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Office of the President  
June 16, 2017

Members, Audit and Compliance Committee:

PROPOSED INTERNAL AUDIT FISCAL YEAR 2017-18 WORK PLAN

Recommendation: that the proposed Internal Audit Fiscal Year 2017-18 Work Plan be approved for the first quarter of the 2017-18 Fiscal Year.

Background: Per the Internal Audit Charter, the Committee is required to review and approve the Internal Audit Work Plan at least annually. The first quarter Work Plan is attached.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# Proposed Internal Audit Fiscal Year 2017-18 Work Plan (First Quarter)

I	Compliance Program	Assignments	Description
1	Current Activity	5	Carry Forward Activities
2	Process Validation	6	Unannounced / Surprise Audits
3	Transaction Validation	2	Data Mining Exceptions (Information Technology - Section III.3)
II	Business Operations	Assignments	Description
1	Current Activity	5	Carry Forward Activities
2	Student Billings (New 1 Qtr.)	1	Comprehensive Review
3	Patient Accounting (New 1 Qtr.)	1	Comprehensive Review
4	Athletics (New 1 Qtr. )	1	Comprehensive Review
5	CERH (New 1 Qtr. )	1	Comprehensive Review
6	New Activities	?	Unplanned - Inquiries, Investigations, Requests, and Consultations
III	Information Technology	Assignments	Description
1	Current Activity	2	Carry Forward Activities
2	Business Operations Support	3	Evaluations based on CoBIT Model
3	Compliance Program	8	Application Configuration, Information Security, and Data Mining
4	Cyber Security (New 1 Qtr.)	1	Information Technology Review
5	New Activities	?	Unplanned - Inquiries, Investigations, Requests, and Consultations
IV	UKIA Continuous Activities	Assignments	Description
1	Follow-up Reviews	6	Resolution Evaluation for Sections I – III Activities
2	Presentations and Seminars	2	Infrastructure Improvement and Knowledge Transfer
3	Inquiries and Investigations	6	Focus on Fraudulent Activities
4	Partnerships	3	Process Owner Collaboration and Risk Communication