepped up to serve and came to the aid of the College of Dentistry in a time of need by accepting the position of Interim Dean. His skills and character will lead the college, moving it into the future and properly preparing the students to serve the Commonwealth, our country, and the world. Therefore, I strongly support and encourage your support for Dr. Jeffrey P. Okeson for appointment as Dean of the College of Dentistry.

With no further discussion the chair called for a vote. The recommendation passed without dissent. (See PR 3 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

Chair Vance introduced Dean Okeson for remarks. Dr. Okeson stated it was an honor and privilege to serve the college at this level. He discussed the unique year due to the pandemic including the executive order to close clinics in March, and then the phased return of students. He highlighted how UK's College of Dentistry continues to lead the country in digital dentistry and discussed the out-reach programs that serve

underprivileged patients throughout the state. He closed by thanking the faculty and university leadership for providing a safe environment for the students return.

Chair Vance congratulated Dean Okeson, and the president added his appreciation and recognized the dean for his leadership adapting to this unique environment.

#### Proposed Revision to Governing Regulation II: Board Self-Evaluation and Removal of Board Members - First Reading (PR 4)

The president introduced PR 4, that the Board of Trustees receive and vote to accept for the first reading the attached revisions to Governing Regulation (GR) II, Governance of the University of Kentucky. The revisions, if approved, will: 1) establish an annual self-evaluation for the Board of Trustees for compliance with the accreditation standards of the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC); and 2) update the conditions for removal of Board members to be consistent with recent changes to Kentucky statutes on that issue. (See PR 4 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

Chair Vance asked for a motion to approve. Trustee Melanson moved approval of PR 4. Trustee Bowen seconded the motion and the recommendation passed without dissent. (See PR 4 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

#### Naming of the New Barrel Storage Facility at the James B Beam Institute for Kentucky Spirits (PR 5)

The president introduced PR 5, that the Board of Trustees approve the naming of the new barrel storage facility at the James B. Institute for Kentucky Spirits the Independent Stave Company-Boswell Family Warehouse. (See PR 5 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

Chair Vance asked for a motion to approve. Trustee Webb moved approval of PR 4. Trustee Young seconded the motion and the recommendation passed without dissent. (See PR 5 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

#### E. Investment Committee Report

Trustee Elizabeth McCoy, Chair of the Investment Committee, reported that the Investment Committee had an excellent retreat the day prior. They reviewed performance results and conducted other business. She reported that the endowment pool had a market value of \$1.5 billion as of June 30, 2020 and declined by an estimated 3.2 percent, in the twelve-month Fiscal Year-to-date period. She added that international equity and public real asset allocations were the primary detractors for the period. Trustee McCoy reported the endowment pool gained an estimated 2.1 percent, or \$31 million, for the

month ending July 31, 2020, and U.S. and international equity allocations were the primary contributors to performance for this period.

F. Health Care Committee Report

Trustee Young stated that earlier that day the Committee received a report from Executive Vice President of Health Affairs Mark Newman detailing UK HealthCare's continued response to the COVID-19 pandemic, adding that the team has done an amazing job bringing patients back after the restart. Inpatient discharges and outpatient visits are at or above historic averages. Dr. Newman also previewed plans for the fifth-floor Pavilion A in an effort to support UK HealthCare's employees by adding additional childcare resources for school-aged children.

Trustee Young reported that Dr. Cecilia Page updated the Committee on the status of the new electronic health record, and the project is on time and will go live in 267 days. Mr. Craig Collins reported that the total operating revenue for Fiscal Year 2020 was \$2.5 billion, which was \$75.4 million less than budget. The total operating expenses for Fiscal Year 2020 were \$2.1 billion or \$40.7 million less than budget.

Trustee Young reported the Committee recommended approval of FCR 7, to relocate Adolescent Behavioral Health from Good Samaritan to Chandler Hospital, and the Committee approved the list of providers submitted by the UK HealthCare medical staff.

G. Other Business

Chair Vance stated that traditionally, each year at the June Board of Trustees meeting, a framed resolution is presented to those Trustees whose terms are ending. "It's an opportunity to honor those colleagues who have helped build a brighter future for our community and our Commonwealth through their service as Trustees." Chair Vance explained because the June meeting was held virtually, they postponed the presentation of these resolutions until September in the hopes that they could celebrate with the retiring members in person. Unfortunately, that was not possible, so they have opted to recognize them over the Zoom meeting.

Mr. Vance introduced the retiring Board members joining the meeting via Zoom including Jennifer Barber, Britt Brockman, Cammie Grant, Robert Grossman and Michael Hamilton. He then introduced Trustee Young to read the first resolution honoring and commending

**Jennifer Yue Barber** for her service to the UK Board of Trustees, the University, her community and the Commonwealth.

**WHEREAS**, Jennifer Yue Barber, member of the Board of Trustees since 2016, has diligently served the University of Kentucky with admirable acumen and dedication; and

**WHEREAS**, during her appointment, she offered her expertise and skills as Vice Chair of the Board of Trustees, Chair of the University Athletics Committee, Vice Chair of the Executive Committee, and as a member of the Audit and Compliance, Finance, and Human Resources and University Relations committees; and

**WHEREAS**, the Frankfort, Kentucky native is an alumnus of the University of Kentucky, having earned her bachelor's degree and Juris Doctor degree from the University; and

**WHEREAS**, after graduation, Ms. Barber became a Member at the law firm, Frost Brown Todd LLC, where she focuses her practice on state and local tax, economic development, and government affairs; and

**WHEREAS**, she has been named to Best Lawyers®, Kentucky Rising Stars®, and Martindale Hubbell's Registry of Preeminent Women Lawyers; and

**WHEREAS**, Ms. Barber is an active member of her community who currently serves on U.S. Bank's Advisory Board, previously served on the Kentucky State Fair Board, Board of Directors for the Kentucky Bar Foundation, and Louisville Bar Foundation, and is an active member of the American Bar Association, the Kentucky Bar Association, and the Kentucky Chamber of Commerce; and

**WHEREAS**, a successful alumna and lawyer, Ms. Barber has been honored by Business First of Louisville as its "Enterprising Woman to Watch" in 2015 and was named to Louisville's "Forty Under 40" in 2001, and was nominated to be the United States Representative to the Economic and Social Council of the United Nations, with the rank of Ambassador, and Alternate Representative of the United States of America to the Sessions of the General Assembly of the United Nations;

**NOW, THEREFORE, BE IT RESOLVED**, that the University of Kentucky Board of Trustees does hereby adopt this resolution on September 11, 2020, and expresses, on behalf of the University community, our individual and collective gratitude to Jennifer Yue Barber for her exemplary support and service, wishes her well in all of her future endeavors, and orders that this resolution be made part of the minutes of the meeting.

Ms. Barber thanked the Board and shared what an honor and privilege it had been to serve on UK's Board alongside each of them. "As you know, it was a milestone for my family, particularly my parents who are immigrants and were unable to attend college, when I accepted and attended UK for undergrad. That experience would have such a profound impact on me that I would continue my studies at UK's College of Law. My UK education, as many of you know, has changed the trajectory of my life. So I have firsthand experience of what is wildly possible here at UK. It is my immense gratitude for UK and the responsibility to pay it forward that has made me cherish the opportunity to serve on UK's Board, to work with each of you and to make a difference for future generations."

Former Trustee Barber received a round of applause. Chair Vance continued by recognizing Edward Britt Brockman honoring and commending

**Edward Britt Brockman** for his service to the UK Board of Trustees, the University, his community and the Commonwealth.

**WHEREAS**, Dr. Britt Brockman, member of the Board of Trustees since 2008, has diligently and selflessly served the University of Kentucky with admirable acumen, commitment, and insight; and

**WHEREAS**, during his appointment, he led the search and successful hiring of UK's twelfth President, Eli Capilouto, offered his distinguished expertise and skills as Chair of the Board of Trustees and Executive Committee from 2010-2014 and again from 2015-2019, and served as former Chair of the Investment Committee and member of the Audit and Compliance, Finance, Investment, and University Health Care Committees; and

**WHEREAS**, the Hodgenville, Kentucky native earned his Bachelor of Science degree in Pharmacy from the University of Kentucky, his Doctor of Medicine degree from the University of Louisville, and is board certified in Ophthalmology, having served a residency at Louisiana State University in New Orleans; and

**WHEREAS**, Dr. Brockman served as managing partner of John-Kenyon Eye Center, one of Kentuckiana's largest providers of medical and surgical eye care, for fifteen years and was a partner in the NovaMed Ambulatory Surgery Center during the same period until May, 2018 when he sold both entities in a private equity deal; and

**WHEREAS**, he has served as President of the Board of Trustees of Adath Jeshurun Synagogue, Walden School, and Eliahu Academy Jewish Day School, and he has served on numerous other boards including the Jewish Federation of Louisville, Kentucky Health Care Policy Board, the University of Kentucky Board of Trustees as the Student Government President from 1981-1982, and the UK Athletic Association Board of Directors as student representative from 1980-1981; and

**WHEREAS**, Dr. Brockman is an advocate and supporter of his community having donated philanthropic gifts to the Brockman Center of Campbellsville University, the Brockman Family administrative wing of Adath Jeshurun Synagogue in Louisville, Kentucky, the Alpha Gamma Rho fraternity house on UK's campus, and the E. Britt Brockman Senate Chamber in the UK Gatton Student Center; and

**WHEREAS**, a successful alumnus and health professional, Dr. Brockman was awarded the Louisville Business First Award for outstanding leadership in health care in 2008, 2009, and 2010.

**NOW, THEREFORE, BE IT RESOLVED**, that the University of Kentucky Board of Trustees does hereby adopt this resolution on September 11, 2020, and expresses, on behalf of the University community, our individual and collective gratitude to Dr. E. Britt

Brockman for his exemplary support and service, wishes him well in all of his future endeavors, and orders that this resolution be made part of the minutes of the meeting.

Former Trustee Brockman thanked Chair Vance and thanked the Board. "In particular, I would like to thank you all for your friendship over these past 12 years and those that preceded you. I'm also honored to follow an Ambassador Elect to the United Nations. I wish Ambassador Elect Barber and Ambassador Craft the best of luck, and all the skills they have are going to serve us well with the United Nations. I am very proud of both of you. I'm also in awe over the past 12 years on the Board and eight years as chairing this Board, I'm in awe of the students. As a student government president, I know I was never half as smart as the student government presidents we've had over the last 12 years. It's unbelievable the product of students coming out of our university. I want to say I leave this Board feeling comfortable and very confident in the leadership of Chairman Vance and Vice Chair McCann, and even more so, in the leadership of our university with President Capilouto and the fine job he's doing."

Dr. Brockman received a round of applause. Chair Vance continued by introducing Trustee Shuffett to read the resolution honoring and commending

**Cammie DeShields Grant** for her service to the UK Board of Trustees, the University, her community and the Commonwealth.

**WHEREAS**, Cammie DeShields Grant, member of the Board of Trustees since 2014, has diligently served the University of Kentucky with admirable acumen and insight; and

**WHEREAS**, during her appointment, she offered her expertise and skills as Secretary of the Board of Trustees, member of the Executive Committee, Chair of the Human Resources and University Relations Committee, and as a member of the Academic and Student Affairs, Finance, Human Resources and University Relations, Nominating, University Athletics, and University Health Care Committees; and

**WHEREAS**, the Kentucky native is an alumna of the University of Kentucky, having earned her Bachelor of Arts in Education and Speech Pathology and a 5<sup>th</sup> year Certification in Elementary Education; and

**WHEREAS**, during her thirty-year career as an educator, Ms. Grant served as Chair of the Department of Speech Pathology in the Coweta County Public Schools in Newnan, Georgia before returning to Kentucky to continue her career with the Clark County Public Schools in Winchester, Kentucky where she was elected to four terms on the Clark Middle School Site Based Decision Making Council; and

**WHEREAS**, she was selected to be a Clark County representative at the Kentucky Center for Instructional Discipline, was elected to the Clark County Certified Appeals Panel, and also served the Clark County Public Schools as an Instructional Resource Specialist and Special Education Facilitator; and

**WHEREAS**, Ms. Grant is an active supporter of her community as a member of the Kentucky Retired Teachers' Education Association, National Education Association, American Speech-Language-Hearing Association, and is involved with the Clark Regional Foundation for the Promotion of Health, volunteers with Clark County Community Services and the Salvation Army, and is president-elect of Fine Arts Winchester; and

**WHEREAS**, a successful alumna and educator who served as National President of the UK Alumni Association from 2011-2012 and currently serves on the Past National President's Advisory Council and the Alumni Association's Board of Directors, Ms. Grant was honored in 2006 with the UK Alumni Association's Distinguished Service Award.

**NOW, THEREFORE, BE IT RESOLVED**, that the University of Kentucky Board of Trustees does hereby adopt this resolution on September 11, 2020, and expresses, on behalf of the University community, our individual and collective gratitude to Cammie DeShields Grant for her exemplary support and service, wishes her well in all of her future endeavors, and orders that this resolution be made part of the minutes of the meeting.

Former Trustee Grant thanked Trustee Shuffett and thanked the entire Board. "As a graduate of UK, for me, like several of you, the University for Kentucky truly has been a cornerstone in my life and reinforcing how quickly time does speed by. As I think back, I realize that I have been involved in volunteer service to UK since 1999, so these past 20 years of service have given me the opportunity to expand upon the opportunities that UK afforded me during my college years. Those opportunities for growth, for awakenings, for thought-provoking discussions, for problem-solving, a journey toward a better understanding of the world, a sense of community and the never-ending desire to pay forward the opportunity to others who attend UK. Serving with you all on this Board has truly been among the most amazing rewarding experiences of my life and one for which I am forever honored and grateful. I have great respect for the admiration, the mutual respect that's shown among Trustees, administrators, faculty, staff, students, alumni, all of those with whom I have worked for the past six years, and the thoughtful nature of our discourse, the willingness to listen to each other has served this Board well. The challenges ahead are many, but hearing the plans that have been laid out is reassuring. I have great confidence in the leadership of President Capilouto and all of the UK administration, and for the leadership of Trustee Vance, and for the success of this Board. I know going forward that the University for Kentucky will continue to work toward and provide equity, equality, a sense of community and life-changing growth for all who enter her doors."

Ms. Grant received a round of applause. Chair Vance continued by introducing Trustee Melanson to read the resolution honoring and commending

**Robert B. Grossman** for his service to the UK Board of Trustees, the University, his community and the Commonwealth.



**WHEREAS**, Dr. Robert Grossman, member of the Board of Trustees since 2014, has diligently served the University of Kentucky with admirable acumen and dedication; and

**WHEREAS**, during his appointment, he offered his expertise and skills as a member of the Academic and Student Affairs, Human Resources and University Relations, and University Health Care Committees; and

**WHEREAS**, Dr. Grossman came to the University of Kentucky in 1994 after earning a bachelor's degree in Chemistry from Princeton University and a Doctor of Philosophy in Organic Chemistry from the Massachusetts Institute of Technology, and completing postdoctoral work at Cambridge University in England; and

**WHEREAS**, after being promoted to Professor of Chemistry at UK in 2001, Dr. Grossman's primary teaching responsibilities are in the area of organic chemistry, and his research interests are in the areas of organic synthesis, biochemistry of natural products, and pedagogical software development; and

**WHEREAS**, he has collaborated with faculty in the Colleges of Arts and Sciences; Medicine; Education; Agriculture, Food, and Environment; and Engineering, has attracted funding from both the National Institutes of Health and the National Science Foundation, and is the author of a widely used intermediate-level organic chemistry textbook, *The Art of Writing Reasonable Organic Reaction Mechanisms*; and

**WHEREAS**, using his exceptional leadership and collaboration skills, Dr. Grossman has been active in University faculty governance apparatuses, including serving as Vice Chair on Senate Council, several terms in the University Senate, and membership on various departmental, college, and University-level committees.

**NOW, THEREFORE, BE IT RESOLVED**, that the University of Kentucky Board of Trustees does hereby adopt this resolution on September 11, 2020, and expresses, on behalf of the University community, our individual and collective gratitude to Dr. Robert B. Grossman for his exemplary support and service, wishes him well in all of his future endeavors, and orders that this resolution be made part of the minutes of the meeting.

Former Trustee Grossman thanked Trustee Melanson and the entire Board. "I just want to express what some of the others have said about what a pleasure and a privilege it's been to serve on the Board. The university is in good hands. Faculty sometimes get a little bit cynical about administration, and I usually try to assure them that everyone has the university's best interest at heart. Even though there's sometimes disagreements about the ways to move forward, we're all trying to get to the same place. I won't be completely gone. I'm still here on campus, and I'll still be active in university governance in the University Senate, so I hope I'll be able to see all of you again sometime soon. Stay safe."

Dr. Grossman received a round of applause. Chair Vance introduced Trustee Webb to read the final resolution honoring and commending

**Michael Alexander Hamilton** for his service to the UK Board of Trustees, the University, his community and the Commonwealth.

**WHEREAS**, Mr. Michael Alexander Hamilton, member of the Board of Trustees since 2018, has diligently served the University of Kentucky with admirable acumen, commitment, and insight; and

**WHEREAS**, during his appointment, he offered his dedication, passion, and expertise as a member of the Academic and Student Affairs, Finance, and Human Resources and University Relations Committees; and

**WHEREAS**, a Kentucky native from Johnson County, Mr. Hamilton graduated Summa Cum Laude from the University of Kentucky in 2020, earning a Bachelor of Arts Degree in Political Science with minors in Appalachian Studies and International Studies; and

**WHEREAS**, Mr. Hamilton was a two-term UK Student Body President, Honors student, Chellgren Fellow, and served as the Vice President of Delta Sigma Phi fraternity and Chair of the Student Senate; and

**WHEREAS**, during his campaigns for Student Body President, Mr. Hamilton and his team engaged with students of all backgrounds, beliefs, and orientations to convey one consistent message: "You Belong Here!"; and

**WHEREAS**, Mr. Hamilton, a committed advocate for students, dedicated his time and effort to improving inclusion and sense of belonging on campus during his terms as President and Trustee; and

**WHEREAS**, a remarkably successful student and leader, Mr. Hamilton was awarded the Otis A. Singletary Outstanding Senior Award in 2020.

**NOW, THEREFORE, BE IT RESOLVED**, that the University of Kentucky Board of Trustees does hereby adopt this resolution on September 11, 2020, and expresses, on behalf of the University community, our individual and collective gratitude to Mr. Michael Alexander Hamilton for his exemplary support and service, wishes him well in all of his future endeavors, and orders that this resolution be made part of the minutes of the meeting.

Former Trustee Hamilton thanked Trustee Webb and the entire Board. "I want to thank you for the honor and privilege it was to serve alongside each of you during my time as a Trustee. These past few years have undoubtedly been the most incredible and transformative of my life to this point, and being from Eastern Kentucky with all the loaded stereotypes that comes with that, I never would have dreamed growing up that I would be speaking before each of you today. Thank you for truly and deeply caring about the welfare of our students, our campus and our Commonwealth. There was a time when I resented being from Kentucky. I was once asked at a conference in high school if I'd ever used the internet or if I usually wore shoes or if I had running water in my house. All because of the way I talked and for where I was from. I couldn't wait to get a degree, get

out of Kentucky and get rid of that stereotype for myself. However, after working with this incredible institution, its leaders and each one of you, that could not be any further from the way that I see my home and the incredibly strong and resilient people who made me who I am today. I developed a deep sense of place, pride and obligation to give back to the Commonwealth due to experiencing the true service mission with which our university operates, and our leaders live by. I am so, so proud to be a Kentuckian and a graduate of this incredible university. This place has truly changed my life for the better, and each of you played a quintessential role in that. Dr. Brockman told us of a commitment he made to President Singletary during his term as student body president to one day give back to the institution. I want to make that same commitment to each of you today, to each of my incredible mentors here today. I have a special place in my heart for this university, and I'm not entirely sure in what capacity, but I promise to one day repay it for all it has given me. Thank you all for your leadership, your mentorship, but most importantly, your friendship. I appreciate each of you, and I am so incredibly proud of, and excited for, my successor, President Wheeler, a fellow 606-er, to embark on this incredible experience. You guys are truly blessed to have her, and I can't wait for her to grow and learn from each of you."

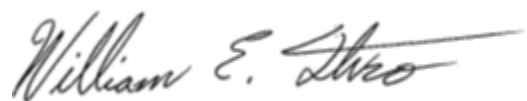
Mr. Hamilton received a round of applause.

Chair Vance remarked, "On behalf of the Board, I extend our gratitude to all of the retiring Board members. President Capilouto often says that a university, at its core, is made up of two things: people and ideas. You, your ideas and your commitment to this place have moved us forward as an institution. For that, we extend our deepest gratitude."

H. Adjournment

Hearing no further business, the meeting adjourned at 3:08 p.m.

Respectfully submitted,



William E. Thro  
Assistant Secretary

(PR 2, ASACR 1, ASACR 2, FCR 1, FCR 2, FCR 3, FCR 4, FCR 5, FCR 6, FCR 7, FCR 8, FCR 9, FCR 10 and the 2021 Board of Trustees Meeting Schedule are official parts of the Minutes of the meeting)