

Minutes of the Meeting of the Board of Trustees of the University of Kentucky,
Tuesday, April 30, 2002.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, April 30, 2002 in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Mr. Billy Joe Miles, Chairperson, called the meeting to order at 1:00 p.m., and Ms. Marian Sims gave the invocation.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Paul Chellgren, Ms. Marian Smith Edge, Mr. John “Jack” Guthrie, Dr. Loys Mather, Ms. Pamela May, Dr. Robert P. Meriwether, Mr. Billy Joe Miles (Chairman), Dr. Elissa Plattner, Dr. Claire Pomeroy, Mr. Steven Reed, Mr. Tim Robinson, Mr. Frank Shoop, Ms. Marian Sims, Ms. Alice Sparks, Dr. Grady Stumbo, Mr. Billy Wilcoxson, Mr. Russ Williams, and Ms. Elaine Wilson. Absent from the meeting were Ms. JoEtta Wickliffe and Ms. Barbara Young. The University administration was represented by President Lee T. Todd, Jr., Acting Senior Vice President Jack C. Blanton, Senior Vice President and Chancellor of the Medical Center James W. Holsinger, Jr., Acting Provost Michael Nietzel, Acting Vice President for Research James Boling, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:04 p.m.

C. Schedule for Meetings of Board of Trustees – 2002-2003

Mr. Miles called attention to the Schedule for Meetings of the Board of Trustees – 2002-2003 that was distributed in the Board packets and asked the members to make note of those dates.

D. Consent Agenda

Mr. Miles noted the following consent items on the agenda:

Approval of Minutes - April 2, 2002
PR 2 Personnel Actions
AACR 1 Candidates for Degrees – University System

Mr. Shoop moved approval of the Consent Agenda. The motion, seconded by Ms. Wilson, carried. (See PR 2 and AACR 1 at the end of the Minutes.)

E. President's Report to the Board of Trustees (PR 1)

President Todd highlighted the following items in PR 1:

1. The University of Kentucky forms a partnership with the Georgetown Community Hospital for a Cancer Treatment Center. This is a regional approach and is a collaborative effort of the UK Markey Cancer Center and Georgetown Community Hospital.
2. The University of Kentucky College of Law ranks among the top 25 colleges at public institutions. The College of Medicine ranked 43rd among 125 accredited medical schools in the area of primary care. These graduate school rankings were released by U.S. News and World Report.
3. Dr. William E. Kirwan II, President of The Ohio State University and alumnus of the UK College of Arts and Sciences, was on campus recently. He was presented with the Outstanding Alumni Award from the UK Alumni Association and the College of Arts and Sciences. Dr. Kirwan will be going to Maryland to become Chancellor of the University System of Maryland in August.
4. The College of Agriculture and the Gatton College of Business and Economics have joined forces to spur new economic growth in Kentucky's communities. Agriculture's Cooperative Extension Service and the Kentucky Small Business Development Center will combine resources to help small business and agri-business development. This is another way to get research information out in the communities. This is similar to what the University has done with the School of Public Health. Both of these fit well in the University's "higher purpose" mission in service across the Commonwealth.
5. The Beckman Undergraduate Research Scholarships were announced a few months ago. There were only five institutions to receive these scholarships: Duke, Washington University, UCLA, Boston University and the University of Kentucky. The University of Kentucky has now selected its first two students to be recipients of this prestigious award. They are Robin Petroze of Fort Mitchell and Garrett Sparks of Ashland. These students will be working this summer with some of the University's research faculty.
6. Kimberly Anderson, professor of Chemical and Materials Engineering, received the 2002 Sarah Bennett Holmes Award from the UK Women's Forum. The award is granted annually to a woman working at the University who promotes the growth and well-being of other women not only at UK but throughout the Commonwealth. Professor Anderson is also heading the Vice President for Research search.

7. A baseball-testing machine designed by a team of UK mechanical engineering seniors shut out 34 other teams from engineering schools across the Midwest to win first place at the American Society of Mechanical Engineers Regional Design Competition held last month in Evansville, Indiana. This is the kind of competition that really makes students feel like they are a part of the organization and that they are doing exciting things.
8. Two UK professors have been selected for American Council on Education (ACE) Fellowships. Lori Gonzalez, College of Allied Health Professions and Deneese Jones, College of Education and The Graduate School, will be going away for a year to work with leaders in other institutions.
9. UK increases life insurance benefits for employees. The basic life insurance benefit will increase from \$7,500 to \$10,000 for all regular full-time employees on July 1, 2002.

President Todd mentioned the other good things in his report and asked the Board to peruse them.

He mentioned the following two items that had come up since his report was written:

1. UK College of Medicine is the exclusive site chosen to conduct the first Phase I clinical research trial for a new investigational smallpox vaccine for the Department of Defense. It is significant that the University has been asked to participate in this project during this period of bio-terrorism. The University has received favorable coverage from almost all of the major news organizations. This is a very significant contribution that the University is making to the cause of the country at this point in time.
2. David Hawpe, Courier-Journal columnist, wrote an article about UK on the op-ed page of the Courier-Journal this past Sunday. The article is about UK students putting together a solar car. UK is the only Southeastern Conference school participating in this competition. President Todd mentioned the brochure that he had distributed. He encouraged the Board to make donations because the students are trying to raise \$90,000.

F. President's Work-Life Retreat

President Todd reported on the Commission on the Status of Women headed by Professor Carolyn Bratt. The Commission is having a retreat and invitations have been sent to the Board. While helping the Lexington City Government, Professor Bratt read an article about Work-Life and got the idea for the Commission to have a retreat. They are calling it the President's Work-Life Retreat. Deans and quite a select group of people have been invited to attend this retreat. Jennifer Swanberg, a national Work-Life expert

who is on the faculty of UK's College of Social Work, is a participant in the retreat. The retreat will be a full day presentation.

He said that UK has many unique situations. It has many employees who are working two jobs, trying to pursue their education simultaneously with working, and caring for children and elderly members of the family. In an institution of this size, there are some department heads that will interpret policies in certain ways or have their own policy that is more benevolent than a department down the hall. The objective is to get together, look at the UK community environment, embrace all of the variations that are in the work place today, and try to make UK a better place to work. IBM is big on this, and a lot of corporations can show where it makes a difference business wise. The University of Michigan and the University of Arizona have developed Work-Life programs so UK will be right in there with some of its benchmarks.

President Todd announced that Mary Ellen Slone, who has embraced this program at Meridian for some years, is going to be one of the speakers. He said that he strongly endorsed the program, and in his opinion, it can make a big difference on campus. It is a very good program for the whole university and will touch as many men as women.

G. Report on the Commission on Diversity

President Todd reported that the Commission on Diversity, under the leadership of Professor Deneese Jones, has put together a program in record time. The idea for the program came from Senator Gerald Neal of Louisville when he indicated to all the university presidents that there was only one African-American professor in the "Bucks for Brains" program. We now have two African-American professors in this program.

Senator Neal said he understood that it might be difficult to find faculty and bring them here because the African-American talent that is capable of being in the "Bucks for Brains" program is highly sought after. He suggested we should start a pipeline.

President Todd said he returned from his meeting with Senator Neal and met with Professor Jones that afternoon. He also talked to Dr. Nietzel and Dr. Boling about this. They talked about going after the African-American undergraduate population and inviting them to fill out applications to apply to work with "Bucks for Brains" professors. Dr. Boling and Professor Jones developed this program together, and 110 students attended an orientation to hear about it. Of those 110 students, everyone took applications, and 108 students completed the application.

The Committee met yesterday, and UK is giving 25 young people the opportunity to work with "Bucks for Brains" professors across the campus. Dr. Boling's office is going to provide \$1,000 for materials for each of the "Bucks for Brains" professors, and the President's Office is providing \$3,500 for the summer support. These students will be able to work for 10 weeks in laboratories on campus. President Todd said that this is an outstanding opportunity for UK to begin a pipeline on its own.

President Todd mentioned another program called KYSS for all students. He noted that UK gets more applications from outside the University than inside the University for people to spend their summers on campus, and this program needs to be expanded. The appetite for these students to be able to work with the “Bucks for Brains” professors is significant, and it is a good way to leverage that talent and build a pipeline. He commended Professor Jones, her team, and Drs. Boling and Nietzel for getting that program up and running. He said it would have an impact pretty quickly.

H. Advisory Group Appointed for Athletics Director

President Todd reported that he has appointed an advisory group to work with him on the search for an Athletics Director. This will be a group that will help him in deciding which search firm to choose. He said that he wants to have more people than himself sitting around the table when interviewing search firms and candidates. He noted that he will make the final decision.

President Todd explained that this is not a search committee that is going to make a recommendation to him. This is a group of individuals that he will work with and exchange ideas. He announced that the advisory group would be composed of the following individuals:

Mira Ball – a community leader, former chair of the Greater Lexington Chamber of Commerce and owner of Ball Homes.

Sam Bowie – a former basketball letterman at UK and NBA star.

Dermonti Dawson – a former football letterman at UK and NFL star who is now a businessman in Lexington.

John Hall – an All-American football player and former football letterman at Vanderbilt, captain of the Vanderbilt football team, and academic All-American. He also chaired the search for the Athletics Director when Vanderbilt selected Todd Turner recently.

Garrett Headley – a stockbroker in Lexington and former wrestling letterman at UK. He is president of the K Association that represents the lettermen of all sports across campus.

Claire Pomeroy – a member of the Board of Trustees and UK faculty.

Gerald Smith - a member of the UK History Department, who directs the African-American Studies and Research Program, and a faculty representative on the Athletic Association Board of Directors.

President Todd explained that he and the advisory group will select a search firm, and then the search firm will begin doing its work. He noted that he already has a stack

of applications and correspondence from candidates to turn over to the search firm. The search firm will also know candidates to bring to the table. He said that he hoped to close out the position by the end of the summer.

I. UK Appeals NCAA Bowl Restrictions

President Todd reported that the University of Kentucky (UK) has submitted its appeal on the football bowl restriction. He reminded the Board of the NCAA penalty that states that UK cannot appear in a bowl this year. He said that he decided and announced sometime ago that he was going to appeal that decision. The coaches and players are working hard, and they deserve to be supported. That is why UK is appealing that penalty.

President Todd reminded the Board of a statement he made in a previous press conference. In that press conference, he said that he would not take an entourage to Indianapolis to defend this penalty. At the time he said that, he wanted to make sure that everybody knew that UK was taking the sanctions very seriously. He said, "We did not disagree with the sanctions and the wrongdoings they accused us. We found most of them ourselves anyway."

President Todd explained that this is a legalistic appeal to try to overturn a previous decision. After consulting with attorneys, the attorneys advised that the University would have a better situation if President Todd were there; therefore, he will go p led the case for the University. The NCAA has agreed to allow representatives from the University to make an appearance. President Todd said that he plans to fight it. It is still a long shot, but the process has begun. The NCAA has not given the hearing date yet.

J. Former UK President and Mrs. Frank Dickey Recognized

President Todd referred back to PR 1. He reported that Omicron Delta Kappa (ODK) recently recognized Dr. Frank Dickey, former UK President. The ODK national collegiate leadership honor society presented Dr. Dickey with its highest honor, the Laurel Crowned Circle Award. Dr. Dickey is only the seventh ODK member to receive the award in the society's 87-year history, and this is remarkable.

Dr. Dickey and his wife, Betty, have also established a new endowed scholarship for graduate students in UK's College of Education. Dr. Dickey served as Dean of the College of Education, and Dickey Hall is named for him.

President Todd said that Frank and Betty Dickey have been a part of the living legacy at Maxwell Place. He and his wife, Patsy, have found no one to be more charming, supportive, and more interesting to talk to than the Dickey's, Betty in particular. He said the Dickey's have become close friends of theirs since they have been occupying Maxwell Place.

President Todd invited the Board to see the marker that was unveiled a few weeks ago at Maxwell Place, if they had not already seen it. He noted that the Singletary's, the Dickey's, the Wethington's and now the Todd's have occupied Maxwell Place 50% of the time that the University has owned it. It has been a warm place for the institution. The Dickey's certainly bring a lot of warmth every time they talk to them about anything that happened there.

President Todd recognized Frank and Betty Dickey, who were in the audience. He said that they did not want to be recognized, but he thought it might be appropriate, given their legacy with the institution. He said the Dickey's were in attendance to be recognized for his recognition with ODK Laurel Crowned Circle, for their continued support of the institution, and for all that they have done for the institution. He thanked them for attending the meeting. Dr. and Mrs. Dickey received a round of applause and a standing ovation.

Mrs. Dickey said that Dr. Dickey declined to say anything. She thanked President Todd and the Board for their kindness and time. She said they came to the meeting to pay tribute to Dean Ed Sagan, Mary Ann Vimont, the entire staff, faculty, and students in the College of Education, and then said "They have come a long way baby." Mrs. Dickey received a round of applause.

K. Report on the Southern Association of Colleges and Schools' Visit

President Todd reported that the Southern Association of Colleges and Schools (SACS) visiting team had visited campus since the last Board meeting. The team was on campus April 15-18 and many of the Board members attended the dinner. He said that a transcript of the meeting had been placed in the Board packets at the request of Mr. Guthrie for those who could not attend the meeting.

President Todd provided a brief summary of their visit. He said that the University received one commendation, and he was told that those commendations are rare. Dr. Roger Sayers, Visiting Team Chair, indicated the Committee commends the new leadership and the impact they have had in providing an atmosphere for students, faculty and staff to embrace the "one university" concept. The Team applauded enthusiastically the campus and its welcoming aesthetics. They felt good about their visit and the way they were embraced by our people.

President Todd thanked John Picoro, Connie Vaughn, and the 150 people that worked on the entire process, along with Alice Sparks who served diligently on that committee. He said that Dr. Picoro informed him that there were no major surprises or issues that had not already surfaced out of the University's own self-study. There were 31 recommendations out of a potential 450 "must" statements and the staff is already working on several of those. He reported that Dr. Holsinger has been head of the "Fix It" Committee for some time, and this Committee probably saved 5 or 10 recommendations by having already addressed some things before the Visiting Team arrived.

He said that there were 26 team members representing 19 other southeastern institutions. The team conducted 500 interviews while they were on campus and also traveled to Paducah. They visited all of the University's campus sites.

As the process goes forward, SACS will submit a draft report to the University and only factual errors can be corrected. The formal report will be submitted to the University in June of this year. September 15th is the deadline to submit a written response to the report, including a plan on how to address the shortcomings they found in the "must" statements. The official notification about the re-accreditation process will be received in January 2003.

Dr. Plattner talked about the Tuesday morning President Todd gave his remarks to the Visiting Team. She said the Team was sitting politely around the table with their thick notebooks and pens until President Todd mentioned that one of UK's initiatives is to work very close with its sister university, the University of Louisville. Everybody immediately picked up their pencils and started writing. Then President Todd said that another outreach is with the independent colleges in the state who are such a rich resource of education. He mentioned a number of things UK is doing, and everyone on the Team took extensive notes while he was speaking. Dr. Plattner congratulated President Todd on a great speech that Tuesday morning.

Following a round of applause, President Todd said he would not have to do that for another ten years. It was one of those ten-year speeches.

L. Update on Top 20 Task Force

President Todd asked Acting Provost Nietzel to come forward and talk about the Top 20 Task Force. He mentioned that Phyllis Nash is also working on the Top 20 Task Force as well as several other things, including the Work-Life Program with Jennifer Swanberg. He asked Dr. Nash, Ms. Swanberg and Professor Bratt to stand and be recognized. They received a round of applause.

Acting Provost Nietzel thanked President Todd and reminded the Board that a little less than a year ago President Todd formed a Top 20 Task Force and appointed several faculty, students and individuals from outside the University to that Task Force. Today is an opportunity to present a summary of the report that the group has put together for the Board's consideration and for the beginning of a campus-wide discussion about the document and where it would take the University.

The charge to the Task Force was to identify the dimensions and the measures the University would use as it calibrates progress over the next several years toward becoming one of the nations' premiere research universities as well as contributing to the improvement of the quality of life and the economy of the State of Kentucky. That dual objective has always been paramount in the Task Force's consideration. He said he was there to introduce Dr. Phyllis Nash who has been an outstanding leader of the Task Force

and without whom the Task Force would not be prepared to have come as close to its deadline, which he believes was the end of March.

He stated that Dr. Nash has kept the group on task and has provided the materials, the resources, and a great deal of very thoughtful input to really get this report into the shape that it is in today. She has earned favorable comments across campus. He said it was an outstanding opportunity for him to get to work with the Task Force and Dr. Nash. It was a spirited, determined group. The group had a lot of disagreements and discussions that they worked through and always did so with a wonderful attitude towards each other. The final document reflects the product of that kind of good debate among people who trust each other to do this kind of work.

Acting Provost Nietzel said he wanted to pay particular tribute to the staff that helped. He recognized Kelly Bevins, Maria Kemplin and Lisa Collins and said those three people were invaluable to the Task Force in terms of helping get the work done.

He also mentioned Bill McCann who was the outside person on the Task Force and a longtime member of the Prichard Committee. Bill brought a lot to the table for the group to consider that may not have been considered had it not been for his presence.

He said that Dr. Nash would provide a very brief summary of the report and hopefully provide the beginning of the chance to have more discussion across the University as it is considered. This report constitutes both a plan and a scorecard for approaching the Top 20 mandate and how the University thinks about progress toward that goal in the next several years. He thanked Dr. Nash for everything she did to make the report come true and asked her to make her presentation.

Dr. Nash said it was her pleasure to co-chair the group with Provost Nietzel and to be the spokesperson for the group. She stated that it was a group effort and presented the names of the individuals, the faculty, the staff, the students and the citizen member who put their heart and soul into this effort. She expressed appreciation to the staff that supported the group and did a lot of the work that made it come together.

Dr. Nash said that it was President Todd's first full day in office when he said to the University community that he was creating a Top 20 Task Force. She then presented the following Powerpoint presentation to the Board:



DEFINING "TOP 20"
Report to the Board of Trustees
April 30, 2002



***Task Force
Membership***



Brad Anderson
Deborah Blades
Alan Butterfield
Joe Claypool
Lori Gonzalez
Richard Greissman
George Herring
Kay Hoffmank
Jennifer Hooblert
Jim Kerley
Tom Lester
Bill McCann

B. Jan McCulloch
Kamyar Maboub
Lois Nora
Joe Peek
Ken Roberts
Susan Scollay
Scott Smith
Chuck Staben
John Thelin
Lionel Williamson
Connie Wood
Mike Nietzel and Phyllis Nash, Co-chairs



Task Force Staff

.....

Kelly Bevins
Lisa Collins
Maria Kemplin



Defining "TOP 20"

.....

Charge to the Task Force

Recommend criteria and measures that the University will employ in regularly assessing its progress toward achieving recognition as one of the nation's 20 premiere research universities



Defining "TOP 20"

.....

Identify two types of metrics

Those independently collected at the national level

Those local measures that address UK's "higher purpose" of improving the overall quality of life and economic prosperity of Kentuckians

Present report to the President by March, 2002



Defining "TOP 20"

.....

Studied the following approaches to national rankings:

- National Research Council
- Graham and Diamond
- Carnegie Classifications
- Association of American Universities
- TheCenter
- U.S. News
- Kentucky Council on Postsecondary Education
- University of Kentucky Strategic Plan and Indicators




Defining "TOP 20"

.....

Assumptions:

- Any definition of university quality will evoke controversy and disagreement
- The great variance both across and within institutions makes it difficult to gain consensus on quality criteria or on measures
- Universities of the highest quality tend to do most things very well



Defining "TOP 20"

.....

Assumptions:

- No single indicator or composite number can represent what an institution has done, can do or will do
- Need to follow a number of indicators that, taken together, give a reasonable approximation of accomplishment and relative strength




Defining "TOP 20"

.....

Cautions:

- Reputational measures are problematic
- Ranking measures are problematic
- Collection of data on publications and citations is problematic



Defining "TOP 20"

.....

Characteristics:

1. A comprehensive array of undergraduate, graduate, and professional programs, many with national prominence
2. Attracting and graduating outstanding students capable of making significant contributions to their professions and communities
3. A distinguished faculty whose research, scholarship, teaching, and service are exemplary



Defining "TOP 20"

.....

Characteristics: *continued*

4. The discovery, dissemination, and application of new and significant knowledge
5. Diversity of thought, culture, gender, and ethnicity that creates communities of learning and appreciation at the University and beyond
6. Improvements to the health and educational, social, economic, and cultural well being of the citizens of the Commonwealth



Defining "TOP 20"

Indicators of the characteristics

Indicators – the means to show or reflect accomplishment and the yardstick used to evaluate progress

Two sets of Indicators:

National and Commonwealth



Characteristic 1

A comprehensive array of programs, many with national prominence

- Rankings
- Publications (total and per capita)
- Total and Federal Research Dollars (total and per capita)
- Endowment Assets and Annual Giving
- Number of Doctoral Students Produced
- Number of Postdoctoral Appointments
- Level of Academic Challenge



Characteristic 2

Attracting and graduating outstanding students capable of making significant contributions to their professions and communities

- Undergraduate SAT/ACT Scores & Class Rank
- Undergraduate Retention & Graduation Rate
- Student Honors
- Number of Doctoral Students Produced
- Level of Academic Challenge



Characteristic 3

.....

A distinguished faculty whose research, scholarship, teaching, and service are exemplary

- Membership in Academies
- Faculty awards
- Publications (total and per capita)
- Total and Federal Research Dollars (total and per capita)



Characteristic 4

.....

Discovery, dissemination, and application of new and significant knowledge

- Publications (total and per capita)
- Total and Federal Research Dollars (total and per capita)
- Patents
- Licenses



Characteristic 5

.....

Diversity of thought, culture, gender, and ethnicity that creates communities of learning and appreciation at the university and beyond

- Faculty, Staff, and Student Diversity
- Student Success
- Student Experience
 - Enriching educational experience
 - Supportive educational environment



Characteristic 6

Improvements to the health and educational, social, economic, and cultural well being of the citizens of the Commonwealth



Commonwealth Indicators

Studied the following:

- Kellogg Commission
“The Future of State and Land Grant Universities”
- Kentucky Long-Term Policy Research Center
“Visioning Kentucky’s Future”
- Kentucky’s Council on Postsecondary Education
“2020 Vision: An Agenda for Kentucky’s System of Postsecondary Education”
- Pritchard Committee Recommendations
Letter from Director Sexton
- The Ohio State University Plan



Commonwealth Indicators

Improvements to the health and educational, social, economic, and cultural well being of the citizens of the Commonwealth

In these areas

1. Arts and Culture
2. Community Vitality and Civic Engagement
3. Economic Well Being
4. Education
5. Environment
6. Health

Track

- » Research and service expenditures
- » Educational preparation/training efforts
- » Outreach and service activities
- » Status reports with impact narratives



In conclusion, she made the following statement: “We believe that the University of Kentucky has the impetus to change. Governor Patton and the legislature have called us to become a premier, nationally recognized university. The citizens of the Commonwealth want to be our partners in shaping our future. The Top 20 Task force has created a vision, a dream, if you will, of what we can become. We have the leadership of President Todd who challenges us to dream big and achieve greatness. We have the support of you, the Board of Trustees, the loyalty of our alumni, the expertise and dedication of our faculty and staff, and the talents and energy of our students. So, we believe we have an impetus to change. We now only need to seek the opportunity before us and persevere until the dream is reality.” She thanked everyone, following which she received a round of applause. She then entertained questions from the Board.

Mr. Miles asked, “Where are we today in the ranks?”

Dr. Nash replied that the group very specifically chose not to take a look at the ranks. They did not want to be influenced or compromised by where UK would rank if they chose an indicator. They decided to address it and evaluate what they thought they ought to be looking at. Once President Todd accepts the report, these are the indicators they want to accept. The group will go out and collect all of the information. She reiterated that the rank was specifically not done so that they would not try to stack the deck in their favor in any way.

Ms. Sims thanked Dr. Nash. She noted that it was a huge amount of work and expressed her appreciation to Dr. Nash.

Dr. Nash agreed that it certainly was a lot of work, but they enjoyed it because they had such great groups to work with.

Mr. Guthrie asked if the group had started trying to establish some milestones, such as making the Association of American Universities (AAU) membership by a certain date.

Dr. Nash said that they called AAU and asked if they would be willing to share with them the criteria that AAU uses in selecting membership. They basically said, "Don't call us. We'll call you." Certainly, the next step is to set the intermediate markers that will help us know whether or not we are achieving our goals. She said the group did not, however, think it was the prerogative of the Task Force to set that up until the report was accepted. AAU membership ought to be on the list of goals for sure. She indicated that she would be surprised if UK was not invited to AAU membership in the next five years. She said that they actually did get as much information about AAU as they could and if you look at AAU membership, there are many institutions that are members of AAU that are institutions of UK's rank and level. UK should have little difficulty once it establishes a national reputation a little bit more.

Mr. Guthrie asked, "How many members are in AAU?"

Acting Provost Nietzel said it was close to 80.

Mr. Guthrie asked, "How does this break down by public/private?"

Acting Provost Nietzel said that 60 are public.

Dr. Nash said she could get that list to Mr. Guthrie along with the dates that they were invited into membership.

Mr. Guthrie said it would be interesting to know who we are shooting for here.

Dr. Stumbo said one of the things in the service area in rural areas that is lacking more than anything else is the civic capacity of communities. If UK helps build the civic

capacity, then everything gets better; the health, the education, the economic, etc. He said he would like to see them address that civic building capacity.

Dr. Nash said they were really trying to get at civic engagement, but maybe they were not clear enough.

Dr. Stumbo said they needed to go down to the grassroots level and help people think like a community.

Dr. Nash said Dr. Stumbo made a good point.

Ms. Sims said they need to help them feel attached to UK and not just be an extension to UK.

Dr. Nash replied that there has to be a partnership.

Ms. Sims said maybe partnership is the word everyone should be using in the community.

This concluded Dr. Nash's presentation, and she received another round of applause.

President Todd recognized the new Director of the UK Art Museum Kathy Wall-Piper in the audience. He welcomed her to the University and reported that she comes to the University from the Dallas Museum of Art. He said he was glad to have her and thanked the search committee that brought her to the University of Kentucky.

M. Proposed Amendment to the Governing Regulations (PR 3)

President Todd said that this is the first reading of PR 3 and asked Dr. Mather to comment on it.

Dr. Mather reminded the Board that in December Chairman Miles reinvigorated the Ad Hoc Committee on Board Structure. Since that time, the Committee has been meeting and providing updates of those meetings to the Board. PR 3 is a proposal that the Committee is recommending. He said he anticipated that the Committee would be bringing a set of recommendations that apply more to the affiliated and related organizations of the University to the June Board meeting. PR 3 is the part of the Committee's discussion that deals with committee structure and committee charges that require changes to the Governing Regulations. He asked Mr. Williams to discuss PR 3.

Mr. Williams said the recommended changes are not substantial in structure but more in philosophy. He explained that everything had been coming from the President to the Board. In their discussions with President Todd, they felt there needed to be more leeway for individual Trustees to bring issues before committees; therefore, the wording

“from the President” has been removed from the committees. Those are probably the most significant philosophical changes in how we are organizing Board committees.

Mr. Williams said that the other issue that came up is probably the biggest change. This had to do with the evaluation of the President’s performance, and the Board had no mechanism in place for that. That now has been added to the description of the duties of the Executive Committee, and the Executive Committee will conduct the performance review function of the President. He noted that other duties, such as serving as a Hearing Committee should anybody want to approach the Board about issues, had been added to the Executive Committee. These two items are probably the most significant changes; however, a couple of other sentences were also changed indicating who can and cannot chair committees.

President Todd said that he has gone over the changes with the Committee, and he is comfortable with all of them. This item is being presented for preliminary consideration and will be on the agenda of the next meeting.

Mr. Miles said that this is probably the first time most everyone has seen this proposal. If anyone has any concern or questions about this, they need to contact Dr. Mather before the next meeting. If there is a lot of disagreement or changes, then it could be held for one more month.

Dr. Mather reported that another proposed change of the Committee is to designate the Chair of the Executive Committee to be the Chair of the Board. He added that this was with the suggestion and blessing of the current Chair of the Executive Committee.

N. Vice President for Institutional Research, Planning and Effectiveness (PR 4)

President Todd recommended that the Board approve the appointment of Dr. Connie Ray as Vice President for Institutional Research, Planning and Effectiveness. This is a new position in the administrative organization that will replace the position of Vice Provost for Planning and Institutional Effectiveness. This position will have leadership responsibility for coordinating university-wide institutional research and effectiveness activities. Given the Top 20 Task Force recommendation, this position comes at a good time to establish this central feature and start tracking and measuring the University as it moves forward.

He said he had gotten to know Dr. Ray quite well since he has been in his position. Dr. Ray has been serving a lot of people in this capacity, particularly the Commission on the Status of Women and the Commission on Diversity. It has been good to have an office where this information can be pulled together on a very professional basis. He recommended approval of PR 4. On motion made by Ms. Sims and seconded by Ms. Wilson, PR 4 was approved. (See PR 4 at the end of the Minutes.)

O. Establishment of the Position of Vice President for Academic Outreach and Public Service (PR 5)

President Todd recommended approval for a new administrative position entitled Vice President for Academic Outreach and Public Service to be effective July 1, 2002. He noted the responsibilities listed in the background section and reminded the Board of the position called economic outreach that he created on July 5th. He said he thought the University needed to pick up its profile and focus on affecting the economy of the State of Kentucky. He said as he began to talk about the vision of having a higher purpose, of having some Commonwealth measures, of having more volunteer activity on behalf of students, and of having some more public service on behalf of the students, he gets overwhelmed. He explained that the academic outreach and public service position is a comparable position to the economic outreach position. He said that he hopes to fill the position sometime during the summer. He noted that one of the recent SACS visitors from Georgia holds a position very similar to this position at the University of Georgia. He moved approval of PR 5.

Mr. Miles entertained a motion for approval. Ms. Wilson so moved. Her motion, seconded by Mr. Chellgren, passed. (See PR 5 at the end of the Minutes.)

P. Academic Affairs Committee Report

Ms. Sparks said the Candidates for Degrees were on the consent agenda; however, she wanted to point out that this is a record number eligible for May graduation. She called attention to the name of Nicholas Wilson, son of Trustee Elaine Wilson, on page 13 of the graduation list. She said that it is always fun to get one graduated and expressed congratulations to Ms. Wilson for her accomplishment.

Q. Change in Name of an Educational Unit (AACR 2)

Ms. Sparks said that AACR 2 is the change in name of an educational unit. She said that it was felt that it was more appropriate that the College of Allied Health Professions be changed to the College of Health Sciences. This has gone through all the normal procedures and been approved by the faculty, the University Senate, and the Acting Provost. She moved approval of AACR 2. Ms. Edge seconded her motion, and it carried. (See AACR 2 at the end of the Minutes.)

R. Establishment of Baccalaureate Degree Program in the College of Arts and Sciences (AACR 3)

Ms. Sparks said that AACR 3 is the establishment of a baccalaureate degree in the College of Arts and Sciences. This will be a joint program between the Departments of Mathematics and Economics. It was felt that careers in certain businesses require much stronger background in math. The University of Kentucky will be the only university in the Commonwealth offering this program. This has gone through all the normal procedures and been recommended by the Acting Provost. She moved approval of

AACR 3. Mr. Reed seconded her motion, and it passed. (See AACR 3 at the end of the Minutes.

S. Merger of Foreign Language Departments (AACR 4)

Ms. Sparks said that AACR 4 is the merger of some of the foreign language departments. It includes the merger of the Departments of German Studies, French, Russian and Eastern Studies, and Classics into a single Department of Modern and Classical Languages, Literatures, and Cultures. She pointed out that the Department of Spanish would stay separate. It would not be in the new Department of Modern and Classical Languages. She stated that AACR 4 has gone through all the procedures that it should go through, and she recommended approval. Her motion, seconded by Ms. Sims, carried. (See AACR 4 at the end of the Minutes.)

T. Change in Name of an Educational Unit (AACR 5)

Ms. Sparks said that AACR 5 recommends that the Board approve the change in the name of the Department of Spanish and Italian to the Department of Hispanic Studies. She moved approval of AACR 5.

Dr. Pomeroy made the point that Italian will not be taught in the Department of Hispanic Studies. It was, however, taught in the previous one.

Mr. Guthrie asked for clarification. He said he thought he understood it in principle, but he would like to discuss the reason for separating Languages, Hispanic Studies, and Spanish.

Ms. Sparks asked Acting Provost Nietzel to explain it.

Acting Provost Nietzel explained that the merger of the four departments into one is driven by a number of considerations having to do with administration of those small departments and with the cost associated with them. In this particular case, all the costs of administering these four departments now will be saved, and those savings will be re-budgeted to the one department that reflects the merger. The degree programs offered in those four departments will be retained. The departments that remain have been low in terms of the number of majors they produce. We helped negotiate a new way with the Council on Postsecondary Education of measuring the productivity of this department so that it will reflect greater emphasis on student credit hours taught and less emphasis on the number of degrees that are produced.

The Department of Spanish is different in a sense that it has a Ph.D. program. The size of that Department is considerably different than any of the other four, and it was thought that we should preserve the distinction between that doctoral department which has quite a history in it and a very, very strong national reputation. In looking for some new missions and enhancing the existing ones for the four departments is the most sensible way to go. The name of the department in the case of Spanish and Italian is

being changed to reflect the fact that Italian will now be taught in the merged department and this also represents an emphasis in the former Department of Spanish on cultural studies as a stronger component of its curriculum. It was thought this name change reflected that.

On motion made by Ms. Sparks, seconded by Ms. Edge and carried, AACR 5 passed. (See AACR 5 at the end of the Minutes.)

U. Establishment of a New Department (AACR 6)

Ms. Sparks said that AACR 6 is the establishment of a Department of Community and Leadership Development in the College of Agriculture. She asked Acting Provost Nietzel to comment on AACR 6.

Acting Provost Nietzel explained that this is the department that combines within the College of Agriculture a number of the faculty who are involved basically in the social science program and instruction and research for the College. It is composed of faculty and Rural Sociology and Agricultural Communications and then Agricultural Education. It has no impact on degree programs. The degree programs remain the same. This is basically a new configuration for faculty where it was thought by the faculty, as well as the leadership for the College, that this combination brings people with common interest more closely.

Ms. Sparks moved approval. Her motion, seconded by Ms. Wilson, carried. (See AACR 6 at the end of the Minutes.)

V. Acceptance of Interim Financial Report for the University of Kentucky for Nine Months Ended March 31, 2002 (FCR 1)

Mr. Chellgren, Chair of the Finance Committee reported that the Finance Committee met that morning and reviewed 11 items on the agenda. He said that they started with the review of the financials for nine months ended March 31, 2002 which is the 75 percent point in the academic year. The University has realized income of 77% of the budget and expenditures and commitments totaling 71% of the approved budget. There is not anything that needs to be brought to the Board's attention at the current time. He moved the acceptance of FCR 1. Dr. Pomeroy seconded his motion, and it passed. (See FCR 1 at the end of the Minutes.)

W. Capital Construction Report (FCR 2)

Mr. Chellgren said that FCR 2 is the quarterly review of the Capital Construction program at the University. Importantly, there were no new contracts issued since the calendar year began January 1, 2002. This Capital Construction Report reviewed six major projects and 12 change orders that had been issued since the first of the year. The most significant change order was the \$200,000 change order in additional anticipated expense for some underground utility upgrades. This is a normal course when you are

dealing with a piece of property that has had so many facilities in it for so many years. He stated that there is nothing in the report that needs to be brought to the Board's attention.

He mentioned that there would be quite a significant presentation on the proposed Administration Building renovation at the June 11th Board meeting. He then moved the acceptance of FCR 2. Ms. Sparks seconded his motion, and it carried. (See FCR 2 at the end of the Minutes.)

X. Report of Leases (FCR 3)

Mr. Chellgren said that FCR 3 is a recommendation for the acceptance of leases, primarily renewals for the forthcoming academic year. There is one new lease for 2,070 square feet of office space in Hopkinsville for approximately \$11 a square foot. FCR 3 also includes 32 renewals of leases throughout the state. He noted that when you see all the locations where the University leases space for various activities, you get a sense of the University's statewide mission. He pointed out that this is a Report of Leases because the individual lease expenditure is less than \$30,000. He then moved the adoption of FCR 3. His motion, seconded by Mr. Reed, carried. (See FCR 3 at the end of the Minutes.)

Y. Approval of Leases (FCR 4)

Mr. Chellgren said that FCR 4 is a recommendation for leases above \$30,000. There are 17 lease renewals for the next academic year for approximately 285,000 square feet of space, essentially in the greater Lexington area, and approximately \$2.7 million in annual rentals. He noted that each of the lease renewals is described in the recommendation and moved the acceptance of FCR 4. Mr. Reed seconded the motion, and it carried.

Z. Mandatory Registration Fee Schedule – 2002-03 (FCR 5)

Mr. Chellgren said that FCR 5 is recommending approval of the Mandatory Registration Fee Schedule. He reported that there is one very modest change, the addition of a \$15.00 increase per semester for full-time students for the student technology fee. The increase will be used to provide expanded computing and data services to students. He said that he understands that this has been discussed with the students. He moved the acceptance of the Mandatory Registration Fee Schedule. Mr. Robinson seconded his motion, and it carried. (See FCR 5 at the end of the Minutes.)

AA. Room and Board Rates 2002-2003 School Year (FCR 6)

Mr. Chellgren said that FCR 6 is the annual cycle to review the room and board rates for the University Housing and Dining Services for the forthcoming year. The increases are quite modest. Generally, they were running about 2%. The Finance Committee had a review of the various improvements in housing and food services. He

mentioned the various new operations, such as Starbucks and the hot dog stands that have received so much publicity. He also mentioned for the record that as the Finance Committee understands it, all residence halls will have sprinkling systems by August. There are about 500 places yet to be sprinkled between now and August. He said many of the Board members remembered what an issue this was a few years ago when the tragedy occurred at Murray. He said the administration should be complimented for being so aggressive in moving to deal with that because of the potential risks. He moved the acceptance of FCR 6. Ms. Sims seconded the motion, and it passed. (See FCR 6 at the end of the Minutes.)

Mr. Guthrie said considering the current financial situation with the University, the state, and everything else, it means that everyone in the administration has done a great job of tightening belts. In his opinion, this is a favorable message that we are sending to the students.

BB. UK Coldstream Research Campus Extension of Mini Campus into Lots 21 and 22 (FCR 7)

Mr. Chellgren said FCR 7 is an administrative detail to extend the mini campus to lots 21 and 22 at the Coldstream Research Campus. This will add 14 acres to the current mini campus which consists of lots 17 and 18 (13 acres) and will permit master planning for additional buildings on the mini campus. They are currently 15 companies located in the mini campus with 135 employees.

Mr. Chellgren said that FCR 11 will recommend to the Board an additional land lease for a small 16,500 square foot building on Coldstream. He reported that a comprehensive review of the status of Coldstream, including the commercial terms of the leases and the status of the changes in the administration, would be presented to the Board before the beginning of next school year.

He moved the adoption of FCR 7. The motion, seconded by Ms. Sparks, carried. (See FCR 11 at the end of the Minutes.)

CC. Authorization to Sell Properties on South Upper and Lawrence Street (FCR 8) and Authorization to Purchase 630-640 South Broadway (FCR 9)

Mr. Chellgren said that FCR 8 and 9 would be considered at the same time. Most of the Board attended the Finance Committee and saw a Powerpoint presentation on them. They deal with a 12 square block area bounded by South Broadway, Maxwell Street, and South Upper Street, which is the master plan being developed into a high end residential area plus some related commercial areas close to town and close to the University. The University owns a couple of buildings and a small piece of property, essentially about a block of that 12-block area. He explained that the University will be selling/trading the property where the printing plant had previously been located for some advantageous, quite beneficial property on South Broadway which is quite good quality office space. The University would be giving up about 25,000 square feet of space and

receiving about 18,000 square feet of space. The space being received is fully finished office space as opposed to the existing space. The University's property is appraised at \$875,000 by approved appraisers. The property that the University is buying/trading is appraised at \$1,500,000 so the difference is \$625,000 cash that would be necessary to equalize the values at about \$1,500,000. There is some uncertainty about these transactions because there is a right of first refusal on the properties that the University would like to purchase. It appears, however, that it is likely the University will be able to move forward with this transaction. It would be very much in the University's best interest to trade some less desirable space for some quite well finished office space that is within a very short walking distance of campus. It would also help contribute to the master plan of the 12-block area. He moved the adoption of FCR 8 authorizing the sell and FCR 9 authorizing the purchase with the net of \$625,000. Mr. Guthrie seconded the motion and it carried. (See FCR 8 and FCR 9 at the end of the Minutes.)

DD. 2001-02 Budget Revisions (FCR 10)

Mr. Chellgren said FCR 10 is the budget revisions as the academic year/fiscal year evolves. The Board sees these revisions on a regular basis. He pointed out that this one totals lots of debits and credits (adds and subtracts) both in the income and the expenditure areas. He said everyone had read about the budget cut the state is imposing on the University, and that is the deduction of \$18.3M. He further explained that the direct cut in the University's base budget from the state is approximately \$6M. The various other debits and credits are of a pretty modest nature given an organization with a budget of almost \$1.3 billion. He mentioned another major item in the expenditures for the hospital of some \$17.5M. A lot of this relates to what is called the disproportionate payments regarding Medicare and Medicaid. The total add to the budget net is some \$5.6M, and then it is offset by the same \$5.6M anticipated expenditures. There are a few other modest changes in restricted funds totaling about another million dollars. The budget revisions were discussed in detail at the Finance Committee. It is in the normal course other than those changes in the state budget appropriations and the changes in hospital appropriations from an income point of view and also in expenses. He moved the acceptance of FCR 10. Ms. Wilson seconded his motion, and it passed. (See FCR 10 at the end of the Minutes.)

EE. Coldstream Research Campus Ground Lease First Alliance Corporation (FCR 11)

Mr. Chellgren said FCR 11 is a lease of approximately one acre to develop a 16,500 square foot commercial building to house the First Alliance Corporation, which is a life insurance management company. The company would be located on the Coldstream Research Campus. These commercial terms are consistent with the recent practice. He moved the adoption of FCR 11.

Dr. Stumbo asked that the record show that he abstained from voting on FCR 11. He said that he has a financial interest in that company and was totally unaware that it was going to be on the agenda.

Mr. Miles called for a second on the motion, and Ms. Edge seconded the motion. Mr. Miles then said that he would join Dr. Stumbo in abstaining from voting on FCR 11. He called for the vote, and the motion carried. (See FCR 11 at the end of the Minutes.)

FF. Ad Hoc Committee on Board Structure Report

Dr. Mather said that the Ad Hoc Committee on Board Structure was covered in PR 3, and he had no further comments.

GG. Comments by Tim Robinson

Mr. Robinson reported that a lot of awards were given to the students that had contributed to the student body at the Awards Banquet this year. He said they did not have an overall award that recognized someone from the administration that was a student advocate and work on behalf of students. After working with lots of people in administration this year, it was clear to the leadership of student government that they should have such an award, and they created the Jack Blanton Award for Student Advocacy. Dr. Blanton received a round of applause.

Mr. Miles asked Dr. Blanton if he wanted to make an acceptance speech.

Dr. Blanton said he did not think so, and he was overwhelmed.

Mr. Robinson announced that when they made their decision of the recipient of this award, President Todd is the first recipient of the award. President Todd received a round of applause.

HH. Comments by Mr. Williams

Mr. Williams said that UKAdvance is May 13th, and he had gotten to most of the Board an invitation to a panel discussion of Trustees on May 13th. That will be the opening day of the UKAdvance Leadership Development Institute for staff employees of the University. There are 50 participants in this program. He said he would like for the Trustees to talk about their role in the institution. He said he hoped the Board could join the group on May 13th at 3 p.m.

II. Board Retreat

President Todd said someone asked about having a Board Retreat this year. He said he believed it would be a useful thing to do and asked Peggy Way to work on a date. He said the retreat last year was a kick-off to get to know each other, and the Board could work on the agenda for this year's retreat and begin to think about next year. He said the retreat would probably be after July. The retreat would be a good orientation for the new Board members. It would be somewhere late in July or possibly early August.

Mr. Miles asked for a volunteer to work on the retreat. Dr. Pomeroy said Mr. Williams did a great job last year, and she would support him doing it again.

JJ. Meeting Adjourned

With no further business to come before the Board, Mr. Miles adjourned the meeting at 2:45 p.m.

Respectfully submitted,

Richard E. Plymale
Assistant Secretary, Board of Trustees

(PR 2, 3, 4, 5; AACR 1, 2, 3, 4, 5, 6; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, and 11 which follow are official parts of the Minutes of the meeting.)