

An aerial photograph of the University of Kentucky campus, showing various buildings, courtyards, and green spaces. The image is darkened to serve as a background for the text.

UNIVERSITY OF KENTUCKY

Progress Report:

2006-09 Strategic Plan and
Top 20 Growth Targets

Summary:

2007-08 Operating and Capital
Budget

Measures of Progress	2006 Baseline	2007	2008	2009 Milestone
Doctorates and Professional Degrees Awarded	634	Available Aug. 2007	--	694
Graduate Degrees Awarded to Minority Students:				
African American	69	Available Aug. 2007	--	80
All Students	109		--	130

2006-07 Highlights

- ✓ **Granted estimated 312 doctoral degrees – previous record high was 276 in 2004-05**
- ✓ **Initiated intensive two-week dissertation writing workshops in summer 2006**
- ✓ **Reallocated \$250,000 to support student research presentations at national and international conferences**
- ✓ **Held first Interdisciplinary Graduate Student Research Conference, with over 100 participants**
- ✓ **Established Steckler Endowment of \$3.7M for fellowships in select math, engineering, and science fields**
- ✓ **Piloted Guaranteed Fixed Tuition Program in the College of Medicine to help students with financial planning**
- ✓ **Initiated “Tuition Assistance for Research Enhancement” program for doctoral students**

UK 2006-09 Strategic Plan, Faculty Development

Measures of Progress	2006 Baseline	2007	2008	2009 Milestone
Number of Faculty	1942	2028	--	2023 ✓
Percent Female Full-Time Faculty	33.2%	33.5%	--	34%
Percent Minority Full-Time Faculty:	African American	3.4%	3.4%	4%
	All Minorities	14.7%	15.8%	14% ✓
Median Salary Relative to Benchmarks	89.1%	88.4%	--	91%
Professional Society Awards & Other National or International Awards	Establish in Year 1	Available July 2007	--	10% above baseline

2006-07 Highlights

- ✓ Created Associate Provost for Faculty Affairs position to oversee all aspects of faculty recruitment, retention, and advancement
- ✓ Established faculty recruitment website to attract Top 20-caliber faculty
- ✓ Developed plan based on national best practices to extend the tenure clock due to family and medical needs

Measures of Progress	2006 Baseline	2007	2008	2009 Milestone
Number of Programs in Top Quartile	Establish in Year 1	Available July 2007	--	20
Total Research Expenditures	\$307 million*	\$324 million	--	\$350 million
Citations of Publications	44,928*	Available July 2007	--	49,000

*Based on 2005 data analyzed and reported in 2006.

2006-07 Highlights

- ✓ **Federal research expenditures increased from \$142.8 million in FY 2004-05 to \$151.2 million in FY 2005-06 (a 5.9% increase)**
- ✓ **Established Life Sciences Advisory Committee to provide guidance on investment strategies**
- ✓ **Acquired new IBM supercomputer, placing UK's research capability among the leaders in the United States**

UK 2006-09 Strategic Plan, Engagement

Measures of Progress	2007	2008	2009 Milestone
Develop and pilot a university-wide assessment strategy to inventory engagement activities and provide information on outcomes and impacts.	Five stage process defined (see below); achieved third phase	--	Engagement assessment strategy established
Undertake and evaluate at least three major engagement projects designed to have significant economic impact.	Identified six Commonwealth Collaboratives projects for impact evaluation: <ul style="list-style-type: none"> ▪ <i>Good Samaritan Nurse-Managed Care Centers</i> ▪ <i>Centering With Smiles</i> ▪ <i>CATSBusters</i> ▪ <i>Reforestation Surface-Mined Lands</i> ▪ <i>Medical Analysis and Recommendation</i> ▪ <i>The Equine Initiative</i> 	--	Economic impact of three major engagement projects evaluated.

Engagement Assessment Strategy

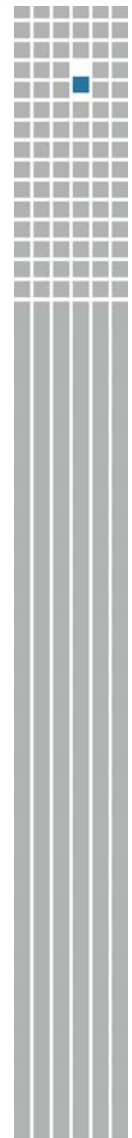
Phase 1	Phase 2	Phase 3	Phase 4	Phase 5
Define Engagement	Inventory Current Activity Level	Establish Framework	Implement Assessments	Document Outcomes
Awareness	Commonwealth Collaboratives established	Commonwealth Collaboratives initial reports completed	Synthesis of early findings	Initial results released
Fact Finding	Engagement Survey piloted in three colleges	Engagement Survey administered campuswide	Assessment plan established	Revision
Organization	Carnegie Classification application for community engagement submitted	"Outreach and Partnership" designation awarded by Carnegie	Implementation	Improvement
Early Planning	UCAPP Engagement Subcommittee established	First Engagement Subcommittee report completed		
Top 20 Business Plan				

Measures of Progress	2006 Baseline	2007	2008	2009 Milestone
Complete compensation analysis and implement enhancement plan.	--	Compensation analysis completed	--	Enhancement plan implemented.
Percent Minority in Executive/Administrative/Managerial positions:				
African American	3.7%	4.9%	--	5%
All Minorities	5.3%	6.8%		6% ✓

2006-07 Staff Development Highlights

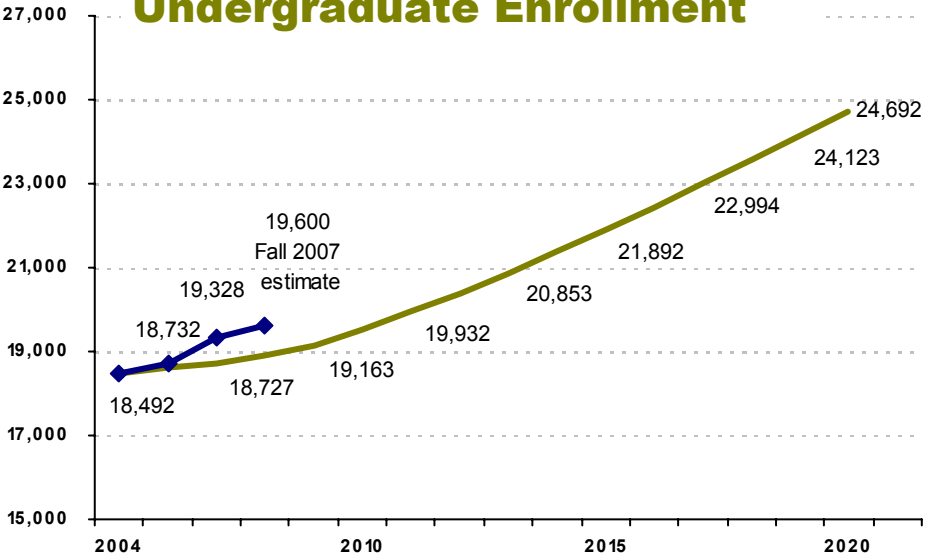
Work-Life Strategy Components, approved by Board of Trustees April 2007:

- ✓ Designate two UK real properties (one North Campus and one South Campus) for the construction of two childcare centers
- ✓ Enhance the Employee Assistance Program by adding one position and additional funding to the Office of Work-Life
- ✓ Extend benefits, including Employee Education Program
- ✓ Establish a staff Career and Advancement Development Program, which includes hiring a full-time career counselor and offering financial incentives for attainment of a General Educational Development (GED) certificate, and a computer loan program
- ✓ Develop and deliver supervisory training
- ✓ Provide a phased retirement program to staff employees
- ✓ Establish a shared leave pool for staff



Top 20 Growth Targets

Undergraduate Enrollment

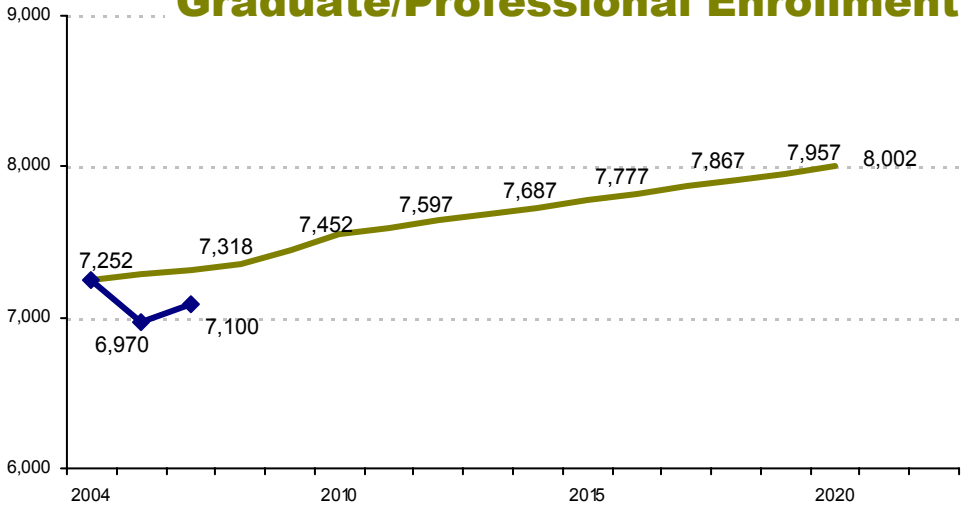


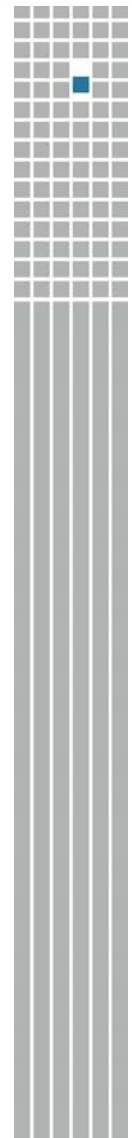
Between 2001 and 2006 at UK:
 Enrollment of Kentuckians increased 7% while the number of KY public high school graduates has remained flat

Enrollment from 29 Kentucky counties designated by CPE as “underserved” increased 1% while the number of public high school graduates from those counties declined 3%

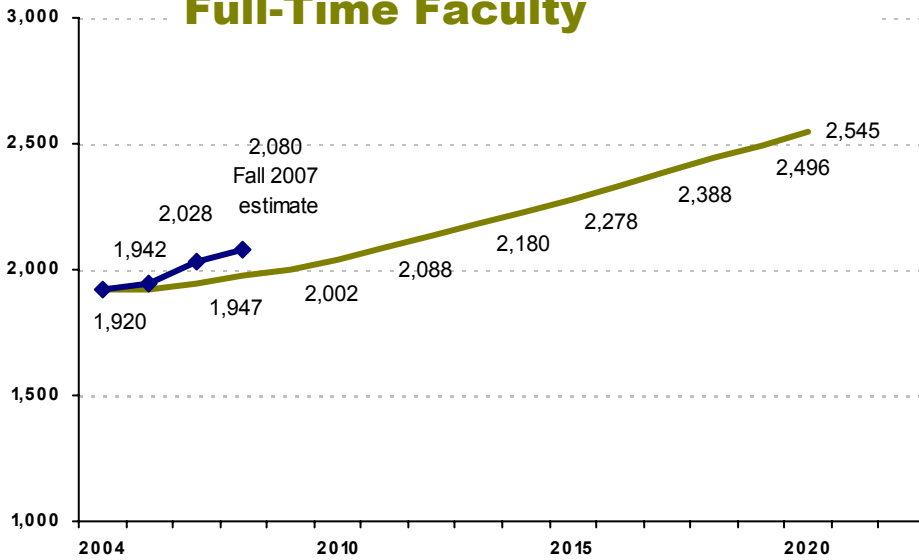
Enrollment from Kentucky’s Appalachian counties increased 3% while the number of public high school graduates from those counties declined 1%

Graduate/Professional Enrollment





Full-Time Faculty

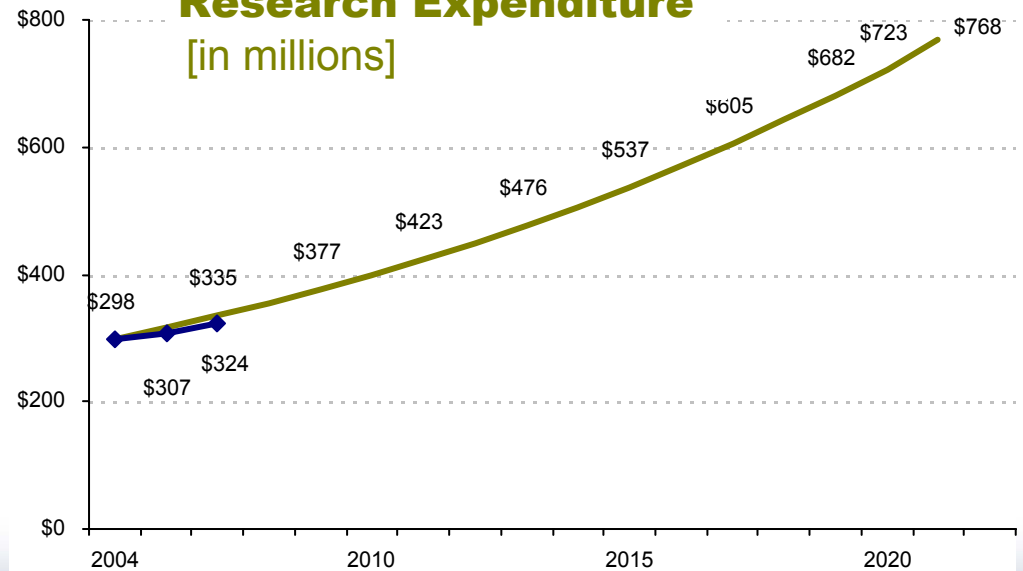


Top 20 Growth Targets

Through April 2007, overall grant and contract awards are 6.7% below where they were for the same period last year.

However, research awards from the National Science Foundation and the National Institutes of Health are 6.9% higher than for the same period last year.

Research Expenditure [in millions]

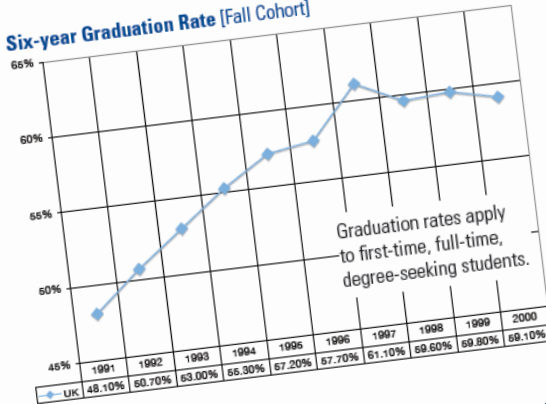


Declaring "War" on Undergraduate Student Attrition

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According to the Council on Postsecondary Education, the Commonwealth must double the number of Kentuckians with college degrees by 2020. The University of Kentucky will contribute to achieving this goal by increasing substantially its undergraduate enrollment and improving its graduation rate to a level competitive with Top 20 public universities. The FY 2007-08 budget makes a significant investment in the people and infrastructure necessary to achieve success.

Six-year Graduation Rate [Fall Cohort]



The Articles of War...

Intentionality in everything we do with student success as the end outcome.

Systematic communication and synergy-by-design among all academic and support units which touch undergraduates.

Use of best and promising approaches in everything.

Data-driven, evidence-based approach to process refinement.

This is the new "Systems Approach" for Recruitment->Retention->Graduation

The 2007-08 budget includes 47 more faculty positions, seven more academic advisors, and four new scholarship and need-based student aid programs (2020 Scholars) to support this new approach.

Pre-college	Admission	Transition	First Semester	Second Semester	Second Year	Third Year	Fourth Year	Fifth Year
Pre-college academic Programs	Ease of Application	Advising	Mid-term Grade	Mid-term Grade	Progress toward Major Selection	Progress toward Degree (Major)	Progress toward Degree (Major)	Progress toward Degree
Marketing	Early Offer of Financial Aid	UK 101	Advising Intervention/ Recovery	Advising Intervention/ Recovery	Progress in General Education	Progress in General Education	Career Guidance	Graduation Intervention
College Visit	Honors and Special Programs	Learning Communities	Career Guidance	Financial Needs Assessment	Preparation for Internship etc.	Internship, etc.	Capstone Experience	
Feedback on School Performance	"High Touch"	Student Affairs	Mentoring	Retention Audit	Retention Audit	Graduation Audit	Graduation or Audit	
	US vs. College	Academic Support						

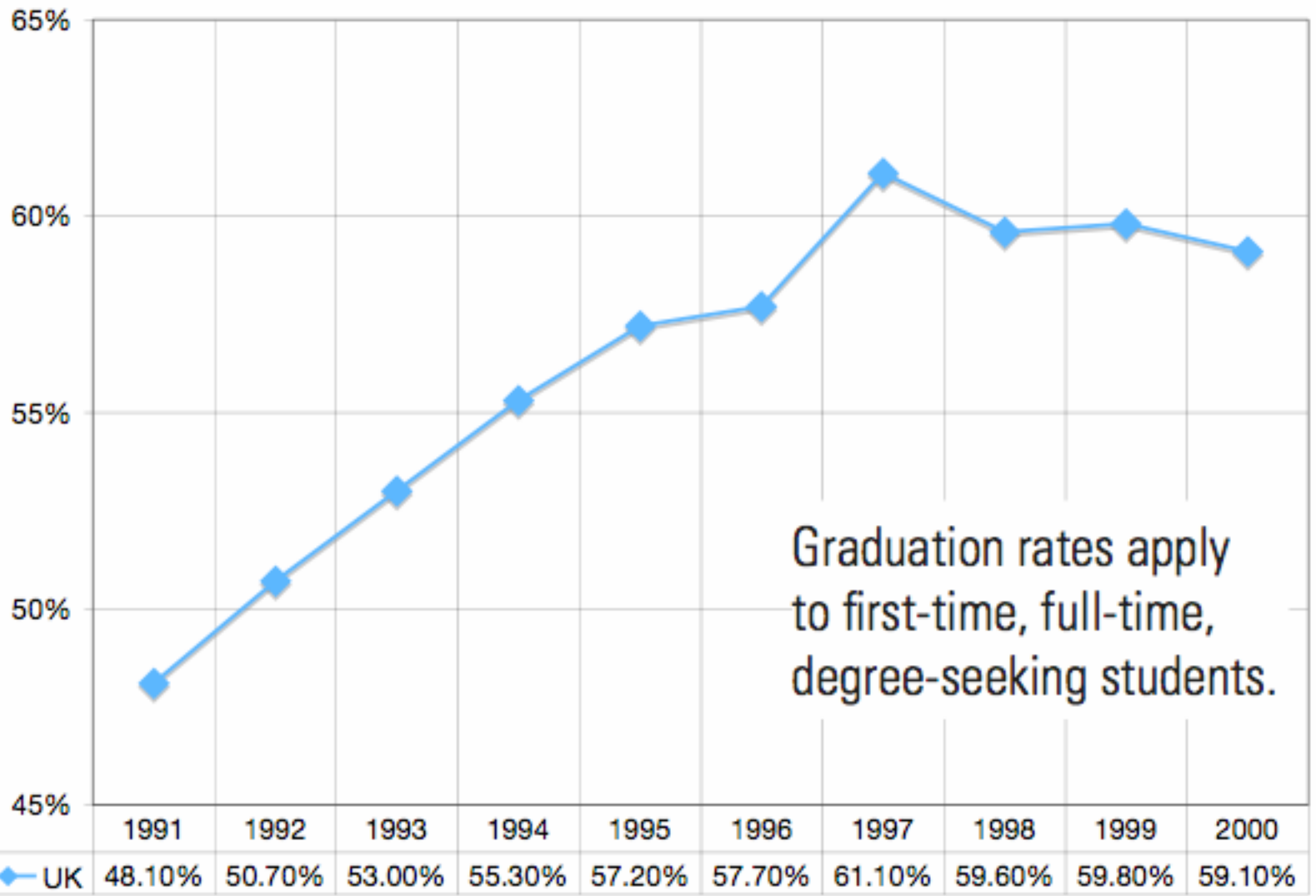
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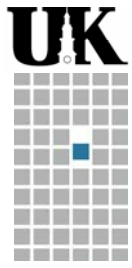
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	US vs. College	Academic Support						

The 2007-08 budget includes support for this new approach:

- 47 more faculty positions,***
- seven more academic advisors, and***
- four new scholarship and need-based student aid programs (2020 Scholars).***

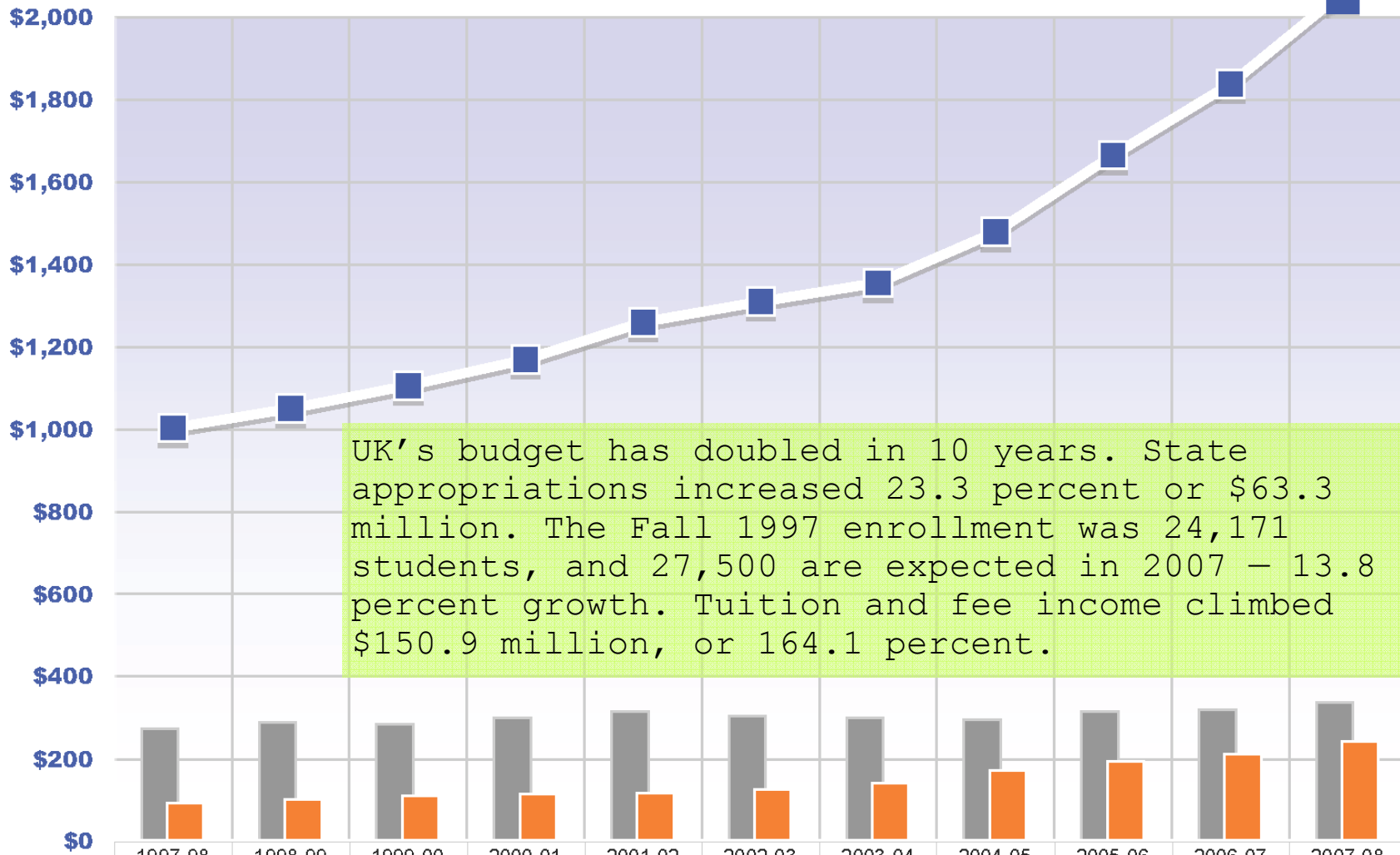


UNIVERSITY OF KENTUCKY

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Summary: 2007-08 Operating and Capital Budget

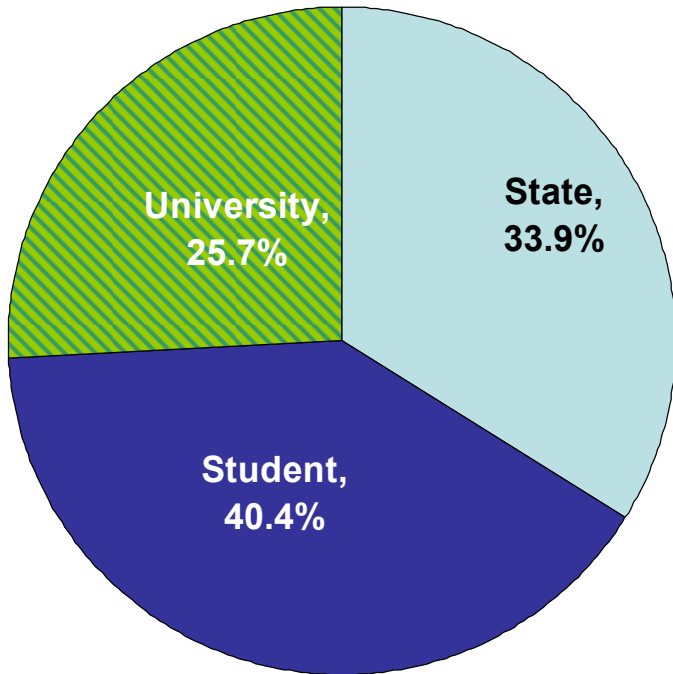
UK's ORIGINAL BUDGET [in millions] 1997-2008



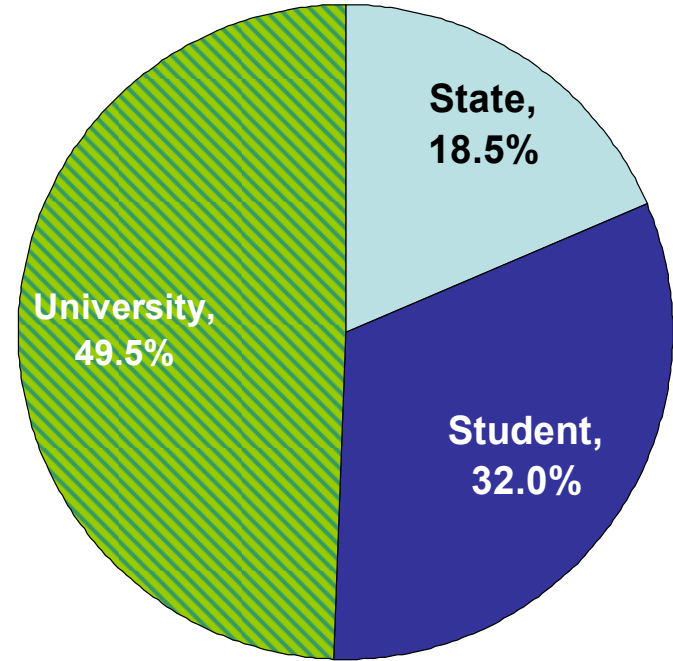
	1997-98	1998-99	1999-00	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08
State Approp	\$272.6	\$287.8	\$283.7	\$300.0	\$313.6	\$304.3	\$299.1	\$295.4	\$314.3	\$318.6	\$336.2
Tuition & Fees	\$92.0	\$100.7	\$109.7	\$114.7	\$118.0	\$126.9	\$142.3	\$171.8	\$193.5	\$210.7	\$243.0
Orig Budget	\$1,003.60	\$1,051.20	\$1,106.40	\$1,170.00	\$1,260.00	\$1,310.10	\$1,356.30	\$1,479.50	\$1,664.90	\$1,837.90	\$2,072.20

Comparison of contributions to the General Funds increase, excluding the Hospital System

Top 20 Business Plan



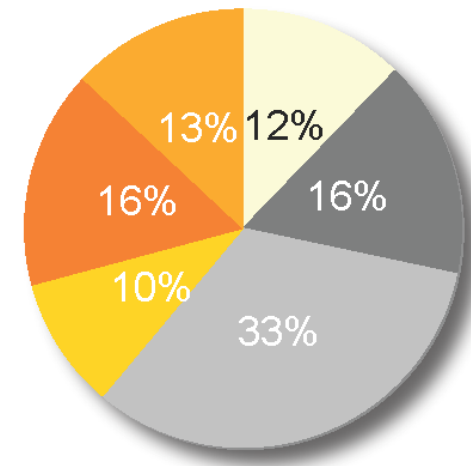
2007-08 Budget



UK's Revenues and Fund Balances

2007-08 BUDGET [IN MILLIONS]

Components	Revenue [in millions]	Percent of Total
Tuition and Fees	254.5	12.3%
State Appropriations	336.2	16.2%
Hospital	683.1	33.0%
Non Governmental Gifts, Grants and Contracts	202.6	9.7%
Affiliated Corporations	337.2	16.3%
Other	258.6	12.5%
TOTAL	2,072.2	



UK 2007-08 Operating Budget Strategic Investments

Undesignated General Funds

\$23.5 million for faculty and staff salaries and benefits, including \$5 million staff enhancement pool

\$9.5 million to hire 47 more faculty, 7 more academic advisors

\$8.7 million to renovate labs and classrooms

\$6.2 million increase in student financial aid

\$1.5 million for the IRIS project

UK has invested over \$35 million of additional resources to fight the 'war' on student attrition.

2007-08 Capital Budget Highlights

- The University has 21 major capital projects underway, totaling \$757 million, including:
 - Construct Biological Pharmaceutical Complex
\$135.3 million
 - Construct Patient Care Facility - Phase I
\$450 million
 - Replace Administrative Computing System (IRIS)
\$60 million
 - Construct Student Health Facility
\$24 million
 - Expand and Upgrade Livestock Disease Diagnostic Center – Phase I
\$8.5 million

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